

*Afternoon*



# *Nondeterministic Finite Automata*

- ◆ *Definition*
- ◆ *Notation*
- ◆ *Construction*
- ◆ *Language of NFA*
- ◆ *Equivalence with DFA*

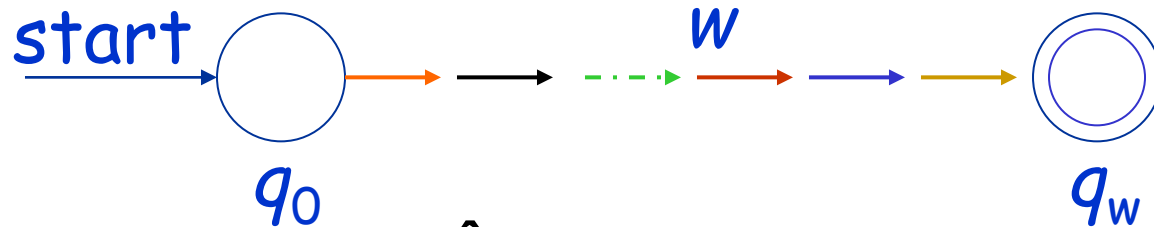


## Example 1

Construct a DFA to accept

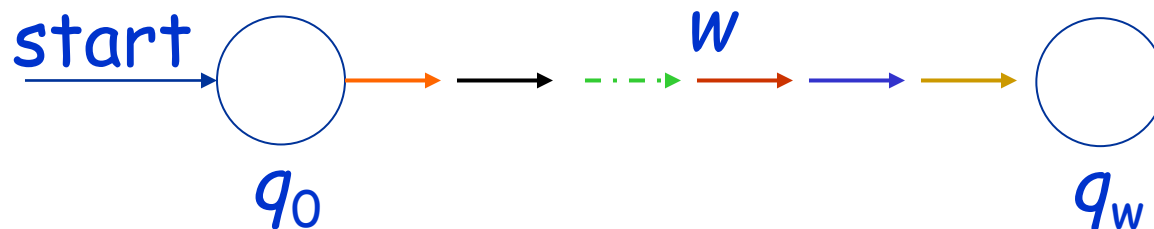
$$L_{x01} = \{x01 \mid x \text{ is any strings of 0's and 1's}\}$$

If  $w \in L_{x01}$ , then



If  $w \notin L_{x01}$ , then

$$\hat{\delta}(q, w) \neq q_w.$$



## Example 1

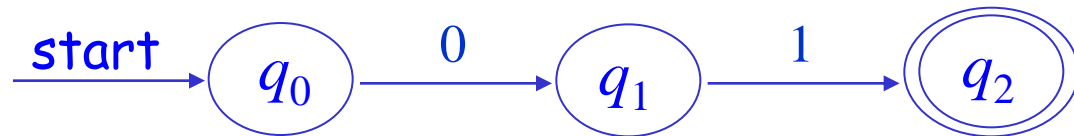
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Construct a DFA to accept

$$L_{x01} = \{x01 \mid x \text{ is any strings of 0's and 1's}\}$$

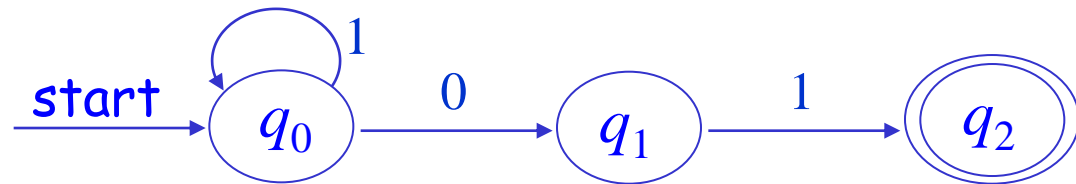
We start from the most simple string

For  $w=01$ ,



$1^*$

For  $w=1^n 01$ ,  
( $n \geq 0$ )



## Example 1

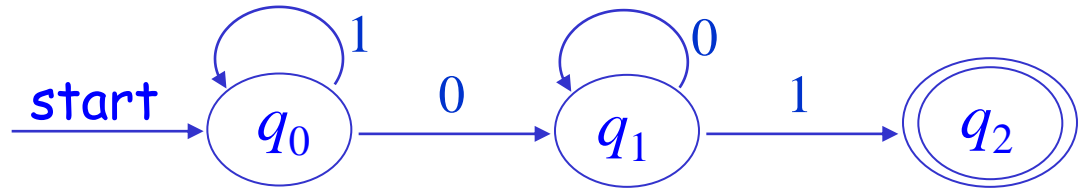
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Construct a DFA to accept

$$L_{x01} = \{x01 \mid x \text{ is any strings of 0's and 1's}\}$$

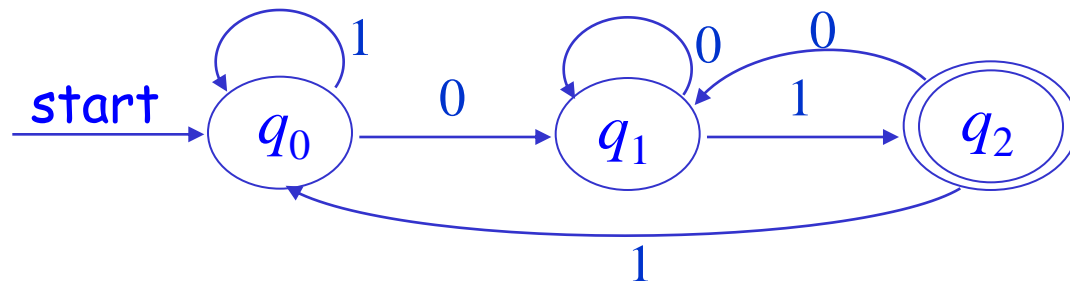
Then to more complex strings

For  $w = 1^n 0 0^m 1$ ,  
( $n \geq 0, m \geq 0$ )



Finally to the most complex strings

For  $w = x01$ ,



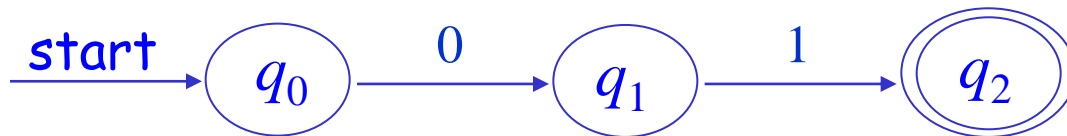
## Example 1

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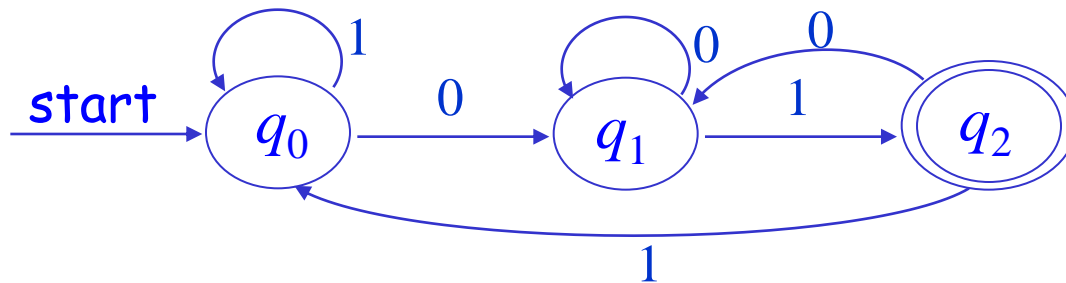
Construct a DFA to accept

$$L_{x01} = \{x01 \mid x \text{ is any strings of 0's and 1's}\}$$

Let us look at the most simple



and most complex



## Formal Definition

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Nondeterministic finite automaton is a five-tuple ,  
such as  $M = (Q, \Sigma, \delta, q_0, F)$

Where  $Q$  is a finite set of *states* ,

$\Sigma$  is a finite set of *input symbols* ,

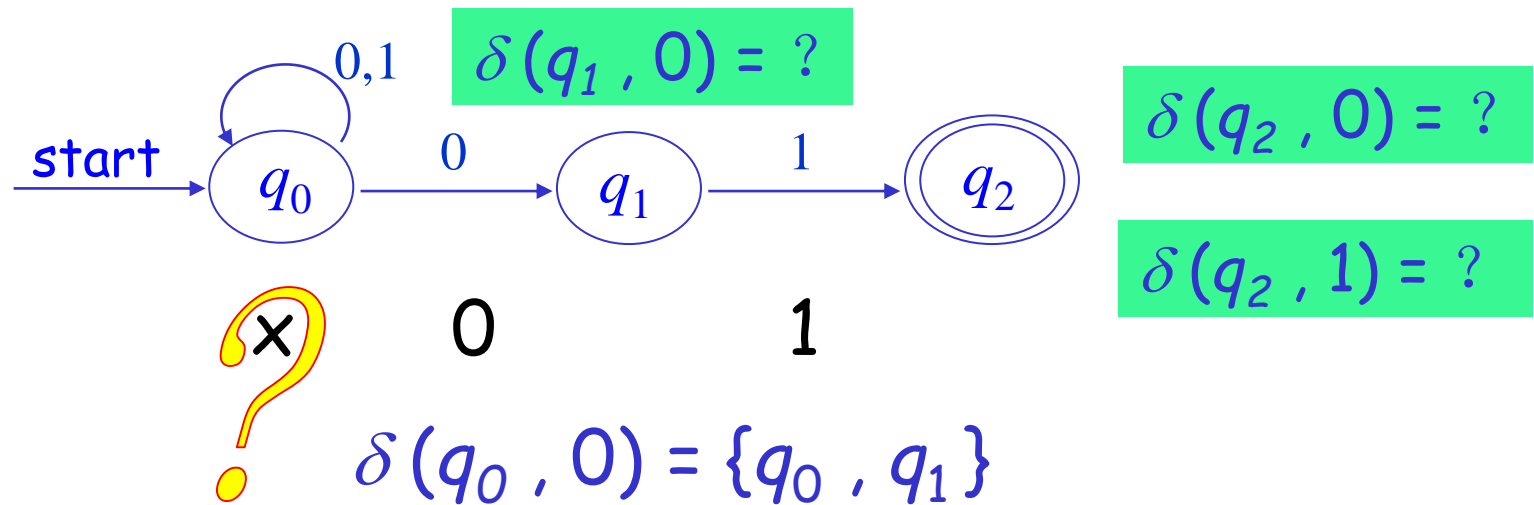
$q_0$  is a *start state* ,

$F$  is a set of *final state* ,

$\delta$  is *transition function* , which is a mapping  
from  $Q \times \Sigma$  to  $2^Q$  .

## Example 2 NFA for

$$L_{x01} = \{x01 \mid x \text{ is any strings of } 0\text{'s and } 1\text{'s} \}$$



Note

$$\delta : Q \times \Sigma \Rightarrow 2^Q$$

That

$$\delta(q, a) = \{q_1, q_2, \dots, q_n\}$$



## Example 2 NFA for

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$$L_{x01} = \{x01 \mid x \text{ is any strings of 0's and 1's}\}$$

$$N = ( \{q_0, q_1, q_2\}, \{0, 1\}, \delta, q_0, \{q_2\} )$$

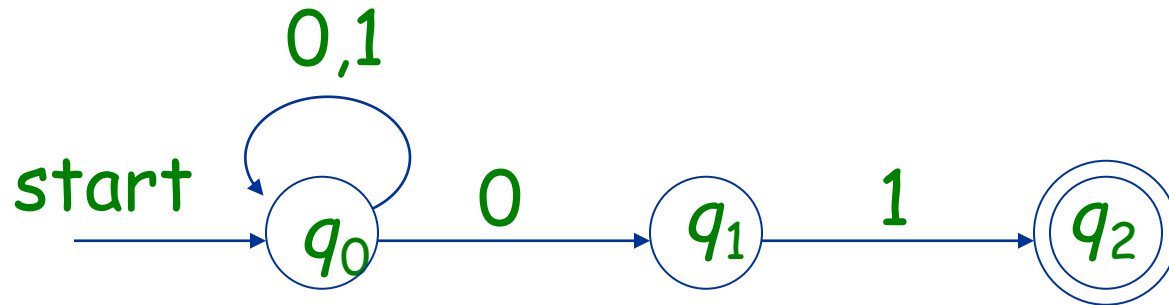
$\delta$  :

$$\delta(q_0, 0) = \{q_0, q_1\}, \quad \delta(q_0, 1) = \{q_1\},$$

$$\delta(q_1, 1) = \{q_2\}$$

# Diagram and Table Notation

## Diagram



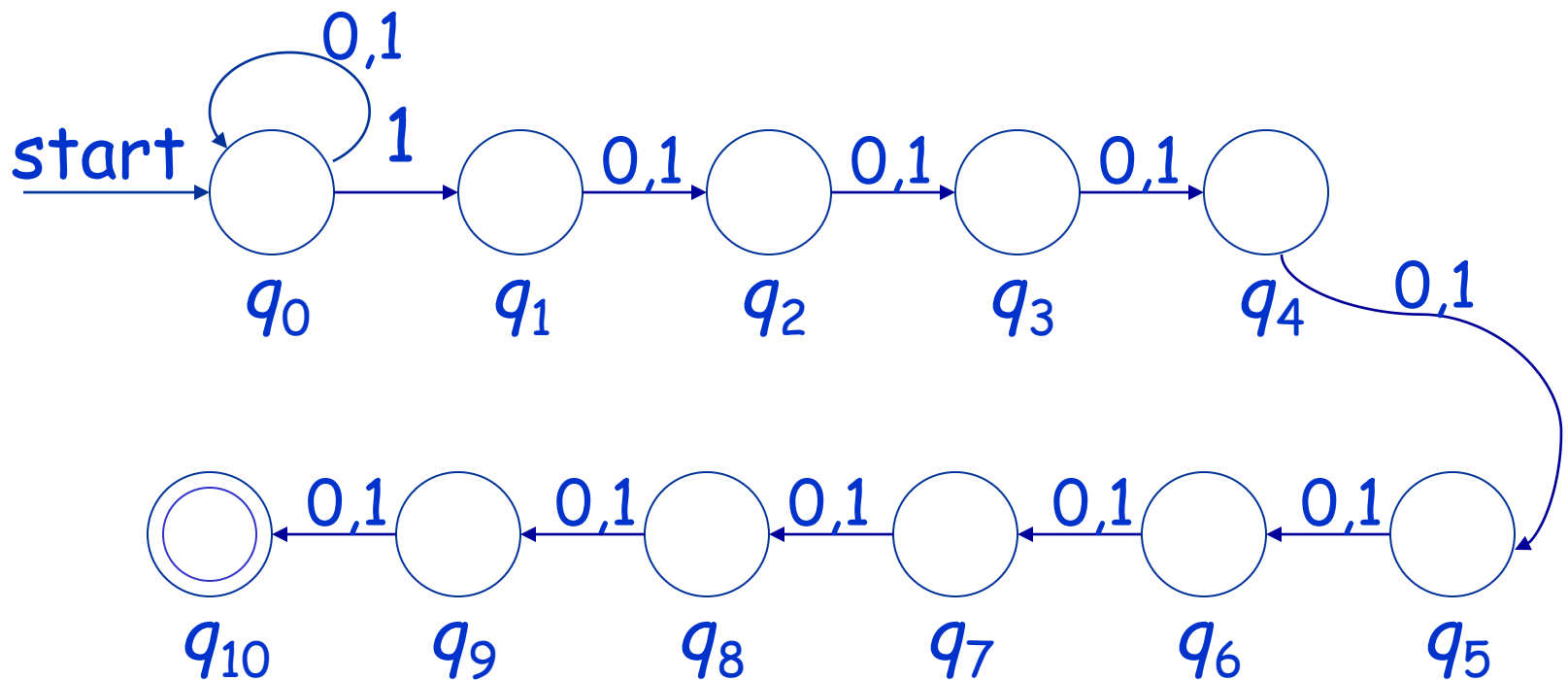
## Table

	0	1
→ $q_0$	$\{q_0, q_1\}$	$\{q_0\}$
$q_1$	$\{\}$	$\{q_2\}$
* $q_2$	$\{\}$	$\{\}$

### Example 3 NFA for

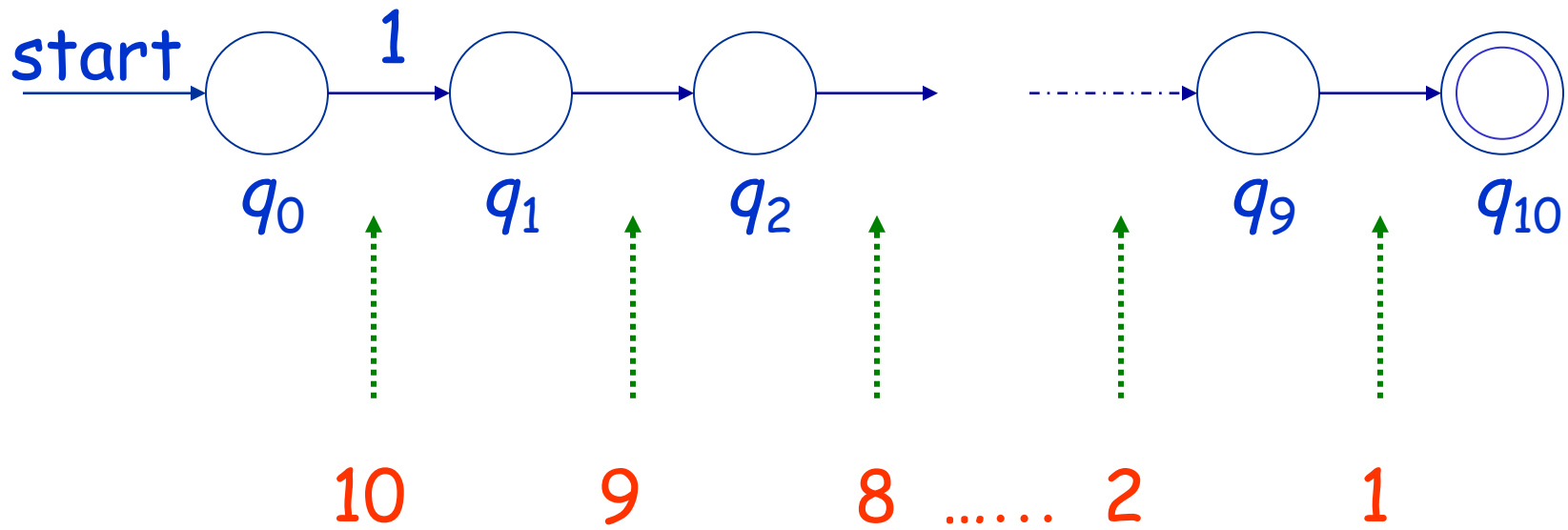
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$L = \{w \mid w \text{ consists of } 0\text{'s and } 1\text{'s, and the } 10^{\text{th}} \text{ symbol from the right end is } 1 \}$



# Shortage of DFA

$L = \{w \mid w \text{ consists of } 0\text{'s and } 1\text{'s, and the } 10^{\text{th}} \text{ symbol from the right end is } 1 \}$



## Extending $\delta$ to string

### BASIS

$$\hat{\delta}(q, \varepsilon) = q.$$

### INDUCTION

Suppose  $w = xa$ ,  $\hat{\delta}(q, x) = \{p_1, p_2, \Lambda, p_k\}$

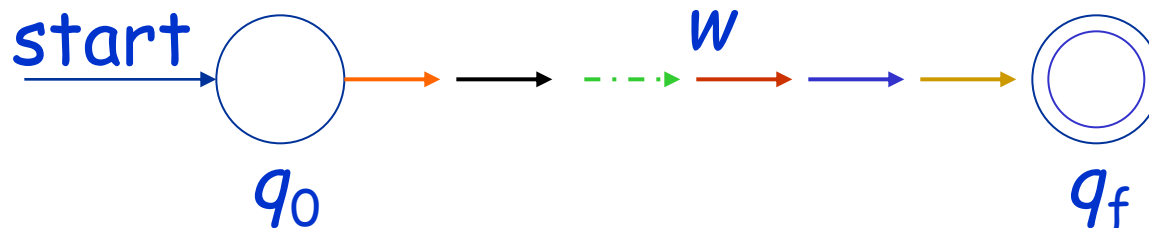
Let  $\bigcup_{i=1}^k \delta(p_i, a) = \{r_1, r_2, \Lambda, r_m\}$

Then  $\hat{\delta}(q, w) = \{r_1, r_2, \Lambda, r_m\}$

# Language of an NFA

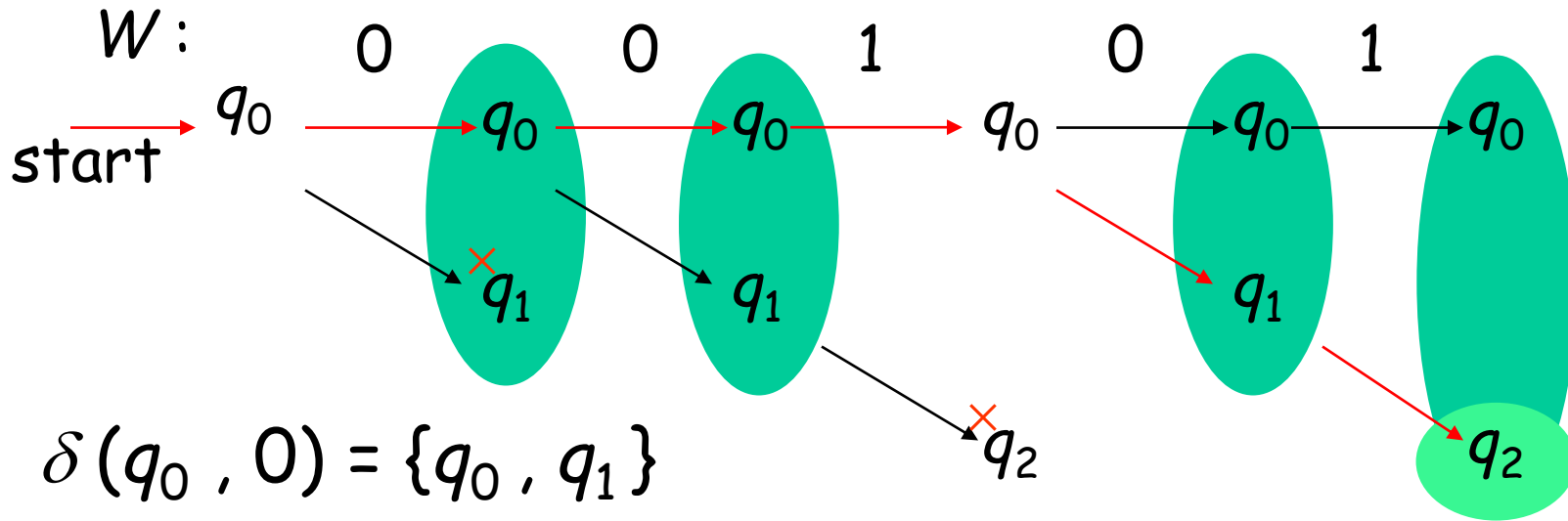
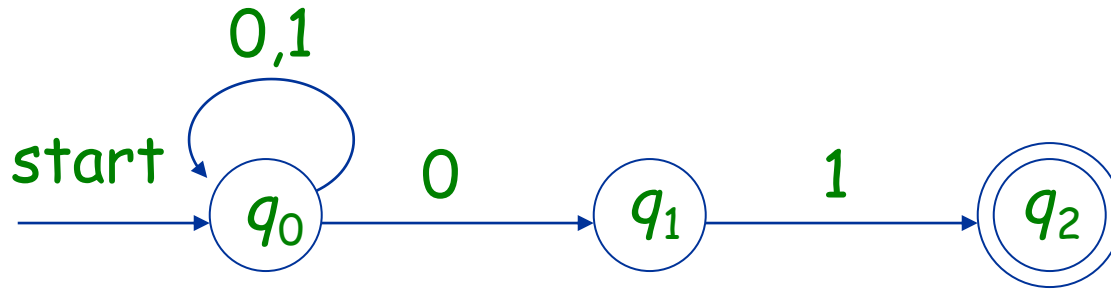
**Definition** The language of an NFA  $A$  is denoted  $L(A)$ , and defined by

$$L(A) = \{w \mid \hat{\delta}(q_0, w) \cap F \neq \emptyset\}$$



There is **at least** a path, labeled with  $w$ , from start state to final state.

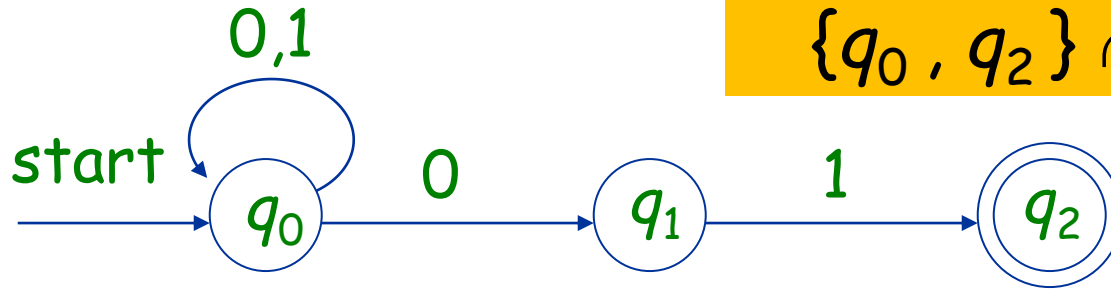
# How NFA accepts a string



$$\delta(\{q_0, q_1\}, 0) \Rightarrow \{\delta(q_0, 0), \delta(q_1, 0)\} \Rightarrow \{q_0, q_1\}$$

$$\delta(\{q_0, q_1\}, 0) = \delta(q_0, 0) \cup \delta(q_1, 0) = \{q_0, q_1\} \cup \{ \}$$

$$\hat{\delta}(q_0, w) \cap F \neq \phi$$



$$\{q_0, q_2\} \cap \{q_2\} \neq \phi$$

Calculate  $\hat{\delta}(q_0, 00101)$ :

$$\delta(q_0, 0) = \{q_0, q_1\}$$

$$\delta(\{q_0, q_1\}, 0) = \delta(q_0, 0) \cup \delta(q_1, 0) = \{q_0, q_1\} \cup \{ \}$$

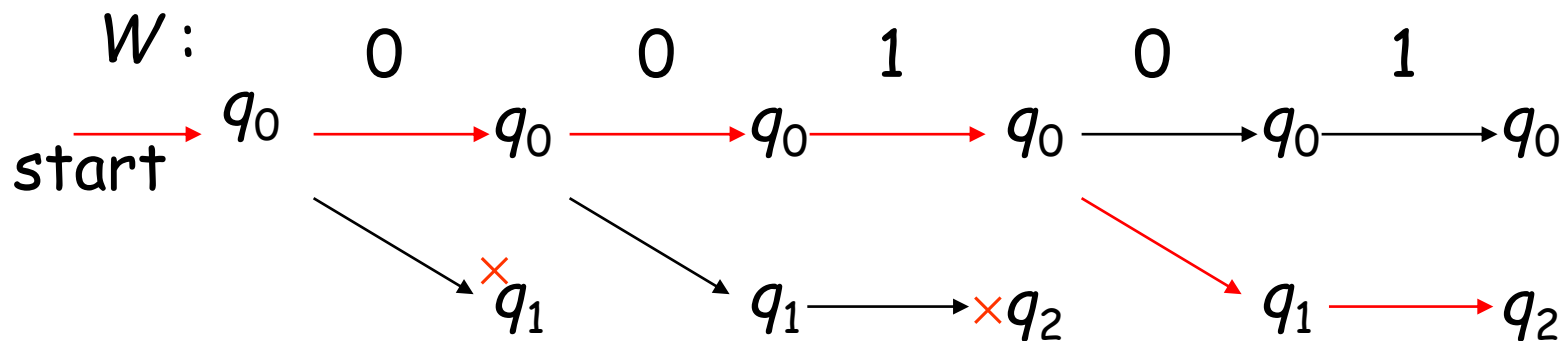
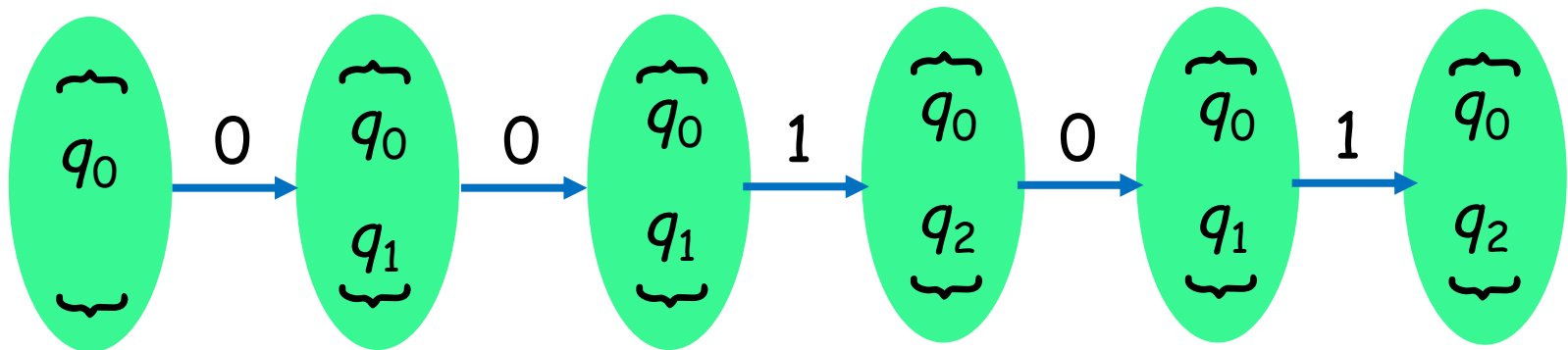
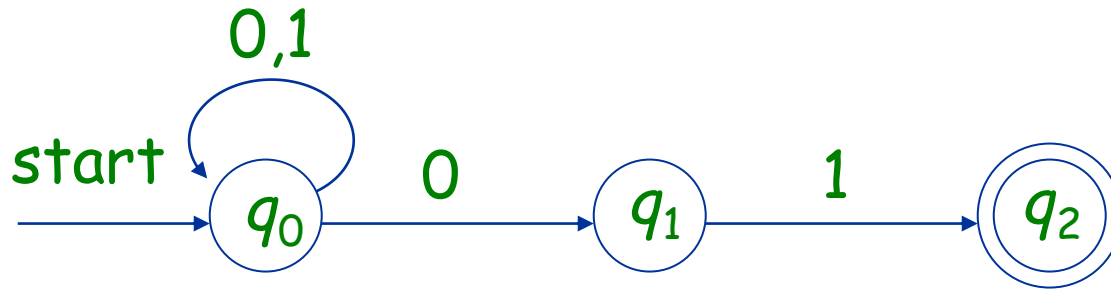
$$\delta(\{q_0, q_1\}, 1) = \delta(q_0, 1) \cup \delta(q_1, 1) = \{q_0\} \cup \{q_2\}$$

$$\delta(\{q_0, q_2\}, 0) = \delta(q_0, 0) \cup \delta(q_2, 0) = \{q_0, q_1\} \cup \{ \}$$

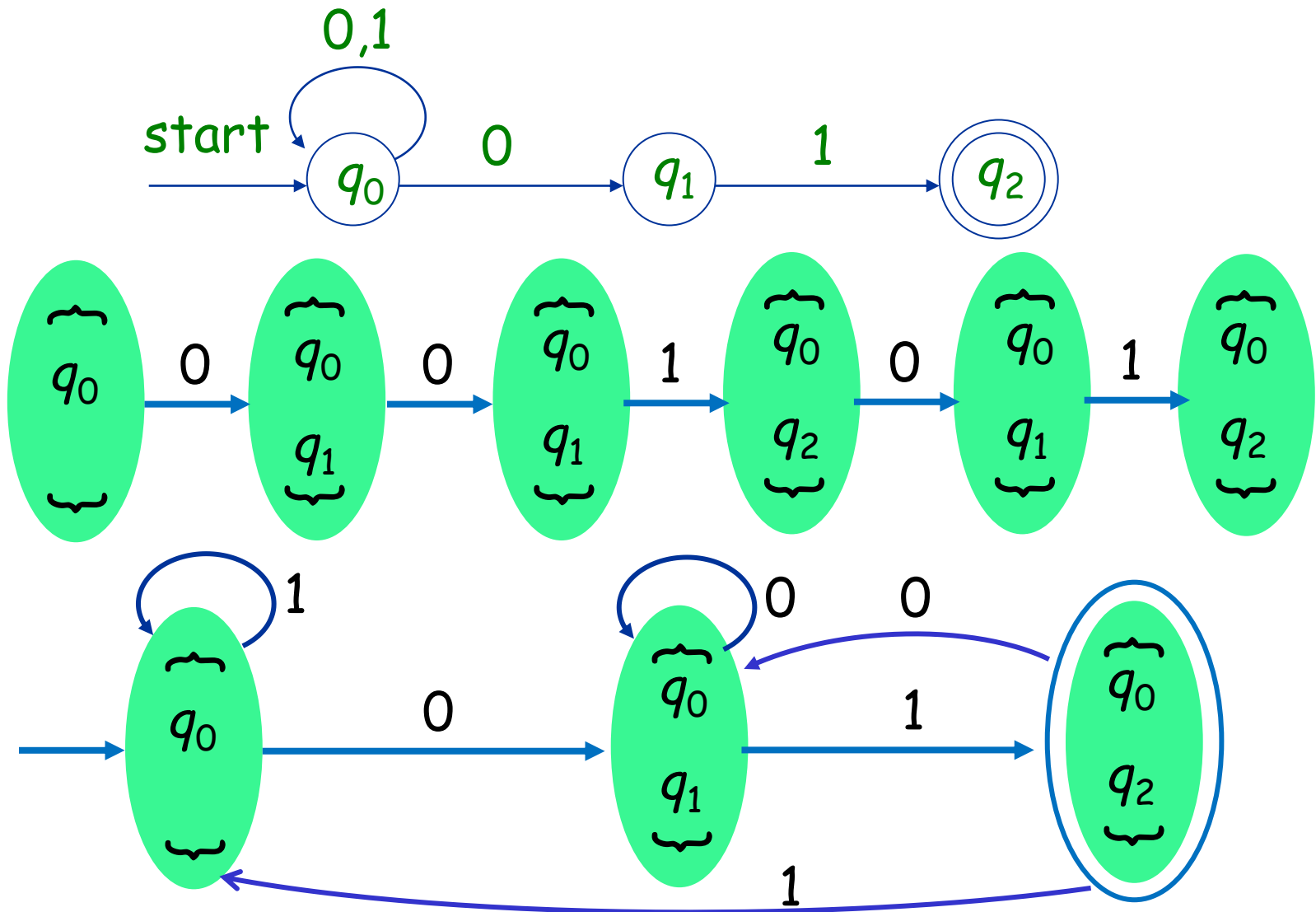
$$\delta(\{q_0, q_1\}, 1) = \delta(q_0, 1) \cup \delta(q_1, 1) = \{q_0\} \cup \{q_2\}$$



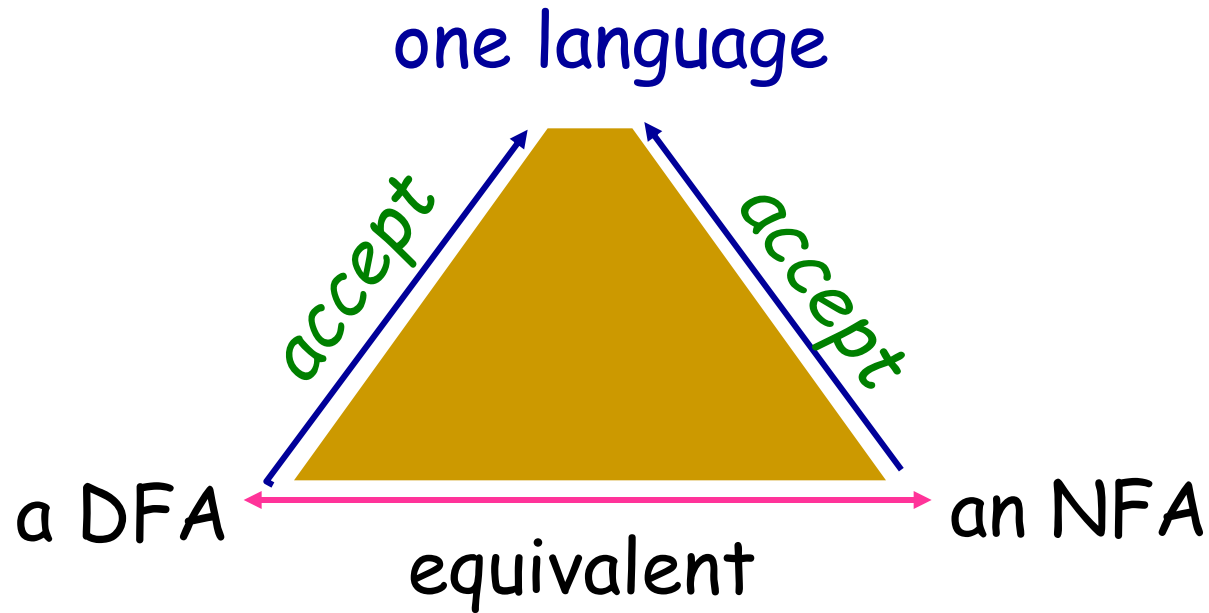
Calculate  $\hat{\delta}(q_0, 00101)$



Calculate  $\hat{\delta}(q_0, 00101)$



# Equivalence of DFA and NFA



$$L = L(\text{NFA}) \Leftrightarrow L = L(\text{DFA})$$

# Equivalence of DFA and NFA

Chinglish

**Prove** : DFA and NFA are equivalent.

➤ ♦ If there is an NFA accepting language  $L$ , then there must be a DFA to accept  $L$ .

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➤ ♦  $\exists \text{NFA } A : L=L(A) \Rightarrow \exists \text{DFA } B : L=L(B)$ .

♦  $\exists \text{DFA } A : L=L(A) \Rightarrow \exists \text{NFA } B : L=L(B)$ .

## NFA $\Rightarrow$ DFA

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Given an NFA :  $A = (Q_N, \Sigma, \delta_N, q_0, F_N)$

Construct a DFA :  $B = (Q_D, \Sigma, \delta_D, \{q_0\}, F_D)$

Such that :

$$Q_D = 2^{Q_N} \quad 2^{Q_N} = \{ S \mid S \subseteq Q_N \}$$

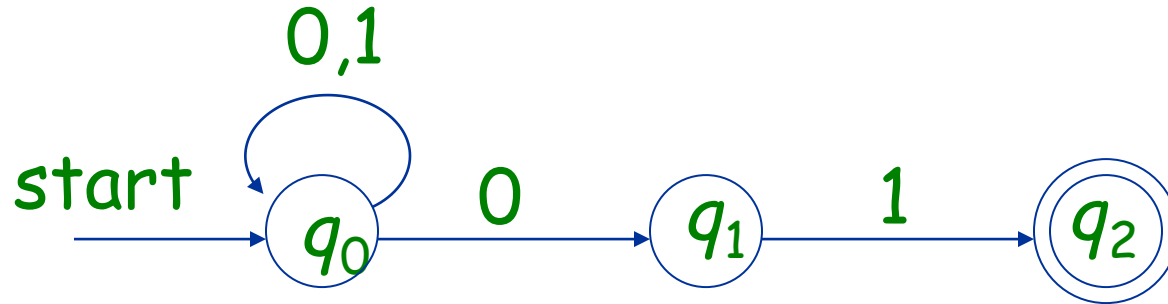
$$\delta_D(S, a) = \bigcup_{p \in S} \delta_N(p, a)$$

$$F_D = \{ S \mid S \subseteq Q_N \text{ and } S \cap F_N \neq \emptyset \}$$

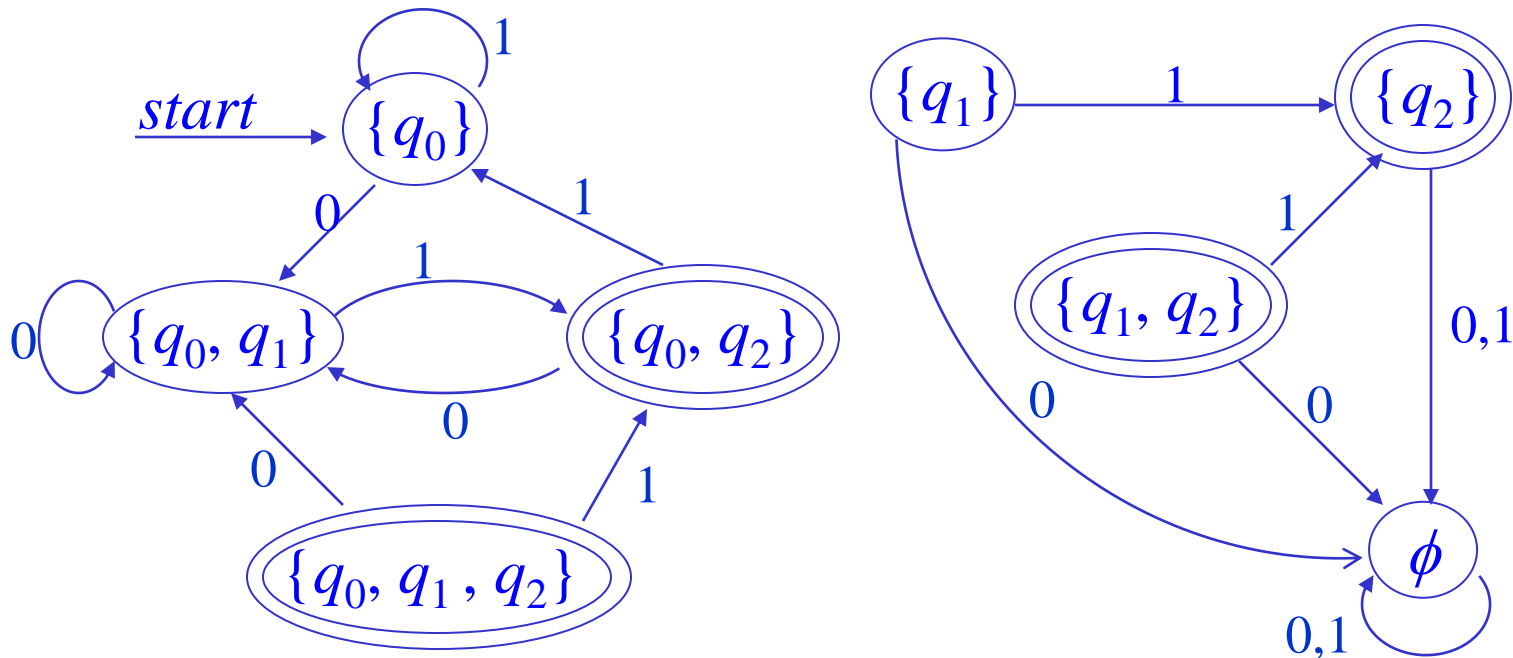
## Example 4 $NFA \Rightarrow DFA$

$L_{x01} = \{x01 \mid x \text{ is any strings of 0's and 1's}\}$

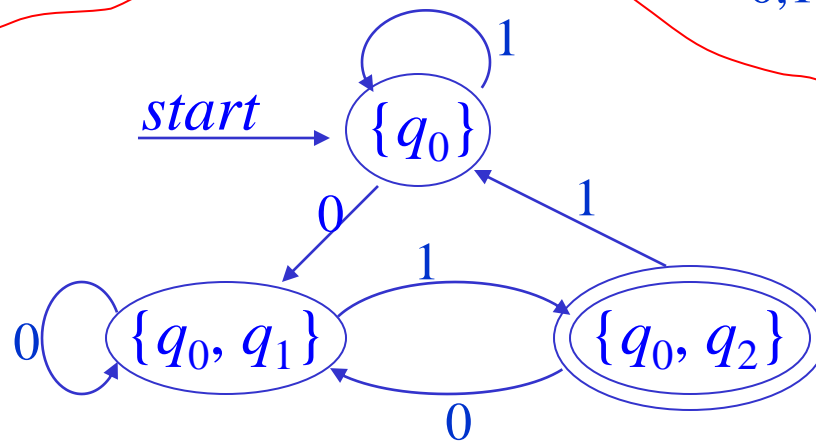
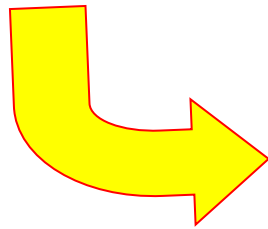
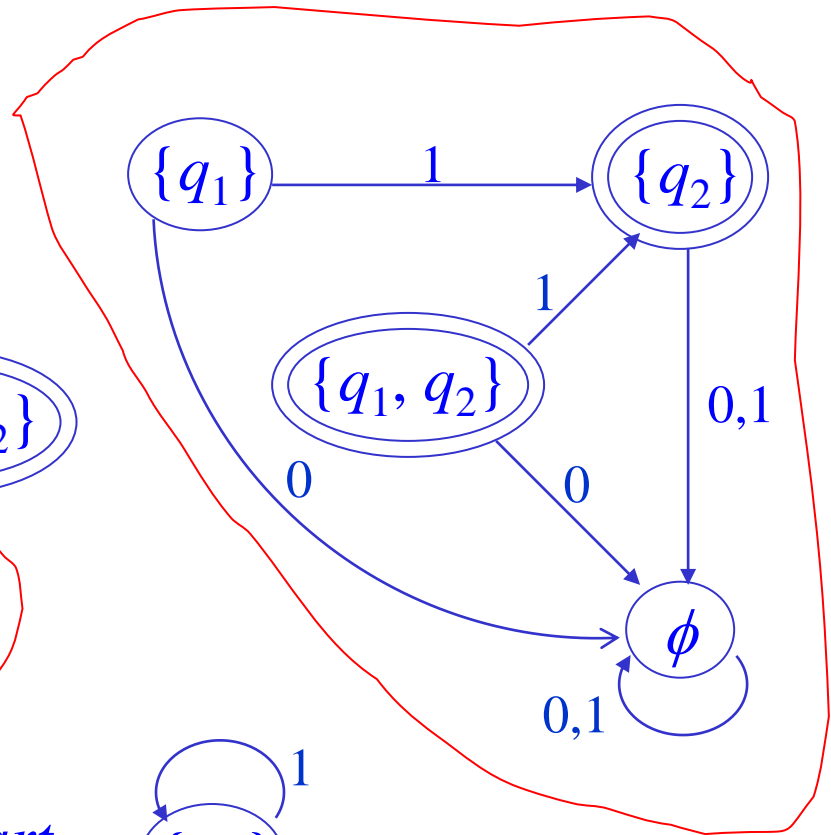
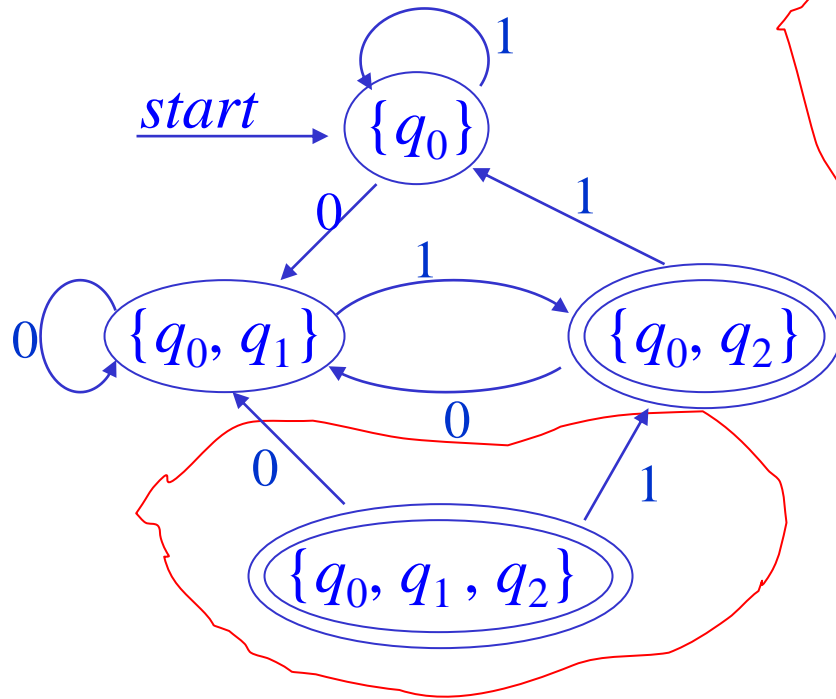
NFA :



DFA :

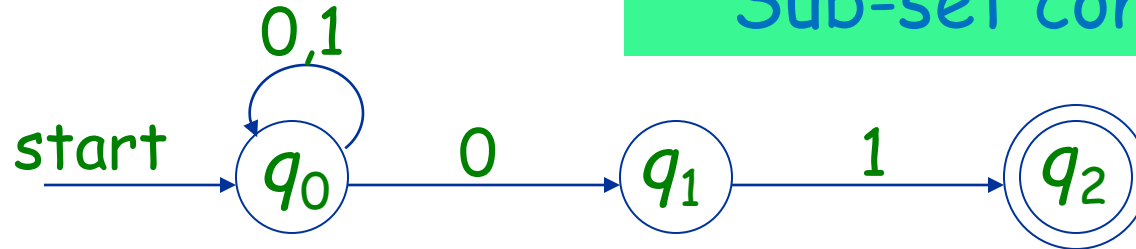


## Example 4 NFA $\Rightarrow$ DFA

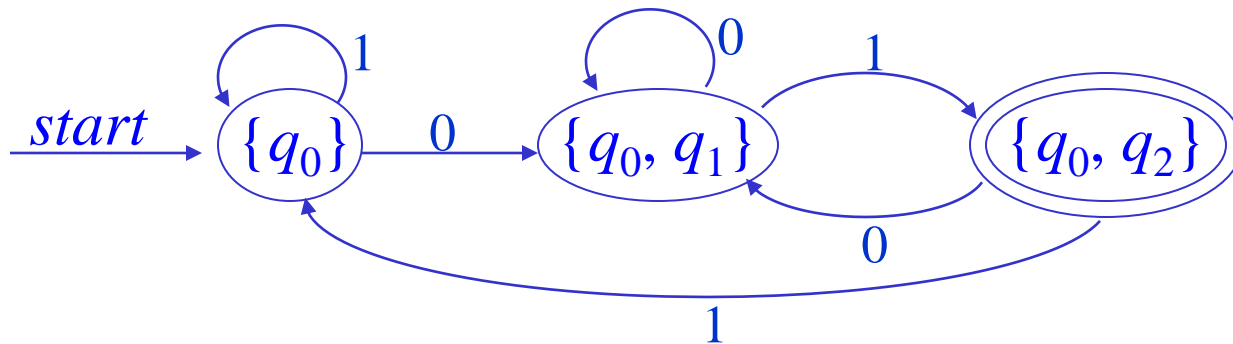


## Example 4 NFA $\Rightarrow$ DFA

Sub-set construction



"Lazy evaluation" :

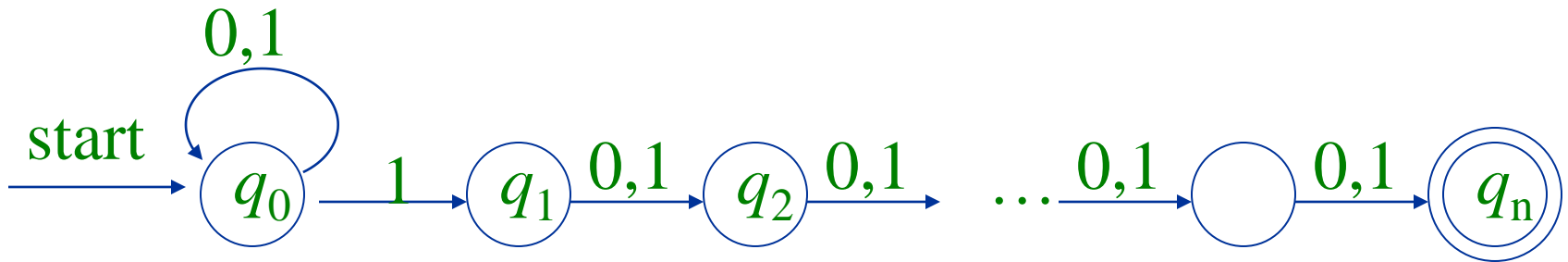




## Bad case

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$L = \{w \mid w \text{ consists of } 0\text{'s and } 1\text{'s, and the tenth symbol from the right end is } 1 \}$



## DFA $\Rightarrow$ NFA

---

Given a DFA :  $A = (Q_D, \Sigma, \delta_D, q_0, F_D)$

Construct an NFA :  $N = (Q_N, \Sigma, \delta_N, q_0, F_N)$

Such that :

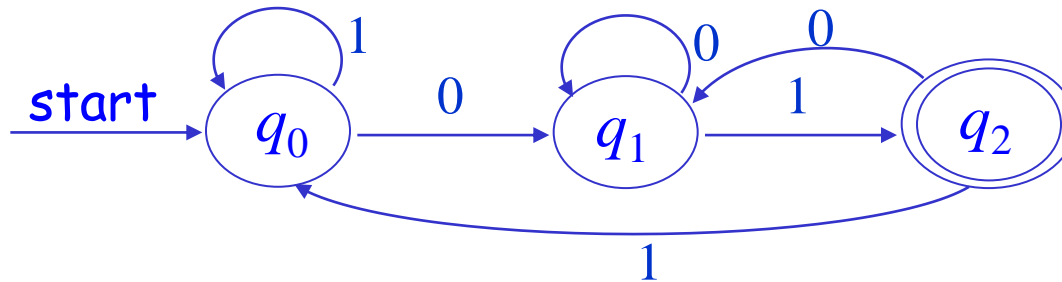
$$Q_N = Q_D$$

$$\delta_N(q, a) = \{\delta_D(q, a)\}$$

$$F_N = F_D$$

## Example 5 DFA $\Rightarrow$ NFA

$$L_{x01} = \{x01 \mid x \text{ is any strings of 0's and 1's}\}$$



DFA :

$$\delta(q_0, 0) = q_1, \quad \delta(q_1, 0) = q_1, \quad \delta(q_2, 0) = q_1$$

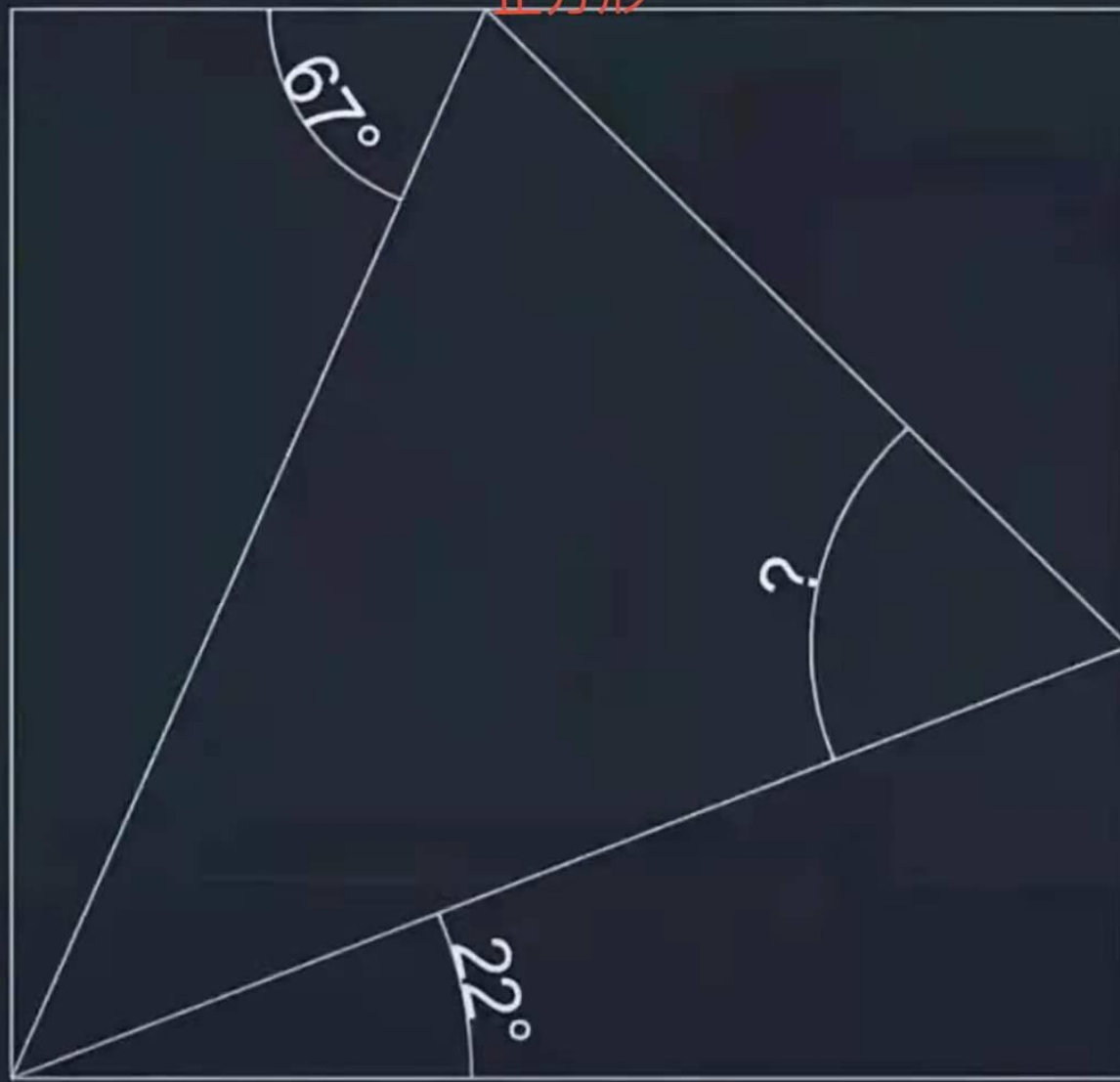
$$\delta(q_0, 1) = q_0, \quad \delta(q_1, 1) = q_2, \quad \delta(q_2, 1) = q_0$$

NFA :

$$\delta(q_0, 0) = \{q_1\}, \quad \delta(q_1, 0) = \{q_1\}, \quad \delta(q_2, 0) = \{q_1\}$$

$$\delta(q_0, 1) = \{q_0\}, \quad \delta(q_1, 1) = \{q_2\}, \quad \delta(q_2, 1) = \{q_0\}$$

正方形



Good good study  
day day up!