

11/19

H. neerin

Theorem: λ is a limit of Wadge orders. Suppose $V(R)$ is a symmetric extension of V for $\text{Coll}(\omega, \lambda)$.

In $V(R)$, define

$\Gamma = \{x \in V[x] : \text{for some } x \in R, T \in V[x], \text{ and}$

$T \in \lambda \text{ weakly-homo. in } V[x]\}$

Then, ① $L(R, \Gamma) = \text{AD}$

② (Reflection) For each $\alpha \in R$, there is $\bar{\alpha}, \bar{\Gamma}$

such that $L_{\bar{\alpha}}(R, \bar{\Gamma}) = L_{\alpha}(R, \Gamma)$ and

$P(R) \cap L_{\bar{\alpha}}(R, \bar{\Gamma}) \subseteq \Gamma$.

Pf: Let α be given. Clearly, $\text{Th}(L_{\alpha}(R, \Gamma)) \in V$ (since the collapse is homogeneous, etc...).

Γ is determined in $V(R)$ and T is closed under complement.

So, all Wadge games from T are determined.

Lemma: The Wadge degrees are "well-founded" in V .
 (The problem is that we don't have DC in $V(R)$.
 (So, "well-founded" means "ordinal-ranking")

9. By passing to $V[x]$ if necessary, we may assume that, for some tree T on $(\omega \times \lambda)$ in V which is λ weakly-homo. in V , in $V(R)$ the lemma fails below the Wadge degree of $P[T]$. (i.e., we cannot rank the Wadge degrees

means: as much ZFC as we want

below $\mathcal{P}[\dot{T}]$)

(here $\dot{s} \gg$), $V \models \text{ZFC}^{\text{-e}}$, $\dot{f}(\dot{s}) \gg$.

Let $S = \{ \lambda < \omega_1 : \lambda \text{ is Woodin and not a limit of Woodin cards}\}$, then for all DCQs, \mathcal{D} dense, $\text{Def}(\mathcal{D})$, $\mathcal{D}_\alpha(\lambda) \in S$ for some $\lambda \in \text{IND}$?

As before, S is stationary in $\text{P}_{\mathcal{G}}(V_{\lambda+1})$.

Let $G \subseteq Q \subseteq \dot{s}$ be generic with $s \in G$.

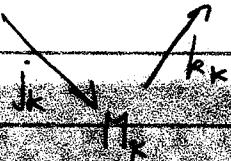
We get:

$j: V \rightarrow (M, E)$ in $V[G]$, $j''a \in M$, $a \in V$

As before, if $\kappa \in S$ is Woodin and not a limit of Woodin cards, then $G \cap Q_\kappa$ is V -generic.

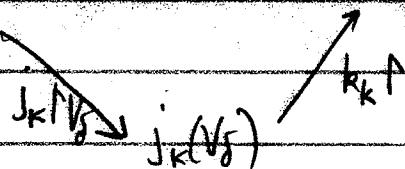
Let $I = \{\kappa \in S : \kappa \text{ is Woodin and not a limit of Woodin cards}\}$.

for each $\kappa \in I$, get $V \xrightarrow{j} M$



The diagram restricts to V

$$V \xrightarrow{j|_{V^*}} (j(V), E)$$



and this is in M . (let $M^* = \lim j_k(V)$)

Now, $V(R \cap M^*)$ is a symmetric extension of V for $G(\{x, \cdot\})$.

$$j^*: V \rightarrow M^*$$

$$j^*(V_S) = \lim j_S(V_S)$$

Now, M^* contains S . (Since $j_S(V_S) \in M^*$, $S \in M$,

$$\text{where } V \xrightarrow{j} M$$

$$j \mapsto_{M^*} j^* \quad)$$

Claim: There exists a ranking of the Wadge degrees of Γ in M . (let $R = \mathcal{R}^{M^*}$)

Pf: Note: $V_S(R) \in M$.

Let $\Gamma_\lambda = \{P[T] : T \text{ is } \lambda \text{ weakly-homo}\}$, in V

So, the Wadge degrees of Γ_λ are well-founded.

So, in M , the Wadge degrees of $j(\Gamma_\lambda)$ are well-founded.

We need, in M , an embedding of the Wadge degree of Γ into the Wadge degree of $j(\Gamma)$.

We need a good (i.e., sufficiently elementary)

map $\Pi: \Gamma \rightarrow j(\Gamma_\lambda)$

for $A \in \Gamma$, choose $x \in R$, $T \in V[x]$ s.t.

T is λ weakly-homo. in $V[x]$ and $P[T] = A$

Let $k \in I$ be large enough with $x \in V[G, \Gamma^k]$.

Choose $\bar{T} \in M_k$ s.t. \bar{T} is λ weakly-homo in M_k and $P[\bar{T}] = P[T]$ in $V(R)$.

Need to find \bar{F} :

Work in $V[G_{\alpha+1}]$

We have: $j_E: V \rightarrow M \subseteq V[G_\alpha]$

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H. Hodges

Theorem: Suppose Γ is a limit of Wadi cards. Suppose $V(R)$ is a symmetric scheme for $(\mathcal{M}(R), \leq)$.

In $V(R)$, let

$\Gamma = \{ p \in V \mid \text{for some } x \in R, T \in V[x] \text{ and}$

$T \leq x \rightarrow \text{weakly true in } V[x]\}$

Then, ① $L(R, \Gamma) \models AD$

② for any α , there exists $\bar{\alpha}, \bar{\Gamma} \subseteq \Gamma$ such that $L_{\bar{\alpha}}(R, \bar{\Gamma}) = L_{\alpha}(R, \Gamma)$ and $P(R) \cap L_{\bar{\alpha}}(R, \bar{\Gamma}) \subseteq \bar{\Gamma}$

More generally, if $\varphi(x)$ is a Σ_1 formula, then if $L(R, \Gamma) \models \varphi[a]$, then $\exists \bar{\alpha}, \bar{\Gamma} \subseteq \Gamma$ with $L_{\bar{\alpha}}(R, \bar{\Gamma}) \models \varphi[a]$ and $P(R) \cap L_{\bar{\alpha}}(R, \bar{\Gamma}) \subseteq \bar{\Gamma}$.

(This implies: (assume AD) if a $\Sigma_1^2(a)$ statement is true in $L(R, \Gamma)$ then it has a witness in Γ .)

Example: V is "the" main model of ZFC + (for many Wadi cards (so V is the main model $HOD^{L(R)}$) constructed last spring, with no many models card)

Under this assumption $L(R, \Gamma) = L(R)$

[Why? $\Gamma \in L(R)$]

Given this, the reflection follows:

(Solovay): (ZF) For all α , $\exists \bar{\alpha}$ with $L_{\bar{\alpha}}(R) = L_{\alpha}(R)$ and $P(R) \cap L_{\bar{\alpha}}(R) \subseteq \Delta_1^{L(R)}$.

Also, if $\varphi(x)$ is a Σ_1 formula and $L(R) \models \varphi[a]$,

then $\exists \bar{a} L_2(R) \models \varphi[a]$ and $\varphi(R) \cap L_2(R) \subseteq \Delta^2 L(R)$.

From last line

- ① Every set of reals in Γ is determined.
- ② Γ is closed under complements and \forall, \exists , and more

So, $G(A, B)$ are determined for all $A, B \in \Gamma$.

- ③ In $V(R)$, the Wedge degrees of the sets in Γ are well-ordered (modulo complements).

Pf. of Reflection : Fix $\varphi(x)$, $a \in R$. WMA, by passing to $V[a]$, that $a \in V$. (We allow Γ as a parameter in φ)

For some α , $L_2(R, \Gamma) \models \varphi(a, \Gamma)$ (Γ as a parameter)

We want to show a "last" stage at which $\varphi(a, \Gamma)$ is true.
 Let $\bar{\Gamma}$ be the last sub-1 segment of Γ (in Wedge degrees)
 such that $\exists \bar{a} L_2(R, \bar{\Gamma}) \models \varphi(a, \bar{\Gamma})$

Let \bar{a} be least with $L_2(R, \bar{\Gamma}) \vdash \varphi(a, \bar{\Gamma})$.

We must show that $\varphi(R) \cap L_2(R, \bar{\Gamma}) \subseteq \Gamma$.

Note : Every element of $L_2(R, \bar{\Gamma})$ is definable with parameters from $\{\bar{R}\} \cup R \cup \bar{\Gamma} \cup \{\bar{\Gamma}\}$.

Let $B \in \varphi(R) \cap L_2(R, \bar{\Gamma})$. We want: $B \in \Gamma$.

Choose $b \in R$, $A \in \bar{\Gamma}$ with B defined in $L_2(R, \bar{\Gamma})$ with

parameter $\bar{\Gamma}$.

Choose $y \in R$, $T \in V[y]$, T is \rightarrow weakly-homo. in $V[y]$ and $p[T] = A$.

We may assume b, γ , and hence, T , are in V , by passing to $V[\bar{b}, \gamma]$.

Fix $\delta > \lambda, \bar{\alpha}$, etc.

As before, let

$S = \{z \in V_{\lambda+1} : \lambda \in z, z \text{ is countable}, z \cap (vb) \in b \text{ for some } b \in z \cap D, \text{ if } D \text{ is dense in } Q_{<\kappa}, \kappa \text{ is a Woodin card, not a limit of Woodin cards, } \kappa < \lambda, \text{ and } \kappa \in z\}$.

S is stationary in $P_{\omega_1}(V_{\lambda+1})$

Let $G \subseteq Q \cdot S$, $S \in G$

We get: $j: V \rightarrow (M, E)$

if κ is Woodin, $\kappa < \lambda$, and κ not a limit of Woodin cards,

then $G \cdot V_\kappa$ is generic for D_κ .

Name:

$$V \xrightarrow{j} (M, E)$$

$$M_\kappa \in V[G \cdot V_\kappa]$$

$$\downarrow \kappa \rightarrow M_\kappa \nearrow \kappa^*$$

$$\text{For } \lambda < \bar{\delta} < \delta, \text{ cf}(\bar{\delta}) > \lambda$$

Get

$$V \xrightarrow{j} (M, E)$$

$$M^* = \lim M_\kappa$$

$$\begin{array}{ccc} j \\ \searrow & \nearrow j^* & \uparrow \kappa^* \\ i_k & M_k & M^*, E^* \\ \downarrow & \nearrow & \end{array}$$

The restriction of this diagram to $\bar{\delta}$ lies in (M, E) .

So, the well founded part of $j^*(V\bar{\delta}) \supseteq \bar{\delta}$

Let $R = R^{**} = \bigcup_{k \in \omega} R^{(k)}$. So, $V(R)$ is a symmetric extension
of V for $\text{Coll}(\omega, < \lambda)$.

In V , let $\Gamma_{<\lambda} = \{j[T] : T \in \bar{\delta}\}$ nearly-locally.

$j(\Gamma_{<\lambda})$ has similar def. in (M, E) .

We want a "nice" map $\Gamma \rightarrow j(\Gamma_{<\lambda})$.

means: $(R, \vec{A}) \prec (j(R), j(\vec{A}))$, for $\vec{A} \in \Gamma$.

a finite seq. of elements of Γ

We have: $L_{\bar{\delta}}(R, \bar{\Gamma})$, by $\bar{\delta} \in R$, $A \in \bar{\Gamma}$, $A = p[T]$, $T \in V$, and
 $B \in P(R) \cap L_{\bar{\delta}}(R, \bar{\Gamma})$.

Claim: $j^*(\Gamma_{<\lambda}) \supseteq \Gamma$

Pf.: Special case: Suppose $C \in \Gamma$ and $C = p[T]$, and
 $T \in V$ and $T \in < \lambda$ nearly-locally.

Choose S a tree for $\omega^\omega \setminus C$, i.e., $S \subseteq (\omega^\omega \setminus C)^{<\omega}$.

Need $C = p[j^*(T)]^{M^*}$

We know:

$$p[T]^{V(R)} = R \setminus p[S]^{V(R)}$$

So, if M^* were well-founded, we would be done.

We need: $p[T]^{V(R)} \subseteq p[j^*(T)]^{M^*}$

$p[S]^{V(R)} \subseteq p[j^*(S)]^{M^*}$

To see $\rho[T]^{V(\mathbb{R})} \subset \rho[j^*(T)]^{M^*}$, fix $x \in \rho[T]^{V(\mathbb{R})}$.

Choose $k \in \mathbb{N}$ such that j_k is not a limit of M -valued maps with $x \in V[G_k]$. Then $G_k = G \cap M_k$.

We have

$$\begin{array}{ccc} & j_k & \\ \nearrow & \downarrow & \searrow \\ V & \xrightarrow{j_k} & M_k \xrightarrow{\quad} M^* \\ & \parallel & \\ & V[G_k] & \end{array}$$

So, $x \in M_k$. Further, $\rho[T]^{V[G_k]} = \rho[j_k(T)]^{V[G_k]} = \rho[j_k(T)}$

So, $x \in \rho[j_k(T)]^{M_k}$.

So, $x \in \rho[j^*(T)]^{M_k} = \rho[j^*(T)]^{M^*}$.

This takes care of the special case.

The general case is similar: Suppose $C \in \Gamma$. Choose $x \in \mathbb{R}$, $T \in V[x]$, $T \hookrightarrow$ weakly-homo in $V[x]$ and $\rho[T]^{V(\mathbb{R})} = C$.

Choose k as above large enough with $x \in V[G_k]$

Then: $j_k : V \rightarrow M_k \subset V[G_k]$

$T \in V[G_k]$, $T \hookrightarrow$ weakly-homo in $V[G_k]$

So (from ①) $\rho[T]^{V[G_k]} \subset M_k$ (let's call $\rho[T]^{V[G_k]}, C$)

② $M_k \models C$ as \hookrightarrow weakly-homo. So

③ $j_k(C_k) = C$ (which, provided κ is regular, or if (κ) is

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H. Rossin

$$\text{Hence: } V \xrightarrow{j^*} M^* \quad R^{M^*} = R^*$$

$V(R^*)$ a symmetric extension of V for all (w, α)

where $\alpha(\lambda) = w \Rightarrow \lambda$ regular

$$\Gamma \subseteq j^*(\Gamma_\lambda) \quad , \quad \Gamma \in M^*$$

But M^* is not well-founded.

$$\text{Also, have: } V \xrightarrow{j_N} N \subseteq V[G_\Gamma]$$

$$j_N(\Gamma_\lambda) \supseteq (\Gamma_\lambda)^{V[G_\Gamma]}$$

Case 1: Γ contains $A \subseteq R^*$ with $\Gamma \subseteq L(A, R^*)$.

In this case, can assume that $\exists T \in V$, etc..., with $p[T] \in$
 (i.e., can do a little bit of forcing to catch all the real we need
 to set the tree)

Choose $\bar{\Gamma}$, then $\bar{\alpha}$, least with $A \in \bar{\Gamma}$.

$\overset{\text{def}}{=} p[T]$.

By the argument done before, relativized to A , we get

$$L(A, R^*) \models AD.$$

Case 2: Choose $\bar{\Gamma}$, then $\bar{\alpha}$

If $\bar{\Gamma}$ happens to be Γ , then say ".

Otherwise, we have to see that $\bar{\Gamma}^{V(R^*)} = \bar{\Gamma}^{M^*}$.

Case 2: on.

Claim: If $A \in \Gamma$, then $L(A, R) \models AD + DC$

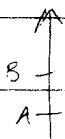
(Before the proof of Claim)

Fact: ($\text{AD} + \text{DC} + 2\text{E}$)

Suppose $A \in R$ and $R(R) \notin L(A, R)$. Then, A^* exists.
(i.e., $A^* = \text{Th}(\langle L(A, R), A, c_1, \dots, c_n, R \rangle)$)
admissible (possibly many)

By first choosing $\bar{\Gamma}$, there's no problem in $V \xrightarrow{\text{in}} N \subseteq V[G_\beta]$
i.e., N and $V[G_\beta]$ compute the same thing.

The problem is in $V \xrightarrow{j^*} M^* \in V(R^*)$, since M^* is not
well-founded, M^* and $V(R^*)$ might compute different things.



Suppose $B \notin L(A, R)$.

Suppose $A \in M$.

Claim: $A^* \in M^*$

Pf: Choose $B \in M$, $B \stackrel{w}{\geq} A$, $B \notin L(A, R)$.

Since $L(B, R) \models \text{AD}$, by the fact alone, $B^* \in L(B, R)$

$L(B, R) \subseteq M^*$ (some)

Claim: $M^* \in (A^*)^{V(R^*)} = A^*$

(Claim: $A^* \in \Gamma$)

Need: $(\bar{\Gamma})^{M^*} = (\bar{\Gamma})^{V(R^*)}$: \subseteq is clear

Suppose $(\bar{\Gamma})^{V(R^*)}$ is strictly greater than $(\bar{\Gamma})^{M^*}$.
Then $A \in (\bar{\Gamma})^{V(R^*)} \setminus (\bar{\Gamma})^{M^*}$.

We have $L(A, R) \models AD$

So, $(\bar{\Gamma})^{M^*} \models L(A, R)$

M^* exists in $V(R^*)$

" " " M^*

Thus, $M^* \models "L(A, R) \models AD"$, and so $(\bar{\alpha})^{M^*}$ is \leq least
indiscernible of $L(A, R)$. So, $\bar{\alpha} \in$ well-founded part of M^* .

So, modulo the fact above, we are done.

Application of the theorem, together with what we did last spring

Theorem : Suppose λ is a limit of Woodin cards.

Define Γ_λ as above. Then,

① $\text{Sah } (\Sigma_1^2(\Gamma_\lambda))$

② Every $\Sigma_1^2(\Gamma_\lambda)$ fact has a $\Delta_1^2(\Gamma_\lambda)$ witness
in Γ_λ .

(where $A \in \Sigma_1^2(\Gamma_\lambda)$ if the witness quantifies range
over Γ_λ)

→ This is the best candidate for a well-ordering of R consistent
with large cardinals.

12/5/90

H. novak

$$\text{Lemma: } (\text{AD} + \text{ZF} + \text{DC})$$

Suppose $A \in R$ and $S(R) \notin L(A, R)$.

Then, A^+ exists.

Pf:

Use the following:

Fact: $(\text{AD} + \text{DC} + \text{ZF}) \quad \Theta = \sup \{ \kappa : \kappa \rightarrow (\kappa)^{\kappa} \}$ (actually, need only $\Theta = \sup$ of reg. card.)

Corollary: If $S \subseteq \lambda < \Theta$, then $S^{\#}$ exists.

Suppose $B \notin L(A, R)$. $\Theta_A = \Theta^{L(A, R)}$, $\Theta_B = \Theta^{L(B, R)}$.

Let G be $L(B, R)$ generic for $\text{Coll}(\omega, R)$.

Then, $L(A, R) \subseteq L[G, A] = L[x]$.

Note: (In ZFC) $\text{HOD}^{L[x]} = L[S]$ for some $S \subseteq \omega^{L[x]}$.

So, $S^{\#}$ exists $\Rightarrow x^{\#}$ exists $\Rightarrow L[A, R]^{\#}$ exists

Also, $\Theta^{L(A, R)} = \omega^{L[x]}$

Suppose $\kappa > \Theta^{L(A, R)} = \Theta_A$ and κ is regular, $\kappa < \Theta_B$, $\kappa \rightarrow (\kappa)^{\kappa}$

Then, κ is regular in $L[x]$.

Thus, κ is regular in L .

$\kappa > \omega^{L[x]}$

So, $S \subseteq \kappa < \Theta_B$, $S \in L(A, R)$.

So, $S^{\#}$ exists in $L(B, R)$. \square

Theorem: If λ is a limit of Woodin cardinal and $V(R)$ is a symmetric extension of V for $\text{Coll}(\omega, \lambda)$.

Define Γ as before in $V(R)$.

Then, every set in Γ has a scale in Γ . So, by reflection, $L(R, \Gamma) \models \text{AD}^+$.

Fact: (AD^+) Either AD_R holds or there is a largest Sudlin cardinal.

Theorem: Suppose λ is:
① a limit of Woodin cardinal.
② a limit of card. which are $\leq \lambda$ strong.

(Note: this is a weaker hypothesis than λ is λ -Woodin.)

Suppose $V(R)$ is a symmetric extension of V for $\text{Coll}(\omega, \lambda)$. Then, with Γ defined in $V(R)$ as before, then $L(R, \Gamma) \models \text{AD}_R$.

Theorem: Suppose κ is a Woodin and suppose $\mathbb{Q} \in \text{Coll}(\omega, \kappa)$ is generic. Then, $\mathbb{Q} \Vdash \text{$\Sigma_2^1$-det.}$

Corollary: TFAE : ① Σ_2^1 -det. ($= \Sigma_2^1$ -det.)

② $\forall x \in R \exists \text{transitive model } \mathcal{M} \supseteq x \cup \{\kappa\}$ such that

$\mathcal{M} \models \text{ZFC + } \exists \text{ a Woodin card.}$

$\forall x \in R \exists \alpha_n < \omega, \exists \text{ transitive model } \mathcal{M} \supseteq \text{ORU}_{\alpha_n}$

of $\mathbb{Z}\Gamma C + \alpha_x$ is a Woodin cardinal.

$\#_0 \geq 0$ true

$\#_0 = 0$ as shown

$\#_0 \rightarrow$ rank, all x

□

Pf of theorem (Sketch)

Basic tool #1 : Lemma : Suppose $V=L[x]$ and $L[x]=L[x]_{\#}$, if $g \subseteq w$ and g is ccc over $L[x]$.

Then, $L[x] \models \Delta_2^1\text{-det}$.

Fact : $\Delta_2^1\text{-det} \Rightarrow \text{Th}(L[x])$ is constant on a cone.

$\Delta_2^1\text{-det} + \#^{\text{'}}s \Rightarrow \dots \quad \dots$

Suppose $M \supseteq \text{OR}$, $M \models \kappa$ is Woodin, and M is iterable, in the sense of Martin-Steel (i.e., every iteration has a branch).

(*) Also, assume $\delta < \kappa \Rightarrow \delta$ is not Woodin in $L(M_\delta)$.

Assume $M = L[M_\kappa]$.

Fact : $\exists B \in M$, B is ccc of size κ , B is weakly generated such that \exists \exists iteration $j^*: M \rightarrow M^*$ such that

$x \in M^*$ generic for $B^* = j^*(B)$

(only need M is iterable and $M \models \kappa$ is Woodin).

Assume $\kappa \leq w$.

Assuming (*) holds, then if $M_\kappa \in L[x]$, then $M^* \in L[x]$ and $j^*(\kappa) = w^{L[x]}$.

Then, $L[x] = M^*[x]$, a generic for B^*

$$\text{So, } L[x] \text{ fall}(w, w^{L[x]}) = M^*[x] \text{ fall}(w, x^*) = M^*\text{ fall}(w, e^*) = r$$