# CS224n: NLP with Deep Learning

## **Lecture 8: Machine Translation**

### **Statistical Machine Translation**

ldea:

Learn a probabilistic model, using our data

Using Bayes Rule, it can be decomposed into:

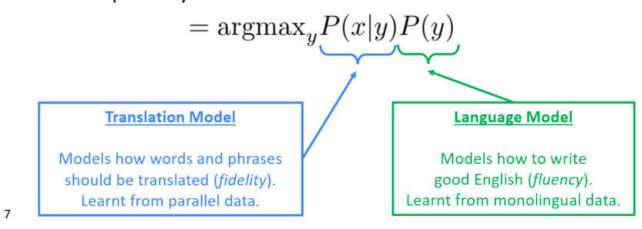
- Translation Model:
  Know about translation of local chunks of phrases
- Language Model:
  Writing good English (target language)

### 1990s-2010s: Statistical Machine Translation

- Core idea: Learn a probabilistic model from data
- Suppose we're translating French → English.
- We want to find best English sentence y, given French sentence x

$$\operatorname{argmax}_{y} P(y|x)$$

 Use Bayes Rule to break this down into two components to be learnt separately:

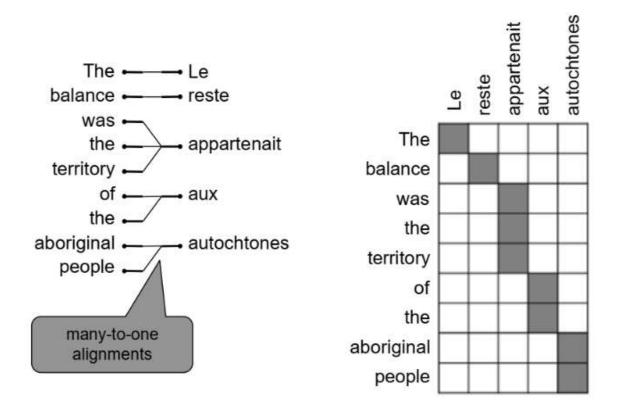


### **Alignment**

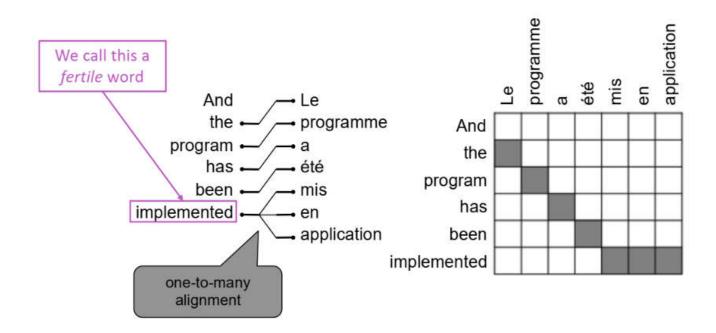
Alignment = correspondence between particular words

- · Not necessarily bijective:
  - Can be:
    - Many-to-one
    - One-to-many: the **one** word is called a *fertile* word
    - Many-to-many

## Alignment can be many-to-one

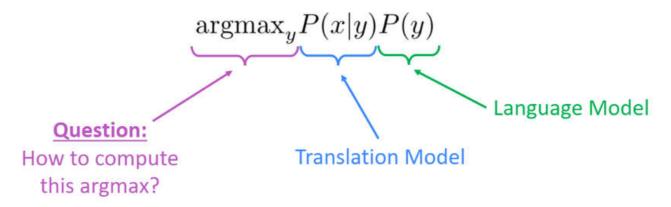


### Alignment can be one-to-many



### **Back to SMT**

# **Decoding for SMT**



- We can't enumerate every y to calculate this probability
- We explore the different possibilities, and discard the low-probability ones as we go
  → Beam Search

### **Neural Machine Translation**

- Neural Machine Translation is called sequence-to-sequence (seq2seq)
- Involves 2 RNN

### Other usages of Seq2seq

# Sequence-to-sequence is versatile!

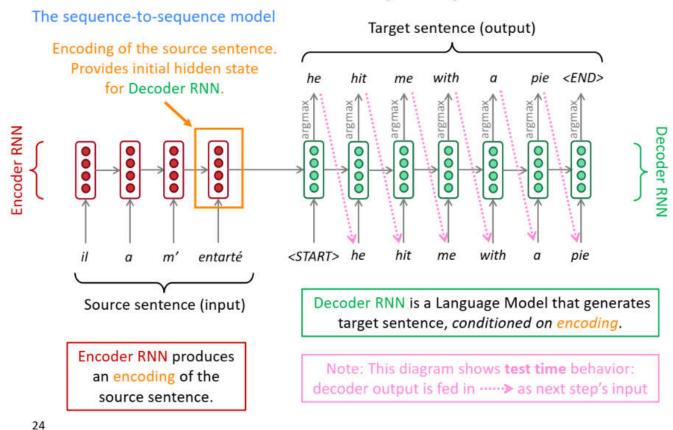
- Sequence-to-sequence is useful for more than just MT
- Many NLP tasks can be phrased as sequence-to-sequence:
  - Summarization (long text → short text)
  - Dialogue (previous utterances → next utterance)
  - Parsing (input text → output parse as sequence)
  - Code generation (natural language → Python code)

### **Encoding / Decoding**

- Encoding of the source sentence = last hidden state of the Decoder RNN
- Decoder RNN is a conditional Language Model, as it is conditioned on this encoding

#### At Test time





### **NMT Principle**

Direct calculation of P(y|x):

$$P(y|x) = P(y_1|x) P(y_2|y_1, x) P(y_3|y_1, y_2, x) \dots P(y_T|y_1, \dots, y_{T-1}, x)$$

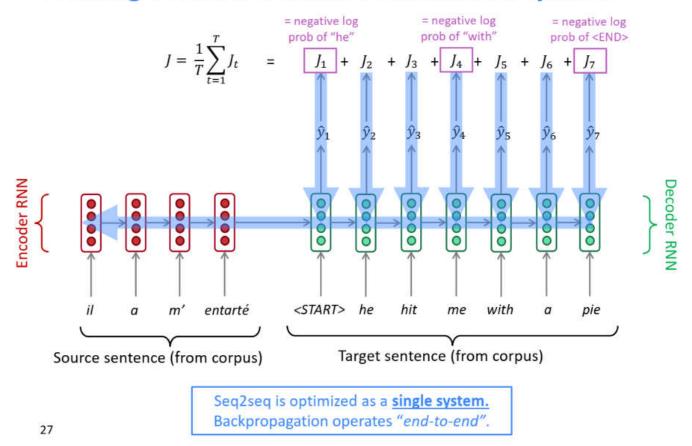
#### **Training a Model**

We need:

A parallel corpus

- Words embeddings for words in both languages (source & target)
- · Here, in NMT, we learn the translation objective directly
- While in SMT, we were doing it indirectly, by separating the task into different subtasks

# **Training a Neural Machine Translation system**



The backprop is happening end-to-end:

- · one end is the loss functions
- the other end is the beginning state of the encoder RNN

Backprop flows through the entire system

### ↑ Difference between Training & Testing ↑

During Training, in the Decoder RNN, we don't feed the previous prediction into the next step Instead, we feed each state the correct previous word from the corpus

It is possible to train the 2 RNN separately:

for example, training a strong Language Model on its own, then initializing the Decoder RNN with it

#### Remarks

In practice, we pad the short sentences up to a certain length

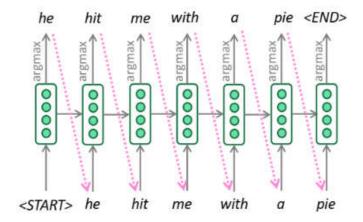
 We can use pre-trained word embeddings, or use word2vec or GloVe to train them And then, do the training of the Encoder/Decoder

### **Towards Beam search Decoding**

#### **Problem of Greedy Decoding**

# **Greedy decoding**

 We saw how to generate (or "decode") the target sentence by taking argmax on each step of the decoder



- This is greedy decoding (take most probable word on each step)
- Problems with this method?

#### **⚠** Problem **⚠**

The argmax at each step doesn't necessarily give us the global argmax for the whole sentence!

(ie, usually, the global optimum is not the combination of all the local optima)

- We can't search all the possibilities for the argmax, as it would be much too expensive to compute
- → Use a search algorithm: **Beam search**

#### **Beam Search Decoding**

 Beam size k: how big our search space is at any time

# Beam search decoding

- <u>Core idea:</u> On each step of decoder, keep track of the k most probable partial translations (which we call hypotheses)
  - k is the beam size (in practice around 5 to 10)
- A hypothesis  $y_1, \dots, y_t$  has a score which is its log probability:

$$score(y_1, ..., y_t) = log P_{LM}(y_1, ..., y_t | x) = \sum_{i=1}^t log P_{LM}(y_i | y_1, ..., y_{i-1}, x)$$

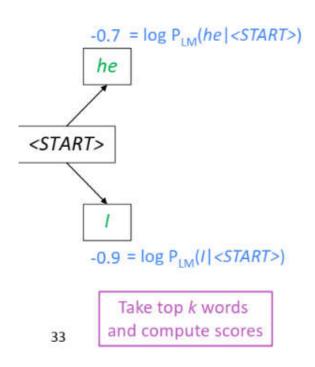
- Scores are all negative, and higher score is better
- We search for high-scoring hypotheses, tracking top k on each step
- Beam search is not guaranteed to find optimal solution
- But much more efficient than exhaustive search!

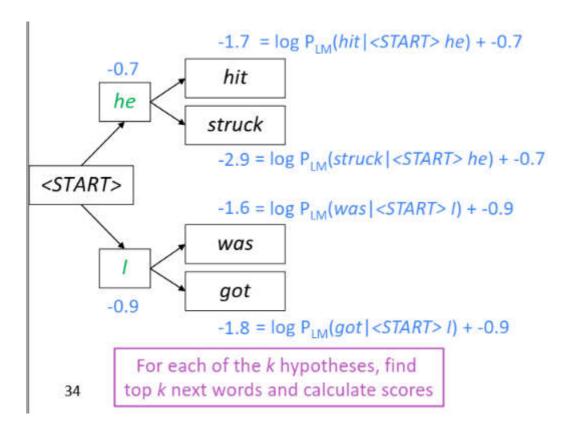
31

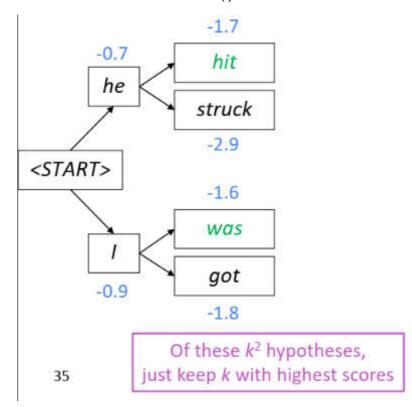
Example

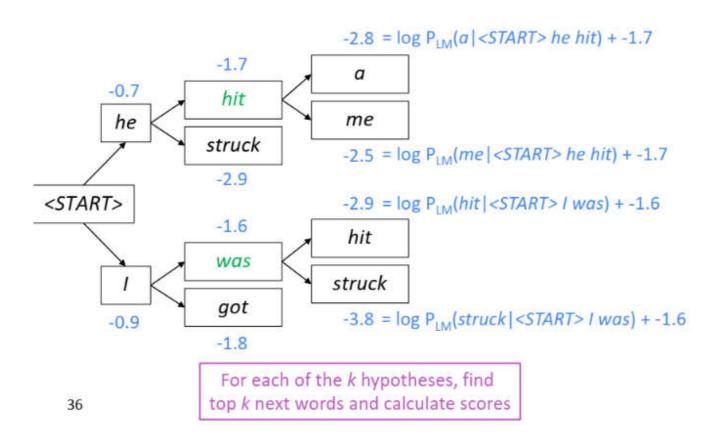
# Beam search decoding: example

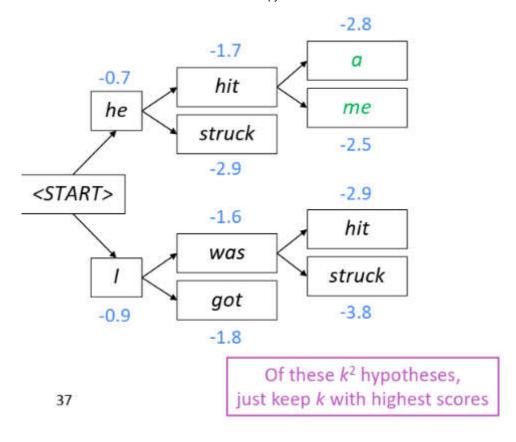
Beam size = k = 2. Blue numbers =  $score(y_1, ..., y_t) = \sum_{i=1}^t log P_{LM}(y_i|y_1, ..., y_{i-1}, x)$ 

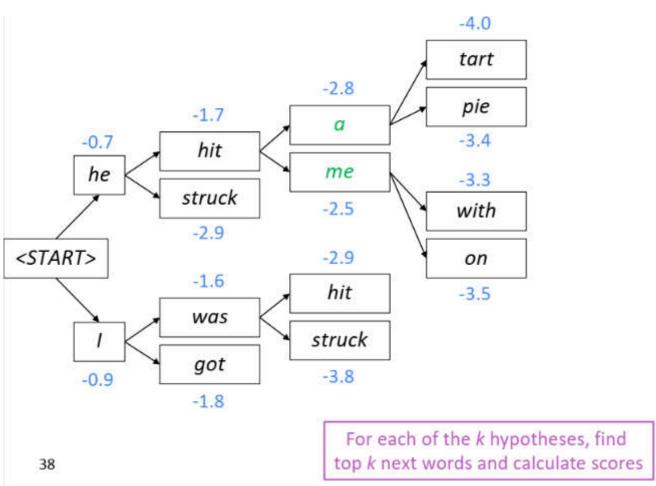


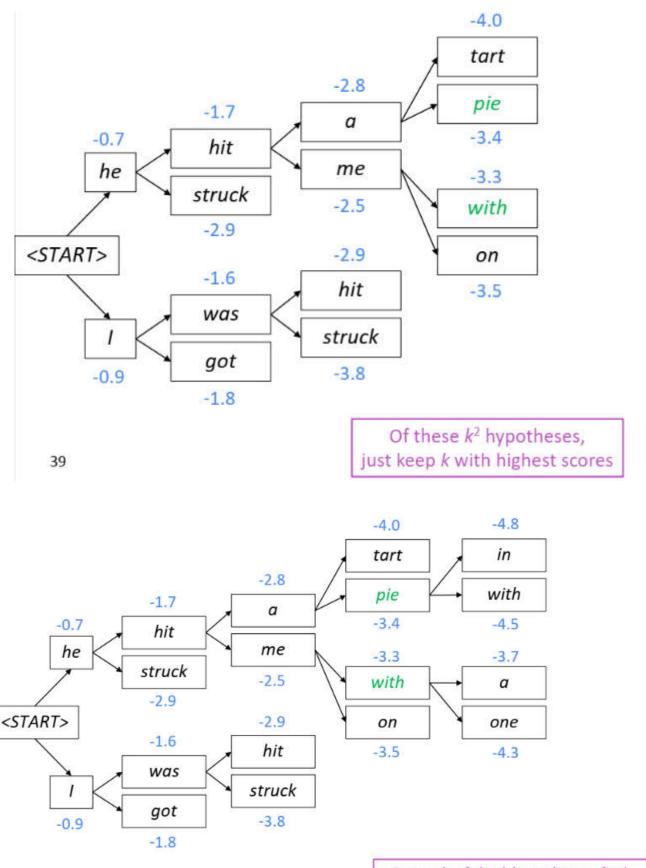






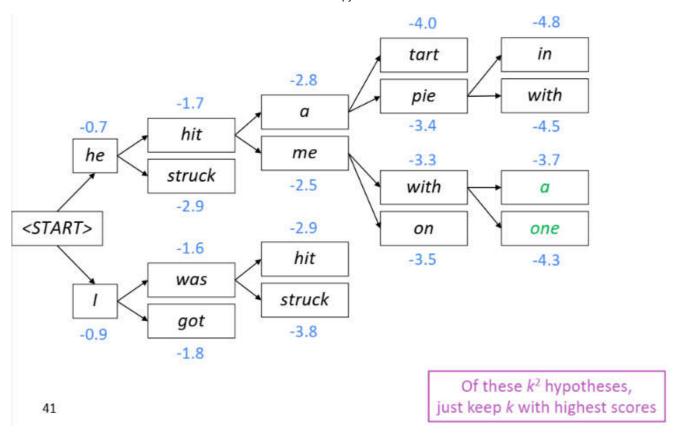


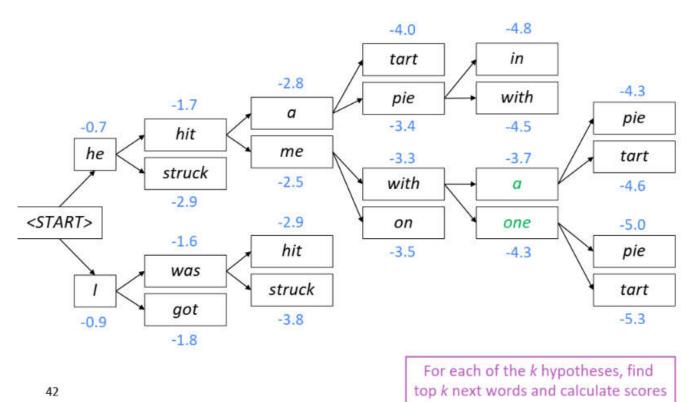


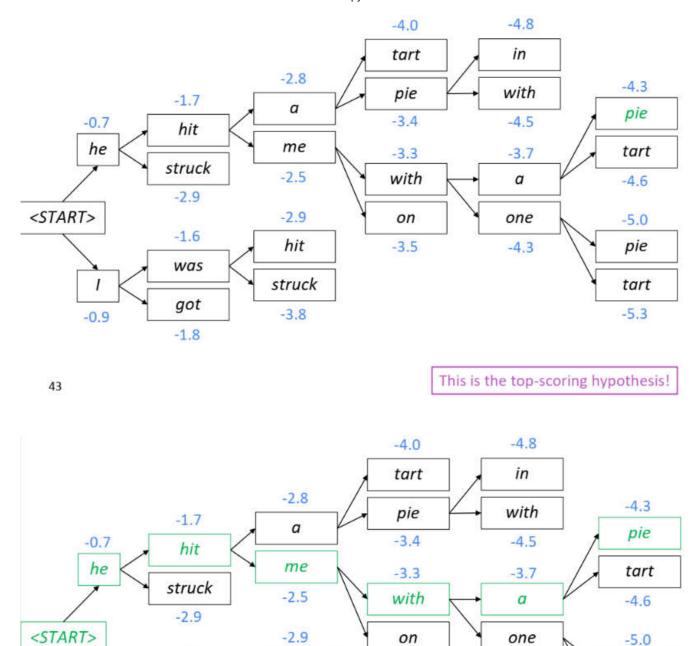


40

For each of the *k* hypotheses, find top *k* next words and calculate scores







#### **Stopping Criterion**

44

-0.9

Contiune exploring our hypothesis until:

ullet We reach a certain predefined timestep T

-1.6

was

got

-1.8

• We have a certain number of completed hypothesis n (ie, where the hypothesis has produced )

hit

struck

-3.8

-3.5

-4.3

Backtrack to obtain the full hypothesis

pie

tart

-5.3

#### 

- · As our hypothesis get longer, their probabilities get smaller, and thus their scores get smaller
- → Need to normalize these log-scores by the length of the sequence

### **Advantages of NMT**

# Advantages of NMT

## Compared to SMT, NMT has many advantages:

- Better performance
  - More fluent
  - Better use of context
  - Better use of phrase similarities
- A single neural network to be optimized end-to-end
  - No subcomponents to be individually optimized
- Requires much less human engineering effort
  - No feature engineering
  - Same method for all language pairs

47

### Disadvantages of NMT

# Disadvantages of NMT?

### Compared to SMT:

- NMT is less interpretable
  - Hard to debug
- NMT is difficult to control
  - For example, can't easily specify rules or guidelines for translation
  - Safety concerns!
- · Can't create rules such as:
  - "I always want to translate this word A by A'"

### **Evaluation of Machine Translation**

**BLEU** (Bilingual Evaluation)

- Compare Machine Translation with several Human translations
- · Compute a similarity score:
  - n-gram precision:
    - "For all the n-grams that appear in the Machine Translation, how many actually appear in one of the Human translations?"
  - Penalty score to penalize for too-short translations (which could try to game the n-gram precision!)

Reward translations that have a high n-gram overlap with Human translations

### **⚠ Problem ⚠**

There can be several right translations, we may be giving accurate ones bad scores!

#### Looking back at the past

NMT managed to outperform SMT rapidly, with only a few engineers compared to SMT !!

### **Remaining Difficulties**

- · How to translate out-of-vocabulary words?
- · Maintaning context over long text, without getting too expensive computationally
- Low-resource language pairs (languages with not much parallel data available online) For low-resource languages, one of the best sources of parallel text is the Bible... (ex of gibberish, translated as a Biblical sentence, from Somali to English)
- Still a lack of common sense:
  There can't be "jam of paper" !!
- Keeps biases of the training data:
  (Nurse → She) & (Programmer → He)
- Uninterpretability:
  Really weird effects can happen, and it's difficult to understand why!

### **Attention**

### Why Attention?

Our whole source sentence has been captured as the last hidden state of the Encoder RNN

Thus, we have lost all the information that is not stored there Plus, it may suffer from a recency bias

→ Information bottleneck!

#### What is Attention?

#### Idea

On each step of the decoder, use direct connection to the Encoder to focus on a particular part of the source sequence

We take the dot products between the decoder hidden state, and all the Encoder hidden states

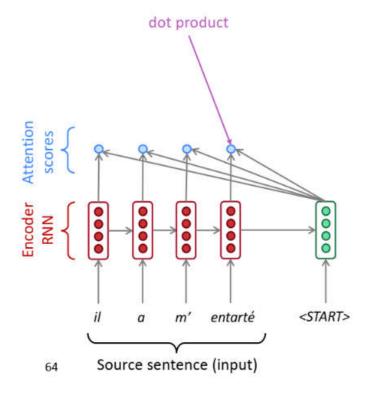
- → We get attention scores
- We apply softmax to all these attention scores to get a probability distribution to know which parts of the encoded sentence are most relevant to our Decoder hidden state
  - → Attention Distribution
- Use the Attention Distribution to make a weighted score of the Encoder hidden states
  - → Attention Output

It mostly contains information from the Hidden states with high Attention!

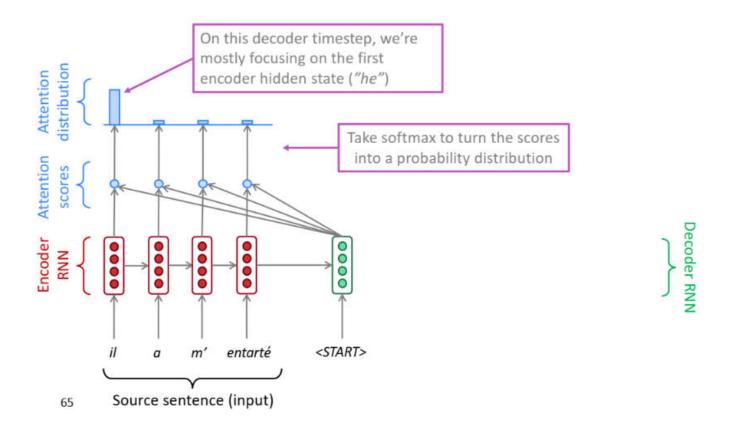
• Concatenate this Attention Output with Decoder Hidden State, to compute our output

#### Example

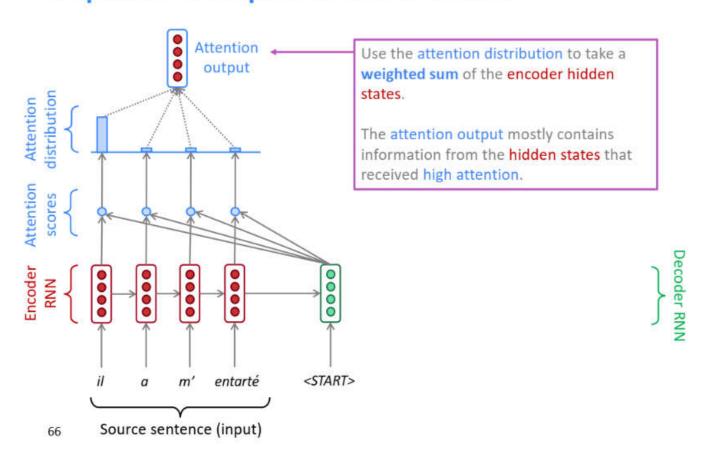
# Sequence-to-sequence with attention



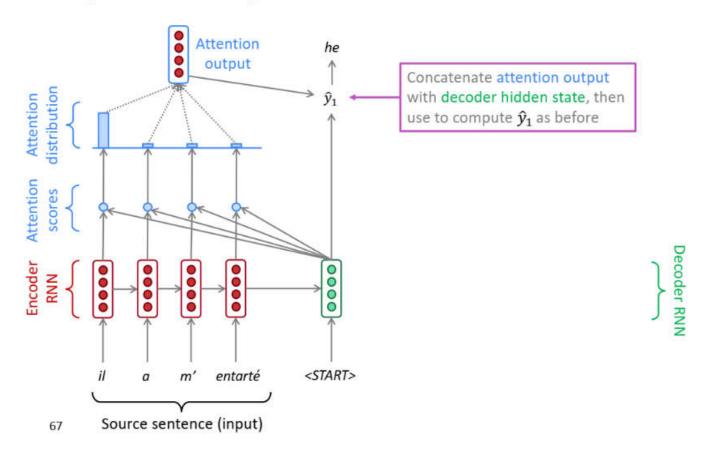
## Sequence-to-sequence with attention



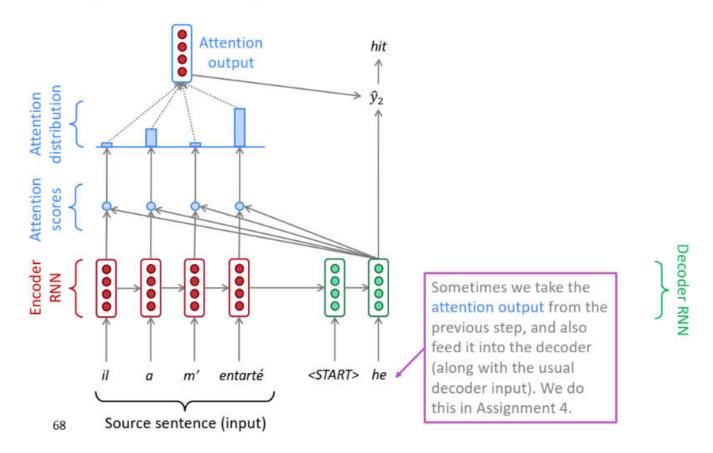
# Sequence-to-sequence with attention



# Sequence-to-sequence with attention



# Sequence-to-sequence with attention



We can see here that Attention is a bit like a softer version of Alignment, which was much restricitve (binary)

# **Attention: in equations**

- We have encoder hidden states  $h_1, \ldots, h_N \in \mathbb{R}^h$
- On timestep t, we have decoder hidden state  $s_t \in \mathbb{R}^h$
- We get the attention scores  $e^t$  for this step:

$$oldsymbol{e}^t = [oldsymbol{s}_t^T oldsymbol{h}_1, \dots, oldsymbol{s}_t^T oldsymbol{h}_N] \in \mathbb{R}^N$$

• We take softmax to get the attention distribution  $\alpha^t$  for this step (this is a probability distribution and sums to 1)

$$\alpha^t = \operatorname{softmax}(e^t) \in \mathbb{R}^N$$

• We use  $lpha^t$  to take a weighted sum of the encoder hidden states to get the attention output  $m{a}_t$ 

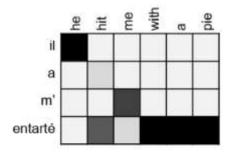
$$oldsymbol{a}_t = \sum_{i=1}^N lpha_i^t oldsymbol{h}_i \in \mathbb{R}^h$$

• Finally we concatenate the attention output  $m{a}_t$  with the decoder hidden state  $s_t$  and proceed as in the non-attention seq2seq model

[
$$oldsymbol{a}_t; oldsymbol{s}_t] \in \mathbb{R}^{2h}$$

### **Attention Advantages**

- Attention allows Decoder to focus on only some parts of the source text
- Attention bypasses the bottleneck:
  Direct access to the source!
- The shortcut (skipping connections) helps with the vanishing gradient problem !!
- Provides some interpretability (~soft alignment)



#### **Other Attention uses**

Attention can also be used for other Deep Learning tasks

#### Attention needs:

- Vector values
- Vector query
- → Compute a weighted sum of values, which is dependent on the query
  - The weighted sum is a selective summary of the values information
  - The query determines which values we focus on in our weighted sum
- ~ Similar to LSTM Gates, which depned on the context
  - Attention is a way to get a **fixed-size** representation of any number of values

#### **Variants**

### There are several attention variants

- We have some values  $m{h}_1,\dots,m{h}_N\in\mathbb{R}^{d_1}$  and a query  $m{s}\in\mathbb{R}^{d_2}$
- Attention always involves:
  - 1. Computing the attention scores  $\ e \in \mathbb{R}^N$
- There are multiple ways to do this
- 2. Taking softmax to get attention distribution  $\alpha$ :

$$\alpha = \operatorname{softmax}(\boldsymbol{e}) \in \mathbb{R}^N$$

3. Using attention distribution to take weighted sum of values:

$$oldsymbol{a} = \sum_{i=1}^N lpha_i oldsymbol{h}_i \in \mathbb{R}^{d_1}$$

thus obtaining the attention output a (sometimes called the context vector)

77

## **Attention variants**

You'll think about the relative advantages/disadvantages of these in Assignment 4!

There are several ways you can compute  $e \in \mathbb{R}^N$  from  $h_1,\dots,h_N \in \mathbb{R}^{d_1}$  and  $s \in \mathbb{R}^{d_2}$  :

- Basic dot-product attention:  $oldsymbol{e}_i = oldsymbol{s}^T oldsymbol{h}_i \in \mathbb{R}$ 
  - Note: this assumes  $d_1 = d_2$
  - · This is the version we saw earlier
- Multiplicative attention:  $oldsymbol{e}_i = oldsymbol{s}^T oldsymbol{W} oldsymbol{h}_i \in \mathbb{R}$ 
  - Where  $oldsymbol{W} \in \mathbb{R}^{d_2 imes d_1}$  is a weight matrix
- Additive attention:  $oldsymbol{e}_i = oldsymbol{v}^T anh(oldsymbol{W}_1 oldsymbol{h}_i + oldsymbol{W}_2 oldsymbol{s}) \in \mathbb{R}$ 
  - Where  $W_1 \in \mathbb{R}^{d_3 \times d_1}$ ,  $W_2 \in \mathbb{R}^{d_3 \times d_2}$  are weight matrices and  $v \in \mathbb{R}^{d_3}$  is a weight vector.
  - $d_3$  (the attention dimensionality) is a hyperparameter

More information:

"Deep Learning for NLP Best Practices", Ruder, 2017. http://ruder.jo/deep-learning-nlp-best-practices/index.html#attention "Massive Exploration of Neural Machine Translation Architectures", Britz et al, 2017, https://arxiv.org/pdf/1703.03906.pdf

78

### **Lecture Summary**

# Summary of today's lecture

- We learned some history of Machine Translation (MT)
- Since 2014, Neural MT rapidly replaced intricate Statistical MT



- Sequence-to-sequence is the architecture for NMT (uses 2 RNNs)
- Attention is a way to focus on particular parts of the input
  - Improves sequence-to-sequence a lot!

