

# Convolutional neural networks

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- 1 Introduction
- 2 Application of fully-connected networks to image classification
- 3 From fully-connected layers to convolutional layers
- 4 Building convolutional networks
- 5 Practical considerations
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# A picture is worth a thousand words

## Definition

- Classically, an image is a matrix of values belonging to  $[0, \dots, 255]$  (grey level images) or to  $[0, \dots, 255]^3$  (color images).
- More generally, an image is a  $q$ -dimensional array of values belonging to  $R^d$ .

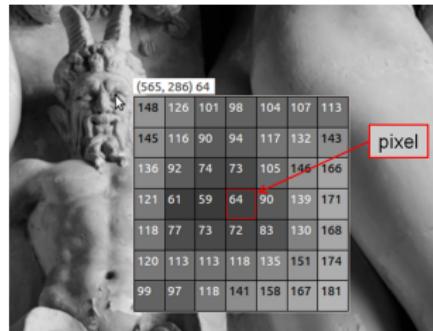


Figure: Grey level values around the left eye of the faun.

Designing computer vision systems that are able to extract semantic information from an image is a difficult task. How can we build systems that extract meaning from an image?

# Extracting semantic information from an image

- Where is the phone?  
(localization task)
- How many mugs are there?  
(quantification task)
- Is there a window in the room?
- At what time of the day was the photograph taken?



## MNIST database [Lecun et al., 1998]

- The Modified National Institute of Standards and Technology (MNIST) database contains 60 000 training images of hand-written digits, and 10, 000 test images.
- Image size:  $28 \times 28$
- It has been used since 1998
- Human performance on a similar database (NIST) is reported to be around 1.5% error [Simard et al., 1993]
- Best methods, based on convolutional neural networks, give around 0.21% test error.

## MNIST database



Figure: Images from MNIST assembled by Josef Stepan (licensed under CC BY-SA 4.0)

# Pascal VOC project [Everingham et al., 2010, Everingham et al., 2014]

This project organized a challenge from 2005 to 2012, divided into several tasks, including an image classification task.

## Pascal VOC image classification task (2012)

Train/val: 11 540 images where the presence of 20 categories of objects was annotated. The test dataset is unknown and tests are run online (still available).

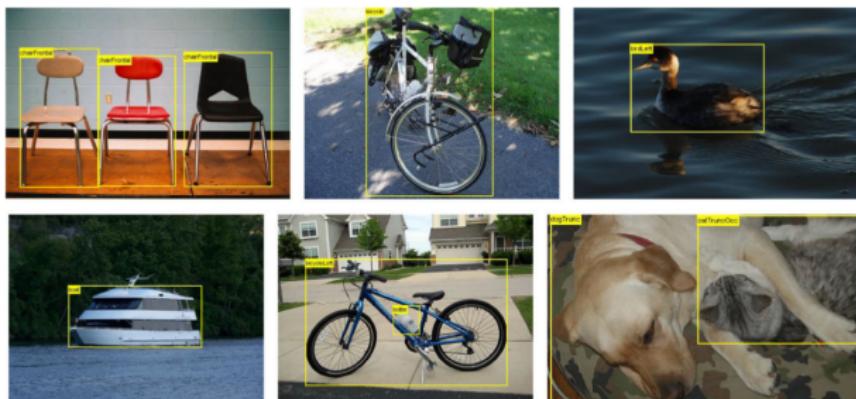


Figure: From [Everingham et al., 2014]

# ImageNet project

Since 2010, ImageNet organizes an annual challenge: The ImageNet Large Scale Visual Recognition Challenge (ILSVRC), that constitutes a breakthrough in the design of image analysis challenges by its size.

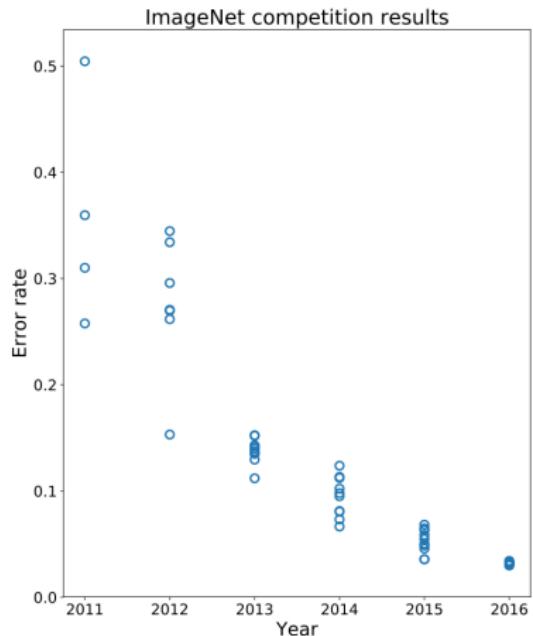
## Image classification task (since 2012)

- Training: 1 281 167; validation: 50 000; test: 100 000.
- 1 000 classes (90 dog breeds!).

# ImageNet projet



Examples from the *acoustic guitar class*



Source: Wikipedia

## Image processing approach

- Build a mathematical model for the objects you are interested in
  - Implement this model using image processing operators
- 
- + This approach works correctly when the objects are not too complex.
  - If objects are difficult to model, machine learning methods can bring a solution.

## Classical machine learning approach

- Compute features from the image
  - Apply machine learning to those features
- 
- + Works well when you engineer the right features
    - An expert is required to define those features - and this can be a long process
    - Annotated data is required

# Deep learning approach

## Modern neural networks approach

- Directly take as input the image pixels
  - The network is supposed to build its own features
- 
- + Good (impressive!) results
  - A large amount of annotated data is required

## Some accomplishments

### ImageNet Large Scale Visual Recognition Challenge (ILSVRC)

2012: *AlexNet* [Krizhevsky et al., 2012] won this challenge by a large margin

The database contains more than 1 million training images, belonging to 1000 different classes (including 120 dog breeds!).

## Some accomplishments (cont.)

- 2011: first super-human performance, IJCNN 2011 traffic sign recognition contest [Cireşan et al., 2011]
- 2012: visual object detection (Mitosis detection in breast cancer histology [Cireşan et al., 2013])
- 2012: segmentation competition (neuronal membranes in electron microscopy images [Ciresan et al., 2012])
- 2016: AlphaGo beats Lee Sedol, one of the best go players, in a 5-game match

# Deep learning image applications

- classification
- object localization
- semantic segmentation
- instance segmentation
- transformation (filtering, in-painting, editing, colorization...)
- quantification
- compression
- image caption generation
- 2D to 3D (stereo matching, 3D reconstruction, ...)
- motion estimation
- Style transfer
- Anomalous image detection
- Image generation

# Convolutional neural networks in deep learning

- They are pivotal to many of the successes achieved by neural networks these recent years
- They are interesting for dealing with regular structured data, such as images (or board games!)

## Acronyms

Two acronyms are used for convolutional neural networks in the literature: *CNN* and *ConvNet*.

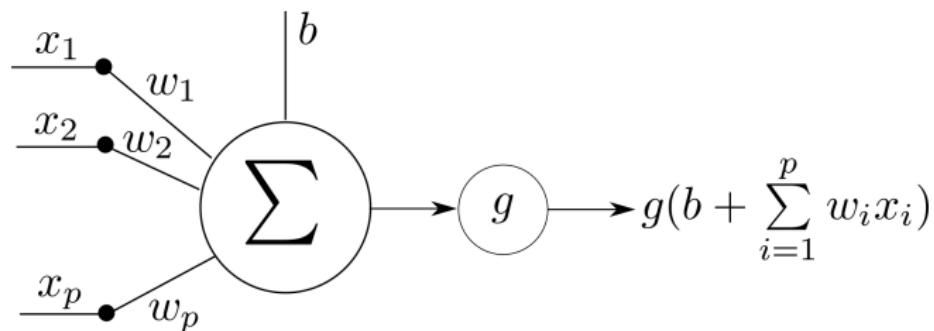
## Essential milestones

- 1979: Neocognitron (CNN architecture)  
[Fukushima, 1979, Fukushima, 1980]
- 1989: Backpropagation applied to CNNs [LeCun et al., 1989]
- 2006, 2010: GPU implementation  
[Chellapilla et al., 2006, Cireşan et al., 2010]

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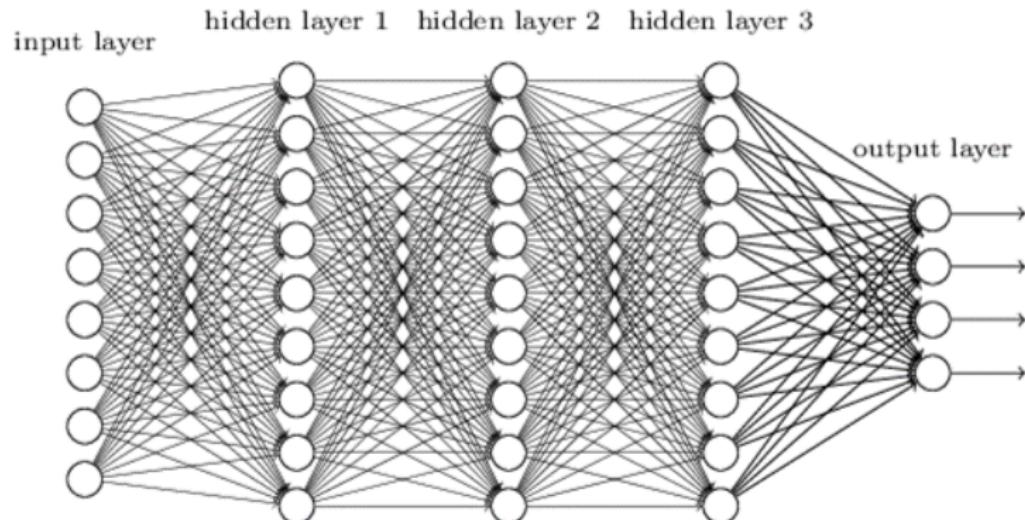
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## Artificial neuron



- $b, w_1, \dots, w_n$  are the neuron parameters, to be learnt
- $g$  is the activation or transfer function

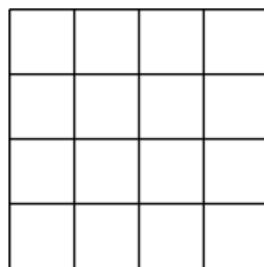
# Neural network



(from <http://www.jtoy.net>)

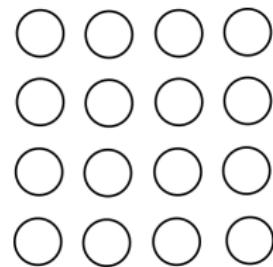
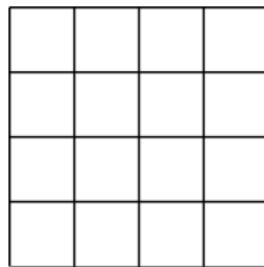
## Input image, input neurons

In the scalar case (single-valued images), each input pixel is considered as an input neuron.



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In the scalar case (single-valued images), each input pixel is considered as an input neuron.



# Image classification problem

Classification problem:

- Input: image  $\mathbf{x}$
- Output: class  $y \in \{label_1, label_2, \dots, label_q\}$

## Class coding

Often, classes are denoted by integers, but this is only a coding commodity. For instance, it would be meaningless to use a regression approach for this problem.

## Class coding

If there are  $q$  possible classes, then a class will be coded as a vector  $\mathbf{y}$  of length  $q$ . If its class is  $r$  then for  $0 \leq i < q$ :

$$\mathbf{y}[i] = \begin{cases} 1, & \text{if } i = r \\ 0, & \text{otherwise} \end{cases}$$

### Example with 4 classes

- Label 0  $\mapsto [1, 0, 0, 0]$
- Label 1  $\mapsto [0, 1, 0, 0]$
- Label 2  $\mapsto [0, 0, 1, 0]$
- Label 3  $\mapsto [0, 0, 0, 1]$

# Image classification with a neural network

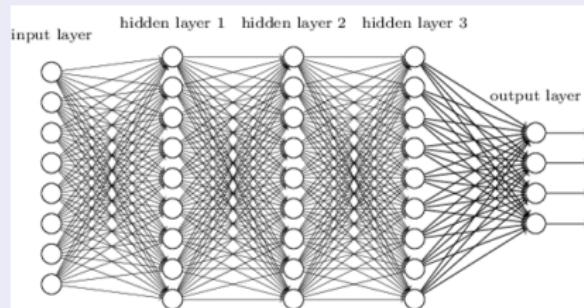
## Input

Scalar image is transformed into a vector of length  $p$ .

## Output

For  $q$  classes, the output will be a vector of length  $q$ .

Example: image of size  $4 \times 2$ , 4 possible classes



## Activation of the last layer

## Loss function for classification: cross-entropy

# Conclusion on fully-connected networks for image classification

Fully connected layers:

- scale badly to large size images
- do not take into account the local structure of images

Today:

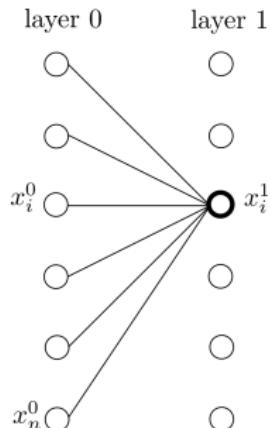
- Fully-connected networks are almost never used for image analysis.
- Fully-connected layers are only used in the middle (auto-encoders) or at the end (classification) of the pipeline.

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# Towards convolutional layers

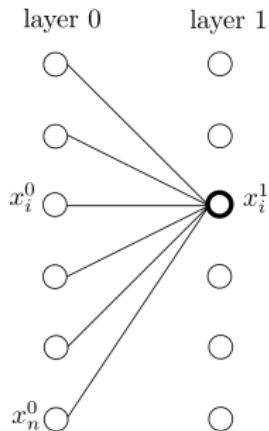
For illustration purposes, in the following slides images and filters will be displayed as rows of neurons - these can be seen as 1D arrays or as sections of 2D arrays.



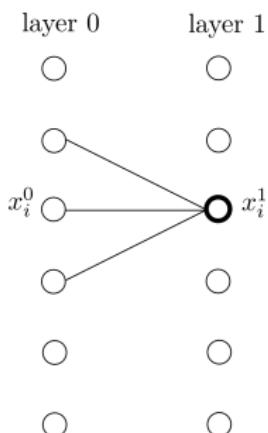
Fully connected  
layer:  $n(s + 1)$   
weights

# Towards convolutional layers

For illustration purposes, in the following slides images and filters will be displayed as rows of neurons - these can be seen as 1D arrays or as sections of 2D arrays.



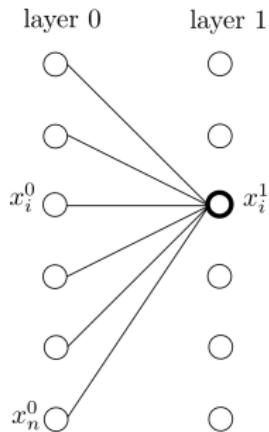
Fully connected  
layer:  $n(s + 1)$   
weights



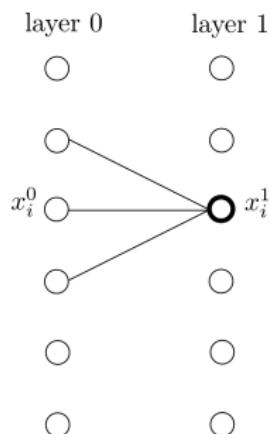
Locally conn. layer:  
 $n(s + 1)$  weights

# Towards convolutional layers

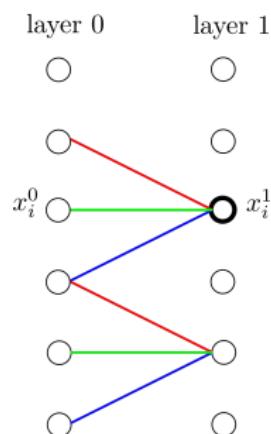
For illustration purposes, in the following slides images and filters will be displayed as rows of neurons - these can be seen as 1D arrays or as sections of 2D arrays.



Fully connected  
layer:  $n(s + 1)$   
weights



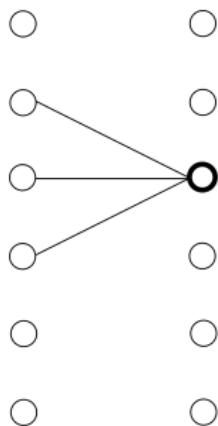
Locally conn. layer:  
 $n(s + 1)$  weights



Weight replication:  
 $s + 1$  weights

## Stride

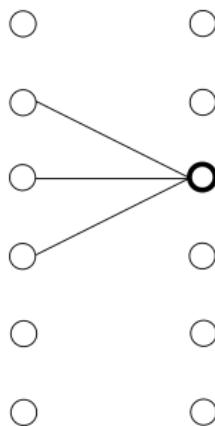
A convolutional layer can at the same time downsample the image by applying a sampling step, or *stride*.



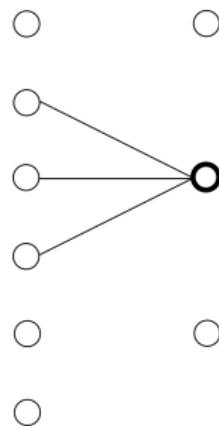
Stride 1

# Stride

A convolutional layer can at the same time downsample the image by applying a sampling step, or *stride*.



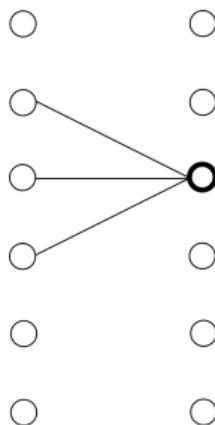
Stride 1



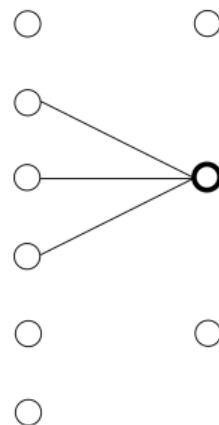
Stride 2

## Stride

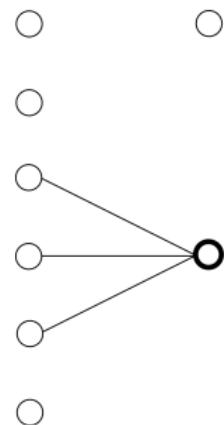
A convolutional layer can at the same time downsample the image by applying a sampling step, or *stride*.



Stride 1

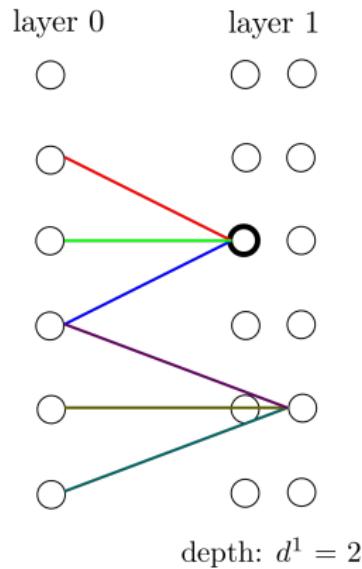


Stride 2

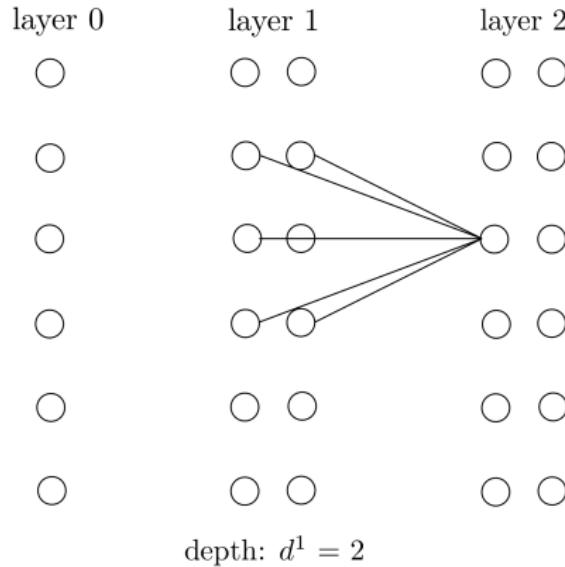


Stride 3

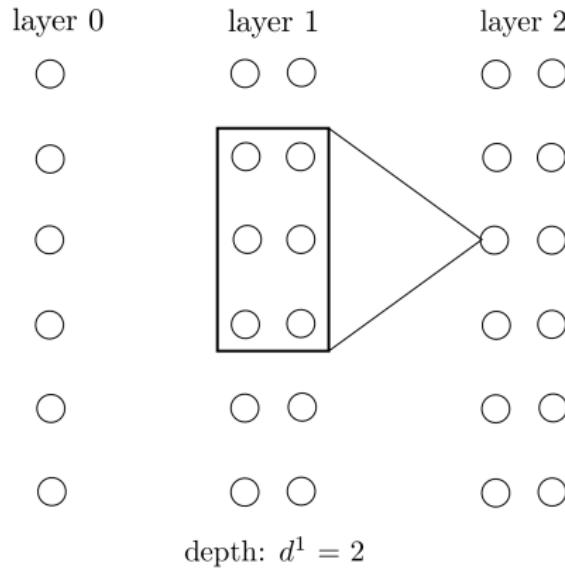
## Several filters in the same convolutional layer



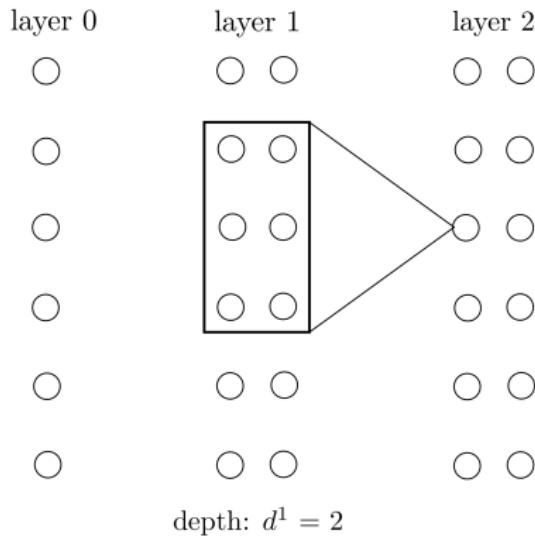
## Several filters in the same convolutional layer



## Several filters in the same convolutional layer

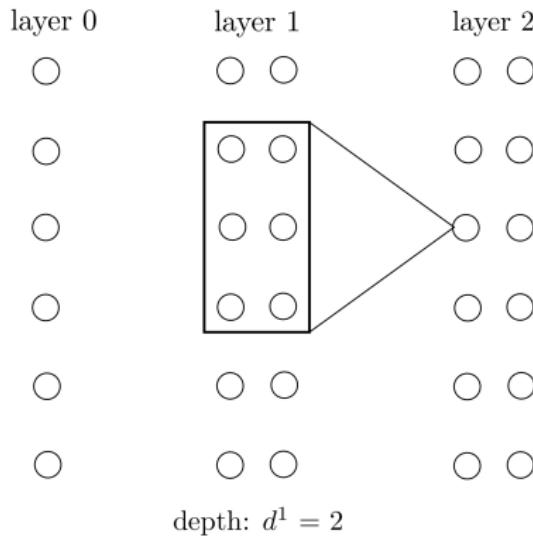


## Consequences on the parameter number



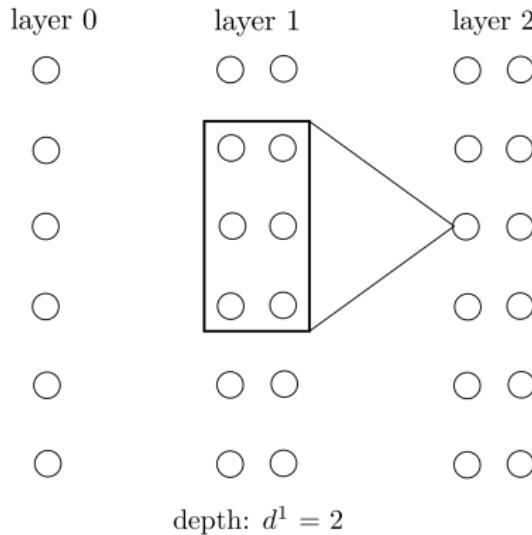
- How many parameters do we have in layer 1?

## Consequences on the parameter number



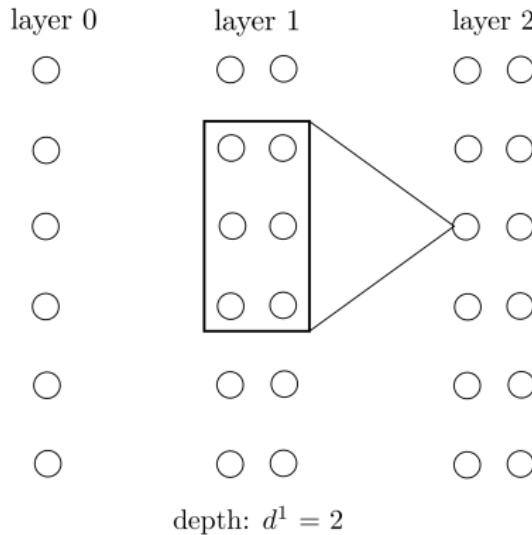
- How many parameters do we have in layer 1?
- $d^1 \times (s + 1)$

## Consequences on the parameter number



- How many parameters do we have in layer 1?
- $d^1 \times (s + 1)$
- In layer 2?

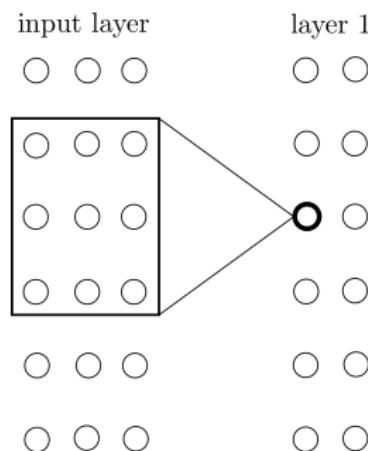
## Consequences on the parameter number



- How many parameters do we have in layer 1?
- $d^1 \times (s + 1)$
- In layer 2?
- $d^2 \times (d^1 \times s + 1)$

## Multi-valued images

An input image with  $p$  channels (for instance a colour image with 3 channels) can be represented by an input layer of depth 3



# 1D representations

## 2D representations

## Some properties

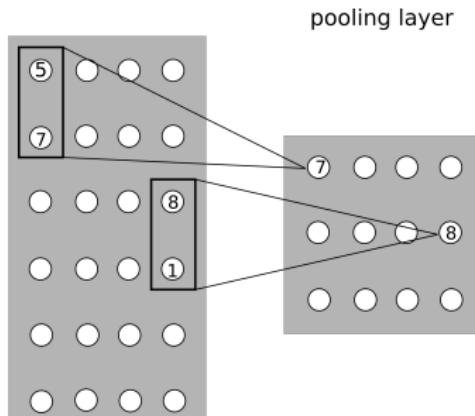
- Translation invariance
- Efficient implementation using matrix operations and Graphical Processing Units (GPUs)

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## Max pooling

- Convolutional networks often contain subsampling steps. The most popular way of doing this today is by using *max pooling* layers.
- Note however a current trend that consists in using convolutional layers with a stride of 2
- Sampling is only applied along the spatial dimensions, not along the dimension of the filters.



## Dimension reduction

## Decomposed convolutions

## Skip connections

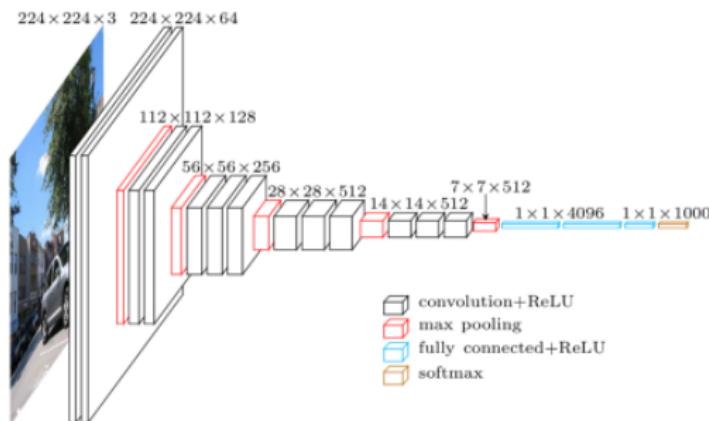
## Main components of a convolutional neural network

Many successful architectures, especially for image classification, follow the same pattern:

- ➊ Several iterations of: One or several convolutional layers, with increasing depth, followed by max pooling
- ➋ A few fully connected layers

# VGGnet

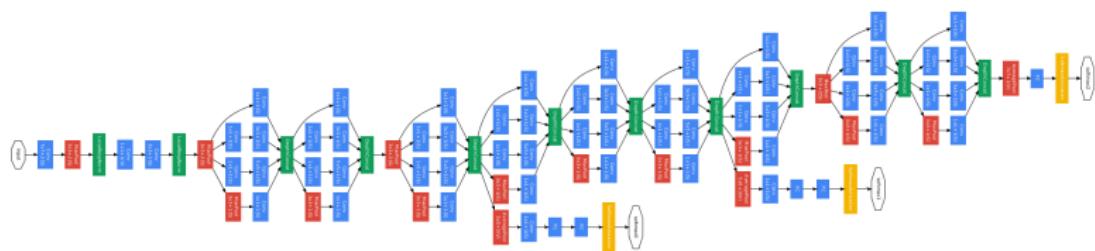
- Proposed by K. Simonyan and A. Zisserman from the University of Oxford [Simonyan and Zisserman, 2014]
- Runner-up in the ImageNet Large Scale Visual Recognition Competition (ILSVRC) in 2014.
- Number of parameters (VGG16): 138 million.



**Figure:** VGG16 (From <https://www.cs.toronto.edu/~frossard/post/vgg16/>)

## GoogLeNet (a.k.a. Inception v1)

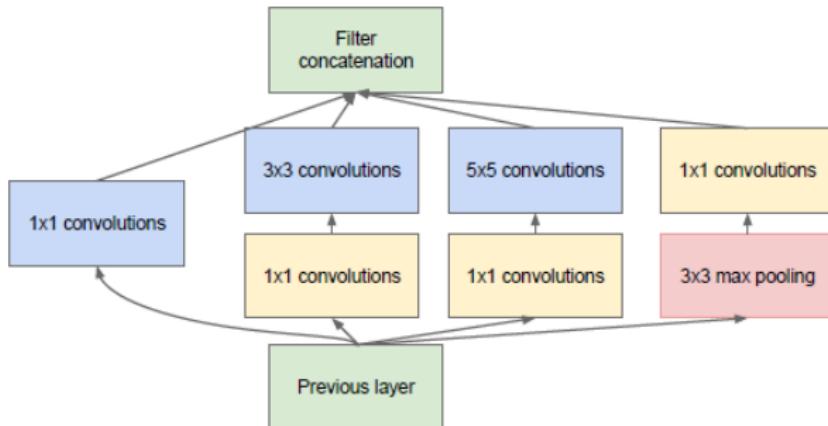
- Winner of the ImageNet Large Scale Visual Recognition Competition (ILSVRC) in 2014.
- Number of parameters: *only* 5 million.



**Figure:** From [Szegedy et al., 2014]

ResNet won the following year...

# Inception module



**Figure:** From [Szegedy et al., 2014]

# ResNet

- Winner of the ImageNet Large Scale Visual Recognition Competition (ILSVRC) in 2015.
- The authors tested up to 1202 layers. They reported no training difficulties, but overfitting [He et al., 2015]

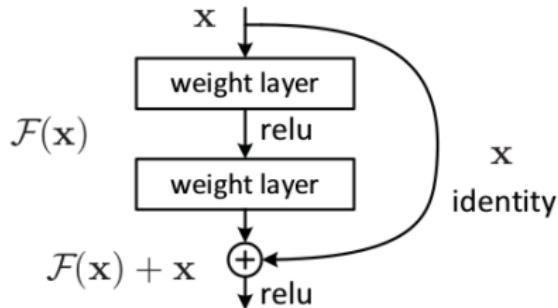


Figure: Residual learning block (from [He et al., 2015])

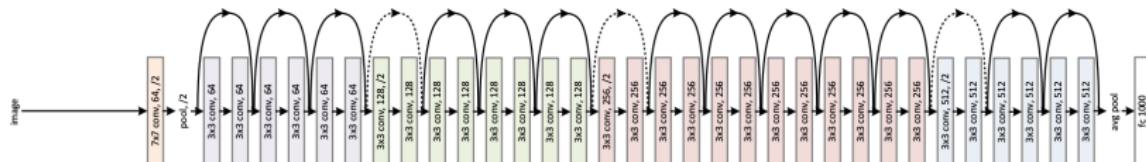


Figure: Residual network with 34 layers (from [He et al., 2015])

## Current trends

- Small convolutions ( $3 \times 3$ )
- Dimension reduction using  $1 \times 1$  convolutions
- Increasing number of layers
- Skip connections

VGG, GoogLeNet and ResNet (and their variants) are still among the most used architectures for image classification and other related tasks.

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## Designing a convolutional neural network

- Network architecture
- Hyper-parameter setting
- Optimization method and parameters

Learning, specially for complex networks, can be difficult. It is always time consuming.

## Using an existing network

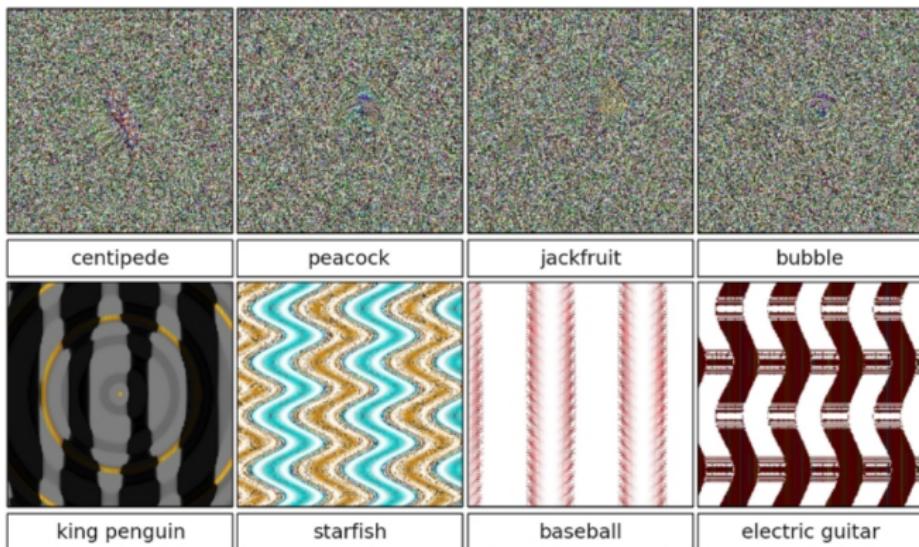
- Replicate network architecture and hyper-parameters
- Load weights

With standard libraries this is very simple. Prediction time is usually fast.

- One can also start with a standard model and adapt it to a new situation (we will talk about *domain adaptation* and *transfer learning* later).

# ConvNets can be fooled

Deep learning can produce astonishing results  
[Nguyen et al., 2015]...



# Main deep learning libraries

Deep learning is a very competitive domain, where code sharing is very common.

- Theano
- Tensorflow
- Torch, PyTorch
- Caffe
- Microsoft Cognitive Toolkit (previously known as CNTK)
- MatConvNet

## Keras

Keras is a very easy to use interface to Tensorflow, Theano and CNTK.

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## Visualizing at least what we don't understand

We do not fully understand today where the good performances of deep learning come from. But we can at least have a look at what is going on inside the networks.

- Neuron outputs (activations)
- Filter values
- “Important” pixels

# Maximal neuron activation

Which images maximally activate a given neuron?  
[Girshick et al., 2014]



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## A revolution in image analysis

- Deep learning has brought an undeniable break-through in image analysis (as in other fields)
- A significant part of research efforts in image analysis today is base on deep learning
- Its applications are ubiquitous

## Limitations

For a deep-learning solution to work, you need:

- Enough annotated data
- A lot of fiddling (different architectures; hyper-parameters; optimization)
- One (or, even better, several) powerful GPUs

Moreover, these models lack interpretability.

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