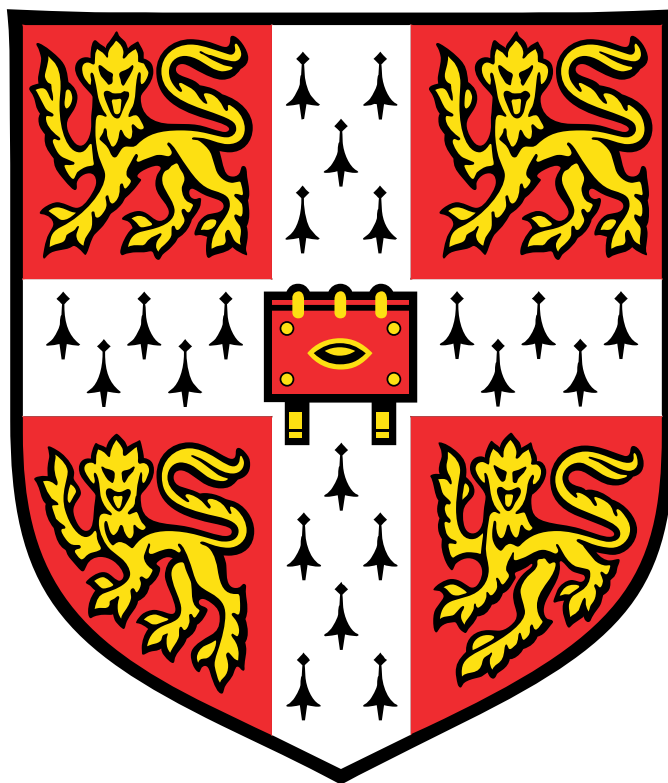


Part III Logic Lent Term 2017
Chapter 1: A Tutorial on Constructive Logic
Lectures 1-6 of 24

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The intention is that this handout shall be the definitive course material for the first quarter of the course. I do not delude myself that it is bug-free, and I welcome *errata* and suggestions for clarification.

Much of this material has had earlier outings in front of less sophisticated audiences than your good selves, and many of the exercises will come across as over-cautiously elementary. Feel free to omit any of them.

There are two topics that I haven’t had time to cover but which I would have loved to cover. Sequent calculus and realizability! Perhaps we can touch them in examples classes.

The appearance made by λ -calculus here might seem excessively brief. Fear not! It will be treated in more detail later.

Preface

Constructive mathematics is a curious beast. It is born of two errors, but these errors have given rise to some deep and important mathematics.

The two errors are (i) the confusion between truth and provability; and (ii) the assumption that two proofs that give different information cannot be proofs of the same thing.

(i) leads to a rejection of the law of excluded middle: if $A \vee B$ is true then one of A and B must be true, but in circumstances where we can prove neither p nor $\neg p$, how can we be justified in asserting $p \vee \neg p$? One of them must be true but *ex hypothesi* neither is provable, violating the identification of truth with provability.

(ii) leads people to think that since an effective proof of $(\exists x)\text{Wombat}(x)$ tells us where to find the wombat, whereas an ineffective proof does not, the two proofs must surely be proofs of different things: they cannot both be proofs of $(\exists x)(\text{Wombat}(x))$. Since the ineffective proof is typically a deduction of a contradiction from the assumption that there is no wombat then perhaps it is instead a proof of $\neg\neg(\exists x)\text{Wombat}(x)$, and perhaps this proposition is not the same as $(\exists x)\text{Wombat}(x)$. At any rate, that is the conclusion the constructivists draw. Let us see where it leads.

1 Natural Deduction

In the following table we see that for each connective we have two rules: one to introduce the connective and one to eliminate it. These two rules are called the **introduction rule** and the **elimination rule** for that connective.

Richard Bornat calls the elimination rules “use” rules because the elimination rule for a connective \mathcal{C} tells us how to **use** the information wrapped up in a formula whose principal connective is \mathcal{C} .

(The idea that everything there is to know about a connective can be captured by an elimination rule plus an introduction rule has the same rather operationalist flavour possessed by the various *meaning is use* doctrines one encounters in philosophy of language. In this particular form it goes back to Prawitz, and possibly to Gentzen. See section 3.4)

The rules tell us how to exploit the information contained in a formula.
(Some of these rules come in two parts.)

Introduction Rules	Elimination Rules
\vee -int: $\frac{A}{A \vee B}; \quad \frac{B}{A \vee B};$ \wedge -int: $\frac{A \quad B}{A \wedge B};$ <div>\rightarrow-int ???</div>	<div>\vee-elim ???</div> \wedge -elim: $\frac{A \wedge B}{A}; \quad \frac{A \wedge B}{B}$ \rightarrow -elim: $\frac{A \quad A \rightarrow B}{B}$

‘elim’ is an abbreviation for ‘elimination’; it does not allude to any religion.

You will notice the division into two columns. You will also notice the two *lacunæ*: for the moment there is no \vee -use rule and no \rightarrow -int rule.

Some of these rules look a bit daunting so let’s start by cutting our teeth on some easy ones.

EXERCISE 1

- Using just the two rules for \wedge , the rule for \vee -introduction and \rightarrow -elimination see what you can do with each of the following sets of formulæ:¹

$A, A \rightarrow B;$
 $A, A \rightarrow (B \rightarrow C);$
 $A, A \rightarrow (B \rightarrow C), B;$
 $A, B, (A \wedge B) \rightarrow C;$
 $A, (A \vee B) \rightarrow C;$
 $A \wedge B, A \rightarrow C;$
 $A \wedge B, A \rightarrow C, B \rightarrow D;$
 $A \rightarrow (B \rightarrow C), A \rightarrow B, B \rightarrow C;$
 $A, A \rightarrow (B \rightarrow C), A \rightarrow B;$
 $A, \neg A.$

- Deduce C from $(A \vee B) \rightarrow C$ and A ;
 Deduce B from $(A \rightarrow B) \rightarrow A$ and $A \rightarrow B$;
 Deduce R from $P, P \rightarrow (Q \rightarrow R)$ and $P \rightarrow Q$;

You will probably notice in doing these questions that you use one of your assumptions more than once, and indeed that you have to *write it down* more than once (= write down more than one token!) This is particularly likely to happen with $A \wedge B$. If you need to infer both of A and B then you will have to write out ‘ $A \wedge B$ ’ *twice*—once for each application of \wedge -elimination. (And of course you are allowed to use an assumption as often as you like. If it is a sunny tuesday you might use \wedge -elimination to infer that it is sunny so you can go for a walk in the botanics, but that doesn’t relieve you of the obligation of inferring that it is tuesday and that you need to go to your 11 o’clock lecture.)

¹Warning: in some cases the answer might be “nothing!”.

If you try writing down only one token you will find that you want your sheet of paper to be made of lots of plaited ribbons. Ugh. How so? Well, if you want to infer both A and B from $A \wedge B$ and you want to write ' $A \wedge B$ ' only once, you will find yourself writing ' $\frac{A \wedge B}{A \quad B}$ ', and then building proofs downward from the token of the ' A ' on the lower line and also from the ' B ' on the lower line. They might rejoin later on. Hence the plaiting.

Now we can introduce a new rule, the *ex falso sequitur quodlibet*.

Ex falso sequitur quodlibet; $\frac{\perp}{A}$

If we were setting up a proof system for classical logic (which we aren't) we would insert here the rule of

Double negation $\frac{\neg\neg A}{A}$

but we include it anyway for the sake of completeness.

The Latin expression *ex falso ...* means: "From the **false** follows whatever you like".

The two rules of *ex falso* and *double negation* are the only rules that specifically mention negation. Recall that $\neg B$ is logically equivalent to $B \rightarrow \perp$, so the inference

$$\frac{A \quad \neg A}{\perp} \quad (1)$$

—which *looks* like a new rule—is merely an instance of \rightarrow -elimination.

1.1 The rule of \rightarrow -introduction

The time has now come to make friends with the rule of \rightarrow -introduction. Recalling what introduction rules do, you can see that the \rightarrow -introduction rule will be a rule that tells you how to prove things of the form $A \rightarrow B$. Well! How, in real life, do you prove "if A then B "? Well, you assume A and deduce B from it. What could be simpler!? Let's have an illustration. We already know how to deduce $A \vee C$ from A (we use \vee -introduction) so we should be able to prove $A \rightarrow (A \vee C)$.

$$\frac{A}{A \vee C} \vee\text{-int} \quad (2)$$

So we just put ' $A \rightarrow (A \vee C)$ ' on the end ...?

$$\frac{\frac{A}{A \vee C} \vee\text{-int}}{A \rightarrow (A \vee C)} \quad (3)$$

That's pretty obviously the right thing to do, but for one thing. The last proof has $A \rightarrow (A \vee C)$ as its last line (which is good) but it has A as a live premiss. We assumed A in order to deduce $A \vee C$, but although the truth of $A \vee C$ relied on the truth of A , the truth of $A \rightarrow (A \vee C)$ does not rely on the

truth of A . (It's a tautology, after all.) We need to record this fact somehow. The point is that, in going from a deduction-of- $A \vee C$ -from- A to a proof-of- $A \rightarrow (A \vee C)$, we have somehow *used up* the assumption A . We record the fact that it has been used up by putting square brackets round it, and putting a pointer from where the assumption A was made to the line where it was used up.

$$\frac{\frac{[A]^1}{A \vee C} \vee\text{-int}}{A \rightarrow (A \vee C)} \rightarrow\text{-int (1)} \quad (4)$$

N.B.: in \rightarrow -introduction you don't have to cancel all occurrences of the premiss: it is perfectly all right to cancel only some of them. Indeed, if you are up for it, you can even set up the rule so that you are allowed to cancel nonexistent occurrences! I find this tends to frighten the horses, so that possibility is hived off as a separate rule, the *Identity Rule*

1.2 The rule of \vee -elimination

"...they will either contradict the Koran, in which case they are heresy, or they will agree with it, so they are superfluous."

We often use \vee -elimination in sudoku puzzles. Consider the following example:

	3	8						
	1	6		4		9	7	
4		7	1					6
		2	8		7			5
	5			1			8	
8			4			2		
7		5			1	8		4
	4	3		5		7	1	
						6		

There is a '5' in the top right-hand box—somewhere. But in which row? The '5' in the top left-hand box must be in the first column, and in one of the top two rows. The '5' in the fourth column must be in one of the two top cells. (It cannot be in the fifth row because there is already a '5' there, and it cannot be in the last three rows because that box already has a '5' in it.) So the '5' in the middle box on the top must be in the first column, and in one of the top two rows. These two '5's must of course be in different rows. So where is the '5' in the rightmost of the three top boxes? Either the '5' in the left box is on the first row and the '5' in the middle box is on the second row or the 5 in the middle box is in the first row and the '5' in the left box is in the second row. We don't know which of the possibilities is the true one, but it doesn't matter: either way the '5' in the rightmost box must be in the bottom (third) row.

1.3 The Identity Rule

Finally we need the identity rule:

$$\frac{A \ B \ C \ \dots}{A} \quad (5)$$

(where the list of extra premisses may be empty) which records the fact that we can deduce A from A . Not very informative, one might think, but it turns out to be useful. After all, how else would one obtain a proof of the undoubted tautology $A \rightarrow (B \rightarrow A)$, otherwise known as ‘ K ’? One could do something like

$$\frac{\frac{\frac{[A]^2}{A \wedge B} \wedge\text{-int}}{A} \wedge\text{-elim}}{B \rightarrow A} \rightarrow\text{-int (1)} \quad (6)$$

$$\frac{B \rightarrow A}{A \rightarrow (B \rightarrow A)} \rightarrow\text{-int (2)}$$

but that is grotesque: it uses a couple of rules for a connective that doesn’t even appear in the formula being proved! The obvious thing to do is

$$\frac{\frac{\frac{[A]^2}{A} \text{identity rule}}{B \rightarrow A} \rightarrow\text{-int (1)}}{A \rightarrow (B \rightarrow A)} \rightarrow\text{-int (2)} \quad (7)$$

If we take seriously the observation above concerning the rule of \rightarrow -introduction—namely that you are not required to cancel *every* occurrence of an assumption—then you conclude that you are at liberty to cancel *none* of them, and that suggests that you can cancel assumptions that aren’t there—then we will not need this rule. This means we can write proofs like 4 below. To my taste, it seems less bizarre to discard assumptions than it is to cancel assumptions that aren’t there, so I prefer 3 to 4. It’s a matter of taste.

$$\frac{\frac{[A]^1}{B \rightarrow A} \rightarrow\text{-int}}{A \rightarrow (B \rightarrow A)} \rightarrow\text{-int (1)} \quad (8)$$

It is customary to connect the several occurrences of a single formula at introductions (it may be introduced several times) with its occurrences at elimination by means of superscripts. Square brackets are placed around eliminated formulæ, as in the formula displayed above.

1.4 Rules for the Quantifiers

To the natural deduction rules for propositional calculus we add rules for introducing and eliminating the quantifiers:

Rules for \exists

$$\frac{A(t)}{(\exists x)(A(x))} \exists\text{-int} \qquad \frac{\begin{array}{c} [A(t)]^{(1)} \\ \vdots \\ C \end{array} \quad (\exists x)(A(x))}{C} \exists\text{-elim}(1) \quad (9)$$

Notice the similarity between \forall -elimination and \exists -elimination.

Rules for \forall

$$\frac{\begin{array}{c} \vdots \\ A(t) \end{array}}{(\forall x)(A(x))} \forall\text{-int} \qquad \frac{(\forall x)(A(x))}{A(t)} \forall\text{-elim}$$

To prove that everything has property A , reason as follows. Let x be an object about which we know nothing, reason about it for a bit and deduce that x has A ; remark that no assumptions were made about x ; Conclusion: *all* x s must therefore have property A . But it is important that x should be an object about which we know nothing, otherwise we won't have proved that every x has A , merely that A holds of all those x 's that satisfy the conditions x satisfied and which we exploited in proving that x had A . The rule of \forall -introduction therefore has the important side condition: ' t ' **not free in the premisses**. The idea is that if we have proved that A holds of an object x *selected arbitrarily*, then we have actually proved that it holds for *all* x .

The rule of \forall -introduction is often called **Universal Generalisation** or **UG** for short. It is a common strategy and deserves a short snappy name. It even deserves a joke.²

THEOREM 1 *Every government is unjust.*

Proof: Let G be an arbitrary government. Since G is arbitrary, it is certainly unjust. Hence, by universal generalization, every government is unjust. ■

This is of course a fallacy of equivocation.

We also need a rule of substitutivity of equality:

$$\frac{\phi(x) \quad x = y}{\phi(y)}$$

2 What do the rules *mean*??

One way in towards an understanding of what the rules do is to dwell on the point made by my friend Richard Bornat (alluded to earlier) that elimination rules are **use** rules:

²Thanks to the late Aldo Antonelli.

2.1 The rule of \rightarrow -elimination

The rule of \rightarrow -elimination tells you how to use the information wrapped up in ' $A \rightarrow B$ '. ' $A \rightarrow B$ ' informs us that if A , then B . So the way to use the information is to find yourself in a situation where A holds. You might not be in such a situation, and if you aren't you might have to assume A with a view to using it up later—somehow. We will say more about this.

2.2 The rule of \vee -elimination

The rule of \vee -elimination tells you how to **use** the information in ' $A \vee B$ '. If you are given $A \vee B$, how are you to make use of this information without supposing that you know which of A and B is true? Well, **if** you know you can deduce C from A , and you **ALSO** know that you can deduce C from B , **then** as soon as you are told $A \vee B$ you can deduce C . One could think of the rule of \vee -elimination as a function that takes (1) $A \vee B$, (2) a proof of C from A and (3) a proof of C from B , and returns a proof of C from $A \vee B$. This will come in useful on page ??.

There is a more general form of \vee -elimination:

$$\begin{array}{c}
 [A_1]^1 \quad [A_2]^1 \quad \dots \quad [A_n]^1 \\
 \vdots \quad \quad \quad \vdots \\
 C \quad C \quad \quad C \quad A_1 \vee A_2 \vee \dots A_n
 \end{array}
 \xrightarrow{\vee\text{-elim (1)}} C
 \tag{10}$$

where we can cancel more than one assumption. That is to say we have a set $\{A_1 \dots A_n\}$ of assumptions, and the rule accepts as input a list of proofs of C : one proof from A_1 , one proof from A_2 , and so on up to A_n . It also accepts the disjunction $A_1 \vee \dots A_n$ of the set $\{A_1 \dots A_n\}$ of assumptions, and it outputs a proof of C .

The rule of \vee -elimination is a hard one to grasp so do not panic if you don't get it immediately. However, you should persist until you do. Some of the challenges in the exercise which follows require it.

EXERCISE 2

- Deduce $P \rightarrow R$ from $P \rightarrow (Q \rightarrow R)$ and $P \rightarrow Q$;*
- Deduce $(A \rightarrow B) \rightarrow B$ from A ;*
- Deduce C from A and $((A \rightarrow B) \rightarrow B) \rightarrow C$;*
- Deduce $\neg P$ from $\neg(Q \rightarrow P)$;*
- Deduce A from $B \vee C$, $B \rightarrow A$ and $C \rightarrow A$;*
- Deduce $\neg A$ from $\neg(A \vee B)$;*
- Deduce Q from P and $\neg P \vee Q$;*
- Deduce Q from $\neg(Q \rightarrow P)$. (needs double negation)*

3 Goals and Assumptions

When you set out to find a proof of a formula, that formula is your **goal**. As we have just mentioned, the obvious way to attack a goal is to see if you can obtain it as the output of (a token of) the introduction rule for its principal connective. If that introduction rule is \rightarrow -introduction then this will generate an **assumption**. Once you have generated an assumption you will need—sooner or later—to extract the information it contains and you will do this by means of the *elimination* rule for the principal connective of that assumption. I have noticed that beginners often treat assumptions as if they were goals. Perhaps this is because they encounter goals first and they are *perseverating*. It's actually idiotically simple:

- (1) Attack a **goal** with the introduction rule for its principal connective;
- (2) Attack an **assumption** with the elimination rule for its principal connective.

Let's try an example. Suppose we have the goal $((A \rightarrow B) \rightarrow A) \rightarrow ((A \rightarrow B) \rightarrow B)$. The principal connective of this formula is the arrow in the middle that I have underlined. (1) in the box tells us to **assume** the antecedent (which is $(A \rightarrow B) \rightarrow A$), at which point the consequent (which is $(A \rightarrow B) \rightarrow B$) becomes our new goal. So we have traded the old goal $((A \rightarrow B) \rightarrow A) \rightarrow ((A \rightarrow B) \rightarrow B)$ for the new goal $(A \rightarrow B) \rightarrow B$ and generated the new assumption $(A \rightarrow B) \rightarrow A$. How are you going to use this assumption? Do not attempt to *prove* it; you must *use* it! And the way to use it is to whack it with the elimination rule for its principal connective—which is \rightarrow . The only way you can do this is if you have somehow got hold of $A \rightarrow B$. Now $A \rightarrow B$ might be an assumption. If it isn't, it becomes a new goal. As it happens, $A \rightarrow B$ is an assumption, because we had the goal $(A \rightarrow B) \rightarrow B$ and this—by rule-of-thumb-1) (in the box)—generates the assumption $A \rightarrow B$ and the goal B .

Your first step—when challenged to find a natural deduction proof of a formula—should be to identify the principal connective. For example, when challenged to find a proof of $(A \wedge B) \rightarrow A$, the obvious gamble is to expect that the last step in the proof was a \rightarrow -introduction rule applied to a proof of A with the assumption $A \wedge B$.

3.1 The Small Print

This section contains some warnings that might save you from tripping yourself up ...

3.1.1 Look behind you!

You can cancel an assumption only if it appears in the branch above you! You might care to study the following defective proof.

$$\begin{array}{c}
\frac{[A]^2 \quad [A \rightarrow (B \vee C)]^3}{B \vee C} \rightarrow\text{-elim} \quad \frac{\frac{[B]^1}{A \rightarrow B} \rightarrow\text{-int (2)}}{(A \rightarrow B) \vee (A \rightarrow C)} \vee\text{-int} \quad \frac{\frac{[C]^1}{A \rightarrow C} \rightarrow\text{-int (2)}}{(A \rightarrow B) \vee (A \rightarrow C)} \vee\text{-int} \\
\hline
\frac{(A \rightarrow B) \vee (A \rightarrow C)}{A \rightarrow (B \vee C). \rightarrow.(A \rightarrow B) \vee (A \rightarrow C)} \rightarrow\text{-int (3)} \vee\text{-elim (1)
\end{array}
\tag{11}$$

An attempt is made to cancel—in the two branches in the middle and on the right—the ‘ A ’ in the leftmost of the three branches. (Look for the ‘ $\rightarrow\text{-int}$ (2)’ at the top of the two branches.) This is not possible! Interestingly no proof of this formula can be given that does not use the rule of classical contradiction. You will see this formula again in exercise 12.

3.1.2 The two rules of thumb don’t always work

The two rules of thumb are the bits of attack-advice in the box on page 11.

It isn’t *invariably* true that you should attack an assumption (or goal) with the elimination (introduction) rule for its main connective. It might be that the goal or assumption you are looking at is a propositional letter and therefore *does not have* a principal connective! In those circumstances you have to try something else. Your assumption might be P and if you have in your knapsack the formula $(P \vee Q) \rightarrow R$ it might be a good idea to whack the ‘ P ’ with a $\vee\text{-introduction}$ to get $P \vee Q$ so you can then do a $\rightarrow\text{-elimination}$ and get R . And of course you might wish to refrain from attacking your assumption with the elimination rule for its principal connective. If your assumption is $P \vee Q$ and you already have in your knapsack the formula $(P \vee Q) \rightarrow R$ you’d be crazy not to use $\rightarrow\text{-elimination}$ to get R . And in so doing you are not using the elimination rule for the principal connective of $P \vee Q$.

And, even when a goal or assumption does have a principal connective, attacking it with the appropriate rule for that principal connective is not absolutely *guaranteed* to work. Consider the task of finding a proof of $A \vee \neg A$. (A here is a propositional letter, not a complex formula). If you attack the principal connective you will of course use $\vee\text{-int}$ and generate the attempt

$$\frac{A}{A \vee \neg A} \vee\text{-int} \tag{12}$$

or the attempt

$$\frac{\neg A}{A \vee \neg A} \vee\text{-int} \tag{13}$$

and clearly neither of these is going to turn into a proof of $A \vee \neg A$, since we are not going to get a proof of A (nor a proof of $\neg A$). It turns out you have to use the rule of double negation: assume $\neg(A \vee \neg A)$ and get a contradiction. There is a pattern to at least some of these cases where attacking-the-principal-connective is not the best way forward, and we will say more about it later.

The moral of this is that finding proofs is not a simple join-up-the-dots exercise: you need a bit of ingenuity at times. Is this because we have set up the system wrongly? Could we perhaps devise a system of rules which was completely straightforward, and where short tautologies had short proofs³ which can be found by blindly following rules like *always-use-the-introduction-rule-for-the-principal-connective-of-a-goal*? You might expect that, the world being the kind of place it is, the answer is a resounding ‘NO!’ but curiously the answer to this question is not known. I don’t think anyone expects to find such a system, and i know of no-one who is trying to find one, but the possibility has not been excluded. P=NP?

In any case the way to get the hang of it is to do lots of practice!! So here are some exercises. They might take you a while.

3.2 Some Exercises

EXERCISE 3 Find natural deduction proofs of the following tautologies:

1. $(P \rightarrow Q) \rightarrow ((Q \rightarrow R) \rightarrow (P \rightarrow R));$
2. $(A \rightarrow C) \rightarrow ((A \wedge B) \rightarrow C);$
3. $((A \vee B) \rightarrow C) \rightarrow (A \rightarrow C);$
4. $P \rightarrow (\neg P \rightarrow Q);$
5. $A \rightarrow (A \rightarrow A)$ (you will need the identity rule);
6. $((P \rightarrow Q) \rightarrow Q) \rightarrow (P \rightarrow Q);$
7. $A \rightarrow (((A \rightarrow B) \rightarrow B) \rightarrow C) \rightarrow C);$
8. $(P \vee Q) \rightarrow (((P \rightarrow R) \wedge (Q \rightarrow S)) \rightarrow (R \vee S));$
9. $(P \wedge Q) \rightarrow (((P \rightarrow R) \vee (Q \rightarrow S)) \rightarrow (R \vee S));$
10. $\neg(A \vee B) \rightarrow (\neg A \wedge \neg B);$
11. $A \vee \neg A;$ (*)
12. $\neg(A \wedge B) \rightarrow (\neg A \vee \neg B);$ (hard!) (*)
13. $(A \wedge (B \vee C)) \rightarrow ((A \wedge B) \vee (A \wedge C));$
14. $((A \wedge B) \vee (A \wedge C)) \rightarrow (A \wedge (B \vee C));$
15. $(A \vee (B \wedge C)) \rightarrow ((A \vee B) \wedge (A \vee C));$
16. $((A \vee B) \wedge (A \vee C)) \rightarrow (A \vee (B \wedge C));$ hard!

³‘short’ here can be given a precise meaning.

17. $A \rightarrow [(A \rightarrow C) \rightarrow ((B \rightarrow C) \rightarrow C)]$; (for this and the next you will need the identity rule);
18. $B \rightarrow [(A \rightarrow C) \rightarrow ((B \rightarrow C) \rightarrow C)]$; then put these last two together to obtain a proof of
19. $(A \vee B) \rightarrow [(A \rightarrow C) \rightarrow ((B \rightarrow C) \rightarrow C)]$;
20. $((B \vee (B \rightarrow A)) \rightarrow A) \rightarrow A$;
21. $(A \wedge B) \vee (A \wedge \neg B) \vee (\neg A \wedge B) \vee (\neg A \wedge \neg B)$. (Hard! For enthusiasts only) (*)

You should be able to do the first seven without breaking sweat. If you can do the first dozen without breaking sweat you may feel satisfied. The starred items will need the rule of double negation. For the others you should be able to find proofs that do not use double negation. The æsthetic into which you are being inducted is one that says that proofs that do not use double negation are always to be preferred to proofs that do.

If you want to get straight in your mind the small print around the \rightarrow -introduction rule you might like to try the next exercise. In one direction you will need to cancel two occurrences of an assumption, and in the other you will need the identity rule, which is to say you will need to cancel zero occurrences of the assumption.

EXERCISE 4

1. Provide a natural deduction proof of $A \rightarrow (A \rightarrow B)$ from $A \rightarrow B$;
2. Provide a natural deduction proof of $A \rightarrow B$ from $A \rightarrow (A \rightarrow B)$.
3. Provide a natural deduction proof of $A \rightarrow (A \rightarrow (A \rightarrow B))$ from $A \rightarrow B$;
4. Provide a natural deduction proof of $A \rightarrow B$ from $A \rightarrow (A \rightarrow (A \rightarrow B))$.

EXERCISE 5 Annotate the following proofs, indicating which rules are used where and which premisses are being cancelled when.

$$\begin{array}{c}
 \frac{P \quad P \rightarrow Q}{Q} \\
 \frac{Q}{(P \rightarrow Q) \rightarrow Q} \\
 \hline
 P \rightarrow ((P \rightarrow Q) \rightarrow Q)
 \end{array} \tag{14}$$

$$\begin{array}{c}
 \frac{P \wedge Q}{Q} \\
 \frac{Q}{P \vee Q} \\
 \hline
 (P \wedge Q) \rightarrow (P \vee Q)
 \end{array} \tag{15}$$

$$\frac{\frac{P \quad \neg P}{\perp}}{\frac{Q}{P \rightarrow Q}} \quad (16)$$

$$\frac{P \vee Q \quad \frac{P \quad P \rightarrow R}{R} \quad \frac{Q \quad Q \rightarrow R}{R}}{\frac{R}{(P \vee Q) \rightarrow R}} \quad (17)$$

$$\frac{\frac{\frac{A \quad B}{A \wedge B}}{B \rightarrow (A \wedge B)}}{A \rightarrow (B \rightarrow (A \wedge B))} \quad (18)$$

$$\frac{\frac{(A \rightarrow B) \rightarrow B \quad A \rightarrow B}{B}}{((A \rightarrow B) \rightarrow B) \rightarrow B} \quad (19)$$

$$\frac{}{(A \rightarrow B) \rightarrow (((A \rightarrow B) \rightarrow B) \rightarrow B)}$$

3.3 A First Look at Three-valued Logic

Life is complicated on Planet Zarg. The Zarglings believe there are three truth-values: **true**, **intermediate** and **false**. Here we write them as 1, 2 and 3 respectively. Here is the truth-table for the connective \rightarrow on planet Zarg:

\rightarrow	1	2	3
1	1	2	3
2	1	1	3
3	1	1	1

(Notice that the two truth-tables you get if (i) strip out 3 or (ii) strip out 2 both look like the two-valued truth-table for \rightarrow . They have to, if you think of it. The only room for manoeuvre comes with relations between 2 and 3.)

On Zarg the truth-value of $P \vee Q$ is simply the smaller of the truth-values of P and Q ; the truth-value of $P \wedge Q$ is the larger of the truth-values of P and Q .

EXERCISE 6 Write out Zarg-style truth-tables for

1. $P \vee Q$;
2. $P \wedge Q$;
3. $((P \rightarrow Q) \rightarrow P) \rightarrow P$;

4. $P \rightarrow (Q \rightarrow P)$;

5. $(P \rightarrow Q) \rightarrow Q$;

[Brief reality check: What is a tautology on Planet Earth?]

What might be a good definition of tautology on Planet Zarg?

According to your definition of a tautology-on-planet-Zarg, is it the case that if P and Q are formulæ such that P and $P \rightarrow Q$ are both tautologies, then Q is a tautology?

There are two possible negations on Zarg:

P	$\neg^1 P$	$\neg^2 P$
1	3	3
2	2	1
3	1	1

Given that the Zarglings believe $\neg(P \wedge \neg P)$ to be a tautology, which negation do they use?

Using that negation, do they believe the following formulæ to be tautologies?

(i) $P \vee \neg P$?

(ii) $(\neg\neg P) \vee \neg P$?

(iii) $\neg\neg(P \vee \neg P)$?

(iv) $(\neg P \vee Q) \rightarrow (P \rightarrow Q)$?

3.4 Harmony and Conservativeness

3.4.1 Conservativeness

Recall the discussion on page 8 about the need for the identity rule, and the horrendous proof of K that we would otherwise have, that uses the rules for \wedge .

Notice that the only proof of Peirce's Law that we can find uses rules for a connective (\neg , or \perp if you prefer) that does not appear in the formula being proved. (Miniexercise: find a proof of Peirce's law). This rule is the rule of double negation of course. No-one is suggesting that this is illicit: it's a perfectly legal proof; however it does violate an aesthetic. (As does the proof of K on page 8 that uses the rules for \wedge instead of the identity rule). The aesthetic is *conservativeness*: every formula should have a proof that uses only rules for connectives that appear in the formula. Quite what the metaphysical force of this aesthetic is is a surprisingly deep question. It is certainly felt that one of the points in favour of constructive logic is that it respects this aesthetic.

The point of exercise 6 part 3 was to establish that there can be no proof of Peirce's law using just the rules for ' \rightarrow '.

3.4.2 Harmony

A further side to this aesthetic is the thought that, for each connective, the introduction and elimination rule should complement each other nicely. What

might this mean, exactly? Well, the introduction rule for a connective \mathcal{L} tells us how to parcel up information in a way represented by the formula $A \mathcal{L} B$, and the corresponding elimination (“use”!) rule tells us how to exploit the information wrapped up in $A \mathcal{L} B$. We certainly don’t want to set up our rules in such a way that we can somehow extract more information from $A \mathcal{L} B$ than was put into it in the first place. This would probably violate more than a mere æsthetic, in that it could result in inconsistency. But we also want to ensure that all the information that was put into it (by the introduction rules) can be extracted from it later (by the use rules). If our rules complement each other neatly in this way then something nice will happen. If we bundle information into $A \mathcal{L} B$ and then immediately extract it, we might as well have done nothing at all. Consider

$$\frac{\begin{array}{c} \mathcal{D}_1 \quad \mathcal{D}_2 \\ \vdots \quad \vdots \\ A \quad B \end{array}}{A \wedge B} \wedge\text{-int} \quad \frac{A \wedge B}{B} \wedge\text{-elim} \quad (20)$$

where we wrap up information and put it inside $A \wedge B$ and then immediately unwrap it. We can clearly simplify this to:

$$\begin{array}{c} \mathcal{D}_2 \\ \vdots \\ B \end{array} \quad (21)$$

This works because the conclusion $A \wedge B$ that we infer from the premisses A and B is the strongest possible conclusion we can infer from A and B and the premiss $A \wedge B$ from which we infer A and B is the *weakest* possible premiss which will give us both those conclusions. If we are given the \wedge -elimination rule, what must the introduction rule be? From $A \wedge B$ we can get both A and B , so we must have had to put them in in the first place when we were trying to prove $A \wedge B$ by \wedge -introduction. Similarly we can infer what the \wedge -elimination rule must be once we know the introduction rule.

The same goes for \vee and \rightarrow . Given that the way to prove $A \rightarrow B$ is to assume A and deduce B from it, the way to use $A \rightarrow B$ must be to use it in conjunction with A to deduce B ; given that the way to use $A \rightarrow B$ is to use it in conjunction with A to infer B it must be that the way to prove $A \rightarrow B$ is to assume A and deduce B from it. That is why it’s all right to simplify

$$\frac{\begin{array}{c} [A] \\ \vdots \\ B \end{array}}{A \rightarrow B} \rightarrow\text{-int} \quad \frac{A \rightarrow B \quad A}{B} \rightarrow\text{-elim} \quad (22)$$

to

$$\begin{array}{c}
A \\
\vdots \\
B
\end{array}
\tag{23}$$

And, given that the way to prove $A \vee B$ is to prove one of A and B , the way to use $A \vee B$ must be to find something that follows from A and that also—separately—follows from B ; given that the way to use $A \vee B$ is to find something that follows from A and that also—separately and independently—follows from B , it must be that the way to prove $A \vee B$ is prove one of A and B . That is why we can simplify

$$\frac{
\begin{array}{c}
[A_1]^1 \\
\vdots \\
C
\end{array}
\quad
\begin{array}{c}
[A_2]^1 \\
\vdots \\
C
\end{array}
\quad
\frac{A_1}{A_1 \vee A_2} \vee\text{-int}
}{C} \vee\text{-elim (1)}
\tag{24}$$

to

$$\begin{array}{c}
A_1 \\
\vdots \\
C
\end{array}
\tag{25}$$

DEFINITION 1

We say a pair of introduction-plus-elimination rules for a connective \mathcal{L} is **harmonious** if

- (i) $A\mathcal{L}B$ is the strongest thing we can infer from the premisses for \mathcal{L} -introduction, and
- (ii) $A\mathcal{L}B$ is the weakest thing that (with the other premisses to the \mathcal{L} -elimination rule, if any⁴) implies the conclusion of the \mathcal{L} -elimination rule.

What we have shown above is that the rules for \rightarrow , \wedge and \vee are harmonious.

The rules of classical contradiction/double negation doesn't submit itself naturally to this harmoniousness analysis. This is one respect in which constructive logic is more attractive than classical logic.

3.5 Maximal Formulæ

... [for enthusiasts only!]

The first occurrence of ' $A \rightarrow B$ ' in proof 3 page 17 above is a bit odd. It's the output of a \rightarrow -introduction and at the same time the (major) premiss of an \rightarrow -elimination. (We say such a formula is *maximal*). That feature invites the simplification that we showed there. Presumably this can always be done?

⁴Do not forget that the elimination rule for \mathcal{L} might have premisses in addition to $A\mathcal{L}B$: \rightarrow -elimination and \vee -elimination do, for example.

Display here a proof of Russell's paradox

Something very similar happens with the occurrence of ‘ $A_1 \vee A_2$ ’ in proof 5 p. 18. One might think so, but the situation is complex and not entirely satisfactory. One way into this is to try the following exercise:

EXERCISE 7

*Deduce a contradiction from the two assumptions $p \rightarrow \neg p$ and $\neg p \rightarrow p$.
(These assumptions are of course really $p \rightarrow (p \rightarrow \perp)$ and $(p \rightarrow \perp) \rightarrow p$).
Try to avoid having a maximal formula in your proof.*

4 Decorating Formulæ

4.1 The rule of \rightarrow -elimination

Consider the rule of \rightarrow -elimination

$$\frac{A \quad A \rightarrow B}{B} \rightarrow\text{-elim} \quad (26)$$

If we are to think of A and B as sets then this will say something like “If I have an A (abbreviation of “if i have a member of the set A ”) and an $A \rightarrow B$ then I have a B ”. So what might an $A \rightarrow B$ (a member of $A \rightarrow B$) be? Clearly $A \rightarrow B$ must be the set of functions that give you a member of B when fed a member of A . Thus we can decorate 1 to obtain

$$\frac{a : A \quad f : A \rightarrow B}{f(a) : B} \rightarrow\text{-elim} \quad (27)$$

which says something like: “If a is in A and f takes As to Bs then $f(a)$ is a B .”⁵ This gives us an alternative reading of the arrow: ‘ $A \rightarrow B$ ’ can now be read ambiguously as either the conditional “if A then B ” (where A and B are propositions) or as a notation for the set of all functions that take members of A and give members of B as output (where A and B are sets).

These new letters preceding the colon sign are **decorations**. The idea of Curry-Howard is that we can decorate *entire proofs*—not just individual formulæ—in a uniform and informative manner.

We will deal with \rightarrow -int later. For the moment we will look at the rules for \wedge .

4.2 Rules for \wedge

4.2.1 The rule of \wedge -introduction

Consider the rule of \wedge -introduction:

$$\frac{A \quad B}{A \wedge B} \wedge\text{-int} \quad (28)$$

⁵So why not write this as ‘ $a \in A$ ’ if it means that a is a member of A ? There are various reasons, some of them cultural, but certainly one is that here one tends to think of the denotations of the capital letters ‘ A ’ and ‘ B ’ and so on as predicates rather than sets.

If I have an A and a B then I have a ...? thing that is both A and B ? No. If I have one apple and I have one banana then I don't have a thing that is both an apple and a banana; what I do have is a sort of plural object that I suppose is a pair of an apple and a banana. (By the way I hope you are relaxed about having compound objects like this in your world. Better start your breathing exercises *now*.) The thing we want is called an **ordered pair**: $\langle a, b \rangle$ is the ordered pair of a and b . So the decorated version of 1 is

$$\frac{a : A \quad b : B}{\langle a, b \rangle : A \times B} \wedge\text{-int} \quad (29)$$

What is the ordered pair of a and b ? It might be a kind of funny plural object, like the object consisting of all the people in this room, but it's safest to be entirely *operationalist* about it: all you know about ordered pairs is that there is a way of putting them together and a way of undoing the putting-together, so you can recover the components. Asking for any further information about what they *are* is not cool: they are what they do. Be doo be doo. That's operationalism for you.

4.2.2 The rule of \wedge -elimination

If you can do them up, you can undo them: if I have a pair-of-an- A -and-a- B then I have an A and I have a B .

$$\frac{\langle a, b \rangle : A \wedge B}{a : A} \quad \frac{\langle a, b \rangle : A \wedge B}{b : B}$$

$A \times B$ is the set $\{\langle a, b \rangle : a \in A \wedge b \in B\}$ of⁶ pairs whose first components are in A and whose second components are in B . $A \times B$ is the **Cartesian product** of A and B .

(Do not forget that it's $A \times B$ not $A \cap B$ that we want. A thing in $A \cap B$ is a thing that is both an A and a B : it's not a pair of things one of which is an A and the other a B ; remember the apples and bananas above.)

4.3 Rules for \vee

To make sense of the rules for \vee we need a different gadget.

$$\frac{A}{A \vee B} \quad \frac{B}{A \vee B}$$

If I have a thing that is an A , then I certainly have a thing that is either an A or a B —namely the thing I started with. And in fact I know which of A and B it is—it's an A . Similarly If I have a thing that is a B , then I certainly have a thing that is either an A or a B —namely the thing I started with. And in fact I know which of A and B it is—it's a B .

⁶If you are less than 100% happy about this curly bracket notation have a look at the discrete mathematics material on my home page.

Just as we have cartesian product to correspond with \wedge , we have **disjoint union** to correspond with \vee . This is not like the ordinary union you may remember from school maths. You can't tell by looking at a member of $A \cup B$ whether it got in there by being a member of A or by being a member of B . After all, if $A \cup B$ is $\{1, 2, 3\}$ it could have been that A was $\{1, 2\}$ and B was $\{2, 3\}$, or the other way round. Or it might have been that A was $\{2\}$ and B was $\{1, 3\}$. Or they could both have been $\{1, 2, 3\}$! We can't tell. However, with disjoint union you *can* tell.

To make sense of disjoint union we need to rekindle the idea of a *copy*. The disjoint union $A \sqcup B$ of A and B is obtained by making copies of everything in A and marking them with wee flecks of *pink* paint and making copies of everything in B and marking them with wee flecks of *blue* paint, then putting them all in a set. We can put this slightly more formally, now that we have the concept of an ordered pair: $A \sqcup B$ is

$$(A \times \{\mathbf{pink}\}) \cup (B \times \{\mathbf{blue}\}),$$

where **pink** and **blue** are two arbitrary labels.

\vee -introduction now says:

$$\frac{a : A}{\langle a, \mathbf{pink} \rangle : A \sqcup B} \qquad \frac{b : B}{\langle b, \mathbf{blue} \rangle : A \sqcup B}$$

\vee -elimination is an action-at-a-distance rule (like \rightarrow -introduction) and to treat it properly we need to think about:

4.4 Propagating Decorations

The first rule of decorating is to decorate each assumption with a variable, a thing with no syntactic structure: a single symbol.⁷ This is an easy thing to remember, and it helps guide the beginner in understanding the rest of the gadgetry. Pin it to the wall:

Decorate each assumption with a variable!

How are you to decorate formulæ that are not assumptions? You can work that out by checking what rules they are the outputs of. We will discover through some examples what extra gadgetry we need to sensibly extend decorations beyond assumptions to the rest of a proof.

⁷You may be wondering what you should do if you want to introduce the same assumption twice. Do you use the same variable? The answer is that if you want to discharge two assumptions with a single application of a rule then the two assumptions must be decorated with the same variable.

4.5 Rules for \wedge

4.5.1 The rule of \wedge -elimination

$$\frac{A \wedge B}{B} \wedge\text{-elim} \quad (30)$$

We decorate the premiss with a variable:

$$\frac{x : A \wedge B}{B} \wedge\text{-elim} \quad (31)$$

... but how do we decorate the conclusion? Well, x must be an ordered pair of something in A with something in B . What we want is the second component of x , which will be a thing in B as desired. So we need a gadget that when we give it an ordered pair, gives us its second component. Let's write this '**snd**'.

$$\frac{x : A \wedge B}{\mathbf{snd}(x) : B}$$

By the same token we will need a gadget '**fst**' which gives the first component of an ordered pair so we can decorate⁸

$$\frac{A \wedge B}{A} \wedge\text{-elim} \quad (32)$$

to obtain

$$\frac{x : A \wedge B}{\mathbf{fst}(x) : A}$$

4.5.2 The rule of \wedge -introduction

Actually we can put these proofs together and whack an \wedge -introduction on the end:

$$\frac{\frac{x : A \wedge B}{\mathbf{snd}(x) : B} \quad \frac{x : A \wedge B}{\mathbf{fst}(x) : A}}{\langle \mathbf{snd}(x), \mathbf{fst}(x) \rangle : B \wedge A}$$

4.6 Rules for \rightarrow

The rule of \rightarrow -introduction

Here is a simple proof using \rightarrow -introduction.

$$\frac{\frac{[A \rightarrow B]^1 \quad A}{B} \rightarrow\text{-elim}}{(A \rightarrow B) \rightarrow B} \rightarrow\text{-int (1)} \quad (33)$$

⁸Agreed: it's shorter to write ' x_1 ' and ' x_2 ' than it is to write ' $\mathbf{fst}(x)$ ' and ' $\mathbf{snd}(x)$ ' but this would prevent us using ' x_1 ' and ' x_2 ' as variables and in any case I prefer to make explicit the fact that there is a function that extracts components from ordered pairs, rather than having it hidden away in the notation.

We decorate the two premisses with single letters (variables): say we use ‘ f ’ to decorate ‘ $A \rightarrow B$ ’, and ‘ x ’ to decorate ‘ A ’. (This is sensible. ‘ f ’ is a letter traditionally used to point to functions, and clearly anything in $A \rightarrow B$ is going to be a function.) How are we going to decorate ‘ B ’? Well, if x is in A and f is a function that takes things in A and gives things in B then the obvious thing in B that we get is going to be denoted by the decoration ‘ $f(x)$ ’:

$$\frac{\frac{f : [A \rightarrow B]^1 \quad x : A}{f(x) : B}}{??? : (A \rightarrow B) \rightarrow B}$$

So far so good. But how are we to decorate ‘ $(A \rightarrow B) \rightarrow B$ ’? What can the ‘???’ stand for? It must be a notation for a thing (a function) in $(A \rightarrow B) \rightarrow B$; that is to say, a notation for something that takes a thing in $A \rightarrow B$ and returns a thing in B . What might this function be? It is given f and gives back $f(x)$. So we need a notation for a function that, on being given f , returns $f(x)$. (Remember, we decorate all assumptions with variables, and we reach for this notation when we are discharging an assumption so it will always be a variable). We write this

$$\lambda f.f(x)$$

This notation points to the function which, when given f , returns $f(x)$. In general we need a notation for a function that, on being given x , gives back some possibly complex term t . We will write:

$$\lambda x.t$$

for this. Thus we have

$$\frac{\frac{f : [A \rightarrow B]^1 \quad x : A}{f(x) : B} \rightarrow\text{-elim}}{\lambda f.f(x) : (A \rightarrow B) \rightarrow B} \rightarrow\text{-int (1)} \quad (34)$$

Thus, in general, an application of \rightarrow -introduction will gobble up the proof

$$\frac{x : A}{\vdots} \overline{t : B}$$

and emit the proof

$$\frac{\frac{x : A}{\vdots} \overline{t : B}}{\lambda x.t : A \rightarrow B}$$

This notation— $\lambda x.t$ —for a function that accepts x and returns t is incredibly simple and useful. Almost the only other thing you need to know about it is that if we apply the function $\lambda x.t$ to an input y the output must be the result of substituting ‘ y ’ for all the occurrences of ‘ x ’ in t . In the literature this result is notated in several ways, for example $[y/x]t$ or $t[y/x]$.

4.7 Rules for \vee

We’ve discussed \vee -introduction but not \vee -elimination. It’s very tricky and—at this stage at least—we don’t really need to. It’s something to come back to—perhaps!

EXERCISE 8 *Go back and look at the proofs that you wrote up in answer to exercise 1, and decorate those that do not use ‘ \vee ’.*

4.8 Remaining Rules

4.8.1 Identity Rule

Here is a very simple application of the identity rule.

$$\frac{\frac{\frac{A \quad B}{B}}{B \rightarrow A}}{A \rightarrow (B \rightarrow A)}$$

Can you think of a function from A to the set of all functions from B to A ? If I give you a member a of A , what function from B to A does it suggest to you? Obviously the function that, when given b in B , gives you a .

This gives us the decoration

$$\frac{\frac{\frac{a : A \quad b : B}{b : B}}{\lambda b.a : B \rightarrow A}}{\lambda a.(\lambda b.a) : A \rightarrow (B \rightarrow A)}$$

The function $\lambda a.\lambda b.a$ has a name: K for Konstant.

4.8.2 The *ex falso*

The *ex falso sequitur quodlibet* speaks of the propositional constant \perp . To correspond to this constant *proposition* we are going to need a constant *set*. The obvious candidate for a set corresponding to \perp is the empty set. Now $\perp \rightarrow A$ is a propositional tautology. Can we find a function from the empty set to A which we can specify without knowing anything about A ? Yes: the empty function! (You might want to check very carefully that the empty function ticks all the right boxes: is it really the case that whenever we give the empty function a member of the empty set to contemplate it gives us back one and only one answer? Well yes! It has never been known to fail to do this!! That takes care of $\perp \rightarrow A$, the *ex falso*.)

4.8.3 Double Negation

What are we to make of $A \rightarrow \perp$? Clearly there can be no function from A to the empty set unless A is empty itself. What happens to double negation under this analysis?

$$((A \rightarrow \perp) \rightarrow \perp) \rightarrow A$$

- If A is empty then $A \rightarrow \perp$ is the singleton of the empty function and is not empty. So $(A \rightarrow \perp) \rightarrow \perp$ is the set of functions from a nonempty set to the empty set and is therefore the empty set, so $((A \rightarrow \perp) \rightarrow \perp) \rightarrow A$ is the set of functions from the empty set to the empty set and is therefore the singleton of the empty function, so it is at any rate nonempty.
- However if A is nonempty then $A \rightarrow \perp$ is empty. So $(A \rightarrow \perp) \rightarrow \perp$ is the set of functions from the empty set to the empty set and is nonempty—being the singleton of the empty function—so $((A \rightarrow \perp) \rightarrow \perp) \rightarrow A$ is the set of functions from the singleton of the empty function to a nonempty set and is sort-of isomorphic to A . empty.

So $((A \rightarrow \perp) \rightarrow \perp) \rightarrow A$ is not reliably inhabited, in the sense that it's inhabited but not uniformly. This is in contrast to all the other truth-table tautologies we have considered. Every other truth-table tautology that we have looked at has a lambda term corresponding to it.

4.9 Exercises

In the following exercises you will be invited to find λ terms to correspond to particular wffs—in the way that the λ term $\lambda a.\lambda b.a$ (aka ' K ') corresponds to $A \rightarrow (B \rightarrow A)$ (also aka ' K '!) You will discover very rapidly that the way to find a λ -term for a formula is to find a proof of that formula: λ -terms encode proofs!

EXERCISE 9 Find λ -terms for

1. $(A \wedge B) \rightarrow A$;
2. $((A \rightarrow B) \wedge (C \rightarrow D)) \rightarrow ((A \wedge C) \rightarrow (B \wedge D))$;
3. $(A \rightarrow B) \rightarrow ((B \rightarrow C) \rightarrow (A \rightarrow C))$;
4. $((A \rightarrow B) \rightarrow A) \rightarrow ((A \rightarrow B) \rightarrow B)$;
5. $(A \rightarrow (B \rightarrow C)) \rightarrow (B \rightarrow (A \rightarrow C))$;
6. $(A \rightarrow (B \rightarrow C)) \rightarrow ((B \wedge A) \rightarrow C)$;
7. $((B \wedge A) \rightarrow C) \rightarrow (A \rightarrow (B \rightarrow C))$;

Finding λ -terms in exercise 9 involves of course first finding natural deduction proofs of the formulæ concerned. A provable formula will always have more than one proof. (It won't always have more than one *sensible* proof!) For example the tautology $(A \rightarrow A) \rightarrow (A \rightarrow A)$ has these proofs (among others)

$$\frac{\frac{[A \rightarrow A]^1}{A \rightarrow A} \text{ identity rule}}{(A \rightarrow A) \rightarrow (A \rightarrow A)} \rightarrow\text{-int (1)} \quad (35)$$

$$\frac{\frac{\frac{[A]^1}{A} \rightarrow\text{-int (1)} \quad \frac{[A \rightarrow A]^2}{A \rightarrow A} \rightarrow\text{-elim}}{A \rightarrow A} \rightarrow\text{-int (2)}}{(A \rightarrow A) \rightarrow (A \rightarrow A)} \rightarrow\text{-int (2)} \quad (36)$$

$$\frac{\frac{\frac{[A]^1}{A} \rightarrow\text{-elim} \quad \frac{[A \rightarrow A]^2}{A \rightarrow A} \rightarrow\text{-elim}}{A \rightarrow A} \rightarrow\text{-int (1)}}{(A \rightarrow A) \rightarrow (A \rightarrow A)} \rightarrow\text{-int (2)} \quad (37)$$

$$\frac{\frac{\frac{\frac{[A]^1}{A} \rightarrow\text{-elim} \quad \frac{[A \rightarrow A]^2}{A \rightarrow A} \rightarrow\text{-elim}}{A} \rightarrow\text{-elim} \quad \frac{[A \rightarrow A]^2}{A \rightarrow A} \rightarrow\text{-elim}}{A \rightarrow A} \rightarrow\text{-int (1)}}{(A \rightarrow A) \rightarrow (A \rightarrow A)} \rightarrow\text{-int (2)} \quad (38)$$

$$\frac{\frac{\frac{\frac{\frac{[A]^1}{A} \rightarrow\text{-elim} \quad \frac{[A \rightarrow A]^2}{A \rightarrow A} \rightarrow\text{-elim}}{A} \rightarrow\text{-elim} \quad \frac{[A \rightarrow A]^2}{A \rightarrow A} \rightarrow\text{-elim}}{A} \rightarrow\text{-elim} \quad \frac{[A \rightarrow A]^2}{A \rightarrow A} \rightarrow\text{-elim}}{A \rightarrow A} \rightarrow\text{-int (1)}}{(A \rightarrow A) \rightarrow (A \rightarrow A)} \rightarrow\text{-int (2)} \quad (39)$$

EXERCISE 10 *Decorate all these proofs with λ -terms. If you feel lost, you might like to look at the footnote⁹ for a HINT.*

On successful completion of exercise 10 you will be in that happy frame of mind known to people who have just discovered **Church numerals**.

5 Half of a Completeness theorem

It should be evident from the preceding discussion that

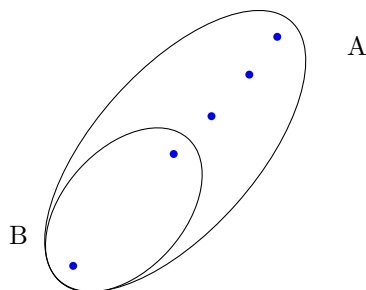
THEOREM 2 *Every constructively correct proposition formula has a lambda-term corresponding to it.*

This will set us up for Scott's [3] cute proof that Peirce's Law is not constructively correct, which we now exhibit.

How do we prove that there is no lambda term for Peirce's law? Here we trade on the fact that a lambda term does not know what sets it is acting on: it is uniformly definable. Now uniform definability is clearly going to have something to do with invariance under permutations acting inside the sets we are considering ... but what exactly do we mean by invariance? We need to get straight what it is in general for a permutation of A to act on some complex construct involving A and other things, and this we do by recursion on the structure of the complex construct. For $\pi \in \text{Symm}(A)$, π acts on A as itself, and on any other atom it acts as the identity. How does π act on $X \rightarrow Y$? Clearly it must send $f \in X \rightarrow Y$ to $\{\langle \pi(x), \pi(y) \rangle : \langle x, y \rangle \in f\}$ where $\pi(x)$ is what the induced action of π does to x , told us by the recursion. 'Invariant' means *fixed by this action*. Observe that any λ -term is invariant in this sense.

Now for Peirce's Law: $((A \rightarrow B) \rightarrow A) \rightarrow A$.

Suppose *per impossibile* that there were a uniformly definable (and, accordingly, invariant) function P for Peirce's law. The idea is to cook up sets A and B such that the existence of such a global P led to Bad Things. Let B be a two-membered set, and let A be obtained from B by adding three new elements.



⁹Notice that in each proof of these proofs all the occurrences of ' $A \rightarrow A$ ' are cancelled simultaneously.. Look at the footnote on page 21.

The pigeonhole principle now tells us that, for any function $f : A \rightarrow B$, there is a unique $b \in B$ such that $|f^{-1}(\{b\}) \cap (A \setminus B)| \geq 2$. (A unique member of B that is hit by at least two members of $A \setminus B$). This defines a function from $A \rightarrow B$ to B , which is to say (since $B \subseteq A$) a function from $A \rightarrow B$ to A . Let us call this function F . F exists only because of the special circumstances we have here contrived, and it's not the sort of thing that P would normally expect to have to deal with, so we should expect P to experience difficulty with it ... which of course is what we want! At all events we must have $P(F) \in A$. In fact we can show that $P(F) \in B$. For suppose *per impossibile* that $P(F) = a$, for some $a \in A \setminus B$; with a view to obtaining a contradiction let π be a 3-cycle moving everything in $A \setminus B$ while fixing everything in B . We have

$$\begin{aligned} P(F) &= a, & \text{so} \\ \pi(P(F)) &= \pi(a) & \text{which is to say} \\ \pi(P)(\pi(F)) &= \pi(a) & \text{but } P \text{ is fixed, whence} \\ P(\pi(F)) &= \pi(a). \end{aligned}$$

To obtain the desired contradiction we have to show that $\pi(F) = F$. We have $\pi(F) = \pi^{-1} \cdot F \cdot \pi$ by the recursion. So, for all $f : A \rightarrow B$, we obtain

$$\pi(F)(f) = (\pi^{-1} \cdot F \cdot \pi)(f) = (\pi^{-1} \cdot F)(\pi(f)) = \pi^{-1}(F(\pi(f))) \stackrel{(1)}{=} \pi^{-1}(F(f)) \stackrel{(2)}{=} F(f).$$

The first three equations hold by unravelling the recursion.

(1) holds as follows. $\pi(f) = \pi^{-1} \cdot f \cdot \pi$ and this is the same as $f \cdot \pi$ since π fixes both things in the range of f . Similarly $F(f \cdot \pi)$ must be the same as $F(f)$, since F looks only at the *range* of its argument not its *domain*, and everything in the range of F is fixed.

(2) holds because the output of F is in B , and π fixes both things in B .

That is to say, for all $f : A \rightarrow B$, $\pi(F)(f) = F(f)$; whence $\pi(F) = F$, giving

$$a = P(F) = P(\pi(F)) = \pi(P)(\pi(F)) = \pi(a) \neq a,$$

and the contradiction tells us that $P(F)$ was not in $A \setminus B$; it must have been in B as claimed.

So $P(F) \in B$. But this now means that we have a uniform way of finding a distinguished element in any two-membered set B . Simply add three new elements to B to obtain A , apply P to F to obtain a member of B ; then throw away the new elements. In fact we have inferred the axiom of choice for sets of pairs! This is clearly absurd. The axiom of choice for pairs may be true, but it cannot be inferred from first principles. ■

5.1 More on the λ -calculus

A few points to make here. The syntax. Also the fact that a λ -decoration for a formula depends on the PROOF not on the formula.

6 Making Classical sense of Constructive Logic: Possible World Semantics

DEFINITION 2 A possible world model \mathfrak{M} has several components:

- There is a collection of **worlds** with a binary relation \leq between them; If $W_1 \leq W_2$ we say W_1 can **see** W_2 .
- There is also a binary relation between worlds and atomic formulæ, written $W \models \phi$, subject to the stipulation that $W \models \perp$ never holds¹⁰;
- There is a **designated** (or ‘actual’ or ‘root’) world W_0^M .

We may stipulate **persistence** of \models , namely that if ϕ is atomic, $W \models \phi$ and $W \leq W'$, then $W' \models \phi$. Persistence is not universally assumed in this style of semantics but we will assume it here. Later we will extend the concept of persistence to complex formulæ.

This is such an important idea we’d better define it.

DEFINITION 3 We will say ϕ is **persistent** if whenever $W \models \phi$ then $(\forall W' \geq W)(W' \models \phi)$.

Next \models is extended to a relation between worlds and arbitrary formulæ by recursion:

1. $W \models A \wedge B$ iff $W \models A$ and $W \models B$;
2. $W \models A \vee B$ iff $W \models A$ or $W \models B$;
3. $W \models A \rightarrow B$ iff every $W' \geq W$ that $\models A$ also $\models B$;
4. $W \models \neg A$ iff there is no $W' \geq W$ such that $W' \models A$;
5. $W \models (\exists x)A(x)$ iff there is an x in W such that $W \models A(x)$;
6. $W \models (\forall x)A(x)$ iff for all $W' \geq W$ and all x in W' , $W' \models A(x)$.

Then we say

$$\mathfrak{M} \models A \text{ if } W_0^M \models A.$$

4 is a special case of 3: $\neg A$ is just $A \rightarrow \perp$, and no world believes \perp .

The relation which we here write with a ‘ \leq ’ is the **accessibility** relation between worlds. We assume for the moment that it is **transitive** and **reflexive**. Just for the record we note that ‘ $A \leq B$ ’ will sometimes be written as ‘ $B \geq A$ ’.

A model \mathfrak{M} believes ϕ (or not, as the case may be) iff the designated world W_0 of \mathfrak{M} believes ϕ (or not). [This was stated above]. When cooking up W_0 to believe ϕ (or not) the recursions require us only to look at worlds $\geq W_0$. This has the effect that the designated world of \mathfrak{M} is \leq all other worlds in \mathfrak{M} .

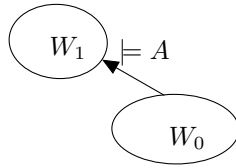
¹⁰Strictly speaking we do not stipulate this feature (we can’t). It is our settled and unmoveable intention; we secure it by designing the recursion in such a way that no world ever believes \perp .

6.1 Some Worked Examples

Challenge 6.1.1: Find a countermodel for $A \vee \neg A$

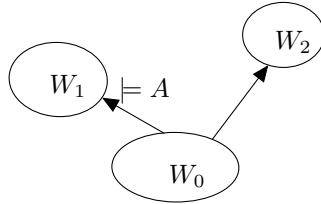
The first thing to notice is that this formula is a classical (truth-table) tautology. This means that any countermodel for it must contain more than one world.

The root world W_0 must not believe A and it must not believe $\neg A$. If it cannot see a world that believes A then it will believe $\neg A$, so we will have to arrange for it to see a world that believes A . One will do, so let there be W_1 such that $W_1 \models A$.



Challenge 6.1.2: Find a countermodel for $\neg\neg A \vee \neg A$

The root world W_0 must not believe $\neg\neg A$ and it must not believe $\neg A$. If it cannot see a world that believes A then it will believe $\neg A$, so we will have to arrange for it to see a world that believes A . One will do, so let there be W_1 such that $W_1 \models A$. It must also not believe $\neg\neg A$. It will believe $\neg\neg A$ as long as every world it can see can see a world that believes A . So there had better be a world it can see that cannot see any world that believes A . This cannot be W_1 because $W_1 \models A$, and it cannot be W_0 itself, since $W_0 \leq W_1$. So there must be a third world W_2 which does not believe A .



Challenge 6.1.3: Find a model that satisfies $(A \rightarrow B) \rightarrow B$ but does not satisfy $A \vee B$

The root world W_0 must not believe $A \vee B$, so it must believe neither A nor B . However it has to believe $(A \rightarrow B) \rightarrow B$, so every world that it can see that believes $A \rightarrow B$ must also believe B . One of the worlds it can see is itself, and it doesn't believe B , so it had better not believe $A \rightarrow B$. That means it has to

see a world that believes A but does not believe B . That must be a different world (call it W_1). So we can recycle the model from Challenge 6.1.2.

Challenge 6.1.4: Find a countermodel for $((A \rightarrow B) \rightarrow A) \rightarrow A$

You may recall from exercise 6 on page 15 that on Planet Zarg this formula is believed to be false¹¹. There we had a three-valued truth table. Here we are going to use possible worlds. As before, with $A \vee \neg A$, the formula is a truth-table tautology and so we will need more than one world.

Recall that a model \mathfrak{M} satisfies a formula ψ iff the root world of \mathfrak{M} believes ψ : that is what it is for a model to satisfy ψ . Definition!

As usual I shall write ' W_0 ' for the root world; and will also write ' $W \models \psi$ ' to mean that the world W believes ψ ; and $\neg[W \models \psi]$ to mean that W does not believe ψ .

So we know that $\neg[W_0 \models ((A \rightarrow B) \rightarrow A) \rightarrow A]$.

Now the definition of $W \models X \rightarrow Y$ is (by definition 2)

$$(\forall W' \geq W)[W' \models X \rightarrow W' \models Y] \quad (40)$$

So since

$$\neg[W_0 \models ((A \rightarrow B) \rightarrow A) \rightarrow A]$$

we know that there must be a $W' \geq W_0$ which believes $(A \rightarrow B) \rightarrow A$ but does not believe A . (In symbols: $(\exists W' \geq W_0)[W' \models ((A \rightarrow B) \rightarrow A) \ \& \ \neg(W' \models A)]$.) Remember too that in the metalanguage we are allowed to exploit the equivalence of $\neg\forall$ with $\exists\neg$. Now every world can see itself, so might this W' happen to be W_0 itself? No harm in trying...

So, on the assumption that this W' that we need is W_0 itself, we have:

1. $W_0 \models (A \rightarrow B) \rightarrow A$; and
2. $\neg[W_0 \models A]$.

This is quite informative. Fact (1) tells us that every $W' \geq W_0$ that believes $A \rightarrow B$ also believes A . Now one of those W' is W_0 itself (Every world can see itself: remember that \geq is reflexive). Put this together with fact (2) which says that W_0 does not believe A , and we know at once that W_0 cannot believe $A \rightarrow B$. How can we arrange for W_0 not to believe $A \rightarrow B$? Recall the definition 2 above of $W \models A \rightarrow B$. We have to ensure that there is a $W' \geq W_0$ that believes A but does not believe B . This W' cannot be W_0 because W_0 does not believe A . So there must be a *new* world (we always knew there would be!) visible from W_0 that believes A but does not believe B . (In symbols this is $(\exists W' \geq W_0)[W' \models A \ \& \ \neg(W' \models B)]$.)

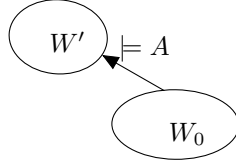
So our countermodel contains two worlds W_0 and W' , with $W_0 \leq W'$. $W' \models A$ but $\neg[W_0 \models A]$, and $\neg[W' \models B]$.

¹¹I have just corrected this from "You may recall from exercise 6 on page 15 that this formula is believed to be false on Planet Zarg"—which is not the same!

Let's check that this really works. We want

$$\neg[W_0 \models ((A \rightarrow B) \rightarrow A) \rightarrow A]$$

We have to ensure that at least one of the worlds beyond W_0 satisfies $(A \rightarrow B) \rightarrow A$ but does not satisfy A . W_0 doesn't satisfy A so it will suffice to check that it does satisfy $(A \rightarrow B) \rightarrow A$. So we have to check (i) that if W_0 satisfies $(A \rightarrow B)$ then it also satisfies A and we have to check (ii) that if W' satisfies $(A \rightarrow B)$ then it also satisfies A . W' satisfies A so (ii) is taken care of. For (i) we have to check that W_0 does not satisfy $A \rightarrow B$. For this we need a world $\geq W_0$ that believes A but does not believe B and W' is such a world. This is actually the same model as we used in Challenge 6.1.1.



Challenge 6.1.5: Find a model that satisfies $(A \rightarrow B) \rightarrow B$ but does not satisfy $(B \rightarrow A) \rightarrow A$

We must have

$$W_0 \models (A \rightarrow B) \rightarrow B \tag{1}$$

and

$$\neg[W_0 \models (B \rightarrow A) \rightarrow A] \tag{2}$$

By (2) we must have $W_1 \geq W_0$ such that

$$W_1 \models B \rightarrow A \tag{3}$$

but

$$\neg[W_1 \models A] \tag{4}$$

We can now show

$$\neg[W_1 \models A \rightarrow B] \tag{5}$$

If (5) were false then $W_1 \models B$ would follow from (1) and then $W_1 \models A$ would follow from (3). (5) now tells us that there is $W_2 \geq W_1$ such that

$$W_2 \models A \tag{6}$$

and

$$\neg[W_2 \models B] \tag{7}$$

From (7) and persistence we infer

$$\neg[W_1 \models B] \quad (8)$$

and

$$\neg[W_0 \models B] \quad (9)$$

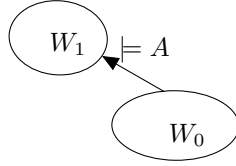
Also, (4) tells us

$$\neg[W_0 \models A]. \quad (10)$$

So far we have nothing to tell us that $W_0 \neq W_1$. So perhaps we can get away with having only two worlds W_0 and W_1 with $W_1 \models A$ and W_0 believing nothing.

W_0 believes $(A \rightarrow B) \rightarrow B$ vacuously: it cannot see a world that believes $A \rightarrow B$ so—vacuously—every world that it can see that believes $A \rightarrow B$ also believes B . However, every world that it can see believes $(B \rightarrow A)$ but it does not believe A itself. That is to say, it can see a world that does not believe A so it can see a world that believes $B \rightarrow A$ but does not believe A so it does not believe $(B \rightarrow A) \rightarrow A$.

Thus we have the by-now familiar picture:



6.2 Exercises

EXERCISE 11 *Return to Planet Zarg!*¹²

The truth-tables for Zarg-style connectives are on p 15.

1. Write out a truth-table for $((p \rightarrow q) \rightarrow q) \rightarrow (p \vee q)$.
(Before you start, ask yourself how many rows this truth-table will have).
2. Identify a row in which the formula does not take truth-value 1.

It turns out that Zarg-truth-value 1 means “true in W_0 and in W_2 ”; Zarg-truth-value 2 means “true in W_1 ”, and Zarg-truth-value 3 means “true in neither”—where W_0 and W_1 are the two worlds in the countermodel we found for Peirce’s law. (Challenge 6.1.5) We will develop this thought in section 7.

EXERCISE 12

¹²Beware: Zarg is a planet not a possible world!

1. Find a model that satisfies $p \rightarrow q$ but not $\neg p \vee q$.
2. Find a model that doesn't satisfy $p \vee \neg p$. How many worlds has it got? Does it satisfy $\neg p \vee \neg \neg p$? If it does, find one that doesn't satisfy $\neg p \vee \neg \neg p$.
3. Find a model that satisfies $(p \rightarrow q) \rightarrow q$ but does not satisfy $p \vee q$.
4. Find a model that satisfies $A \rightarrow (B \vee C)$ but doesn't satisfy $(A \rightarrow B) \vee (A \rightarrow C)$ ¹³.
5. Find a model that satisfies $(A \rightarrow B) \wedge (C \rightarrow D)$ but doesn't satisfy $(A \rightarrow D) \vee (C \rightarrow B)$ ¹⁴.
6. Find a model that satisfies $\neg(A \wedge B)$ but does not satisfy $\neg A \vee \neg B$.
7. Find a model that satisfies $(A \rightarrow B) \rightarrow B$ and $(B \rightarrow A) \rightarrow A$ but does not satisfy $A \vee B$.
8. Check that in the three-valued Zarg world $((A \rightarrow B) \rightarrow B) \wedge ((B \rightarrow A) \rightarrow A)$ always has the same truth-table as $A \vee B$.

EXERCISE 13 Find countermodels for:

1. $(A \rightarrow B) \vee (B \rightarrow A)$;
2. $(\exists x)(\forall y)(F(y) \rightarrow F(x))$
3. $\neg(\forall x)\neg(\forall y)(F(y) \rightarrow F(x))$
4. $(\exists x)(\forall y)\neg(F(y) \wedge \neg F(x))$

EXERCISE 14 Consider the model in which there are two worlds, W_0 and W_1 , with $W_0 \leq W_1$. W_0 contains various things, all of which it believes to be frogs; W_1 contains everything in W_0 plus various additional things, none of which it believes to be frogs. Which of the following assertions does this model believe?

1. $(\forall x)(F(x))$;
2. $(\exists x)(\neg F(x))$;
3. $\neg \exists x \neg F(x)$;
4. $\neg \neg(\exists x)(\neg F(x))$.

EXERCISE 15 Hard!! Find a countermodel for $\neg \neg(\neg(\forall x)(F(x)) \rightarrow (\exists x)(\neg F(x)))$. You may need the hint that in possible world semantics there is no overriding assumption that there are only finitely many worlds.)

¹³You saw a fallacious attempt to prove this inference on page 11.

¹⁴This is a celebrated illustration of how \rightarrow does not capture 'if-then'. Match the antecedent to "If Jones is in Aberdeen then Jones is in Scotland and if Jones is in Delhi then Jones is in India".

6.3 Valuations and a Decision Method

The reader who has become confident in the practice of finding possible world countermodels might wonder if there is a systematic way of finding possible world models that refute complex formulæ that lack double-negation-free proofs. There is, and the following considerations point the way to describing one.

In any possible world model, each possible world represents a decision about which primitive propositions are true. That is to say, a possible world is nothing more than a partial valuation on the primitive propositions under consideration. If we think of a valuation as a set of ordered pairs $\langle p, t \rangle$, where t is 0 or 1 and p is a primitive proposition (a letter), then the accessibility relation on the set of possible worlds is the subset relation among the corresponding partial valuations.

This puts a bound on the number of possible world models we can have, or need to consider. If we have n primitive propositions then we have 2^n valuations and 3^n partial valuations, and therefore 2^{3^n} sets of partial valuations.

7 The Relation between Possible World Models and Heyting Algebras

Heyting algebras stand to constructive logic somewhat the way Boolean algebras stand to classical logic. A propositional formula is classically a tautology iff it is accepted by every Boolean algebra. (see my Part II notes on www.dpmms.cam.ac.uk/~tf/partiilectures29016.pdf). Similarly a propositional formula is constructively correct iff it is accepted by every Heyting Algebra. (See PTJ's example sheet from last year)

Persistence enables us to connect possible world semantics with many-valued logic. Each truth-value corresponds to an upper set in the quasiorder, in the sense that $[[\phi]] = \{W : W \models \phi\}$. Upper sets in quasiorders form a Heyting Algebra, so that the truth-values $[[A]]$ are members of a Heyting algebra, and $[[A]] = \top$ iff $\mathfrak{M} \models A$.

For the other direction any Heyting valuation can be turned into a possible-world model by appealing to the representation theorem for distributive posets: every Heyting algebra is isomorphic to a subset of a power set algebra. The truth-value $[[A]]$ then corresponds to a set \mathcal{A} , which we can take to be a set of worlds. We then rule that $W \models A$ iff $W \in \mathcal{A}$.

We want to know that $[[A \vee B]] = [[A]] \vee [[B]]$ and so on for the other connectives. $[[A \rightarrow B]]$ is the hard case.

$$\begin{aligned}
 (1) \quad & [[A \rightarrow B]] = \{W : W \models A \rightarrow B\} \\
 (2) \quad & = \{W : (\forall W' \geq W)((W' \models A) \rightarrow (W' \models B))\} \\
 (3) \quad & = \{W : (W \models A) \rightarrow (W \models B)\} \\
 (4) \quad & = \{W : (W \not\models A) \vee (W \models B)\} \\
 (5) \quad & = \{W : W \not\models A\} \cup \{W : W \models B\} \\
 (6) \quad & = \{W : W \not\models A\} \cup [[B]]
 \end{aligned}$$

$$\begin{aligned}
(7) \quad &= \{W : W \models A\} \rightarrow \llbracket B \rrbracket \\
(8) \quad &= \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket
\end{aligned}$$

Persistence tells us that if $W \models \phi$ then $W' \models \phi$ for all $W' \geq W$. We achieved this by stipulation, and it echoes our original motivation. Even though $\neg\neg(\exists x)(x \text{ is a Magic Sword})$ is emphatically not to be the same as $(\exists x)(x \text{ is a Magic Sword})$, it certainly is inconsistent with $\neg(\exists x)(x \text{ is a Magic Sword})$ and so it can be taken as *prophecy* that a Magic Sword will turn up one day. The idea of worlds as states of knowledge where we learn more as time elapses sits very well with this. By interpreting $\neg\neg(\exists x)(x \text{ is a Magic Sword})$ as “Every future can see a future that contains a Magic Sword” possible world semantics captures the a way in which $\neg\neg(\exists x)(x \text{ is a Magic Sword})$ can be incompatible with the nonexistence of Magic Swords while nevertheless not telling us how to find a Magic Sword.

THEOREM 3 *All formulæ are persistent¹⁵.*

Proof:

We have taken care of the atomic case. Now for the induction on quantifiers and connectives.

- \neg $W \models \neg\phi$ iff $(\forall W' \geq W)\neg(W' \models \phi)$. Therefore if $W \models \neg\phi$ then $(\forall W' \geq W)\neg(W' \models \phi)$, and, by transitivity of \geq , $(\forall W'' \geq W')\neg(W'' \models \phi)$. But then $W' \models \neg\phi$. But W'' was arbitrary.
- \vee Suppose ϕ and ψ are both persistent. If $W \models \psi \vee \phi$ then either $W \models \phi$ or $W \models \psi$. By persistence of ϕ and ψ , every world \geq satisfies ϕ (or ψ , whichever it was) and will therefore satisfy $\psi \vee \phi$.
- \wedge Suppose ϕ and ψ are both persistent. If $W \models \psi \wedge \phi$ then $W \models \phi$ and $W \models \psi$. By persistence of ϕ and ψ , every world \geq satisfies ϕ and every world \geq satisfies ψ and will therefore satisfy $\psi \wedge \phi$.
- \exists Suppose $W \models (\exists x)\phi(x)$, and ϕ is persistent. Then there is an x in W which W believes to be ϕ . Suppose $W' \geq W$. As long as x is in W' then $W' \models \phi(x)$ by persistence of ϕ and so $W' \models (\exists x)(\phi(x))$.
- \forall Suppose $W \models (\forall x)\phi(x)$, and ϕ is persistent. That is to say, for all $W' \geq W$ and all x , $W' \models \phi(x)$. But if this holds for all $W' \geq W$, then it certainly holds for all $W' \geq$ any given $W'' \geq W$. So $W'' \models (\forall x)(\phi(x))$.
- \rightarrow Finally suppose $W \models (A \rightarrow B)$, and $W' \geq W$. We want $W' \models (A \rightarrow B)$. That is to say we want every world beyond W' that believes A to also believe B . We do know that every world beyond W that believes A also believes B , and every world beyond W' is a world beyond W , and therefore believes B if it believes A . So W' believes $A \rightarrow B$.

¹⁵This holds when [as here] we are using possible worlds to give semantics for constructive logic, and it follows from persistence for atomics. If, as more generally, we do *not* assume persistence for atomics, then of course persistence for complex formulæ does not follow.

That takes care of all the cases in the induction. ■

[I didn't prove this in lectures, but left it to you to prove. Doesn't mean it isn't examinable! We can go over it in an examples class]

It's worth noting that we have made heavy use of the assumption that \leq is transitive. There are other more general settings where this assumption is not made, but (since our mission is constructive logic, where the accessibility relation is transitive) we will not consider them here.

Now we can use persistence to show that this possible world semantics always makes $A \rightarrow \neg\neg A$ come out true. Suppose $W \models A$. Then every world $\geq W$ also believes A . No world can believe A and $\neg A$ at the same time. ($W \models \neg A$ only if none of the worlds $\geq W$ believe A ; one of the worlds $\geq W$ is W itself.) So none of them believe $\neg A$; so $W \models \neg\neg A$.

This is a small step in the direction of a completeness theorem for the possible world semantics.

THEOREM 4 *Let \mathfrak{M} be a possible world model; we prove by induction on proofs \mathcal{D} in $\mathcal{L}(\mathfrak{M})$ that, for all $W \in \mathfrak{M}$, if $W \models$ every premiss in \mathcal{D} , then $W \models$ the conclusion of \mathcal{D} .*

The base case is a deduction that consists of a single formula by itself. This is straightforward.

There are several inductive cases to consider, depending on what the last step in \mathcal{D} was.

\rightarrow -int

Then the formula proved is $A \rightarrow B$.

The induction hypothesis will be that every world that believes A (and the other premisses in \mathcal{D}) also believes B . Now let W be a world that believes all the other premisses in \mathcal{D} . Then certainly (by persistence) every $W' \geq W$ also believes all the other premisses in \mathcal{D} , so any such W' that believes A also believes B . But that is to say that any world that believes all the other premisses in \mathcal{D} also believes $A \rightarrow B$.

ex falso sequitur quodlibet

Suppose the last line is B . So we have a deduction \mathcal{D}' whose conclusion is \perp . By induction hypothesis every world that satisfies the assumptions of \mathcal{D}' must satisfy the conclusion, namely \perp . But if they satisfy \perp they surely satisfy B .

\vee -elim

Then one of the premisses is a disjunction $A_1 \vee \dots \vee A_n$, and there are proofs \mathcal{D}_i of a conclusion B , say, from A_i . By induction hypothesis, for each i , any world W that believes the assumptions of \mathcal{D}_i believes the conclusion B . But if W believes the disjunction $A_1 \vee \dots \vee A_n$ it must believe one of them and must therefore believe B .

The induction steps for the remaining rules are analogous. ■

I don't know how to prove the other direction!

8 Making Constructive sense of Classical Logic: the Negative Interpretation

The way the constructive logician narrates this situation is something like the following. Here *grokking* is a propositional attitude¹⁶ whose precise nature is known at any rate to the constructive logician but possibly not to anyone else. The constructive logician muses:

“The classical logician reckons he can grok $A \vee B$ whenever he groks A or groks B but he also says that when he groks $A \vee B$ it doesn't follow from that—according to him—that he groks either of them. How different from me! When I grok $A \vee B$ it certainly follows that I grok at least one of them. Since—when he says that he groks $A \vee B$ —it is entirely possible that he groks neither A nor B , it must be that what he really means is that he groks something like $\neg(\neg A \wedge \neg B)$, since he can at least grok *that* without grokking A or grokking B . Accordingly henceforth whenever I hear him assert $A \vee B$ I shall mentally translate this into $\neg(\neg A \wedge \neg B)$. At least for the moment.”

Or again:

“When the classical logician says that he groks $(\exists x)W(x)$ it doesn't follow from that—according to him—that there is anything which he groks to be W , though he certainly groks $(\exists x)W(x)$ whenever there is an a such that he groks $W(a)$. How different from me! When I grok $(\exists x)W(x)$ there most certainly is an x which I grok to be W . Since—when he says that he groks $(\exists x)W(x)$ —it is entirely possible that there is no x which he groks to be W —it must be that what he really means is that he groks something like $\neg(\forall x)(\neg W(x))$ since he can at least grok *that* even without there being anything which he groks to be W . Accordingly henceforth whenever I hear him assert $(\exists x)W(x)$ I shall mentally translate this into $\neg(\forall x)(\neg W(x))$ —at least until anybody comes up with a better idea.”

and again:

“Given what the classical logician says about the conditional and truth preservation, it seems to me that when (s)he claims to grok $A \rightarrow B$ all one can be certain of it that it cannot be the case that

¹⁶A *propositional attitude* is any relation between an agent and a proposition: knowledge, belief, hope ... *grokking* comes from [4].

A is true and B is false. After all, (s)he claims to have a proof of $\neg\neg A \rightarrow A$! Accordingly henceforth whenever I hear them assert $A \rightarrow B$ I shall mentally translate this into $\neg(A \wedge \neg B)$. That covers the $\neg\neg A \rightarrow A$ case nicely, because it cannot be the case that $\neg\neg A$ is true but that A is false *and* it captures perfectly what the buggers say they mean.”

Let us summarise the clauses in the translation here. ϕ^* is what the constructive logician takes the classical logician to be saying when they say ϕ .

DEFINITION 4 *We define ϕ^* by recursion on the subformula relation:*

ϕ^ is $\neg\neg\phi$ when ϕ is atomic; ϕ^* is ϕ when ϕ is negatomic;*

$(\neg\phi)^*$ is $\neg(\phi^*)$;
 $(\phi \vee \psi)^*$ is $\neg(\neg\phi^* \wedge \neg\psi^*)$;
 $(\phi \wedge \psi)^*$ is $(\phi^* \wedge \psi^*)$;
 $(\phi \rightarrow \psi)^*$ is $\neg(\phi^* \wedge \neg\psi^*)$;
 $((\forall x)\phi(x))^*$ is $(\forall x)(\phi(x)^*)$;
 $((\exists x)\phi(x))^*$ is $\neg(\forall x)(\neg\phi(x)^*)$.

What drives the constructivists’ choices of readings of the classical logicians’ utterances? How did they know to interpret $A \vee B$ as $\neg(\neg A \wedge \neg B)$? Why do they not just throw up their hands? Because this interpretative ruse enables the constructivist to pretend, whenever the classical logician is uttering something that (s)he believes to be a classical tautology, that what is being uttered is something that the constructivist believes to be constructively correct. Isn’t that a feature one would desire for a translation from my language into yours, that it should send things that look good in my world to things that look good in yours...? (One wouldn’t want to go so far as to say that it enables the constructivist to actually *understand* the classicist, but it does enable him to construe what he hears as both sensible and true.)

The claim is that if ϕ is a classical tautology then ϕ^* is constructively provable. In fact we will prove something rather more fine-grained. For this we need the notion of a stable formula.

DEFINITION 5 *A formula ϕ is **stable** if $\neg\neg\phi \rightarrow \phi$ is constructively correct.*

This is an important notion because if we add the law of double negation to constructive propositional logic we get classical propositional logic; nothing more is needed.

We will need the following

LEMMA 1 *Formulae built up from negated and doubly-negated atomics solely by \neg , \wedge and \forall are stable.*

Proof: We do this by induction on quantifiers and connectives.

For the base case we have to establish that $\neg\neg A \rightarrow A$ holds if a is a negatomic or a doubly negated atomic formula. This is easy. The induction steps require a bit more work.

\neg :

For the case of \neg we need merely the fact that triple negation is the same as single negation. In fact we can do something slightly prettier.¹⁷

$$\begin{array}{c}
 \frac{[p]^2 \quad [p \rightarrow q]^1}{q} \rightarrow\text{-elim} \\
 \frac{q}{(p \rightarrow q) \rightarrow q} \rightarrow\text{-int (1)} \\
 \frac{((p \rightarrow q) \rightarrow q) \rightarrow q}{((p \rightarrow q) \rightarrow q) \rightarrow q} \rightarrow\text{-int (2)} \\
 \frac{((p \rightarrow q) \rightarrow q) \rightarrow q}{((p \rightarrow q) \rightarrow q) \rightarrow q} \rightarrow\text{-int (3)}
 \end{array}
 \quad (41)$$

... noting that $\neg p$ is just $p \rightarrow \perp$.

\wedge :

We want to deduce $(p \wedge q)$ from $\neg\neg(p \wedge q)$ given that we can deduce p from $\neg\neg p$ and that we can deduce q from $\neg\neg q$. The following is a derivation of $\neg\neg p$ from $\neg\neg(p \wedge q)$:

$$\begin{array}{c}
 \frac{[p \wedge q]^1}{p} \wedge\text{-elim} \quad [\neg p]^2 \\
 \frac{\perp}{\neg(p \wedge q)} \rightarrow\text{-int (1)} \quad \neg\neg(p \wedge q) \\
 \frac{\perp}{\neg\neg p} \rightarrow\text{-int (2)}
 \end{array}
 \quad (42)$$

and the following is a derivation of $\neg\neg q$ from $\neg\neg(p \wedge q)$:

$$\begin{array}{c}
 \frac{[p \wedge q]^1}{q} \wedge\text{-elim} \quad [\neg q]^2 \\
 \frac{\perp}{\neg(p \wedge q)} \rightarrow\text{-int (1)} \quad \neg\neg(p \wedge q) \\
 \frac{\perp}{\neg\neg q} \rightarrow\text{-int (2)}
 \end{array}
 \quad (43)$$

But both p and q are stable by induction hypothesis, so we can deduce both p and q and thence $p \wedge q$.

\forall :

First we show $\neg\neg\forall \rightarrow \forall\neg\neg$.

¹⁷This was part 6 of exercise 3 on page 13.

$$\begin{array}{c}
\frac{[(\forall x)\phi(x)]^1}{\phi(a)} \forall \text{ elim} \quad \frac{[\neg\phi(a)]^2}{\frac{\perp}{\neg(\forall x)\phi(x)} \rightarrow\text{-int (1)}} \rightarrow\text{-elim} \\
\frac{\perp}{\neg(\forall x)\phi(x)} \rightarrow\text{-int (1)} \quad \frac{[\neg\neg(\forall x)\phi(x)]^{(3)}}{\frac{\perp}{\neg\neg\phi(a)} \rightarrow\text{-int (2)}} \rightarrow\text{-elim} \\
\frac{\perp}{\neg\neg\phi(a)} \rightarrow\text{-int (2)} \quad \frac{(\forall x)\neg\neg\phi(x)}{\neg\neg(\forall x)\phi(x)} \forall\text{-int} \\
\frac{(\forall x)\neg\neg\phi(x)}{\neg\neg(\forall x)\phi(x) \rightarrow (\forall x)\neg\neg\phi(x)} \rightarrow\text{-int (3)}
\end{array} \tag{44}$$

So $\neg\neg\forall x\phi$ implies $\forall x\neg\neg\phi$. But $\neg\neg\phi \rightarrow \phi$ by induction hypothesis, whence $\forall x\phi$.

■

So in particular everything in the range of the negative interpretation is stable. Also, ϕ and ϕ^* are classically equivalent. So the negative interpretation will send every formula in the language to a stable formula classically equivalent to it.

LEMMA 2 *If ϕ is classically valid then ϕ^* is constructively correct.*

Proof: We do this by showing how to recursively transform a classical proof of ϕ into a constructive proof of ϕ^* .

There is no problem with the three connectives \neg , \wedge or \forall of course. We deal with the others as follows.

\forall -introduction

$$\begin{array}{c}
\frac{[\neg p^* \wedge \neg q^*]^1}{\neg p^*} \wedge\text{-elim} \quad p^* \quad \frac{\perp}{\neg(\neg p^* \wedge \neg q^*)} \rightarrow\text{-int (1)} \rightarrow\text{-elim} \\
\frac{[\neg p^* \wedge \neg q^*]^1}{\neg q^*} \wedge\text{-elim} \quad q^* \quad \frac{\perp}{\neg(\neg p^* \wedge \neg q^*)} \rightarrow\text{-int (1)} \rightarrow\text{-elim}
\end{array} \tag{45}$$

are derivations of $(p \vee q)^*$ from p^* and from q^* respectively.

\vee -elimination

We will have to show that whenever there is (i) a deduction of r^* from p^* and (ii) a deduction of r^* from q^* , and (iii) we are allowed $(p \vee q)^*$ as a premiss, then there is a constructive derivation of r^* .

$$\begin{array}{c}
\begin{array}{c} [p^*]^1 \\ \vdots \\ r^* \end{array} \quad \frac{\frac{\perp}{\neg p^*} \rightarrow\text{-int (1)}}{[\neg r^*]^3} \rightarrow\text{-elim} \\
\begin{array}{c} [q^*]^2 \\ \vdots \\ r^* \end{array} \quad \frac{\frac{\perp}{\neg q^*} \rightarrow\text{-int (2)}}{[\neg r^*]^3} \rightarrow\text{-elim} \\
\hline
\frac{\neg p^* \wedge \neg q^*}{\neg(\neg p^* \wedge \neg q^*)} \wedge\text{-int} \quad \rightarrow\text{-elim} \\
\hline
\frac{\perp}{\neg\neg r^*} \rightarrow\text{-int (3)}
\end{array} \quad (46)$$

...and we infer r^* because r^* is stable.

\rightarrow -introduction

Given a constructive derivation $\frac{p^*}{q^*}$ we can build the following

$$\begin{array}{c}
\frac{[p^* \wedge \neg q^*]^1}{p^*} \wedge\text{-elim} \\
\vdots \\
q^* \quad \frac{\frac{[p^* \wedge \neg q^*]^1}{\neg q^*} \wedge\text{-elim}}{\frac{\perp}{\neg(p^* \wedge \neg q^*)} \rightarrow\text{-int (1)}} \rightarrow\text{-elim}
\end{array} \quad (47)$$

which is of course a proof of $(p \rightarrow q)^*$.

\rightarrow -elimination

The following is a deduction of q^* from $(p \rightarrow q)^*$ and p^* :

$$\begin{array}{c}
\frac{p^* \quad [\neg q^*]^1}{p^* \wedge \neg q^*} \wedge\text{-int} \quad \neg(p^* \wedge \neg q^*) \\
\hline
\frac{\perp}{\neg\neg q^*} \rightarrow\text{-int (2)} \rightarrow\text{-elim}
\end{array} \quad (48)$$

... q^* is stable so we can infer q^* .

\exists -introduction

Constructively \exists implies $\neg\forall\neg$ so this is immediate.

\exists -elimination

We use this where we have a classical derivation

$$\frac{\frac{\phi(x)}{\vdots}}{p}$$

and have been given $\exists y\phi(y)$.

By induction hypothesis this means we have a constructive derivation

$$\frac{\frac{\phi^*(x)}{\vdots}}{p^*}.$$

Instead of $\exists y\phi(y)$ we have $\neg(\forall y)\neg\phi^*(y)$.

$$\begin{array}{c} [\phi^*(a)]^2 \\ \vdots \\ p^* \end{array} \quad \frac{[\neg p^*]^1}{\frac{\frac{\perp}{\neg\phi^*(a)} \rightarrow\text{-int (2)}}{(\forall y)\neg\phi^*(y)} \forall\text{-int}} \rightarrow\text{-elim} \quad \frac{\neg(\forall y)\neg\phi^*(y)}{\frac{\frac{\perp}{\neg\neg p^*(1)} \rightarrow\text{-int (1)}}{\rightarrow\text{-elim}}} \rightarrow\text{-elim} \quad (49)$$

and p^* follows from $\neg\neg p^*$ because p^* is stable.

The Classical Rules

In a classical proof we will be allowed various extra tricks, such as being able to assume $p \vee \neg p$ whenever we like. So we are allowed to assume $(p \vee \neg p)^*$ whenever we like. But this is $\neg(\neg p^* \wedge \neg p^*)$ which is of course a constructive theorem.

The starred version of the rule of double negation tells us we can infer p^* from $\neg\neg p^*$. By lemma 1 every formula built up from \forall , \wedge and \neg is stable. But, for any formula p whatever, p^* is such a formula.

There are other rules we could add—instead of excluded middle or double negation—to constructive logic to get classical logic, and similar arguments will work for them.

Substitutivity of Equality

To ensure that substitutivity of equality holds under the stars we want to prove

$$(\forall xy)(\neg\neg\phi(x) \rightarrow \neg\neg(x = y) \rightarrow \neg\neg\phi(y))$$

This we accomplish as follows:

$$\begin{array}{c}
\frac{[\neg\phi(y)]^1 \quad [x=y]^2}{\neg\phi(x)} \text{ subst} \quad \neg\neg\phi(x) \quad \rightarrow\text{-elim} \\
\frac{\perp}{\neg(x=y)} \rightarrow\text{-int (2)} \quad \neg\neg(x=y) \quad \rightarrow\text{-elim} \\
\frac{\perp}{\neg\neg\phi(y)} \rightarrow\text{-int (1)}
\end{array} \tag{50}$$

which is a proof of $\neg\neg\phi(y)$ from $\neg\neg\phi(x)$ and $\neg\neg(x=y)$.

This completes the proof of lemma 2 ■

8.1 What the Negative Interpretation Does

The Negative Interpretation is thus quite useful. It enables the constructive Logician, whenever (s)he hears the classical logician utter ‘ ϕ ’, that his/her interlocutor actually meant the subtly different ϕ^* , which—according to that very interlocutor—is logically equivalent to ϕ (so they can’t complain about being misunderstood!). Further, ϕ is constructively correct [acceptable to the constructive logician] iff ϕ was classically valid [acceptable to the classical logician]. One could hardly ask for a more diplomatically satisfactory outcome!

8.1.1 Prophecy

Let us consider a simple case where $\phi(x)$ and $\phi(x)^*$ are the same, and the classical logician has a proof of $(\exists x)(\phi(x))$. Then the constructive logician acknowledges that there is a proof of $\neg(\forall x)(\neg\phi(x))$. What is (s)he to make of this? There isn’t officially a proof of $(\exists x)(\phi(x))$, but they can at least conclude that there can never be a proof of $\neg(\exists x)(\phi(x))$. This makes a good exercise!

EXERCISE 16 *Using the natural deduction rules derive a contradiction from the two assumptions $\neg(\forall x)(\neg\phi(x))$ and $\neg(\exists x)(\phi(x))$.*

If there can never be a proof of $\neg(\exists x)(\phi(x))$ then the assumption that there is an x which is ϕ cannot lead to contradiction. In contrast the assumption that there isn’t one *will* lead to contradiction. So would your money be on the proposition that you will find an x such that ϕ or on the proposition that you won’t? It’s a no-brainer. This is why people say that, to the constructive logician, nonconstructive existence theorems have something of the character of prophecy.

This kind of analysis is one of the reasons why even hardened Quineans such as your humble correspondent take constructive mathematics seriously. The thinking behind it may be bonkers but the analysis that it leads us through gives Mathematics a very dynamic flavour which is immensely attractive to anyone who cares about Mathematics.

9 Doing some Mathematics Constructively

The classical concept of *nonempty set* multifurcates into lots of constructively distinct properties. Constructively x is **nonempty** if $\neg(\forall y)(y \notin x)$; x is **inhabited** if $(\exists y)(y \in x)$, and these two properties are distinct constructively: the implication $\neg\forall\phi \rightarrow \exists\neg\phi$ is not good in general.

A is **decidable** iff $(\forall x)(x \in A \vee x \notin A)$.

$A \subseteq B$ is a **detachable** subset of B iff $(\forall x \in B)(x \in A \vee x \notin A)$.

The empty set is Kuratowski-finite; if x is kuratowski-finite so is $x \cup \{y\}$.

The empty set is N-finite; if x is N-finite and $y \notin x$ so is $x \cup \{y\}$.

We take our natural numbers to be the cardinals of N-finite sets.

Inferring Mathematical Induction from the definition of \mathbb{N} as $\bigcap\{X : 0 \in X \wedge S^*X \subseteq X\}$ is constructive. The least number principle says that every inhabited set of naturals has a least member. In constructive logic the equivalence between mathematical induction and the least number principle is lost: the least number principle implies excluded middle.

REMARK 1 *The Least Number Principle Implies Excluded Middle*

Proof:

Let p be any proposition, and consider $A = \{n \in \mathbb{N} : n = 1 \vee (n = 0 \wedge p)\}$.

A is inhabited (since 1 is a member of it) so, by LNP, it has a least member. Every member of A is 0 or 1. If this least member is 0 then we must have p . If it is 1 we must have $\neg p$. ■

REMARK 2 *(Diaconescu [2])*

The Axiom of Choice implies Excluded Middle.

Proof:

Clearly if every family of nonempty sets is to have a choice function then if x is nonempty we can find something in it. This would imply that every nonempty set is inhabited. That would be cheating and we shall not resort to it. If we are to refrain from cheating we will have to adopt AC in the form that every set of *inhabited* sets has a choice function.

Let us assume AC in this form, and deduce excluded middle. Let p be an arbitrary expression; we will deduce $p \vee \neg p$. Consider the set $\{0, 1\}$, and the equivalence relation \sim defined by $x \sim y$ iff $x = y \vee p$. Next consider the quotient $\{0, 1\}/\sim$. (The suspicious might wish to be told that this set is $\{x : (\exists y)((y = 0 \vee y = 1) \wedge (\forall z)(z \in x \longleftrightarrow z \sim y))\}$). This is an inhabited set of inhabited sets. Its members are the equivalence classes $[0]$ and $[1]$ —which admittedly may or may not be the same thing—but they are at any rate inhabited. Since the quotient is an inhabited set of inhabited sets, it has a selection function f . We know that $[0] \subseteq \{0, 1\}$ so certainly $(\forall x)(x \in [0] \rightarrow x = 0 \vee x = 1)$. Analogously we know that $[1] \subseteq \{0, 1\}$ so certainly $(\forall x)(x \in [1] \rightarrow x = 0 \vee x = 1)$. So certainly $f([0]) = 0 \vee f([0]) = 1$ and $f([1]) = 0 \vee f([1]) = 1$. This gives us four possible combinations. $f([0]) = 1$ and $f([1]) = 0$ both imply $1 \sim 0$ and

therefore p . That takes care of three possibilities; the remaining possibility is $f([0]) = 0 \wedge f([1]) = 1$. Since f is a function this tells us that $[0] \neq [1]$ so in this case $\neg p$. So we conclude $p \vee \neg p$. ■

9.1 “Fishy” Sets

The two proofs we have just seen involve ...

$$A = \{n \in \mathbb{N} : n = 1 \vee (n = 0 \wedge p)\} \text{ and}$$

$$x \sim y \text{ iff } x = y \vee p.$$

In the first example A is classically either $\{1\}$ or $\{1, 0\}$. In the second example classically \sim is either the identity relation or the universal relation—neither of them things involving ‘ p ’. Ian Stewart calls sets like this *fishy*. A fishy set is something that classically is demonstrably one of two things, but constructively cannot be so demonstrated—and some mileage is extracted from it, as in these two proofs. The terminology is not standard (I learnt it from Douglas Bridges) but it should be. How does it arise?

Classically we have two infinite distributive laws:

$$p \vee (\forall x)(A(x)) \text{ is equivalent to } (\forall x)(p \vee A(x))$$

and

$$p \wedge (\exists x)(A(x)) \text{ is equivalent to } (\exists x)(p \wedge A(x))$$

so we can “export” from the scope of a quantifier any subformula not containing any occurrence of the variable bound by that quantifier. This does not work constructively (constructively $p \vee (\forall x)(A(x))$ does not follow from $(\forall x)(p \vee A(x))$ —though the converse is good) and where we have failures of exportation we find these “fishy” sets that—as we have seen—turn up in proofs that certain set-theoretic principles imply excluded middle.

9.2 A Bit of Arithmetic

Heyting naturals the cardinals of N-finite sets. Heyting Naturals are the constructively correct concept of natural number. First we prove that it is...

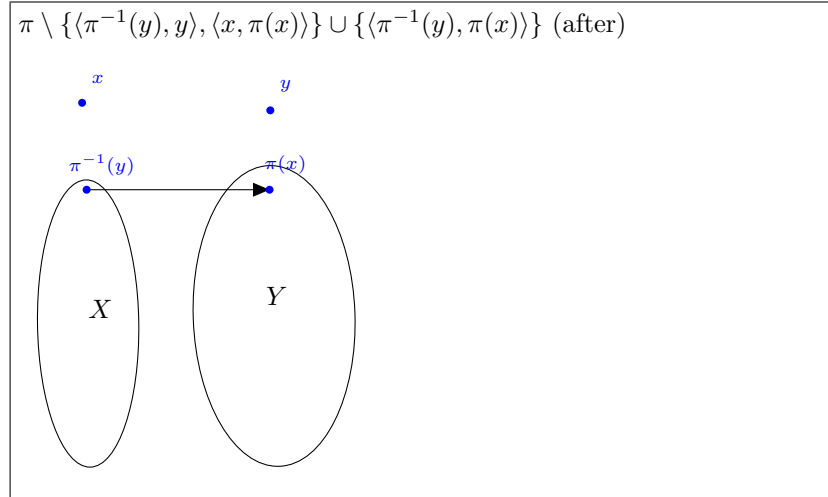
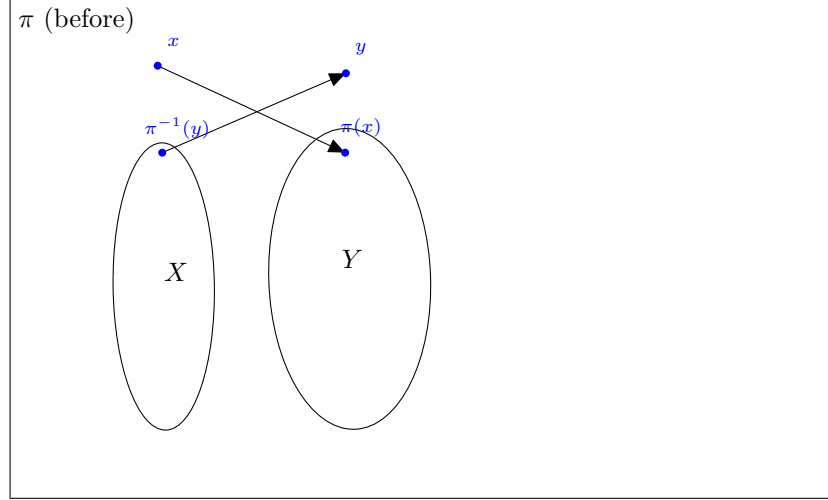
LEMMA 3 ...decidable¹⁸ whether or not two Nfinite sets are in bijection.

Proof:

We prove by induction on X that $(\forall Y)(\text{Nfinite}(Y) \rightarrow (X \sim Y \vee \neg(X \sim Y)))$. Clearly true for X empty. Suppose true for X , and consider $X \cup \{x\}$, with $x \notin X$. If Y is Nfinite then its either \emptyset in which case the answer is ‘no’ or it’s $Y' \cup \{y\}$ with $y \notin Y'$. By induction hyp on X we have $X \sim Y' \vee \neg(X \sim Y')$. If the first then $X \sim Y$. If $\neg(X \sim Y')$ then we can’t have $X \cup \{x\} \sim Y' \cup \{y\}$. This

¹⁸Different meaning of this word here!!

is because any bijection $\pi : X \cup \{x\} \longleftrightarrow Y' \cup \{y\}$ will give rise to a bijection $X \sim Y'$, namely $\pi \setminus \{\langle \pi^{-1}(y), y \rangle, \langle x, \pi(x) \rangle\} \cup \{\langle \pi^{-1}(y), \pi(x) \rangle\}$.



Then we prove trichotomy for Nfinite cardinals: given any two Nfinite sets one injects into the other.

LEMMA 4 $(\forall X)(Nfin(X) \rightarrow (\forall Y)(Nfin(Y) \rightarrow ((X \hookrightarrow Y) \vee (Y \hookrightarrow X))))$

Proof:

By induction on X . Base case (X empty) is easy.

Suppose true for X . Want it to be true for $X \cup \{x\}$. Let Y be Nfinite. By induction hypothesis either $Y \hookrightarrow X$ (in which case $Y \hookrightarrow X \cup \{x\}$) or $X \hookrightarrow Y$.

Y is non empty so it is $Y' \cup \{y\}$. By induction hypothesis either $Y' \hookrightarrow X$ (in which case Y (which is $Y' \cup \{y\}$) $\hookrightarrow X \cup \{x\}$). On the other horn $X \hookrightarrow Y'$ which gives $X \cup \{x\} \hookrightarrow Y' \cup \{y\} = Y$. (NB for this to work we need both X and Y to be Nfinite not merely Kfinite). ■

The upshot is that, if we take our natural numbers to be cardinals of Nfinite sets, then $(\forall n, m \in \mathbb{N})(n = m \vee n \neq m)$. Interestingly the same doesn't go for reals: we cannot prove $(\forall x \in \mathbb{R})(x = 0 \vee x \neq 0)$.

9.3 Recursive Analysis

\mathbb{R} has only two subsets that are detachable, itself and the empty set. This makes life difficult! See [1] pp 53ff.

Reals can arise as all sorts of things, from Dedekind cuts, or Cauchy sequences for example. But if we have the added dimension of computability to worry about then even if we have decided to think of computable reals as computable Cauchy sequences (in the rationals of course) we can wonder whether we think of those computable Cauchy sequences as functions-in-intension (programs) or as function graphs (functions in extension). Both make sense. If we do the first, then Rice's theorem will ensure that the equality relation between computable reals is undecidable.

Another thing we can do is say that a real is computable iff there is a Cauchy-sequence-in-intension whose limit it is. That way our computable reals aren't different things from reals, but delineate a subset \mathbb{R}_c of \mathbb{R} ; this is how Bridges does it.

Analysis is full of dependencies: If $f : \mathbb{R} \rightarrow \mathbb{R}$ is continuous then $(\forall x)(\forall \epsilon)(\exists \delta)(\dots)$ But how does the δ depend on x and ϵ ? Riemann's theorem: if f is integrable then $\forall \epsilon \exists \delta \dots$ In the realistic cases we deal with in ordinary¹⁹ mathematics we can obtain values for δ from the arguments x and ϵ in fairly explicit ways that one would like to be allowed to describe as 'computable'. People in Analysis don't make much of these dependencies but occasionally you will see the ϵ s and δ s equipped with subscripts, as below:

Remember TWK's proof²⁰ that:

if $a_n \rightarrow a$ and $b_n \rightarrow b$ then $a_n + b_n \rightarrow a + b$.

$a_n \rightarrow a$ so $(\forall \epsilon > 0)(\exists N_a(\epsilon))(\forall n > N_a(\epsilon))(|a_n - a| < \epsilon) \dots =$

and

$b_n \rightarrow b$ so $(\forall \epsilon > 0)(\exists N_b(\epsilon))(\forall n > N_b(\epsilon))(|b_n - b| < \epsilon)$

Now set $N(\epsilon) := \max(\{N_a(\epsilon/2), N_b(\epsilon/2)\})$, and take it from there

...

$(\forall n > N(\epsilon))(|(a_n + b_n) - (a + b)| < \epsilon)$

¹⁹I know one shouldn't use the phrase 'ordinary mathematics' but sometimes temptation gets the better of one.

²⁰Q1 on Analysis 1 sheet 1

If you look carefully you can often see that these dependencies are in fact constructively provable.

Is it, in fact, OK to describe this process as ‘computable’? There is an obvious *prima facie* problem in that the quantities x , ϵ and δ are *infinite precision objects*, so we cannot compute with them in the way we have been accustomed to so far. But that’s not really a problem because we can always take these quantities to be rationals.

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