

# Lecture Notes: Combinatorics

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## 1 Counting Principles

### Multiplication principle

If there are  $a$  ways of performing task  $A$ , and  $b$  ways of performing task  $B$ , **regardless of the outcome of  $A$** , there are  $ab$  ways of performing  $A$  then  $B$

### Addition Principle

If there are  $a$  ways of performing task  $A$ , and  $b$  ways of performing task  $B$ , then there are  $a + b$  ways of performing either  $A$  or  $B$

### Example

Consider a map with 4 sectors  $A, B, C, D$ , all adjacent except  $B$  and  $D$ . We have  $q$  colors and we want to color the adjacent sectors different colors. How many ways are there to do this? How many **proper** colorings? Note that while this looks like the four color question, it isn't quite the same.

1. Color A ( $q$  possibilities)
2. Color B ( $q - 1$  possibilities)
3. Color C ( $q - 2$  possibilities)
4. Color D ( $q - 2$  possibilities)

By the multiplication principle there are  $q(q - 1)(q - 2)^2$  possibilities as long as  $q \geq 3$  since we cannot satisfy the condition of the color of  $C \neq D$  otherwise.

1. Color B ( $q$  possibilities)
2. Color C ( $q - 1$  possibilities, any but  $B$ )
3. Color D ( $q - 1$  possibilities, any but  $C$ )
4. Color A ( $q - 2$  or  $q - 3$  possibilities, any but  $B, C, D$ )

**Case 1:** B,C,D have 3 different colors  $\Rightarrow$  # of colorings =  $q(q-1)(q-2)(q-3)$ .

**Case 2:** B,C,D don't have 3 different colors.  $\Rightarrow$  # of colorings =  $q(q-1)(q-2)$ .

The answer then becomes  $q(q-1)(q-2)(q-3) + q(q-1)(q-2) = q(q-1)(q-2) \times (q-3+1) = q(q-1)(q-2) \times (q-2) = q(q-1)(q-2)^2$

## 2 Permutations

Let  $S_n$  be the set of permutations of  $[n] = 1, 2, 3, \dots, n$ .

**Proposition:**  $|S_n| = n!$

**Proof:** To choose a permutation of  $\pi_1, \pi_2, \pi_3, \dots, \pi_n$ ,

1	Choose $\pi_1$ ( $n$ possibilities)
2	Choose $\pi_2$ ( $n-1$ possibilities)
3	Choose $\pi_3$ ( $n-2$ possibilities)
$\vdots$	$\vdots$
$n$	Choose $\pi_n$ (1 possibility)

We have to make a choice for each element and each choice is independent of all the other choices,  $\therefore S_n = n \times (n-1) \times (n-2) \times (n-3) \times \dots \times 1 = n!$   $\square$

## 3 Subsets

Let  $S$  be a finite set of size  $n$ .

Let  $2^S = \{ \text{subsets of set } S \}$ ,  $\binom{S}{k} = \{k\text{-subsets of } S\}$

**Proposition:**  $|2^S| = 2^n$

**Proof:**  $S = \{a_1, a_2, a_3, \dots, a_n\}$

$a_1 \in S?$	2 possibilities
$a_2 \in S?$	2 possibilities
$a_3 \in S?$	2 possibilities
$\vdots$	$\vdots$
$a_n \in S?$	2 possibilities

Thus we have to make independent binary choices, meaning 2 possibilities,  $n$  times.

$\therefore |2^S| = 2 \times 2 \times 2 \times \dots \times 2 = 2^n$   $\square$

Notice this gives a bijection between the  $\{\text{subsets of } S\}$  and the  $\{\text{sequences } (e_1, e_2, \dots, e_n), e = 0 \vee e = 1\}$ . So now we know how many possibilities there are when we have to make  $n$  binary independent choices.

**Definition:**  $\binom{n}{k} = |\binom{S}{k}|$  for  $|S| = n$

**Proposition:**  $\binom{n}{k} =$

To choose a  $k$ -subset  $\{b_1, \dots, b_k\} \subseteq S$

Choose $b_1$	$n$ possibilities
Choose $b_2$	$n - 1$ possibilities
Choose $b_3$	$n - 2$ possibilities
$\vdots$	$\vdots$
Choose $b_k$	$n - k + 1$ possibilities

Yielding  $n(n-1)(n-2)\dots(n-k+1) = \frac{n!}{k!(n-k)!}$ . Note  $k!$  making it the unordered choosing of  $k$  elements from  $n$  elements. If it were ordered it would be  $\frac{n!}{(n-k)!}$ .

## 4 Subsets & Generating Functions

The multivariate generating function for subsets of  $[n]$ .

$$\sum_{A \subseteq [n]} \prod_{i \in A} x_i = (1+x_1)(1+x_2)\dots(1+x_n)$$

Example:  $n = 2 \rightarrow x_1x_2 + x_1 + x_2 + 1, \leftrightarrow \{1, 2\}, \{1\}, \{2\}, \emptyset$

$$x_1x_2 + x_1 + x_2 + 1 = (x_1 + 1)(x_2 + 1)$$

Plug in  $x_1 = x_2 = x_3 = \dots = x_n$

$$\sum_{A \subseteq [n]} x^{|A|} = (1+x)^n = \sum_{k=0}^n \binom{n}{k} x^k$$

We get the binomial theorem for free!

## 5 Compositions

A composition of  $n$  is a way of expressing  $n$  as an ordered sum of positive integers.

**Example:** The compositions of 3 are  $1 + 1 + 1 = 2 + 1 = 1 + 2 = 3$ .