

# THOMAS P. GRIFFIN

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## EMPLOYMENT

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### Villanova University, Villanova School of Business

Associate Professor, Finance

August 2025 – Present

The Kenneth '84 and Pamela Roessler Assistant Professor, Finance

August 2021 – July 2025

Assistant Professor, Finance

August 2019 – July 2021

### University of Virginia, McIntire School of Commerce

Visiting Research Scholar, Finance

Fall 2022

## EDUCATION

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### Drexel University, LeBow College of Business

Ph.D., Finance

September 2014 – June 2019

### Villanova University

B.A., Economics

August 2009 – May 2013

## RESEARCH

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**Specialization:** Corporate Finance, Credit Markets, Restructuring, M&A, Corporate Governance

***Losing Control? The Two-Decade Decline in Loan Covenant Violations*** – with Greg Nini and David Smith. 2024. *Journal of Finance* Forthcoming.

Presentations: Fischer-Shain Center Research Conference\* (2022), American Finance Association Annual Meeting\* (2021), Northern Finance Association Annual Meeting (2020), European Finance Association Annual Meeting (2020), SFS Cavalcade North America (2020), Financial Management Association Virtual Meeting (2020), Washington University in St. Louis Corporate Finance Conference\* (2019), RCFS/RAPS Conference at Baha Mar\* (2019), Philly Five Conference\* (2019), Drexel University Corporate Governance Conference New Ideas Session (2019), City University of Hong Kong\* (2023), University of Michigan\* (2023), University of Kansas\* (2022), University of Chicago Booth Banking Workshop\* (2021), Federal Reserve Bank of Richmond\* (2021), Villanova Webinars in Financial Intermediation\* (2020), Syracuse University\* (2020), Queen's University\* (2020), University of Virginia\* (2019), Norwegian School of Economics\* (2019), OsloMet Business School\* (2019), UC Berkeley Workshop on Law and Economics\* (2019), University of Cincinnati\* (2019), Federal Reserve Board of Governors\* (2019)

***Where Have All the IPOs Gone? Trade Liberalization and the Changing Nature of U.S. Corporations***. 2023. *Journal of Financial and Quantitative Analysis* Forthcoming.

Presentations: Southern Finance Association Annual Meeting (2021), Financial Management Association Annual Meeting (2019), Drexel University (2019), Villanova University (2019), Babson College (2019)

***Shareholder Litigation Risk and the Information Environment: Revisiting Evidence from Two Natural Experiments*** – with Audra Boone and Eliezer Fich. 2023. *Journal of Corporate Finance* (82): 102444.

Presentations: American Law and Economics Association Annual Meeting (2018), U.S. Securities and Exchange Commission Ph.D. Symposium (2018), Financial Management Association Annual Meeting (2018), Corporate Finance Conference at the Manchester Business School\* (2018), Frankfurt School of Finance\* (2018), WHU Otto Beisheim School of Management\* (2018), University of New South Wales\* (2018), Monash University\* (2018), University of Technology Sydney\* (2018), Australian National University\* (2018), University of Melbourne\* (2018)

***Creditor Control of Corporate Acquisitions*** – with David Becher and Greg Nini. 2022. *Review of Financial Studies* 35(4): 1897-1932.

Presentations: Western Finance Association Annual Meeting (2019), Financial Intermediation Research Society Conference\* (2019), Texas A&M Young Scholars Finance Consortium (2018), Cass M&A Research Centre Conference\* (2018), Midwest Finance Association Annual Meeting (2018), Eastern Finance Association Annual Meeting (2018), Philly Five Conference\* (2018), Northern Finance Association Annual Meeting (2017), Federal Reserve Bank of Philadelphia\* (2019), University of Mississippi\* (2019), Norwegian School of Economics\* (2018), American University\* (2018), Lehigh University\* (2018)

***Workplace Discrimination Lawsuits Against U.S. Public Corporations*** – with Casey Dougal and Irena Hutton. 2024. Working Paper.

Presentations: University of Washington “Fostering Inclusion” Conference (2024), Baruch College\* (2024), Financial Intermediation Research Society Conference (2023), UNC Kenan-Flagler Finance PhD Alumni Conference\* (2023), American Law and Economics Association Annual Meeting\* (2023), European Association of Law and Economics Annual Meeting\* (2023), Conference on Empirical Legal Studies (2022), Financial Management Association Annual Meeting (2022), Philly Five Conference New Ideas Session (2021), Florida State University\* (2021)

***Regulatory Burden and M&A Activity*** – with Eliezer Fich and Joseph Kalmenovitz. 2024. Working Paper.

Presentations: Bretton Woods Accounting and Finance Conference (2024), Bayes M&A Research Centre Conference (2022)

***How Well Do Financial Covenants Detect Distress?*** – with Greg Nini and David Smith. 2024. Working Paper.

Presentations: Miami University Finance and Real Estate Conference (2025), Harvard-Wharton Insolvency and Restructuring Conference (2024)\*, Auburn University (2024)\*

\* Indicates presentation by coauthor

## **TEACHING**

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### *Villanova University*

Intermediate Corporate Finance (Undergraduate) Fall 2019 – Present

Student evaluations, 20 sections: **4.85/5**

Corporate Valuation & Financial Strategy (MBA) Summer 2023, 2024

Student evaluations, 2 sections: **4.95/5**

Advanced Corporate Finance (MBA) Spring 2025

Student evaluations, 1 sections: **4.80/5**

Honors Business Scholars Seminar (Undergraduate) Spring 2024

Student evaluations, 1 section: N/A

### *Drexel University*

Programming Seminar for Incoming Doctoral Students (Ph.D.) Summer 2016, 2017, 2018

Student evaluations, 3 sections: N/A

Introduction to Finance (Undergraduate) Summer, Fall 2016

Student evaluations, 3 sections: **3.93/4**

## **SERVICE**

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### *Referee*

Journal of Finance, Journal of Financial Economics, Management Science, Journal of Financial and Quantitative Analysis, Journal of Financial Intermediation, Journal of Corporate Finance, Journal of Banking and Finance, Journal of Accounting and Public Policy, Quarterly Journal of Finance, Financial Review

### *Conference Program Committees*

Drexel University Corporate Governance Conference, 2020 – 2025

Northern Finance Association Annual Meeting, 2019 – 2025

Financial Management Association Annual Meeting, 2020 – 2025

Southern Finance Association Annual Meeting, 2021 – 2025

Eastern Finance Association Annual Meeting, 2020 – 2023

### *Conference Discussions*

Future of Financial Information Conference, 2024

Finance Down Under Conference, 2024

Midwest Finance Association Annual Meeting, 2018, 2023

Drexel University Corporate Governance Conference, 2023

Financial Management Association Annual Meeting, 2017, 2018, 2019, 2020, 2022

Northern Finance Association Annual Meeting, 2021

Southern Finance Association Annual Meeting, 2021

### University Service

Villanova School of Business Investment Banking Academy Curriculum Coordinator, 2021 – Present  
Villanova School of Business Mergers & Acquisition Society Faculty Advisor, 2023 – Present  
Villanova University Mission and Social Justice Committee, 2023 – Present  
Villanova University Fulbright Campus Committee Member, 2020 – Present  
Villanova University Institute for Teaching and Learning Faculty Mentor, 2023 – 2025  
Villanova School of Business Research Benchmarking Task Force, 2025  
Villanova Finance Department Faculty Recruitment Committee, 2024  
Villanova Finance Department Robert T. LeClair Seminar Series Organizer, 2023 – 2024  
Villanova School of Business Research with Societal Impact Task Force Member, 2022 – 2023  
Villanova School of Business First Year Experience Program Host, 2021 – 2023  
Villanova University New Student Orientation Program Faculty Partner, 2022  
Villanova University Match Program for First Year Students Faculty Research Mentor, 2021  
Villanova School of Business Building Advisory Committee Member, 2021  
Villanova School of Business FUN Committee Member, 2019 – 2021  
Drexel University STAR Scholar Mentor, 2018  
LeBow College of Business Dean Search Committee Member, 2018

### **HONORS AND AWARDS**

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Fellow, Center for Corporate Governance at Drexel University, 2019 – Present  
Villanova University UNITAS Faculty Research Award, 2022  
LeBow College of Business Clark Outstanding PhD Research Award, 2019  
LeBow College of Business Provost Fellowship, 2014 – 2018  
Drexel Graduate College Teaching Excellence Award, 2017  
American Finance Association Doctoral Student Travel Grant, 2016  
Omicron Delta Epsilon Frank W. Taussig Undergraduate Research Award, 2013  
Villanova University Adam Smith Outstanding Undergraduate Research Award, 2013  
Villanova University Medallion for Excellence in Economics, 2013