## Solutions to MATH3411 Problems 19–26

<sup>19</sup>a) **Proof.** Let  $\mathbf{x} \in C$  be a non-zero codeword with minimal weight:  $w(\mathbf{x}) = w(C)$ . Since C is linear, it contains the zero vector  $\mathbf{0}$ , so

$$d(C) = \min\{d(\mathbf{u}, \mathbf{v}) : \mathbf{u}, \mathbf{v} \in C, \mathbf{x} \neq \mathbf{y}\} \le d(\mathbf{x}, \mathbf{0}) = w(\mathbf{x}) = w(C).$$

Similarly, let  $\mathbf{u}, \mathbf{v} \in C$  be distinct codewords with minimal distance between them:  $d(\mathbf{u}, \mathbf{v}) = d(C)$ . Since C is linear, it contains  $\mathbf{u} - \mathbf{v}$ , so

$$w(C) = \min\{w(\mathbf{z}) : \mathbf{z} \in C, \mathbf{z} \neq \mathbf{0}\} \le w(\mathbf{u} - \mathbf{y}) = d(\mathbf{u} - \mathbf{y}, \mathbf{0}) = d(\mathbf{u}, \mathbf{y}) = d(C).$$

We see that  $d(C) \leq w(C)$  and that  $w(C) \leq d(C)$ , so d(C) = w(C).

b) **Proof**. From Part a), we know that d = d(C) = w(C), so there is a codeword  $\mathbf{v} \in C$  with  $w(\mathbf{v}) = d$ . Let I be the set of d positions of  $\mathbf{v}$ 's non-zero entries:  $i \in I$  if and only if  $v_i \neq 0$ . Since  $v \in C$ , we see that  $H\mathbf{v} = 0$ , or in terms of H's columns  $h_i$ ,  $\sum_{i \in I} v_i h_i = \mathbf{0}$ . We see that the d columns  $\{h_i : i \in I\}$  are linearly independent. In other words, the minimal number of dependent columns of H is at most d.

Conversely, consider a minimal number of linearly dependent columns of H, say  $\{h_i : i \in I\}$ . Since they are linearly dependent, we can find d non-zero values  $\{a_i : i \in I\}$  that  $\sum_{i \in I} a_i h_i = \mathbf{0}$ . Define  $\mathbf{v}$  to be the vector with entries  $h_i = a_i$  if  $i \in I$  and  $h_i = 0$  otherwise. Then  $H\mathbf{v} = \mathbf{0}$ , so  $\mathbf{v} \in C$ . Also,  $d \leq w(\mathbf{v}) = |I|$ . Since |I| is the minimum numbers of linearly dependent columns of H, we see that d is the minimum numbers of linearly dependent columns of H.

- **20**a) By Problem **20b**, we know that d is the minimum number of dependent columns of H. Since two columns of H are parallel (identical),  $d \ge 3$ . In fact, the first three columns of H are dependent, so d = 3.
  - b) Writing d = 2t + 1, we see that H can detect and correct t = 1 error.

c) (i) 
$$\begin{pmatrix} 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 0 \\ 1 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} = \mathbf{0}$$

We see that 010110 is a codeword and does not need correcting. Decoding gives 010.

(ii) 
$$\begin{pmatrix} 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

This is the 3rd column of H, so the 3rd bit is incorrect (assuming just a single bit-error): the correct codeword is then 011001. Decoding gives 011.

(iii) 
$$\begin{pmatrix} 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$$

This is not one of the columns of H, so we see that 100110 has at least two errors. However, we cannot determine which they might be: for instance, the syndrome could be the sum of columns 1 and 2 of H - or it could be the sum of columns 5 and 6, say.

d) Row-reduce 
$$H: \begin{pmatrix} 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 1 \end{pmatrix} \longrightarrow \cdots \longrightarrow \begin{pmatrix} 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix} = H'$$

Then 
$$G' = \begin{pmatrix} 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 \end{pmatrix}$$
.

This also serves as a generator matrix for H.

e) Let 
$$H^+ = \begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 & 1 \end{pmatrix}$$
. This is just  $H$  with an added  ${\bf 0}$ -column and an added  ${\bf 1}$ -row.

There is no zero column or any two parallel (identical) columns; hence, the minimal distance  $d^+$  of the code  $C^+$  defined by  $H^+$  is at least 3. Furthermore, there are no three columns of  $H^+$  that are linearly independent since in  $\mathbb{Z}_2$ , this mean that one of the three vectors were the sum of the other two - which cannot happen here, since the first coordinates of the columns all equal 1. Hence,  $d^+ \geq 4$ . Since  $d^+ \leq d + 1 = 4$ , we see that the extended code  $C^+$  has minimum distance  $d^+ = 4$ .

a) We wish to find a code C with at least  $|C| \ge 4$  codewords, of length n say, and minimum distance  $d \ge 2t + 1 = 3$  for t = 1 (the number of errors that we want to correct).

The Sphere Packing Bound gives  $|C|\sum_{i=0}^t \le 2^n$ ; i.e.,  $4(1+n) \le 2^n$ .

We can quickly check that this is not true for n = 1, ..., 4, so we must have that  $n \ge 5$ .

The following parity check matrix defines a code with length n = 5 and d = 3:

$$H = \begin{pmatrix} 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 \end{pmatrix}$$

Alternatively, the following generator matrix defines a (different) code C with length n = 5 and d = 3:

$$G = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 \end{pmatrix}$$

It has just 4 codewords, which is perfect for our purposes.

b) We wish to find a code C with at least  $|C| \ge 4$  codewords, of length n say, and minimum distance  $d \ge 2t+1=5$  for t=2 (the number of errors that we want to correct). The Sphere Packing Bound gives  $|C| \sum_{i=0}^t \le 2^n$ ; i.e.,  $4(1+n+\binom{n}{2}) \le 2^n$ .

We can quickly check that this is not true for n = 1, ..., 6, so we must have that  $n \ge 7$ . The following generator matrix defines a code C with length n = 8 and d = 5:

$$G = \begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 \end{pmatrix}$$

It has just 4 codewords, which is perfect for our purposes.

**22**a) Choose the  $\rho$  differing coordinates in  $\binom{n}{\rho}$  ways; for each of the  $\rho$  coordinates, there are r-1 symbols that replace the present one. All in all, there are than  $\binom{n}{\rho}(r-1)^{\rho}$  vectors in  $\mathbb{Z}_r^n$  at distance  $\rho$  from  $\mathbf{x}$ .

b) 
$$|C| \sum_{i=0}^{t} \binom{n}{i} (r-1)^i \le r^n$$
.

c) For each radix r Hamming code C, t = 1 and  $|C| = r^{n-k}$  for some k where

$$n = (r^k - 1)/(r - 1) = \sum_{j=0}^{k-1} r^j$$

is the length of C. (The columns of H are all the vectors of  $\mathbb{Z}_r$ , except  $\mathbf{0}$ , and except that each set of r-1 parallel vectors is replaced by just a single vector.) Therefore,

$$|C|\sum_{i=0}^{t} {n \choose i} (r-1)^i = r^{n-k} (1 + n(r-1)) = r^{n-k} (1 + (r^k - 1)) = r^n.$$

a) 
$$H = \begin{pmatrix} 1 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 2 & 3 & 4 \end{pmatrix}$$

b) 
$$H\mathbf{y} = \begin{pmatrix} 1 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 2 & 3 & 4 \end{pmatrix} \begin{pmatrix} 4 \\ 1 \\ 0 \\ 0 \\ 1 \\ 3 \end{pmatrix} = \begin{pmatrix} 8 \\ 16 \end{pmatrix} = \begin{pmatrix} 3 \\ 1 \end{pmatrix}$$

This is 3 times the 4th column, so (assuming a single error) the 2nd entry of  $\mathbf{y}$  is 3 too big; subtracting 3 then gives the corrected codeword is then 410213. Decoding then gives the message 0213.

**24**a) Setting  $x_3 = 2$  and  $x_4 = 1$  gives us

$$x_1 + x_2 + 3 \times 2 + 2 \times 1 \equiv 0 \pmod{5}$$

$$x_1 + 2x_2 + 4 \times 2 + 3 \times 1 \equiv 0 \pmod{5}$$

or, in other words,

$$x_1 + x_2 \equiv 2 \pmod{5}$$

$$x_1 + 2x_2 \equiv 4 \pmod{5}$$

Quickly solving this gives us  $x_1 = 0$  and  $x_2 = 2$ , so 21 encodes as 0221. (Check: this is indeed a codeword.)

- b) Just check the two congruences:
  - (1) Invalid (2) Valid (3) Invalid (4) Valid

25. a) Neither (eg., 0 + 0 = 00).

- b) Not instantaneous (eg., 0 is a prefix of 01) but UD.
- c) Neither (eg., 001 + 0 = 0010)
- d) Instantaneous.
- **26.** The code is not UD; for instance,  $c_4c_6c_2c_3 = c_7c_1c_5c_6$ :

