

MSc and EEE/ISE PART IV: MEng and ACGI

Time allowed: 3:00 hours

**Answer FOUR questions.**

*All questions carry equal marks*

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1. Consider the planar nonlinear system of equations:

$$\begin{aligned}\dot{x}_1 &= -e^{x_1} + x_2 \\ \dot{x}_2 &= (-x_2^3 + x_2 - x_1)(x_1 - 1).\end{aligned}$$

- a) Find all equilibria of the system (hint: use nullclines). [ 3 ]
- b) Identify the 7 connected regions in which nullclines partition the state space; which ones are forward invariant? Which ones are backward invariant? Identify the possible directions of  $\dot{x}$  at the boundary and within each of the 7 regions. [ 5 ]
- c) Linearize the system around each equilibrium and discuss the local phase-portrait. [ 6 ]
- d) Sketch the global phase portrait of the system by taking into account the clues collected in items a), b) and c). [ 6 ]

2. Consider the following scalar nonlinear system affected by disturbances:

$$\dot{x} = -\text{sat}(x + d_1) + d_2,$$

with state  $x \in \mathbb{R}$ , and inputs  $d_1, d_2 \in \mathbb{R}$ . Assume a piecewise linear saturation function:

$$\text{sat}(x) = \begin{cases} x & \text{if } |x| < 1 \\ 1 & \text{if } x \geq 1 \\ -1 & \text{if } x \leq -1 \end{cases}.$$

- a) Show that when  $d_2 = 0$  the system is Input-to-State Stable with respect to input  $d_1$ . Give an example of a gain function relating  $d_1$  to  $x$ . [ 6 ]
- b) Set  $d_1 = 0$ . Show that the system is not Input-to-State Stable with respect to  $d_2$ . [ 6 ]
- c) Consider the following nonlinear system:

$$\begin{aligned} \dot{x}_1 &= -\text{sat}(x_1 + x_2 + d) \\ \dot{x}_2 &= -4x_2^5 + x_1^5 \end{aligned}$$

Show that the system is Input-to-State Stable with respect to input  $d$ . (Hint: regard the system as a feedback interconnection of ISS systems). [ 8 ]

3. Consider the equations of three water tanks in series with each other:

$$\begin{aligned}\dot{x}_1 &= -\sqrt{x_1} + u \\ \dot{x}_2 &= \sqrt{x_1} - \sqrt{x_2} \\ \dot{x}_3 &= \sqrt{x_2} - \sqrt{x_3}\end{aligned}$$

with state  $x$  taking values in  $[0, +\infty)^3$ , and input  $u$  taking values in  $\mathbb{R}$ . The variable  $x_i$  denotes the amount of water in tank  $i$ , for  $i \in \{1, 2, 3\}$  respectively.

- a) Compute, for each constant value of  $u$ , the corresponding equilibrium. [ 4 ]
- b) Discuss, for all  $u > 0$ , the local stability of the equilibria previously computed. [ 4 ]
- c) Let  $r$  denote a constant set-point for the desired total amount of water in the three tanks. Let the output equation be defined as

$$y = x_1 + x_2 + x_3 - r$$

which represents the tracking error. What is the relative degree with respect to this output selection? Write the system of equations in normal form and highlight the zero-dynamics. [ 6 ]

- d) Design, by means of feedback linearization and disregarding positivity of state and input variables, a controller to steer the output  $y$  to zero; what kind of internal stability property can be guaranteed? (Hint: check local asymptotic stability of the zero dynamics). [ 6 ]

4. Consider the following parameter-dependent nonlinear system:

$$\begin{aligned}\dot{x}_1 &= -x_1 + 2x_1x_2^4 \\ \dot{x}_2 &= -ax_2 - 2x_1^2x_2\end{aligned}$$

with state  $x \in \mathbb{R}^2$  and parameter  $a \in \mathbb{R}$ .

- a) Compute the set of equilibria as a function of the parameter  $a$ . [ 6 ]
- b) Show that for  $a > 0$  the system is globally asymptotically stable (Hint: use a Lyapunov function of the type  $V(x) = c_1x_1^\alpha + c_2x_2^\beta$  for some even integers  $\alpha$  and  $\beta$  and some positive reals  $c_1, c_2$  ). [ 7 ]
- c) Discuss the local phase portraits of equilibria for  $a \neq 0$ . [ 7 ]

5. Consider the following nonlinear control system:

$$\begin{aligned}\dot{x}_1 &= -x_1 + u \\ \dot{x}_2 &= (1+u)x_3 + u \\ \dot{x}_3 &= -(1+u)x_2 \\ y &= x_1^3 + x_2\end{aligned}$$

with state  $x = [x_1, x_2, x_3] \in \mathbb{R}^3$ , input  $u \in \mathbb{R}$  and output  $y \in \mathbb{R}$ .

- a) Decompose the system in order to show that it can be recast as the parallel interconnection of two subsystems. [ 4 ]
- b) Show that each of the subsystems is passive and write explicitly the appropriate storage functions. [ 6 ]
- c) Prove that the overall system is also passive (Hint: what is the storage function?). [ 2 ]
- d) Design a static output feedback controller in order to globally asymptotically stabilize (GAS) the equilibrium  $x = 0$ . [ 4 ]
- e) Assume that only controls  $u \in [-1, 1]$  are allowed. Design an alternative output feedback that achieves GAS of the origin and fulfills the constraint on the input signal. [ 4 ]

6. Consider the following system of coupled differential equations:

$$\begin{aligned}\ddot{\theta} &= -\theta\dot{\theta} + (1 + \sin^2(\theta))\delta \\ \dot{\delta} &= a\delta + u\end{aligned}$$

where  $a \in [-1, 1]$  is an unknown constant parameter, while  $u \in \mathbb{R}$  is an exogenous input.

- a) Choose a suitable state vector and write the system in state space representation. [ 4 ]
- b) By using  $\delta$  as a virtual input, design a state feedback that achieves global asymptotic stability of the  $\theta$  subsystem at its zero equilibrium by using feedback linearization. [ 5 ]
- c) Find a Lyapunov function for the stabilized closed-loop  $\theta$  subsystem. [ 4 ]
- d) Use the previous Lyapunov function to backstep the virtual feedback through the  $\delta$  equation when  $a = 0$ . [ 3 ]
- e) Let now  $a$  be unknown in the interval  $[-1, 1]$ . Modify the previous feedback to make sure that the terms involving the uncertain parameter  $a$  are dominated in the derivative of the Lyapunov function used to prove global asymptotic stability of 0. [ 4 ]

# SOLUTIONS: STABILITY AND CONTROL OF NONLINEAR SYSTEMS 2012

## MASTER IN CONTROL

### 1. Exercise

- a) The system admits 2 equilibria. As it can be seen by plotting nullclines on the plane these occur in  $(0, 1)'$  and  $(1, e)'$ .
- b) The nullclines partition the plane in 7 connected regions, (see Fig. 1.1), denoted by  $R_1, R_2, R_3, R_4, R_5, R_6$  and  $R_7$ . By using cardinal point notation for identifying  $\dot{x}$  directions, the regions are of type SW, SE, NE, NW, SE, SW and NW respectively. Region  $R_1$  borders regions  $R_2, R_4$  and  $R_7$  respectively. Notice that the vector-field on the boundary is always pointing from the neighbouring region towards  $R_1$ . Hence  $R_1$  is forward invariant. Similarly,  $R_2$  borders with regions  $R_1$  and  $R_3$ . The vector-field on the boundary always points from  $R_2$  towards the neighbouring regions. Hence  $R_2$  is backwards invariant.
- c) Computing the Jacobian of the vector-field yields:

$$\frac{\partial \dot{x}}{\partial x} = \begin{bmatrix} -e^{x_1} & 1 \\ 1 - 2x_1 - x_2^2 + x_2 & (x_1 - 1)(1 - 3x_2^2) \end{bmatrix}.$$

Evaluating around the equilibrium in  $(0, 1)$  yields the linearized system:

$$\delta \dot{x} = \begin{bmatrix} -1 & 1 \\ 1 & 2 \end{bmatrix} \delta x.$$

The characteristic polynomial of the matrix is  $s^2 - s - 3$  and eigenvalues are in  $(1 \pm \sqrt{13})/2$ . Hence they are real and have opposite sign. The first equilibrium is therefore a saddle point. Evaluating around the equilibrium in  $(1, e)$  yields the linearized system:

$$\delta \dot{x} = \begin{bmatrix} -e & 1 \\ -e^3 + e - 1 & 0 \end{bmatrix} \delta x.$$

The characteristic polynomial is  $s^2 + es + e^3 - e + 1$ . Its roots are in:

$$-e/2 \pm j\sqrt{4e^3 - e^2 - 4e + 4}/2 \approx -1.36 \pm 4j.$$

Hence, the second equilibrium is a stable focus.

- d) A phase portrait consistent with the information collected in items a), b) and c) is sketched in Fig. 1.2.

### 2. Exercise

- a) Consider the candidate Lyapunov function  $V(x) = x^2/2$ . Taking derivatives of  $V(x)$  for  $d_2 = 0$  yields:

$$\frac{\partial V}{\partial x}(x)f(x, d_1) = -x \text{sat}(x + d_1).$$

Hence, for any  $\varepsilon > 0$  we have:

$$|x| \geq (1 + \varepsilon)|d_1| \Rightarrow \frac{\partial V}{\partial x}(x)f(x, d_1) = -x \text{sat}(x + d_1) \leq -x \text{sat}(\varepsilon x / (1 + \varepsilon)).$$

This implies Input-to-State Stability with gain  $\gamma_1(r) = (1 + \varepsilon)r$ .



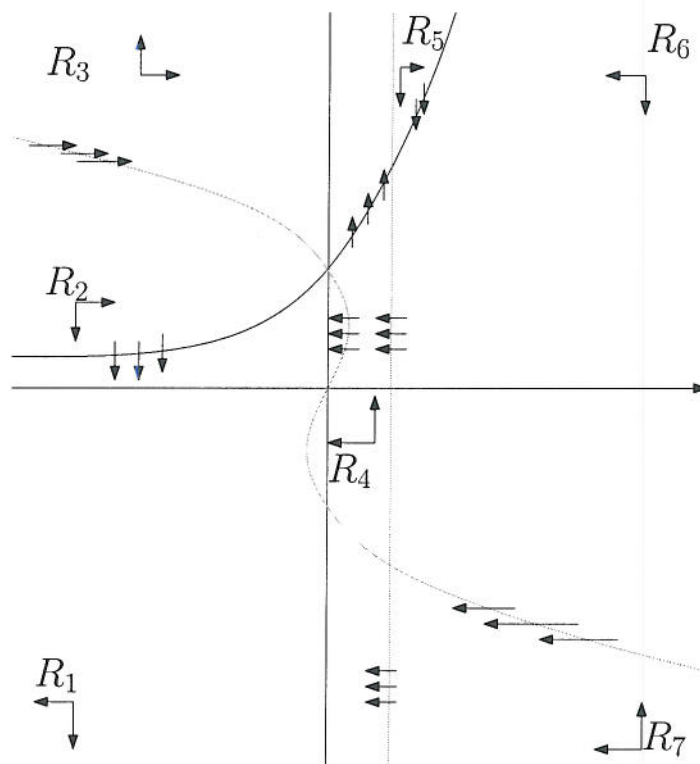


Figure 1.1 Nullclines and regions in which  $\mathbb{R}^2$  is partitioned

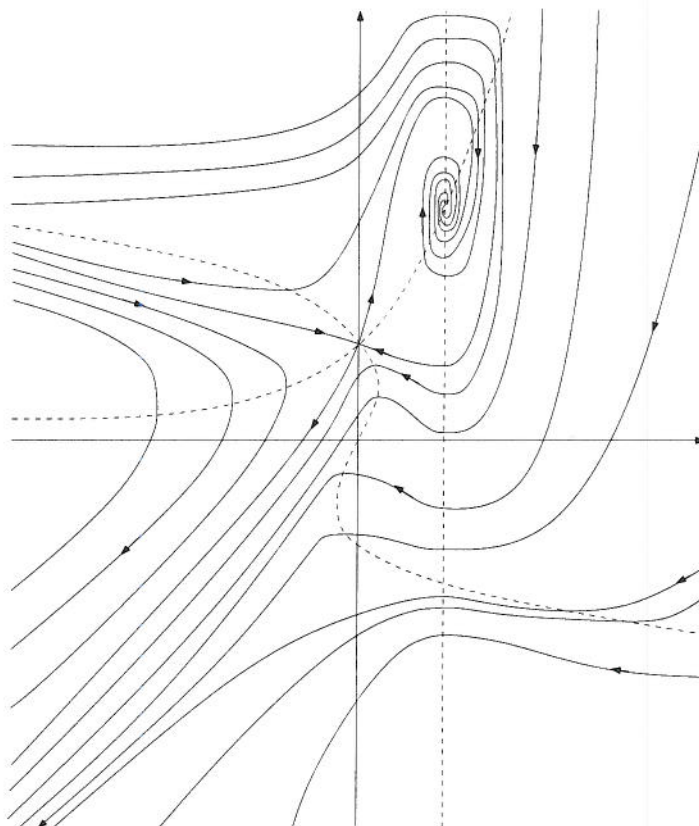


Figure 1.2 Sketch of phase-portrait for Exercise 1

- b) In order to prove that ISS is violated take a constant input  $d_2 = 2$ . For  $d_1 = 0$  we have:

$$\dot{x} = -\text{sat}(x) + 2 \geq 1.$$

Hence  $x(t) \geq x(0) + t$  for all  $t \geq 0$ . This implies that  $x(t) \rightarrow +\infty$  as  $t \rightarrow +\infty$  which violates the BIBS property.

- c) Consider the system:

$$\dot{x} = -4x^5 + d^5.$$

We show that this system is Input-to-State Stable and compute its gain. Again, letting  $V(x) = x^2/2$  we see that:

$$\frac{\partial V}{\partial x}(x)[-4x^5 + d^5] = -4x^6 + xd^5 \leq -4|x|^6 + |x||d|^5.$$

Notice that for all sufficiently small  $\varepsilon > 0$ :

$$|x| \geq \sqrt[5]{\frac{1}{4-\varepsilon}}|d| \Rightarrow \frac{\partial V}{\partial x}(x)[-4x^5 + d^5] \leq -\varepsilon|x|^6$$

which implies ISS with respect to  $d$  with gain:

$$\gamma_2(r) = \sqrt[5]{\frac{1}{4-\varepsilon}}r.$$

We regard the system

$$\begin{aligned} \dot{x}_1 &= \text{sat}(x_1 + x_2 + d) \\ \dot{x}_2 &= -4x_2^5 + x_1^5 \end{aligned}$$

as the feedback interconnection of

$$\begin{aligned} \dot{x}_1 &= -\text{sat}(x_1 + d_1 + d) & d_1 &= x_2 \\ \dot{x}_2 &= -4x_2^5 + d_2^5 & d_2 &= x_1. \end{aligned}$$

Notice that the composition of gains fulfills:

$$\gamma_1(\gamma_2(r)) = (1 + \varepsilon) \sqrt[5]{\frac{1}{4-\varepsilon}}r < r \quad \forall r > 0$$

provided  $\varepsilon > 0$  is chosen sufficiently small. Hence, by the small-gain theorem, the interconnected system is Input-to-State Stable with respect to the input  $d$ .

### 3. Exercise

- a) In order to compute the equilibria of the system we need to solve the following system of equations:

$$\begin{cases} 0 &= -\sqrt{x_1} + u \\ 0 &= -\sqrt{x_2} + \sqrt{x_1} \\ 0 &= -\sqrt{x_3} + \sqrt{x_1} \end{cases}$$

From the first equation we have  $x_1 = u^2$  and substituting in the second and third equations we obtain  $x_1 = x_2 = x_3 = u^2$ . Hence, for each non-negative value of the input  $u$  the corresponding equilibrium  $u$  is in  $x = [u^2, u^2, u^2]'$ .

- b) Computing the Jacobian matrix yields:

$$\frac{\partial \dot{x}}{\partial x} = \begin{bmatrix} -\frac{1}{2\sqrt{x_1}} & 0 & 0 \\ \frac{1}{2\sqrt{x_1}} & -\frac{1}{2\sqrt{x_2}} & 0 \\ 0 & \frac{1}{2\sqrt{x_2}} & -\frac{1}{2\sqrt{x_3}} \end{bmatrix}.$$

The linearized system around the equilibrium  $[u^2, u^2, u^2]$  is therefore given by:

$$\dot{\delta x} = \begin{bmatrix} -\frac{1}{2u} & 0 & 0 \\ \frac{1}{2u} & -\frac{1}{2u} & 0 \\ 0 & \frac{1}{2u} & -\frac{1}{2u} \end{bmatrix} \delta x.$$

Given the lower-triangular structure of the above system, it follows that diagonal entries are eigenvalues of the  $A$  matrix. Hence, the system has 3 eigenvalues in  $-1/2u < 0$  and is locally asymptotically stable for all  $u > 0$ . Notice that the equilibrium achieved for  $u = 0$  is  $[0, 0, 0]$  but the vector field is not differentiable in 0.

- c) Taking derivatives of  $y$  along the systems equations yields:

$$\dot{y} = -\sqrt{x_3} + u,$$

hence the relative degree equals 1 and is globally well-defined. The I-O linearizing feedback:

$$u = \sqrt{x_3} + v$$

brings the system in the following normal form:

$$\begin{aligned} \dot{y} &= v \\ \dot{x}_2 &= \sqrt{y+r-x_2-x_3} - \sqrt{x_2}, \\ \dot{x}_3 &= \sqrt{x_2} - \sqrt{x_3} \end{aligned}$$

where the  $x_2$  and  $x_3$  equations define the internal dynamics, while  $\xi = [x_2, x_3]'$  is the internal state. The zero dynamics are achieved for  $y = 0$  and read:

$$\begin{aligned} \dot{x}_2 &= \sqrt{r-x_2-x_3} - \sqrt{x_2} \\ \dot{x}_3 &= \sqrt{x_2} - \sqrt{x_3} \end{aligned}$$

- d) A simple linear feedback  $v = -y$  renders the output equation asymptotically stable and steers the output to 0. In order to validate the design, however, stability of zero-dynamics needs to be checked. The zero-dynamics have a unique equilibrium at  $[r/3, r/3]$ . Computing the Jacobian of the zero-dynamics yields:

$$\frac{\partial \dot{\xi}}{\partial \xi} = \begin{bmatrix} -\frac{1}{2\sqrt{r-x_2-x_3}} - \frac{1}{2\sqrt{x_2}} & -\frac{1}{2\sqrt{r-x_2-x_3}} \\ \frac{1}{2\sqrt{x_2}} & -\frac{1}{2\sqrt{x_3}} \end{bmatrix}.$$

Evaluating at the equilibrium yields the following linearized zero dynamics:

$$\dot{\delta \xi} = \begin{bmatrix} -\frac{1}{\sqrt{r/3}} & -\frac{1}{2\sqrt{r/3}} \\ \frac{1}{2\sqrt{r/3}} & -\frac{1}{2\sqrt{r/3}} \end{bmatrix} \delta \xi$$

Notice that trace and determinant of the above matrix are respectively negative and positive for all positive values of  $r$ . Hence the zero dynamics are locally asymptotically stable (the nonlinear system is locally minimum-phase). By the Lyapunov direct method local asymptotic stability of the overall system is guaranteed.

#### 4. Exercise

- a) In order to compute equilibria as a function of  $a$  we need to solve the following parameter-dependent system of equations:

$$\begin{cases} 0 &= -x_1 + 2x_1x_2^4 \\ 0 &= -ax_2 - 2x_1^2x_2. \end{cases}$$

From the first equation we see that:

$$x_1 = 0 \text{ or } x_2 = \pm \sqrt[4]{\frac{1}{2}}.$$

Notice that:

$$x_1 = 0 \text{ and } a \neq 0 \Rightarrow x_2 = 0.$$

On the other hand, if  $x_1 = 0$  and  $a = 0$ , then  $x_2$  can be arbitrary.

$$x_2 = \pm \sqrt[4]{\frac{1}{2}} \text{ and } a < 0 \Rightarrow x_1 = \pm \sqrt{\frac{-a}{2}}.$$

Summarizing the previous result we have that the set of equilibria  $X(a)$  is given by:

- i)  $\{0\}$  if  $a > 0$ ;
  - ii)  $\{x : x_1 = 0\}$  if  $a = 0$ ;
  - iii)  $\{(0,0), (\sqrt{-\frac{a}{2}}, \sqrt[4]{\frac{1}{2}}), (\sqrt{-\frac{a}{2}}, -\sqrt[4]{\frac{1}{2}}), (-\sqrt{-\frac{a}{2}}, \sqrt[4]{\frac{1}{2}}), (-\sqrt{-\frac{a}{2}}, -\sqrt[4]{\frac{1}{2}})\}$  if  $a < 0$ .
- b) Consider the candidate Lyapunov function  $V(x) = \frac{x_1^2}{2} + \frac{x_2^4}{4}$ . Taking derivatives along solutions yields:

$$\dot{V}(x) = x_1[-x_1 + 2x_1x_2^4] + x_2^3[-ax_2 - 2x_1^2x_2] = -x_1^2 - ax_2^4.$$

Notice that  $V(x)$  is positive definite and radially unbounded. Moreover for  $a > 0$  the derivative  $\dot{V}(x)$  is negative definite. Hence the equilibrium at the origin is globally asymptotically stable.

- c) Computing the Jacobian of  $f(x)$  yields:

$$\frac{\partial f}{\partial x}(x) = \begin{bmatrix} -1 + 2x_2^4 & 8x_1x_2^3 \\ -4x_1x_2 & -a - 2x_1^2 \end{bmatrix}.$$

Hence, linearization around equilibrium  $(0,0)$  for  $a < 0$  yields:

$$\dot{\delta x} = \begin{bmatrix} -1 & 0 \\ 0 & -a \end{bmatrix} \delta x.$$

Eigenvalues are real and have opposite sign. Hence the local phase portrait is that of a saddle point. Around the 2 equilibria in  $(\pm\sqrt{-\frac{a}{2}}, \pm\sqrt[4]{\frac{1}{2}})$  we have the following linearized dynamics:

$$\dot{\delta x} = \begin{bmatrix} 0 & 8\sqrt{-\frac{a}{2}}\sqrt[4]{\frac{1}{8}} \\ -4\sqrt{-\frac{a}{2}}\sqrt[4]{\frac{1}{2}} & 0 \end{bmatrix} \delta x.$$

Similarly, around the 2 equilibria in  $(\pm\sqrt{-\frac{a}{2}}, \mp\sqrt[4]{\frac{1}{2}})$  we have the following linearized dynamics:

$$\dot{\delta x} = \begin{bmatrix} 0 & -8\sqrt{-\frac{a}{2}}\sqrt[4]{\frac{1}{8}} \\ 4\sqrt{-\frac{a}{2}}\sqrt[4]{\frac{1}{2}} & 0 \end{bmatrix} \delta x.$$

Eigenvalues are therefore purely imaginary. The local phase portrait cannot be inferred by Hartman-Grossman theorem as the equilibria are not hyperbolic.

5. Exercise

- a) We define a scalar subsystem with state  $\chi_a = x_1$  and a bidimensional subsystem with state  $\chi_b = [x_2, x_3]'$ . Accordingly we may define subsystem  $a$  and  $b$  as follows

$$\dot{x}_1 = -x_1 + u_a \quad y_a = x_1^3,$$

and:

$$\begin{aligned} \dot{x}_2 &= (1 + u_b)x_3 + u_b \\ \dot{x}_3 &= -(1 + u_b)x_2 \end{aligned} \quad y_b = x_2.$$

The overall system is recovered by letting  $u = u_a + u_b$  and  $y = y_a + y_b$  which is in fact a parallel interconnection of subsystems.

- b) For subsystem  $a$  we have, letting  $V_a(x_1) = x_1^4/4$ :

$$y_a u_a = x_1^3 u_a = x_1^3 \dot{x}_1 + x_1^4 = x_1^4 + \frac{\partial V_a}{\partial x_1} \dot{x}_1 \geq \frac{\partial V_a}{\partial x_1} \dot{x}_1.$$

Hence the first subsystem is passive with storage function  $V_a$ . For subsystem  $b$  we observe that:

$$V_b(x_2, x_3) = x_2^2/2 + x_3^2/2$$

fulfills the equation:

$$\frac{\partial V_b}{\partial x_2} \dot{x}_2 + \frac{\partial V_b}{\partial x_3} \dot{x}_3 = u_b x_2 = y_b u_b$$

Hence the  $b$  subsystem is passive (and lossless) with storage function  $V_b$ .

- c) The parallel interconnection is passive with storage function  $V(x) = V_a(x_1) + V_b(x_2, x_3)$ .
- d) A simple static and passive output feedback controller is achieved by letting  $u = -y$ . We show below that this achieves global asymptotic stability of the equilibrium at the origin. Take the candidate Lyapunov function  $V(x)$  previously defined. This is positive definite and radially unbounded. Moreover:

$$\dot{V}(x) = \frac{\partial V_a}{\partial x_1} \dot{x}_1 + \frac{\partial V_b}{\partial x_2} \dot{x}_2 + \frac{\partial V_b}{\partial x_3} \dot{x}_3 = -x_1^4 + y u = -x_1^4 - (x_1^3 + x_2)^2.$$

This shows that  $\dot{V}(x)$  is negative semi-definite. It is zero iff  $x \in \{x : x_1 = 0 \text{ and } x_1^3 + x_2 = 0\} = \{x : x_1 = 0 \text{ and } x_3 = 0\}$ . Assume next  $x_1(t) \equiv 0$  and  $x_2(t) \equiv 0$ . This implies  $\dot{x}_2(t) \equiv 0$  which in turn yields  $(1 + u(t))x_3(t) \equiv 0$ , that is  $x_3(t) \equiv 0$ . Hence, the largest invariant set contained in  $\{x : x_1 = 0 \text{ and } x_3 = 0\}$  is the origin and by the Lasalle's invariance principle this implies global asymptotic stability of 0.

- e) In order to respect the input constraint we may modify the static feedback as follow:

$$u = -\text{sat}(y).$$

Notice that this choice results in:

$$\dot{V} = -x_1^4 - (x_1^3 + x_2)\text{sat}(x_1^3 + x_2),$$

which is also negative semi-definite. Moreover  $\dot{V}$  vanishes on the same set  $\{x : x_1 = 0 \text{ and } x_2 = 0\}$  so that the same argument can be used to prove global asymptotic stability of 0.



6. Exercise

- a) We may choose  $x = [\theta, \dot{\theta}, \delta]' = [x_1, x_2, x_3]'$ , so that the resulting equations read:

$$\begin{aligned}\dot{x}_1 &= x_2 \\ \dot{x}_2 &= -x_1 x_2 + (1 + \sin(x_1)^2)x_3 \\ \dot{x}_3 &= ax_3 + u.\end{aligned}$$

- b) The  $\theta$  subsystem reads:

$$\begin{aligned}\dot{x}_1 &= x_2 \\ \dot{x}_2 &= -x_1 x_2 + (1 + \sin(x_1)^2)x_3.\end{aligned}$$

Letting  $x_3$  be the virtual control variable we see that the relative degree with respect to the output  $x_1$  is 2 and the following choice:

$$x_{3v} = \frac{x_1 x_2 - x_1 - x_2}{1 + \sin^2(x_1)}$$

achieves global input-to-state linearization of the  $\theta$  subsystem. Moreover the closed-loop equations read:

$$\begin{aligned}\dot{x}_1 &= x_2 \\ \dot{x}_2 &= -x_1 - x_2.\end{aligned}$$

and are globally asymptotically stable.

- c) To find a Lyapunov function for the system we may solve the Lyapunov equation:

$$A'P + PA = -I.$$

where:

$$A = \begin{bmatrix} 0 & 1 \\ -1 & -1 \end{bmatrix} \quad P = \begin{bmatrix} p_{11} & p_{12} \\ p_{12} & p_{22} \end{bmatrix}.$$

This gives  $p_{11} = 3/2$ ,  $p_{12} = 1/2$  and  $p_{22} = 1$ . Hence, the desired Lyapunov function is quadratic and given by  $V(x_1, x_2) = [x_1, x_2]P[x_1, x_2]'$ .

- d) Consider next the error  $\xi = x_3 - x_{3v}$ . Clearly:

$$\dot{\xi} = ax_3 + u - \dot{x}_{3v}.$$

While  $\dot{x}_{3v}$  could be computed explicitly, we don't do it as we will cancel it with  $u$  later on. Next we may define the candidate Lyapunov function  $W(x) = V(x_1, x_2) + \frac{\xi^2}{2}$ . This is positive definite and radially unbounded. Taking derivatives along the solutions of the system yields:

$$\begin{aligned}\dot{W}(x) &= -x_1^2 - x_2^2 + \frac{\partial V}{\partial x_2} \xi + \xi \dot{\xi} = \\ &= -x_1^2 - x_2^2 + \xi \left[ \frac{\partial V}{\partial x_2} + ax_3 - \dot{x}_{3v} + u \right].\end{aligned}$$

We would like to make  $\dot{W}(x)$  negative definite. For  $a = 0$  we can achieve this just by letting:

$$u = -\frac{\partial V}{\partial x_2} + \dot{x}_{3v} - k\xi$$

for any  $k > 0$ . The corresponding  $\dot{W}$  is in fact:

$$\dot{W} = -x_1^2 - x_2^2 - k\xi^2.$$

e) For  $a \in [-1, 1]$  however, we have:

$$\begin{aligned}\dot{W} &= -x_1^2 - x_2^2 - k\xi^2 + ax_3\xi \\ &= -x_1^2 - x_2^2 - k\xi^2 + a\xi^2 + a\xi \frac{x_1x_2 - x_1 - x_2}{1 + \sin^2(x_1)} \\ &\leq -x_1^2 - x_2^2 - k\xi^2 + \xi^2 + |\xi||x_1x_2| + |\xi||x_1| + |\xi||x_2|\end{aligned}$$

While the terms  $|\xi||x_1|$  and  $|\xi||x_2|$  and  $\xi^2$  can be dominated by choosing  $k$  sufficiently large. The term  $|\xi||x_1x_2|$  is not quadratic and requires higher order negative terms in order to be dominated. For this reason we modify  $u$  as follows:

$$u = -\frac{\partial V}{\partial x_2} + \dot{x}_{3v} - k\xi - k\xi(x_1^2 + x_2^2).$$

This results in:

$$\dot{W}(x) \leq -x_1^2 - x_2^2 - k\xi^2 + \xi^2 + |\xi||x_1x_2| + |\xi||x_1| + |\xi||x_2| - k\xi^2x_1^2 - k\xi^2x_2^2.$$

Notice that:

$$-x_1^2/2 + |\xi||x_1x_2| - k\xi^2x_2^2 \leq 0$$

for all  $k > 1/2$ . Similarly all quadratic terms can be dominated for  $k$  sufficiently large. Hence, this is a suitable choice of feedback.