

# THOMAS P. GRIFFIN

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## EMPLOYMENT

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### **Villanova University, Villanova School of Business**

The Kenneth '84 and Pamela Roessler Assistant Professor, Finance  
Assistant Professor, Finance

August 2022 – Present  
August 2019 – July 2021

### **University of Virginia, McIntire School of Commerce**

Visiting Research Scholar, Finance

Fall 2022

## EDUCATION

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### **Drexel University, LeBow College of Business**

Ph.D. Business Administration, Finance

September 2014 – June 2019

### **Villanova University**

Bachelor of Arts, Economics

August 2009 – May 2013

## RESEARCH

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**Specialization:** Corporate Lending, Distressed Debt, M&A, Corporate Governance, Corporate Litigation

***Creditor Control of Corporate Acquisitions*** – with David Becher and Greg Nini. 2022. *Review of Financial Studies* 35(4): 1897-1932.

Presentations: Western Finance Association Annual Meeting (2019), Financial Intermediation Research Society Conference\* (2019), Texas A&M Young Scholars Finance Consortium (2018), Cass M&A Research Centre Conference\* (2018), Midwest Finance Association Annual Meeting (2018), Eastern Finance Association Annual Meeting (2018), Philly Five Conference\* (2018), Northern Finance Association Annual Meeting (2017), Federal Reserve Bank of Philadelphia\* (2019), University of Mississippi\* (2019), Norwegian School of Economics\* (2018), American University\* (2018), Lehigh University\* (2018)

***Shareholder Litigation Risk and the Information Environment: Revisiting Evidence from Two Natural Experiments*** – with Audra Boone and Eliezer Fich. 2023. *Journal of Corporate Finance* Forthcoming.

Presentations: American Law and Economics Association Annual Meeting (2018), U.S. Securities and Exchange Commission Ph.D. Symposium (2018), Financial Management Association Annual Meeting (2018), Corporate Finance Conference at the Manchester Business School\* (2018), Frankfurt School of Finance\* (2018), WHU Otto Beisheim School of Management\* (2018), University of New South Wales\* (2018), Monash University\* (2018), University of Technology Sydney\* (2018), Australian National University\* (2018), University of Melbourne\* (2018)

***Losing Control? The 20-Year Decline in Loan Covenant Violations*** – with Greg Nini and David Smith. 2022. Revise and Resubmit at *Journal of Finance*

Presentations: Fischer-Shain Center Research Conference\* (2022), American Finance Association Annual Meeting\* (2021), Northern Finance Association Annual Meeting (2020), European Finance Association Annual Meeting (2020), SFS Cavalcade North America (2020), Financial Management Association Virtual Meeting (2020), Washington University in St. Louis Corporate Finance Conference\* (2019), RCFS/RAPS Conference at Baha Mar\* (2019), Philly Five Conference\* (2019), Drexel University Corporate Governance Conference New Ideas Session (2019), City University of Hong Kong\* (2023), University of Michigan\* (2023), University of Kansas\* (2022), University of Chicago Booth Banking Workshop\* (2021), Federal Reserve Bank of Richmond\* (2021), Villanova Webinars in Financial Intermediation\* (2020), Syracuse University\* (2020), Queen's University\* (2020), University of Virginia\* (2019), Norwegian School of Economics\* (2019), OsloMet Business School\* (2019), UC Berkeley Workshop on Law and Economics\* (2019), University of Cincinnati\* (2019), Federal Reserve Board of Governors\* (2019)

***Where Have All the IPOs Gone? Trade Liberalization and the Changing Nature of U.S. Corporations***. 2022. Revise and Resubmit at *Journal of Financial and Quantitative Analysis*

Presentations: Southern Finance Association Annual Meeting (2021), Financial Management Association Annual Meeting (2019), Drexel University (2019), Villanova University (2019), Babson College (2019)

***A Culture of Discrimination: Evidence on the Causes and Consequences of Civil Rights Litigation Against U.S. Corporations*** – with Casey Dougal and Irena Hutton. 2023.

Presentations: Financial Intermediation Research Society Conference (2023), American Law and Economics Association Annual Meeting\* (2023), Conference on Empirical Legal Studies (2022), Financial Management Association Annual Meeting (2022), Philly Five Conference New Ideas Session (2021), Florida State University\* (2021)

***Regulatory Burden and M&A Activity*** – with Eliezer Fich and Joseph Kalmenovitz. 2023.

Presentations: Bayes M&A Research Centre Conference (2022)

***How Well Do Financial Covenants Detect Distress?*** – with Greg Nini and David Smith. 2023.

\* Indicates presentation by coauthor

## **TEACHING**

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Villanova University

Intermediate Corporate Finance (Undergraduate)

Fall 2019 – Present

Student evaluations, 12 sections: **4.86/5**

Corporate Valuation & Financial Strategy (MBA)

Summer 2023

Student evaluations, 1 section: **5/5**

Drexel University

Introduction to Finance (Undergraduate)

Summer, Fall 2016

Student evaluations, 3 sections: **3.93/4**

## **SERVICE**

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Refereeing

Journal of Finance, Journal of Financial Economics, Management Science, Journal of Financial and Quantitative Analysis, Journal of Financial Intermediation, Journal of Corporate Finance, Journal of Banking and Finance, Journal of Accounting and Public Policy, Quarterly Journal of Finance, Financial Review

Conference Program Committees

Northern Finance Association Annual Meeting, 2019 – 2023

Drexel University Corporate Governance Conference, 2020 – 2023

Financial Management Association Annual Meeting, 2020 – 2023

Eastern Finance Association Annual Meeting, 2020 – 2023

Southern Finance Association Annual Meeting, 2021 – 2023

Conference Discussions

Midwest Finance Association Annual Meeting, 2018, 2023

Drexel University Corporate Governance Conference, 2023

Financial Management Association Annual Meeting, 2017, 2018, 2019, 2020, 2022

Northern Finance Association Annual Meeting, 2021

Southern Finance Association Annual Meeting, 2021

Eastern Finance Association Annual Meeting, 2018

Conference Session Organizer

Financial Management Association Annual Meeting, 2020

University Service

Villanova School of Business Investment Banking Academy Curriculum Coordinator, 2021 – Present

Villanova School of Business Mergers & Acquisition Society Faculty Advisor, 2023 – Present

Villanova Finance Department Robert T. LeClair Seminar Series Organizer, 2023 – Present

Villanova University Mission and Social Justice Committee, 2023 – Present

Villanova University Fulbright Campus Committee Member, 2020 – 2023

Villanova School of Business Research with Societal Impact Task Force Member, 2022 – 2023

Villanova University Institute for Teaching and Learning Faculty Mentor, 2023  
Villanova School of Business First Year Experience Program Host, 2021 – 2023  
Villanova University New Student Orientation Program Faculty Partner, 2022  
Villanova University Match Program for First Year Students Faculty Research Mentor, 2021  
Villanova School of Business Building Advisory Committee Member, 2021  
Villanova School of Business FUN Committee Member, 2019, 2020, 2021  
Drexel University STAR Scholar Mentor, 2018  
LeBow College of Business Dean Search Committee Member, 2018

## **HONORS AND AWARDS**

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Fellow, Center for Corporate Governance at Drexel University, 2019 - Present  
Villanova University UNITAS Faculty Research Award, 2022  
LeBow College of Business Clark Outstanding PhD Research Award, 2019  
LeBow College of Business Provost Fellowship, 2014 - 2018  
Drexel Graduate College Teaching Excellence Award, 2017  
Villanova University Adam Smith Outstanding Undergraduate Research Award, 2013  
Villanova University Medallion for Excellence in Economics, 2013

## **REFERENCES**

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Eliezer Fich  
Trustee Professor of Finance  
Department of Finance, Drexel University  
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Michelle Lowry  
TD Bank Professor of Finance  
Department of Finance, Drexel University  
(215) 895-6070; ml3237@drexel.edu

Greg Nini  
Associate Professor of Finance  
Department of Finance, Drexel University  
(215) 571-4596; gpn26@drexel.edu