# Mat210 Advanced Discrete Mathematics Notes

Thobias Høivik

Fall 2025

# Contents

| 1  | Pre-Semester Start – Cardinality             | 3  |
|----|--|----|
| 2  | Tasks from 7.4                               | 6  |
| 3  | Multiplication principle                     | 9  |
| 4  | Addition principle                           | 10 |
|    | 4.1 Addition principle for non-disjoint sets | 11 |
| 5  | Pigeonhole principle                         | 12 |
| 6  | Permutations                                 | 13 |
| 7  | Combinations                                 | 14 |
|    | 7.1 Ordered and unordered selection          | 14 |
| 8  | R-combinations with repetition               | 16 |
| 9  | Pascal's formula and the binomial theorem    | 17 |
| 10 | Finite automata                              | 18 |
|    | 10.1 Equivalence of Automata                 | 18 |
| 11 | Regular Languages                            | 19 |
| 12 | Problems                                     | 19 |

# 1 Pre-Semester Start – Cardinality

The following chapter contains notes based on what I think the course will cover in the first week (week 33). According to the syllabus, cardinality is mentioned early, so this section will review some basics.

### **Definition 1.1: Cardinality**

Let *A* and *B* be sets. We say *A* and *B* have the same *cardinality*, written |A| = |B|, if there exists a bijection  $f: A \to B$ . If no such bijection exists, the sets have different cardinalities.

# Example 1.1

Let  $A = \{1,2\}$ ,  $B = \{3,4\}$ . While this is a trivial example, we can show that there are as many elements in A as in B by constructing a function  $f: A \rightarrow B$  and showing that f is a bijection.

*Proof that* |A| = |B|. Let  $f: A \rightarrow B$  be defined by

$$f(n) = n + 2$$
.

Let  $x, y \in A$  and suppose f(x) = f(y). Then

$$f(x) = f(y)$$
$$x + 2 = y + 2$$
$$x = y.$$

Thus, f is injective.

Now let  $b \in B$ . Then  $b-2 \in A$ , since  $B = \{3,4\}$  and subtracting 2 yields values in  $A = \{1,2\}$ . So for every  $b \in B$ , there exists  $a = b-2 \in A$  such that f(a) = b. Hence, f is surjective.

Since *f* is both injective and surjective, it is a bijection, and therefore |A| = |B|.

### **Definition 1.2: Finite and Infinite Sets**

A set *A* is *finite* if there exists a natural number  $n \in \mathbb{N}$  such that  $|A| = |\{1, 2, ..., n\}|$ . Otherwise, *A* is *infinite*.

### **Definition 1.3: Countably Infinite**

A set *A* is *countably infinite* if there exists a bijection  $f : \mathbb{N} \to A$ . A set is *countable* if it is finite or countably infinite.

### **Definition 1.4: Uncountable Set**

A set *A* is *uncountable* if it is not countable; that is, there does not exist a bijection from  $\mathbb{N}$  to *A*.

### Example 1.2

The set  $\mathbb{R}$  is famously uncountable, as is rigorously demonstrated in any introductory analysis course (e.g., via Cantor's diagonal argument).

### **Definition 1.5: Power Set**

Let *A* be a set. The *power set* of *A*, denoted  $\mathcal{P}(A)$ , is the set of all subsets of *A*.

#### Theorem 1.1: Cantor's Theorem

For any set A, we have  $|\mathcal{P}(A)| > |A|$ . In particular, there is no surjection from A onto  $\mathcal{P}(A)$ .

*Proof.* It suffices to show that there cannot exist a surjective function  $f: A \to \mathcal{P}(A)$ . Suppose, for contradiction, that such a surjective function f exists. Define the set

$$B = \{a \in A \mid a \notin f(a)\}.$$

Then  $B \subseteq A$ , so  $B \in \mathcal{P}(A)$ . Since f is surjective, there exists  $b \in A$  such that f(b) = B. We now ask: is  $b \in B$ ?

- If  $b \in B$ , then by the definition of B,  $b \notin f(b) = B$ , a contradiction.
- If  $b \notin B$ , then by the definition of B,  $b \in f(b) = B$ , again a contradiction.

In either case, we reach a contradiction. Therefore, our assumption that f is surjective must be false. Hence, there is no surjection from A onto  $\mathcal{P}(A)$ , and so

$$|\mathscr{P}(A)| > |A|$$
.

After showing that the power set is strictly larger, we usually demonstrate that

$$|\mathscr{P}(A)| = 2^{|A|} > |A|$$

even for infinite sets. However, for infinite cardinals, exponentiation behaves differently than for finite numbers. For example,  $2^{\aleph_0} = \mathfrak{c} = |\mathbb{R}|$ .

### Problem 1.1

Prove that  $|\mathbb{N}| = |\mathbb{Z}|$ , assuming  $0 \in \mathbb{N}$ .

*Proof of Problem 1.1.* We will construct a bijection  $f: \mathbb{N} \to \mathbb{Z}$ .

Define:

$$f(n) = \begin{cases} \frac{n}{2} & \text{if } n \text{ is even} \\ -\frac{n+1}{2} & \text{if } n \text{ is odd} \end{cases}$$

We first show that f is injective. Suppose f(x) = f(y).

**Case 1:** Both *x* and *y* are even. Then:

$$\frac{x}{2} = \frac{y}{2} \Rightarrow x = y.$$

**Case 2:** Both *x* and *y* are odd. Then:

$$-\frac{x+1}{2} = -\frac{y+1}{2} \Rightarrow x+1 = y+1 \Rightarrow x = y.$$

4

**Case 3:** One is even, one is odd. Then  $f(x) \in \mathbb{Z}_{\geq 0}$ ,  $f(y) \in \mathbb{Z}_{< 0}$ , so  $f(x) \neq f(y)$ . Hence, f is injective.

Now we show that f is surjective. Let  $z \in \mathbb{Z}$ . We find  $n \in \mathbb{N}$  such that f(n) = z:

**Case 1:**  $z \ge 0$ . Then let n = 2z. Since  $z \in \mathbb{Z}_{\ge 0}$ ,  $n \in \mathbb{N}$ , and f(n) = z.

**Case 2:** z < 0. Then let n = -2z - 1. Since  $z \in \mathbb{Z}_{<0}$ ,  $n \in \mathbb{N}$ , and:

$$f(n) = -\frac{n+1}{2} = -\frac{(-2z-1+1)}{2} = -\frac{-2z}{2} = z.$$

In both cases, such an  $n \in \mathbb{N}$  exists, so f is surjective.

Thus, f is a bijection and  $|\mathbb{N}| = |\mathbb{Z}|$ .

### 2 Tasks from 7.4

#### Problem 2.1: Task 17

Show that  $\mathbb{Q}$  is dense along the number line by showing that given two rational numbers  $r_1$  and  $r_2$  with  $r_1 < r_2$ , there exists a rational number x such that  $r_1 < x < r_2$ .

*Proof.* Let  $r_1, r_2 \in \mathbb{Q}$  such that  $r_1 < r_2$ . Consider that average of these numbers

$$x = \frac{r_1 + r_2}{2}$$
$$= \frac{\frac{a}{b} + \frac{c}{d}}{2}$$
$$= \frac{a + c}{2bd}$$

Clearly, x is a rational number since if  $a, b, c, d \in \mathbb{Z}$  then  $a + c \in \mathbb{Z}$  and  $2bd \in \mathbb{Z}$ . Furthermore

$$2r_1 < r_1 + r_2 \Rightarrow r_1 < \frac{r_1 + r_2}{2} = x$$
  
 $r_1 + r_2 < 2r_2 \Rightarrow \frac{r_1 + r_2}{2} = x < r_2$ 

Thus we have that x is a rational number satisfying the desired property. Hence  $\mathbb{Q}$  is dense along the number line.

#### Problem 2.2: Task 26

Prove that any uncountably infinite set *A* has a countably infinite subset.

*Proof.* Let *A* be a set such that  $|A| > \aleph_0$ . To construct a countably infinite subset we proceed by induction as follows:

Let  $a_0 \in A$  be the first element. Then for our next element choose some element  $a_1 \in A \setminus \{a_0\}$ . We know  $A \setminus \{a_0\}$  is non-empty since A is infinite. If we have n elements in our subset take the subsequent element to be

$$a_{n+1} \in A \setminus \{a_0, a_1, \dots, a_n\}$$

As mentioned earlier, A take away  $\{a_1, \ldots, a_n\}$  leaves a non-empty set and  $a_{n+1}$  is an available element of this set, meaning we can introduce it to our subset. Then, by mathematical induction, we get a sequence which is itself a type of subset  $\{a_i : i \in \mathbb{N}\}$ . Clearly we can construct a bijection

$$f: \mathbb{N} \to \{a_i : i \in \mathbb{N}\}$$

such that  $f(i) = a_i$ . Note that this procedure of making infinitely many choices, means using a weak form of the Axiom of Choice.

### Problem 2.3: Task 27

Let *A* and *B* be sets such that  $|A| = \aleph_0$ . Prove that if there exists some  $g: A \to B$  surjection, then *B* is countable.

*Proof.* We will proceed by proving that if there exists some surjection from one set  $\Gamma$  to another set  $\Delta$ , then  $|\Gamma| \ge |\Delta|$ . With this it follows that B is countable, assuming the conditions set in the problem description. Suppose  $\phi: \Gamma \to \Delta$  is surjective, i.e.

$$\forall \delta \in \Delta, \exists \gamma \in \Gamma \text{ s.t } \phi(\gamma) = \delta$$

Since we assume  $\phi$  is well-defined,  $\phi(\gamma)$  goes to one and only one  $\delta \in \Delta$ . Since  $\phi$  is surjective, for any  $\delta \in \Delta$  there must be at least one  $\gamma$  mapped to  $\delta$ . As stated, no  $\gamma$  can map to more than one  $\delta$ . Therefore, for each  $\delta$  to have some  $\gamma$  which maps to it there must be at least as many  $\gamma \in \Gamma$  as there are  $\delta \in \Delta$ . In other words,

$$|\Gamma| \ge |\Delta|$$

With this fact, and given that we have sets A, B where  $|A| = \aleph_0$  and a surjection  $g : A \to B$  it must be the case that

$$|B| \le |A| = \aleph_0$$

which is what it means to be countable.

### Problem 2.4: Task 32

Prove that the cartesian product of  $\mathbb{Z}$  with itself,  $\mathbb{Z} \times \mathbb{Z}$ , is countably infinite.

*Proof.* To show that  $\mathbb{Z}^2$  is countably infinite we must show that it is infinite  $(|\mathbb{Z}^2| \ge \aleph_0)$ , and it is countable  $(|\mathbb{Z}^2| \le \aleph_0)$ , in other words,

$$|\mathbb{Z}^2| = \aleph_0$$

First we show  $\mathbb{Z}^2$  is infinite. This should be obvious since  $\mathbb{Z}$  is infinite, but to demonstrate this rigorously consider the function  $\pi_1: \mathbb{Z}^2 \to \mathbb{Z}$ , defined as follows:

$$\pi_1(a,b) = a$$

Clearly,  $\pi_1$  is well-defined, since (a, b) is mapped to a unique  $a \in \mathbb{Z}$ . Also,  $\pi_1$  is surjective, since for any  $a \in \mathbb{Z}$ , there exists an infinite amount of elements in  $\mathbb{Z}^2$  such that  $\pi_1(a, b) = a$ . Thus we have shown that we can project  $\mathbb{Z}^2$  onto an infinite set  $\mathbb{Z}$ . Hence  $\mathbb{Z}^2$  is infinite. In other words:  $|\mathbb{Z}^2| \ge \aleph_0$ .

Now we show that there is a surjection from the naturals to  $\mathbb{Z}^2$ . First define a bijection  $h: \mathbb{Z} \to \mathbb{N}$  by

$$h(n) = \begin{cases} 2n, & n \ge 0, \\ -2n - 1, & n < 0. \end{cases}$$

Let  $\pi: \mathbb{N} \times \mathbb{N} \to \mathbb{N}$  be the Cantor pairing function

$$\pi(a,b) = \frac{(a+b)(a+b+1)}{2} + b,$$

which is a bijection. Its inverse  $\pi^{-1}: \mathbb{N} \to \mathbb{N} \times \mathbb{N}$  can be written explicitly: for  $n \in \mathbb{N}$  set

$$w = \left| \frac{\sqrt{8n+1}-1}{2} \right|, \qquad t = \frac{w(w+1)}{2}, \qquad b = n-t, \qquad a = w-b,$$

so  $\pi^{-1}(n) = (a, b)$ .

Now define  $s: \mathbb{N} \to \mathbb{Z}^2$  by

$$s(n) = (h^{-1}(a), h^{-1}(b))$$
 where  $(a, b) = \pi^{-1}(n)$ .

(Here  $h^{-1}: \mathbb{N} \to \mathbb{Z}$  exists because h is a bijection.)

To see *s* is surjective, take any  $(x, y) \in \mathbb{Z}^2$ . Let a = h(x) and b = h(y). Put  $m = \pi(a, b) \in \mathbb{N}$ . Then  $\pi^{-1}(m) = (a, b)$ , hence

$$s(m) = (h^{-1}(a), h^{-1}(b)) = (x, y).$$

Thus every element of  $\mathbb{Z}^2$  has a preimage under s, so s is surjective.

Consequently  $|\mathbb{Z}^2| \le |\mathbb{N}| = \aleph_0$ . (Since  $\mathbb{Z}^2$  projects onto  $\mathbb{Z}$ , we also have  $|\mathbb{Z}^2| \ge \aleph_0$ , so in fact  $|\mathbb{Z}^2| = \aleph_0$ .)

Problem 2.5: Task 38

Suppose  $A_1, A_2,...$  is an infinite sequence of countable sets. Prove that

$$\bigcup_{i=1}^{\infty} A_i$$

is countable.

*Proof.* We intend to show that the countably infinite union of countable sets is countable.

Let  $A_1, A_2,...$  be a sequence of countable sets.

Recall that

$$\bigcup_{i=1}^{\infty} A_i = \{x : x \in A_i, i \in \mathbb{Z}_+\}.$$

Since  $A_i$  is countable and  $\mathbb{Z}_+$  is countable, there exists a surjection  $g_i : \mathbb{Z}_+ \to A_i$ . Recall also that  $\mathbb{Z}_+ \times \mathbb{Z}_+$  is countable. Therefore if we can construct a surjective  $f : \mathbb{Z}_+ \times \mathbb{Z}_+ \to \bigcup_{i=1}^{\infty} A_i$ , it follows that  $\bigcup_{i=1}^{\infty} A_i$  is countable.

Define  $f(n,m) = g_n(m)$ , where  $g_n$  denotes the surjection from  $\mathbb{Z}_+$  to  $A_n$ . To check surjectivity, let  $x \in \bigcup_{i=1}^{\infty} A_i$ . Then there exists some  $k \in \mathbb{Z}_+$  such that  $x \in A_k$ . Since  $g_k$  is surjective, there exists  $m \in \mathbb{Z}_+$  such that  $g_k(m) = x$ . Hence f(k,m) = x. Therefore f is surjective.

Since  $\mathbb{Z}_+ \times \mathbb{Z}_+$  is countable and f is surjective, it follows that  $\bigcup_{i=1}^{\infty} A_i$  is countable.  $\square$ 

# 3 Multiplication principle

# **Definition 3.1: Multiplication Principle**

If a task can be performed in a sequence of k steps, and the first step can be performed in  $n_1$  ways, the second in  $n_2$  ways, and so on, then the entire task can be performed in

$$n_1 \times n_2 \times \cdots \times n_k$$

ways.

# **Theorem 3.1: Multiplication Principle**

Suppose an experiment consists of two successive stages. If the first stage can be performed in m ways and, for each of these, the second stage can be performed in n ways, then the experiment can be performed in

$$m \times n$$

ways. More generally, if there are k stages with  $n_i$  possible outcomes for stage i, then the total number of possible outcomes is

$$\prod_{i=1}^k n_i.$$

# **Example 3.1: Outfits**

Suppose you have 3 shirts and 2 pairs of pants. Each shirt can be paired with any pair of pants, so the total number of possible outfits is

$$3 \times 2 = 6$$
.

# **Example 3.2: License Plates**

A license plate consists of 3 letters followed by 3 digits. There are  $26^3$  choices for the letters and  $10^3$  choices for the digits. Hence, the total number of license plates is

$$26^3 \times 10^3$$
.

### **Example 3.3: Coin and Die**

Suppose you flip a coin and then roll a die. The coin has 2 possible outcomes and the die has 6. By the multiplication principle, the total number of outcomes is

$$2 \times 6 = 12$$
.

# 4 Addition principle

### Theorem 4.1: Addition Principle, Two Sets

Let A and B be finite and disjoint sets. Then

$$|A \cup B| = |A| + |B|.$$

*Proof.* By definition of union,

$$A \cup B = \{x \mid x \in A \text{ or } x \in B\}.$$

Since *A* and *B* are disjoint, every element of *A* is distinct from every element of *B*. Thus, counting the elements of *A* and the elements of *B* counts all the elements of  $A \cup B$  without overlap. Therefore, the total number of elements in  $A \cup B$  is |A| + |B|.

# Theorem 4.2: Addition Principle, General Form

Let  $A_1, A_2, ..., A_n$  be pairwise disjoint finite sets. Then

$$\left| \bigcup_{i=1}^n A_i \right| = \sum_{i=1}^n |A_i|.$$

*Proof.* We proceed by induction on n. Base case: n = 2 holds by the previous theorem. Inductive step: Assume the statement holds for n = k. Consider n = k + 1. Then

$$\left|\bigcup_{i=1}^{k+1} A_i\right| = \left|\left(\bigcup_{i=1}^k A_i\right) \cup A_{k+1}\right|.$$

Since the sets are pairwise disjoint,  $\bigcup_{i=1}^{k} A_i$  is disjoint from  $A_{k+1}$ . Thus, by the two-set addition principle,

$$\left|\bigcup_{i=1}^{k+1} A_i\right| = \left|\bigcup_{i=1}^k A_i\right| + |A_{k+1}|.$$

By the induction hypothesis,

$$\left|\bigcup_{i=1}^k A_i\right| = \sum_{i=1}^k |A_i|,$$

so

$$\left| \bigcup_{i=1}^{k+1} A_i \right| = \sum_{i=1}^k |A_i| + |A_{k+1}| = \sum_{i=1}^{k+1} |A_i|.$$

Hence, by induction, the theorem holds for all  $n \ge 2$ .

# Example 4.1

A cafeteria offers:

- 3 types of sandwiches: ham, turkey, or veggie,
- 2 types of salads: Greek or Caesar.

A student may choose either a sandwich or a salad, but not both.

Let *S* be the set of sandwiches and *T* the set of salads. Then |S| = 3, |T| = 2, and  $S \cap T = \emptyset$ . By the addition principle,

$$|S \cup T| = |S| + |T| = 3 + 2 = 5.$$

Thus, the student has 5 possible choices.

### Example 4.2

A college course allows students to choose exactly one project topic from three disjoint categories:

Artificial Intelligence (5 topics), Networking (4 topics), Databases (6 topics).

By the general addition principle, the number of possible project choices is

$$5 + 4 + 6 = 15$$
.

# 4.1 Addition principle for non-disjoint sets

Suppose we have sets A, B such that  $A \cap B \neq \emptyset$ . Then  $|A \cup B| \neq |A| + |B|$  since we would count at least one element twice. We would have to take away one times the number of instances of elements that are in both A and B.

### Theorem 4.3

Suppose *A* and *B* are sets such that  $A \cap B \neq \emptyset$ . Then

$$|A \cup B| = |A| + |B| - |A \cap B|$$

*Proof.* Let A, B be sets such that  $A \cap B = C \neq \emptyset$ . Then |A| + |B| would be the number of elements in  $|A \cup B|$  + an extra counting of the elements that are common between them, namely C. Hence we have to take away the number of elements in C.

I.e.

$$|A \cup B| = |A| + |B| - C = |A| + |B| - |A \cap B|$$

### Theorem 4.4

Let  $A = A_1 \cup \cdots \cup A_n$ . Then

$$|A| = \left| \bigcup_{i=1}^{n} A_i \right| = \sum_{i=1}^{n} |A_i| - \sum_{1 \le i < j \le n} |A_i \cap A_j| + \sum_{1 \le i < j < k \le n} |A_i \cap A_j \cap A_j| - \dots + (-1)^{n+1} \left| \bigcap_{i=1}^{n} A_i \right|$$

# 5 Pigeonhole principle

# Theorem 5.1: Pigeonhole principle

Let n and m be positive integers. If n > m, then any function

$$f: \{1, 2, ..., n\} \rightarrow \{1, 2, ..., m\}$$

is not injective. Equivalently, if n objects (pigeons) are placed into m boxes (pigeonholes) with n > m, then at least one box contains at least two objects.

### Example 5.1

Suppose there are 13 people at a party. Each person was born in one of the 12 months of the year. By the pigeonhole principle, at least two people must share a birth month.

#### Example 5.2

Consider 27 pairs of socks distributed among 26 drawers. By the pigeonhole principle, at least one drawer must contain at least two pairs of socks.

# Example 5.3

Let S be a set of 6 integers. If we reduce each integer modulo 5, we obtain elements in  $\{0,1,2,3,4\}$ . Since there are 6 integers and only 5 possible remainders, by the pigeonhole principle, at least two integers in S must have the same remainder when divided by 5.

# 6 Permutations

Roughly speaking, a permutation is a re-arangement of the order of a set of ordered items. If we consider the set  $S = \{1, 2, 3\}$ , then we impose some sort of ordering:

ABC

Then we consider

BAC

as a permutation of those letters.

#### Theorem 6.1

The number of permutations of a set with  $n \in \mathbb{Z}_+$  elements, is

$$n! = \prod_{i=1}^{n} i$$

### Theorem 6.2

The number of r permutations of a set with n elements is

$$P(n,r) = \frac{n!}{(n-r)!}$$

# 7 Combinations

#### **Definition 7.1: Combination**

Let  $0 \le r \le n$ . An r-combination of a set with n elements is a subset with r elements.

# Example 7.1

Let  $A = \{a, b, c, d, e\}$ .

0-comb: ∅

1-comb:  $\{a\}, \{b\}, \dots, \{e\}$ 

2-comb:  $\{a, b\}, \{a, c\}, \dots, \{d, e\}$ 

and so on.

# 7.1 Ordered and unordered selection

In an ordered selection, order matters.

In an unordered selection, order does not matter.

For instance, if we consider an example where we have a collection of 5 numbere objects to collect where 2 are red and 3 are blue, and we collect them without adding them back to the pile, how many ways can you collect all 5 objects.

This scenario is akin to laying out the objects one after the other, meaning it is the same as permuting 5 objects. Hence

$$5! = 120$$

If we decide that we do want to put back the objects after collecting them we get

$$5^5 = 3250$$

If we decided that only the red objects the answer would become the number of 2-permutations of a set containing 5 elements. I.e.

$$P(5,2) = \frac{5!}{(5-2)!} = 20$$

# Theorem 7.1

The number of subsets of size r (r-combination) of a set with n elements is given by

$$\binom{n}{r} = nCr = \frac{n!}{r!(n-r)!}$$

# Theorem 7.2: Permutations of sets with like elements

Let a set of n elements consisting of

- 1.  $n_1$  elements of type 1
- 2.  $n_2$  elements of type 2

:

3.  $n_k$  elements of type k

Then the number of combinations is given by

$$\binom{n}{n_1}\binom{n-n_1}{n_2} \dots \binom{n-n_1-\dots-n_{k-1}}{n_k} = \prod_{i=1}^k \binom{n-\sum_{j=1}^{i-1} n_j}{n_i} = \frac{n!}{n_1! n_2! \cdots n_k!}$$

# 8 R-combinations with repetition

Suppose we have a container with 4 marbles, red, green, blue and black. We draw 3 of them, putting back each marble such that each time we draw, the container has all 4 marbles.

#### **Definition 8.1**

A r-combination with repetition of a set X with n elements is an unordered selection of r elements from X with repetition.

It turns out, a problem like this is analogous to making a string in the following manner.

In string format: xx|||x. This corresponds to choosing 2 black and 1 blue. Now the problem reduces to: "how many ways can we choose 3 elements out of a set of 6 elements?". In other words

$$\binom{6}{3}$$

# Theorem 8.1

The number of r-combinations from a set with n elements with repetition is

$$\binom{n-1+r}{r}$$

# 9 Pascal's formula and the binomial theorem

Formula.

$$\binom{n}{r} = \binom{n}{n-r}$$

Proof.

$$\binom{n}{r} = \frac{n!}{r!(n-r)!} = \frac{n!}{(n-r)!(n-(n-r))!} = \binom{n}{n-r}$$

Pascal's formula.

$$\binom{n+1}{r} = \binom{n}{r-1} + \binom{n}{r}$$

# Theorem 9.1: Binomial theorem

In any field  $\mathbb{F}$  of characteristic 0, we have for  $a,b\in\mathbb{F},n\in\mathbb{N}$ :

$$(a+b)^n = \sum_{k=0}^n \binom{n}{k} a^{n-k} b^k$$

# 10 Finite automata

#### **Definition 10.1: Finite Automaton**

A deterministic finite automaton (DFA) is a 5-tuple

$$A = (Q, \Sigma, \delta, q_0, F)$$

where:

- *Q* is a finite set of states,
- $\Sigma$  is a finite input alphabet,
- $\delta: Q \times \Sigma \to Q$  is the transition function,
- $q_0 \in Q$  is the start state,
- $F \subseteq Q$  is the set of accepting (final) states.

# Definition 10.2: Language of a DFA

The **language recognized** by a DFA *A* is

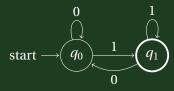
$$L(A) = \{ w \in \Sigma^* \mid \delta^*(q_0, w) \in F \},$$

where  $\delta^*$  is the natural extension of  $\delta$  to strings.

# Example 10.1: A simple automaton

Consider the DFA *A* over  $\Sigma = \{0, 1\}$  that accepts all strings ending in 1.

$$Q = \{q_0, q_1\}, F = \{q_1\}$$



# 10.1 Equivalence of Automata

# **Definition 10.3: Nondeterministic Finite Automaton (NFA)**

An **NFA** is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where

$$\delta: O \times \Sigma \to 2^Q$$
.

The transition function may map to *sets* of states, allowing multiple possible transitions.

# Theorem 10.1: Equivalence of DFA and NFA

For every NFA N, there exists a DFA D such that

$$L(D) = L(N)$$
.

*Proof.* The proof follows the *subset construction* (or *powerset construction*). Each state in the DFA corresponds to a subset of states of the NFA. Transitions are defined according to the union of possible NFA transitions under the same input symbol.  $\Box$ 

# **Corollary 10.1: Closure under Determinization**

The class of regular languages is closed under the determinization process. Thus, every language recognized by an NFA is also recognized by a DFA.

# 11 Regular Languages

### **Definition 11.1: Regular Language**

A language  $L \subseteq \Sigma^*$  is **regular** if and only if there exists a DFA (or equivalently, an NFA or regular expression) that recognizes it.

# Theorem 11.1: Closure Properties of Regular Languages

Regular languages are closed under the following operations:

union, intersection, complementation, concatenation, and Kleene star.

#### Lemma 11.1: Closure under Union

If  $L_1$  and  $L_2$  are regular languages, then  $L_1 \cup L_2$  is regular.

*Proof.* Let  $A_1$  and  $A_2$  be DFAs for  $L_1$  and  $L_2$ . Construct a new DFA on the product state space  $Q_1 \times Q_2$  with accepting states

$$F = \{(p, q) \mid p \in F_1 \text{ or } q \in F_2\}.$$

This automaton recognizes  $L_1 \cup L_2$ .

### 12 Problems

### Problem 12.1: Minimization of a DFA

Given a DFA *A*, find an equivalent DFA with the minimal number of states. This can be done using partition refinement based on distinguishable states.

Remark.

The DFA minimization algorithm runs in  $O(n \log n)$  time using Hopcroft's algorithm, where n is the number of states.