

GLR

Example

- ▶ Consider this very innocent looking language: (x =a terminal):
 $S \rightarrow x S x \mid x$

LL

- ▶ Can we parse this with an LL parser?
 - ▶ No!
 - ▶ Why not?

LL

- ▶ Needs left factoring

Factored

- ▶ Suppose we factor:
 $S \rightarrow x S'$
 $S' \rightarrow S x \mid \lambda$
- ▶ OK, we're good, right?

Consider

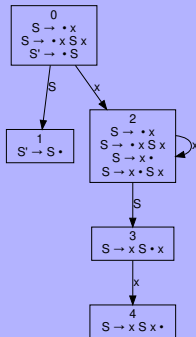
- ▶ First:
 - ▶ $\text{first}[S] = \{x\}$
 - ▶ $\text{first}[S'] = \{x\}$
- ▶ Follow:
 - ▶ $\text{follow}[S] = \{\$,x\}$
 - ▶ $\text{follow}[S'] = \{\$,x\}$
- ▶ Problem!
 - ▶ When we set table row S' , column x : We have a conflict

LR(1)

- ▶ Can we parse this with an SLR(1) parser?
- ▶ No. Why not?

SLR(1)

- Consider the DFA:



SLR(1)

- ▶ The SLR(1) table. Notice the conflict.

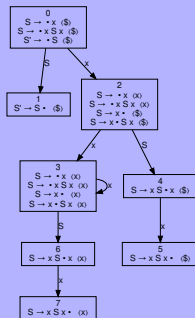
| | State | \$ | S | x |
|---|--|--------|-----|------------------|
| 0 | $S \rightarrow \bullet x$ $S \rightarrow \bullet x S x$ $S' \rightarrow \bullet S$ | | T,1 | S,2 |
| 1 | $S' \rightarrow S \bullet$ | R,1,S' | | |
| 2 | $S \rightarrow \bullet x$ $S \rightarrow \bullet x S x$ $S \rightarrow x \bullet$ $S \rightarrow x \bullet S x$ | R,1,S | T,3 | $S,2$ $R,1,S$ |
| 3 | $S \rightarrow x S \bullet x$ | | | S,4 |
| 4 | $S \rightarrow x S x \bullet$ | R,3,S | | R,3,S |

LR(1)

- ▶ What if we use an LR(1) parser?
- ▶ Won't work. Why not?

Example

- Consider the DFA:



Example

- Consider the parse table:

| | State | \$ | S | x |
|---|--|--------|-----|--------------|
| 0 | $S \rightarrow \bullet x \langle \$ \rangle$ $S \rightarrow \bullet x S x \langle \$ \rangle$ $S' \rightarrow \bullet S \langle \$ \rangle$ | | T,1 | S,2 |
| 1 | $S' \rightarrow S \bullet \langle \$ \rangle$ | R,1,S' | | |
| 2 | $S \rightarrow \bullet x \langle x \rangle$ $S \rightarrow \bullet x S x \langle x \rangle$ $S \rightarrow x \bullet \langle \$ \rangle$ $S \rightarrow x \bullet S x \langle \$ \rangle$ | R,1,S | T,4 | S,3 |
| 3 | $S \rightarrow \bullet x \langle x \rangle$ $S \rightarrow \bullet x S x \langle x \rangle$ $S \rightarrow x \bullet \langle x \rangle$ $S \rightarrow x \bullet S x \langle x \rangle$ | | T,6 | S,3 R,1,S |
| 4 | $S \rightarrow x S \bullet x \langle \$ \rangle$ | | | S,5 |
| 5 | $S \rightarrow x S x \bullet \langle \$ \rangle$ | R,3,S | | |
| 6 | $S \rightarrow x S \bullet x \langle x \rangle$ | | | S,7 |
| 7 | $S \rightarrow x S x \bullet \langle x \rangle$ | | | R,3,S |

Example

- ▶ Suppose we have this input:
x x x
- ▶ What does the parser do?
 - ▶ Trace out in class: In case of conflicts, we prefer shifts vs. we prefer reductions
 - ▶ We can get successful parse if we prefer reduce to shift

Problem

- ▶ A static rule won't work in all cases
- ▶ Consider input:
x x x x x
- ▶ How do we get a successful parse here?

Problem

- ▶ We need to determine whether to prefer shift or reduce by considering what's next in the input stream
- ▶ If we're about to read from the exact middle of the input:
 - ▶ Shift x , then immediately reduce $S \rightarrow x$
 - ▶ Then repeatedly:
 - ▶ Shift x , reduce $S \rightarrow x S x$
- ▶ If we're before the exact middle of the input, we want to shift x but not reduce it

Problem

- ▶ This grammar is not LR(*anything*) for a finite value!
- ▶ Need to look at whole input to decide what to do

GLR

- ▶ We can parse this with a more powerful kind of parser: A *GLR* parser
 - ▶ GLR = General LR
- ▶ Idea: We run several parse operations in parallel
- ▶ We can adapt this idea to LR(0), SLR(1), LR(1), or LALR(1) tables [we haven't discussed LALR(1)]
 - ▶ In practice, SLR(1) or LR(1) seem the most useful
- ▶ Can handle *anything* (as long as it's context free)

Scheme

- ▶ Input: A parse table
 - ▶ LR(0), SLR(1), LR(1), ...
- ▶ Each table cell holds a *list* of tuples: One or more of:
 - ▶ (S, newState)
 - ▶ (R, numPop, transSymbol)
- ▶ Parser functions like an ordinary parser...Most of the time

But

- ▶ When we see a table cell with multiple possibilities, the parser *forks*
 - ▶ Make copies of the parser's internal state
 - ▶ I.e., the stack
 - ▶ Each copy reflects a different choice from the table cell

But

- ▶ If we are at a point in the parse where one of the stacks cannot proceed further, drop it
- ▶ If all stacks gone, report syntax error and halt
- ▶ If any stack gets to success (reduces to S'): Report success and stop.

Key

- ▶ We run the parsers *in parallel*
- ▶ Idea: For most practical programming languages, ambiguities will resolve fairly quickly
- ▶ So we don't get an exponentially increasing number of stacks
 - ▶ Note that with a crafted grammar, that might be a problem
 - ▶ We would quickly run out of memory

Data Structure

- ▶ Suppose we have a stack data structure with these operations:
 - ▶ `push(x)`: Adds `x` to stack
 - ▶ `pop()`: Returns item
 - ▶ `top()`: Returns item
 - ▶ `clone()`: Makes a copy

Operation

- ▶ We need to try all the reductions first, then the shifts
- ▶ Why?
 - ▶ Remember, we're doing operations in parallel
 - ▶ So we need to make sure that all the stacks are ready for shifting simultaneously
 - ▶ Shifting affects the input (consumes a token), so once we do it, parser state is permanently changed

Initialization

- ▶ Trace out operation of GLR parser with SLR table for the grammar example given previously and input 'x x x'
- ▶ Do this in class

Complications

- ▶ What happens if we have a grammar with rules like this:

$S \rightarrow A$

$A \rightarrow B \mid x$

$B \rightarrow A \mid y$

- ▶ Consider the input “x”
- ▶ What's the correct sequence of actions?

Problem

- ▶ We could do

$S \rightarrow A \rightarrow x$

- ▶ Shift x , reduce $A \rightarrow x$, reduce $S \rightarrow A$, reduce $S' \rightarrow S$

- ▶ Or:

$S \rightarrow A \rightarrow B \rightarrow A \rightarrow x$

- ▶ Shift x , reduce $A \rightarrow x$, reduce $B \rightarrow A$, reduce $A \rightarrow B$, reduce $S \rightarrow A$, reduce $S' \rightarrow S$

- ▶ Or:

$S \rightarrow A \rightarrow B \rightarrow A \rightarrow B \rightarrow A \rightarrow x$

- ▶ Shift x , reduce $A \rightarrow x$, reduce $B \rightarrow A$, reduce $A \rightarrow B$, reduce $B \rightarrow A$, reduce $A \rightarrow B$, reduce $S \rightarrow A$, reduce $S' \rightarrow S$

- ▶ Infinite number of possible parses

Reductions

- ▶ We can't just forbid doing multiple reductions in a row
 - ▶ In some cases, we might need to reduce repeatedly before we can shift a token
- ▶ Ex: Suppose we have a grammar like so:
$$S \rightarrow A y$$
$$A \rightarrow B y \mid B$$
$$B \rightarrow C y \mid C$$
$$C \rightarrow x$$
- ▶ Suppose we've just shifted an x and the next token is a y
- ▶ Before we shift the y , we must reduce using rule $B \rightarrow C$ and then reduce again using rule $A \rightarrow B$ so when we read the y , we are then ready to reduce using rule $S \rightarrow A y$

Problem

- ▶ What if we have a grammar like this:

$$S \rightarrow x Y x \mid x$$

$$X \rightarrow Y$$

$$Y \rightarrow Y \mid S \mid X$$

- ▶ Consider the input “x x x”
 - ▶ And this input: x x x
- ▶ What happens?

Problem

- ▶ No reductions are possible initially
- ▶ The parser shifts x
- ▶ Then it reduces $S \rightarrow x$
- ▶ Then it reduces $Y \rightarrow S$
- ▶ And now it can reduce $X \rightarrow Y$
- ▶ And then reduce $Y \rightarrow X$
 - ▶ This can be repeated indefinitely!

Loop

- ▶ We need to tweak our algorithm
- ▶ When performing a reduction, if that would result in creating a duplicate of an existing live stack, don't do that reduction
- ▶ We'll assume our stack has a `key()` function
 - ▶ Returns some unique identifier for that particular stack's contents
- ▶ And we'll keep a set of keys that corresponds to our active stacks
- ▶ We drop any stack that has a duplicate key

Problem

- ▶ We can still run into a problem: What if we have a grammar like so:

$$S \rightarrow A x$$

$$A \rightarrow A A \mid \lambda$$

- ▶ What happens here?
 - ▶ Can do infinitely many reductions!
 - ▶ Since stack keeps getting items added, we *never* see duplicate stacks!

Solution

- ▶ We'll take the easy way out: Forbid λ productions in the grammar
 - ▶ Every reduction either leaves the stack the same size or makes it smaller
 - ▶ So we cannot continue indefinitely without seeing duplicates
- ▶ We could make the reduction code more complex and allow λ productions, but we won't consider that here

Problem

- ▶ The algorithm as we've coded it is wasteful of memory
- ▶ Many stacks will share substantial part of their contents
 - ▶ No reason to duplicate them
 - ▶ Slower to copy the data when we fork

Solution

- ▶ Use linked lists for stacks
- ▶ Assume we have a list node structure:

```
class Node:
    def __init__(self, val, nxt):
        self.value = val    #integer: State number
        self.next = nxt    #next Node
```

List

► Define a stack-as-linked-list:

```
class StackAsList:
    def __init__(self):
        self.top_ = None
    def push(self, stateNumber):
        self.top_ = Node(stateNumber, self.top_)
    def pop(self, num=1):
        for i in range(num):
            self.top_ = self.top_.next
    def top(self):
        return self.top_.value
    def topNode(self):
        return self.top_
    def clone(self):
        copy = StackAsList()
        copy.top_ = self.top_
        return copy
    def key(self):
        return (self.top(), self.topNode().next)
```

Processing

- ▶ Our parse operation:
- ▶ Input: Parse table + list of tokens
- ▶ Parse table: A list of dictionaries. `table[i]` tells transitions for DFA state `i`
 - ▶ Each dictionary has key of string (terminal or nonterminal) and value (list of actions)
 - ▶ Action: A tuple: One of
 - ▶ S, state number [shift]
 - ▶ T, state number [transition]
 - ▶ R, numpop, state number [reduce]

Code

```
def parse(table, tokens):  
    stacks = [ StackAsList() ]  
    stacks[0].push( 0 ) #start state  
    tokenIndex = 0  
    while True:  
        nextToken = tokens[tokenIndex]  
        apply reductions  
        apply shifts  
        if stacks is empty:  
            report failure  
        tokenIndex += 1
```

Reductions

- ▶ To perform reductions: First, initialize some variables:

```
nextStacks=[]  
active=set()
```

- ▶ nextStacks will be the stacks for the next step of parsing
- ▶ active is a set of stack keys so we can avoid duplicates

Reductions

- ▶ We loop over the stacks and process each one:

```
stackIndex=0
while stackIndex < len(currentStacks):
    stk = currentStacks[stackIndex]
    stateNumber = stk.top()
    for op in table[stateNumber][sym]:
        ...process table entry...
    stackIndex+=1
```

Reductions

- ▶ How do we process table entry “op”
- ▶ First, determine if op calls for shift or reduce
- ▶ If shift and stack's key is not in active:
 - ▶ Add the stack to nextStacks
 - ▶ Add its key to active
- ▶ If reduction:
 - ▶ If reducing to S': Stop: Report success
 - ▶ Else, Perform reduction...

Reductions

- ▶ Performing the reduction:
 - ▶ Let numPop and tSym be the data in op
 - ▶ Let stk be the stack that we're using for the reduction
 - ▶ `stk2 = stk.clone()`
 - ▶ Pop numPop things from stk2
 - ▶ Let newState be `table[stk2.top()][tSym]`
 - ▶ Push newState to stk2
 - ▶ If stk2's key not in active:
 - ▶ Add stk2's key to active and add stk2 to nextStacks and add stk2 to currentStacks
 - ▶ Why add to currentStacks? We might need to do another reduction using stk2 before shifting

Shifts

- ▶ Once we've finished reducing, we're ready to shift
- ▶ Set `stacks = nextStacks`
- ▶ Let `nextStacks = []`
- ▶ For each stack `stk` in `stacks`:
 - ▶ Let `stateNumber = stk.top()`
 - ▶ If there's a shift entry in `table[stateNumber][nextToken.sym]`
 - ▶ `stk.push(stateNumber)`
 - ▶ Append `stk` to `nextStacks`
- ▶ When done, set `stacks = nextStacks`

Tree

- ▶ How to recreate the tree? It's not very useful to just report “parsed” or “failed”
- ▶ Add a member to each list Node saying how it was obtained
- ▶ So we end up with code like this:

```
class Node:
    #how = how we created this:
    #    (S, node, tokenIndex) or
    #    (R, node, numpop, sym)
    def __init__(self,data,how,nxt):
        self.data = data
        self.next = nxt
        self.how = how
```

Tree

- ▶ And now we tweak the push function:

```
def push(self,data,how):  
    self.top_ = Node(data,how,self.top_)
```

Push

- ▶ The initial push to the initial stack looks like this:
`stacks[0].push(0, None)`
- ▶ When we do a reduction, we do this:
`stk2.push(newState, ("R", stk.topNode(), numPop, tsym))`
- ▶ When we do a shift, we do:
`stk.push(newState, ("S", stk.topNode(), tokenIndex))`

Tree

- ▶ If we reduce to S' : We reconstruct the tree by walking the “how” links in reverse
- ▶ Let `successfulStack` be the stack which we are going to reduce to S'

```
actions=[]  
N = successfulStack.topNode()  
while N.how:  
    #how is either (S, node reference, tokenIndex) or  
    # (R, node reference, num pop, symbol)  
    actions.append( (N.how,N) )  
    N = N.how[1]
```

Tree

- ▶ We can now build the tree

```
treeNodeStack=[]
for how,ListNode in reversed(actions):
    if how[0] == "S":
        _,_,ti = how
        token = tokens[ti]
        treeNode = TreeNode(token.sym)
        treeNode.token = token
        treeNodeStack.append(treeNode)
    else:
        assert how[0] == "R"
        _,_,numPop,tSym = how
        node = TreeNode(tSym)
        for i in range(numPop):
            c = treeNodeStack.pop()
            node.children.prepend(c)
        treeNodeStack.append(node)
```

- ▶ When done, treeNodeStack[0] has the root of the parse tree

Analysis

- ▶ Can deal with *any* CFG (even ambiguous ones)
 - ▶ Albeit we need to remove λ productions
 - ▶ That's a straightforward transformation
- ▶ But: Could be slow
 - ▶ Depends on the grammar
 - ▶ If we have only one choice at a particular point, just act like normal LR parser
 - ▶ Faster
 - ▶ No need to clone stacks; just apply the (unambiguous) operation to the stack in question
- ▶ Crafted grammars/inputs might force large number of stacks

Ambiguity

- ▶ Suppose we have ambiguous construct (so we have \geq two live stacks)
 - ▶ Once we're past ambiguous part, both stacks "collapse" into one
 - ▶ Amounts to resolving ambiguity by arbitrarily choosing one option
 - ▶ Could add some rules saying which one to eliminate

Example

- ▶ Suppose grammar was $E \rightarrow E + E \mid T$
- ▶ Could examine stack actions and prefer one that was left-recursive

Assignment

- ▶ Bonus lab: Implement a GLR parser. Use the previous lab's test harness to generate a parse tree.

Sources

- ▶ Wikipedia. Parsing Expression Grammar.
- ▶ <http://bford.info/pub/lang/thesis/>
- ▶ GLL parsing: <http://ldta.info/2009/ldta2009proceedings.pdf>

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