

## DFT calculations for fcc silicon using VASP

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Steps involved in performing a DFT simulation for silicon using VASP:

1. **Structure optimization:** First, we need to optimize the crystal structure of silicon using VASP. The input files required for this step are the crystal structure file (e.g. a .cif or .xsd file) and the INCAR file, which contains the calculation parameters. Here is an example of an INCAR file for structure optimization:

```
SYSTEM = Si
ISTART = 0
IBRION = 2
ISIF = 3
ENCUT = 400
EDIFF = 1E-06
NELM = 100
ALGO = Normal
```

In this file, SYSTEM is the name of the system, ISTART specifies whether to start from scratch (0) or read from a previous calculation (1), IBRION specifies the optimization algorithm (2 means conjugate gradient), ISIF specifies which degrees of freedom to optimize (3 means all lattice parameters and atomic positions), ENCUT is the plane wave energy cutoff, EDIFF is the energy convergence criterion, NELM is the maximum number of electronic optimization steps, and ALGO is the optimization algorithm.

2. **Electronic structure calculation:** After the structure optimization, we need to perform an electronic structure calculation to obtain the band structure and density of states (DOS) of silicon. The input files required for this step are the optimized structure file (POSCAR), the INCAR file, the KPOINTS file, which specifies the k-point mesh, and the POTCAR file, which contains the pseudopotentials for the elements. Here is an example of an INCAR file for an electronic structure calculation:

```
SYSTEM = Si
START = 0
IBRION = -1
ENCUT = 400
ISMear = 0
SIGMA = 0.1
NELM = 100
ALGO = Normal
LREAL = Auto
```

In this file, ISTART is set to 0 to start a new calculation, IBRION is set to -1 to turn off structure optimization, ENCUT is the plane wave energy cutoff, ISMEAR is the smearing method for the Fermi-Dirac distribution (0 means no smearing), SIGMA is the width of the smearing function, NELM is the maximum number of electronic optimization steps, ALGO is the optimization algorithm, and LREAL is set to Auto to use the default real-space projection method.

**Here are the details of the input files required for DFT simulations of silicon using VASP:**

1. **INCAR file:** The INCAR file contains the calculation parameters for the DFT simulation. Here is an example of an INCAR file for a DFT simulation of silicon using VASP:

```
SYSTEM = Si
ENCUT = 500
ISMEAR = 0
SIGMA = 0.01
IBRION = 2
ISIF = 3
NSW = 200
NELM = 100
LREAL = Auto
EDIFF = 1E-06
EDIFFG = -0.01
```

In this file, SYSTEM is the name of the system, ENCUT is the plane wave energy cutoff in eV, ISMEAR is the smearing method for the Fermi-Dirac distribution (0 means no smearing), SIGMA is the width of the smearing function in eV, IBRION is the optimization algorithm (2 means conjugate gradient), ISIF specifies which degrees of freedom to optimize (3 means all lattice parameters and atomic positions), NSW is the maximum number of ionic optimization steps, NELM is the maximum number of electronic optimization steps, LREAL is set to Auto to use the default real-space projection method, EDIFF is the energy convergence criterion for electronic optimization, and EDIFFG is the energy convergence criterion for ionic optimization.

2. **KPOINTS file:** The KPOINTS file specifies the k-point mesh used for the DFT simulation. Here is an example of a KPOINTS file for a DFT simulation of silicon using VASP:

```
Automatic mesh
0
Gamma
8 8 8
0 0 0
```

In this file, the first line specifies an automatic k-point mesh, the second line specifies the number of k-points to generate (0 for automatic), the third line specifies the k-point coordinate

of the reference point (Gamma), the fourth line specifies the k-point mesh dimensions (8 8 8), and the fifth line specifies the k-point coordinate offset from the reference point (0 0 0).

3. **POSCAR file:** The POSCAR file contains the crystal structure information for the DFT simulation. Here is an example of a POSCAR file for a DFT simulation of silicon using VASP:

```
Silicon
1.0
5.43 0.00 0.00
0.00 5.43 0.00
0.00 0.00 5.43
Si
8
Direct
0.000000 0.000000 0.000000
0.000000 0.500000 0.500000
0.500000 0.000000 0.500000
0.500000 0.500000 0.000000
0.250000 0.250000 0.250000
0.250000 0.750000 0.750000
0.750000 0.250000 0.750000
0.750000 0.750000 0.250000
```

In this file, the first line specifies the system name (Si), the second line specifies the lattice constant scaling factor (1.0), the next three lines specify the lattice vectors of the unit cell, and the following lines specify the atomic species and positions in the unit cell. In this example, there are 8 Si atoms in the unit cell, and their positions are specified in fractional coordinates (Direct).

4. **POTCAR file:** To use VASP, a licensed software that isn't free, you must obtain your own POTCAR files since they aren't provided with the software. You can acquire these files from the VASP website by using your license number, but only authorized individuals are allowed to do so. To determine the most appropriate POTCAR file for your material simulation, you can refer to the selection criteria provided here:

[https://www.vasp.at/wiki/index.php/Available\\_PAW\\_potentials](https://www.vasp.at/wiki/index.php/Available_PAW_potentials).

**NOTE:** The KPOINTS file specifies the type of k-point sampling and the number of k-points along each direction in the Brillouin zone. There are different methods of generating k-points, including:

- Monkhorst-Pack method: This method generates k-points on a regular grid with equal spacing between adjacent points. The number of k-points in each direction is specified in the KPOINTS file.
- Gamma-centered method: This method generates k-points that are symmetrically distributed around the Gamma point in the Brillouin zone. The number of k-points in each direction is specified in the KPOINTS file.
- Automatic method: This method generates k-points automatically based on the size of the unit cell and the desired spacing between k-points. The spacing is specified in the KPOINTS file.