• Continuous pdf:

$$f_X(x): \mathcal{X} \to [0, \infty), f_X(x) = \lim_{\epsilon \to 0} \frac{1}{\epsilon} \cdot \Pr[x \le X \le x + \epsilon]$$

• Continuous cdf:

$$F_x(x) := \Pr[X \le x]$$
 where  $\Pr[a \le X \le b] = \int_a^b f_x(x) dx$ 

• Uniform distribution on  $\mathcal{X} = [u, v]$ :

$$f_X(x) = 1/(v-u)$$
 for  $x \in \mathcal{X}$ 

$$f_X(x) = (2\pi)^{-1/2} \exp(-x^2/2)$$

• Dirac delta property:

$$\int_{-\infty}^{\infty} dx \, b(x) \delta(x - a) = b(a)$$

• Expectation value of g(X) where  $X \in \mathcal{X}$ :

$$\mathbb{E}[g(X)] = \sum_{x \in \mathcal{X}} \Pr[X = x] g(x)$$
 , k'th moment of  $X = \mathbb{E}[X^k]$ 

• Statistical distance of  $X, Y \in \mathcal{X}$ :

$$\Delta(X,Y) = \frac{1}{2} \sum_{x \in \mathcal{X}} |\mathbb{P}(x) - \mathbb{Q}(x)|$$

• Covariance matrix *K*:

$$K_{i,j} = \mathbb{E}[X_i X_j] - \mathbb{E}[X_i] \cdot \mathbb{E}[X_j]$$

Zero covariance ( $K_{1,2} = K_{2,1} = 0$ ) does not imply  $X_1$  and  $X_2$  are

• Marginal distribution for X when  $(X,Y) \sim \mathbb{P}$ :

$$\Pr[X = x] = \sum_{y} \mathbb{P}(x, y)$$

• Conditional probability for 
$$(X,Y) \sim \mathbb{P}$$
: 
$$\Pr[X = x | Y = y] = \frac{\Pr[X = x, Y = y]}{\Pr[Y = y]} = \frac{\mathbb{P}(x,y)}{\mathbb{P}_2(y)}$$

• (Shannon) Entropy rules:

- 1. Additivity: inf of a set of indep. RVs must be the sum of indiv.
- 2. Sub-additivity: Total inf. content of two jointly distrib. RVs cannot exceed sum of seperate infs.
- 3. Expansibility: Adding extra outcome of prob. 0 does not affect
- 4. **Normalization**: The distrib (1/2, 1/2) has inf. of 1 bit.
- 5. The distrib (p, 1-p) for  $p \to 0$  has zero inf.

#### • Shannon entropy:

Lower bound on the avg length of the shortest desc of X.

$$H(X) = \sum_{x \in \mathcal{X}} p_x \log_2 \frac{1}{x}$$

$$H(X) = \sum_{x \in \mathcal{X}} p_x \log_2 \frac{1}{p_x}$$
  

$$H(X, Y) = \sum_{x \in \mathcal{X}} \sum_{y \in \mathcal{Y}} p_{xy} \log_2 \frac{1}{p_{xy}}$$

• Renyi entropy:

$$H_{\alpha}(X) = \frac{-1}{\alpha - 1} \log \sum_{x \in \mathcal{X}} p_x^{\alpha}$$

- Binary entropy function: 2 outcomes with prob. p and 1 p:  $h(p) = p \log \frac{1}{p} + (1-p) \log \frac{1}{1-p}$

• Differential entropy for continuous RV 
$$X \sim \rho$$
:  $h_{\text{diff}}(X) = -\int \mathrm{d}x \, \rho(x) \log \rho(x) = \mathbb{E}_x \log \frac{1}{\rho(x)}$ 

• Relative entropy (Kullback-Leibler distance):

$$D(\mathbb{P}||\mathbb{Q}) = \sum_{x \in \mathcal{X}} \mathbb{P}(x) \log \frac{\mathbb{P}(x)}{\mathbb{Q}(x)}$$

• Entropy of jointly distrib. RVs: H(X,Y) or H(XY):  $H(X,Y) = \sum_{x \in \mathcal{X}} \sum_{y \in \mathcal{Y}} p_{xy} \log \frac{1}{p_{xy}}$ 

• Conditional entropy:

$$H(X|Y) = \mathbb{E}_y[H(X|Y=y)] = -\sum_{x \in \mathcal{X}} p_x \sum_{y \in \mathcal{Y}} p_{x|y} \log p_{x|y}$$
  
$$H(X|Y) = H(X,Y) - H(Y)$$

• Mutual information:

$$I(X;Y) = H(X) - H(X|Y) = H(Y) - H(Y|X)$$

$$\mathbf{I}(X;Y) = H(X,Y) - H(X|Y) - H(Y|X)$$

$$\mathbf{I}(X;Y) = H(X) + H(Y) - H(X,Y)$$

$$\mathbf{I}(X;Y|Z) = \mathbb{E}_z \mathbf{I}(X|Z=z;Y|Z=z)$$

• Min entropy:

$$H_{\min}(X) = -\log \max_{x \in \mathcal{X}} p_x = -\log p_{\max}$$

$$H_{\min}(X|Y) = -\log \mathbb{E}_y \max_{x \in \mathcal{X}} p_{x|y}$$

$$H_{\min}(X|Y) = -\log \mathbb{E}_y \max_{x \in \mathcal{X}} p_x$$

## • Linear binary codes:

Maps k-bit msg x to n-bit (n > k) codeword  $c_x \in C$ . Perceived string  $z = c_z \oplus e$ . Minimum distance of code: d = $\min_{c,c'\in\mathcal{C}} \operatorname{HammingWeight}(c\oplus c')$ . Receiver determines which  $c_{\hat{x}}$ is closest to z and decodes it into  $\hat{x}$ . Error correcting capability  $t = \lfloor \frac{d-1}{2} \rfloor$ .

• Generator (G is  $k \times n$ ) and parity check (H is  $(n - k) \times n$ ) matrix:  $c_x = xG$ .  $G = (\mathbf{1}_k | A)$ .  $H = (-A^T | \mathbf{1}_{n-k})$ .  $GH^T = 0$ ,  $cH^t = 0$ . All k rows of G are linearly independent.

• Syndrome decoding ( $s(z) \in \{0,1\}^{n-k}$ ):  $s(z) = zH^T = (c_x + e)H^T = eH^T$ 

$$S(z) = z\Pi - (c_x + e)\Pi - e\Pi$$
  
Syndrome depends only on the error pattern, not on the message.

• Hamming bound: Binary code of length n that can correct t errors:  $2^k \le 2^n / \sum_{j=0}^t {n \choose j}$ . Approx  $\log n$  bits of redundancy per bit error.

## • Channel capacity:

Inf. content error free:  $k \leq \mathbf{I}(C; Z)$ BSC capacity (per bit):  $\frac{k}{n} \leq \mathbf{I}(C_j; Z_j) = H(Z_j) - H(Z_j|C_j)$ This is called the BSC code rate: BSC CODE RATE  $\leq 1 - h(\epsilon)$ 

Following the rule of thumb:  $h(\epsilon) = -\epsilon \log \epsilon + \mathcal{O}(\epsilon)$ • Uniformly random bits from continuous source:

# • Randomness sources:

**TODO** 

Ring oscilators (odd number of inverters causes jitter in period, gaussian), noisy resistors (no voltage applied, noise amplitude gaussian distribution), radioactive decay (poisson)

## • The von Neumann alg:

Given  $(b_1, b_2)$ , if  $b_1 = b_2$  then no output, else output  $b_1$ .

# • Piling-up lemma:

Let  $X_1,...,X_n \in \{0,1\}$  be independent with biases  $\Pr[X_i = 1]$  –  $\Pr[X_i = 0] = \alpha_i$ . Construct  $Y = X_1 \oplus X_2 \oplus ... \oplus X_n$ . The bias of Y is  $\Pr[Y=1] - \Pr[Y=0] = (-1)^{n-1} \prod_{i=1}^n \alpha_i$ . Thus by xoring many bits together the bias gets reduced.

#### Resilient function:

A function  $\Psi: \{0,1\}^n \to \{0,1\}^m$  is (n,m,t)-resilient of, for any tcoords  $i_1, ..., i_t \in [n]$ , any  $a_1, ..., a_t \in \{0, 1\}$  and any  $y \in \{0, 1\}^m$  it holds that:  $\Pr[\Psi(X) = y | x_{i_1} = a_1, ..., x_{i_t} = a_t] = 2^{-m}$ i.e.: Knowledge of t values of the input does not give inf. that would help in guessing the output. ECC example ([n, k, d] code):  $\Psi: \{0,1\}^n \to \{0,1\}^k$ .  $\Psi = xG^T$ . Then  $\Psi$  is an (n,k,d-1)-resilient

• Strong extractor Ext :  $\{0,1\}^n \times \{0,1\}^* \to \{0,1\}^l$ :

Takes n-bit string X and randomness R and outputs an l-bit string (l < n). Z = Ext(X, R). Ext is a strong extractor for source minentropy m, output length l and nonuniformity  $\epsilon$  if for all distrib of X with  $H_{\infty}(X) \geq m$  it holds that  $\Delta(ZR; U_lR) \leq \epsilon$ .  $U_l$  is an RV uniform on  $\{0,1\}^l$ . Also true:  $\mathbb{E}_r \Delta(Z|R=r;U_l) \leq \epsilon$ 

• Extractable randomness:

**TODO** 

## • Universal hash functions:

Let  $\mathcal{R}$ ,  $\mathcal{X}$  and  $\mathcal{T}$  be finite sets. Let  $\{\Phi_r\}_{r\in\mathcal{R}}$  be a family of hash functions from X to T. The family is called universal iff, for R drawn uniformly from  $\mathcal{R}$ , it holds that:  $\Pr[\Phi_R(x) = \Phi_R(x')] \leq 1/|\mathcal{T}|$ . It's called  $\eta$ -almost universal if it holds that:  $\Pr[\Phi_R(x) = \Phi_R(x')] \leq \eta$ (for all  $x, x' \in \mathcal{X}$  with  $x \neq x'$ ).

# • Leftover hash lemma:

TODO

#### • Noisy broadcast channel and no return channel:

Secret capacity  $C_s$  of the broadcast channel  $P_{YZ|X}$  can be bounded:  $C_s(P_{YZ|X}) \ge \max_{P_X} [\mathbf{I}(X;Y) - \mathbf{I}(X;Z)] = \max_{P_X} [H(X|Z) - \mathbf{I}(X;Z)]$ H(X|Y)]. Condition: if Eve's reception quality is better than Bob's  $(\mathbf{I}(X;Y) < \mathbf{I}(X;Z))$  then the secrecy capacity is zero. Secrecy capacity of BSC with error rates  $\epsilon$  and  $\delta$  is:  $h(\delta) - h(\epsilon)$  if  $\delta > \epsilon$ , and 0 otherwise.

## • Noisy broadcast channel plus public return channel:

 $\hat{C}_s(P_{Y|Z|X}) \le \min\{\max_{P_X} \mathbf{I}(X;Y), \max_{P_X} \mathbf{I}(X;Y|Z)\}$   $\hat{C}_s(\epsilon, \delta) = h(\epsilon * \delta) - h(\epsilon).$ 

#### • Satellite scenario:

TODO

# • PUF types and their properties:

General properties:

- The object can be subjected to a large number of diff challenges that yield an unpredictable response
- The object is very hard to clone physically
- Mathematical modeling of the challenge-response physics is very difficult
- Opaqueness: It is hard to characterize the physical structure of the object in a non-destructive way.

## Types:

- Coating PUF: random layor of conductors and insulators: probes result in binary string. Used for secure key storage.
- Optical PUF: 3D optical structure produces speckle pattern.
   Challenge: props of laser beam: angle of incidence, focal dist.
- Silicon PUF: variations in IC from manufacturing. Challenge: pulsed time signal to certain part. Response: delay times of various wires and logic devices.
- SRAM PUF: Undefined state of RAM cells. Challenge: memory address, response: returned start-up values.
- Randomly positioned glass fibers: Challenge: ordinary beam of light lighting up part of the layer. Response: Certain fibers light up.

Uncontrolled PUF: reader interacts directly with PUF structure, trusted reader. Controlled PUF (CPUF): interaction through a *control layer*, PUF and *cl* are bound together, seperation will damage the PUF. Result: attacker has no direct access to PUF. Example: secure key storage where control layer performs zk-protocol to prove knowledge of the key (called *Physically Obscured Key (POK)*).

#### • PUF math:

Information revealed by a noisy measurement outcome U' where  $m \in \mathcal{M}(m(K) = U)$ :  $\mathbf{I}(U'; K) = \mathbf{I}(U'; U)$ . Noiseless case:  $\mathbf{I}_m$ 

Meassurable entropy of PUF (space K and M):  $\mathbf{I}_{\mathbb{P}M}^{\text{meas}} = \max_{m \in \mathcal{M}} H(m(k))$ 

Security param of bare PUF: min num of C-R meassurements required to reveal all measurable info of the PUF:  $S_{\mathbb{P}\mathcal{M}_0}$ 

#### • Fuzzy extractor:

Gen and Rep algorithms.  $(S_x, W_x) = Gen(X)$ .  $S'_x = Rep(X', W_x)$  Must satisfy the following properties:

- Correctness: The prob that  $S'_x = S_x$  must be close to 1.
- Security: The RV  $S_x$  must be close to uniform, given knowledge of  $W_x$ .

#### • **Secure Sketch** (for discrete src space $\mathcal{X}$ ):

 $SS: x \mapsto w_x$ ,  $Rec: (x', w_x) \mapsto \hat{x}$  with:

- Correctness: The prob that  $\hat{X} = X$  must be close to 1.
- **Security**: X given  $W_X$  must have high entropy.

#### • When to use FE and SS:

FE: reliably extract a cryptographic key from noisy data. SS: reliably extract a string with sufficient (min-)entropy. Easier to construct SS than FE, in general: SS extracts more (min-)entropy than a FE from the same source.

#### • Code offset method (COM):

Enroll (Gen):  $s \in \{0,1\}^k$ ,  $c_s = Enc(s)$ .  $w = c_S \oplus x$ . Output s as secret and w as helper data. Reconstruct (Rep):  $\hat{s} = Dec(x' \oplus w)$ .

## • Zero leakage FE (for continuous RV) based on partitions

 $\Pr[S=s|W=w]=1/n$ . Enroll: determine which partition the meassured val x is located in:  $\mathcal{A}_{ij}$ . Set  $s_x=i$ ,  $w_x=j$ . Reconstruct: X' is meassured, determine for which s' the interval  $\mathcal{A}_{s',w_x}$  is closest to x'. This s' is the reconstructed key.  $H_{\infty}(S|W=w)=H_{\infty}(S|W)=\log n$ .

#### Helper data schemes for specific PUF types: TODO

## • Distance bounding principles (and fraud types):

**Mafia fraud**: challenge is relayed to different location where a legit. device is tricked into giving a response, response is relayed back to verifier. **Terrorist fraud**: legit. device cooperates with attacker, does not have to follow protocol, can share everything except long-term auth secrets.

(Light travels about 300m every  $\mu s$ ). If a device repeatedly correctly respons to an *unpredictable* challenge within time  $\Delta t$ , then the location where the response is computed cannot be further away than  $x=c\Delta t/2$ . Max time for resp. to arrive:  $t_{\rm max}=2\frac{x_{\rm max}}{c}+t_{\rm slack}$ .

## • Brands-chaum protocol:

commit, rapid bit phase, sign phase. No link between phases, mafia not possible (timing measured), terrorist is possible.

## • Swiss knife protocol

Rapid phase uses  $R0_i = Z0_j$  and  $R1_i = Z1_j$  to determine response  $r_i$ .  $Z1 = Z0 \oplus x$  so attacker cannot perform rapid phase without knowledge of the key. Therefore terrorist and mafia are not possible. **Analog impl.** Similar to swiss knife but with LP and HP filters.

## • Linear algebra:

Complex conjugate of a+bi is a-bi. conjugate of  $\rho e^{i\phi}$  is  $\rho e^{-i\phi}$ . Hermitian conjugate of a complex number is its complex conjugate:  $a^\dagger=a^*$ . On a matrix: Hermitian conjugate is transpose followed by complex conjugate. The Hermitian conjugate of  $|\psi\rangle$  is  $\langle\psi|$ .

# • Quantum stuff:

#### Meassurement destroys state information.

Time evolution of a quantum system can be represented as a unitary operator acting on a starting space. A unitary op U is defined as:  $UU^\dagger=\mathbf{1}$  and  $U^\dagger U=\mathbf{1}$ . Norm of a vec is preserved: For  $|\psi'\rangle=U\,|\psi\rangle$ , then the norm is  $\langle\psi'|\psi'\rangle=\langle\psi|U^\dagger U|\psi\rangle=\langle\psi|\psi\rangle$ .

Tensor product: 
$$\begin{pmatrix} \alpha \\ \beta \end{pmatrix} \otimes \begin{pmatrix} \gamma \\ \delta \end{pmatrix} = \begin{pmatrix} \alpha \gamma \\ \alpha \beta \\ \beta \gamma \\ \beta \delta \end{pmatrix}$$
.

For qubits, tensor is omitted:  $|0\rangle \otimes |1\rangle = |01\rangle$ 

#### No cloning theorem:

Let  $\mathcal{H}_1$  and  $\mathcal{H}_2$  be two Hilbert spaces. let  $|\psi\rangle \in \mathcal{H}_1$  and  $|e\rangle \in \mathcal{H}_2$ , where e is known and  $\psi$  is unknown. Then there does not exist a unitary operator  $U_e$  acting on  $\mathcal{H}_1 \otimes \mathcal{H}_2$  satisfying  $U_e |\psi\rangle \otimes |e\rangle = |\psi\rangle \otimes |\psi\rangle$  for all  $\psi$ 

#### • Quantum readout of PUFs:

One round of the protocol:

- 1. The verifyer choses a random challenge  $\psi$ . He prepares a particle in state  $|\psi\rangle$  and sends the particle to the prover.
- 2. The prover lets the particle interact with the PUF. This results in a state  $|\omega\rangle=R\,|\psi\rangle$ . He sends the particle back to the verifyer.
- 3. The verifier does a measurement  $|\omega\rangle\langle\omega|$  on the particle. If the outcome is 1 then the prover has passed this round.