
Redes de Computadores

The Data Link Layer

Manuel P. Ricardo

Faculdade de Engenharia da Universidade do Porto

-
- » *What are the main services and functions of the Data Link layer?*
 - » *What is a frame? How to frame data? Why is stuffing important?*
 - » *What is the relationship between Bit Error Ratio and Frame Error Ratio?*
 - » *How to detect errors in a frame?*
 - » *How does Cyclic Redundancy Check operate?*
 - » *What are the CRC error detection capabilities?*
 - » *What is the purpose of Automatic Repeat ReQuest (ARQ)?*
 - » *What are the common ARQ mechanisms?*
 - » *How does Stop & Wait ARQ work?*
 - » *How does Go Back N ARQ ARQ work?*
 - » *How does the Selective Reject ARQ work?*
 - » *Why are sequence numbers important in ARQ mechanisms?*
 - » *What is the efficiency of the ARQ mechanisms?*
 - » *What mechanisms are employed in Ethernet, PPP and WLAN?*
 - » *What are the differences between End-to-End ARQ and Link-by-Link ARQ?*
 - » *Where are the ARQ mechanisms used in the TCP/IP reference model ?*

Data Link layer functions and services

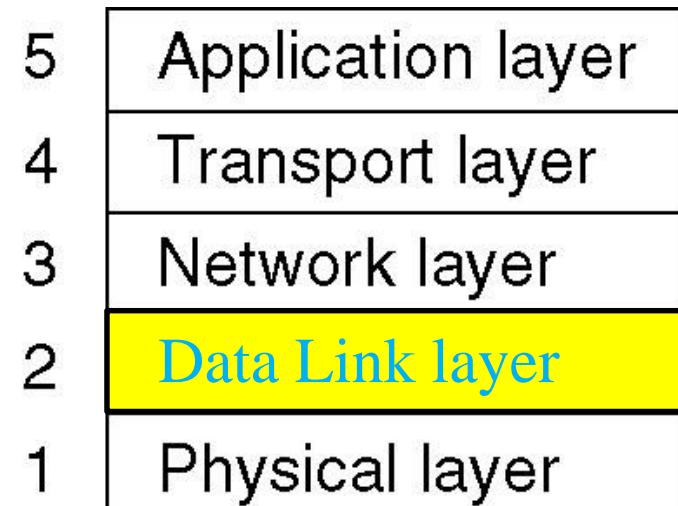
Data Link Layer – Functions and Services

- ◆ Main functions

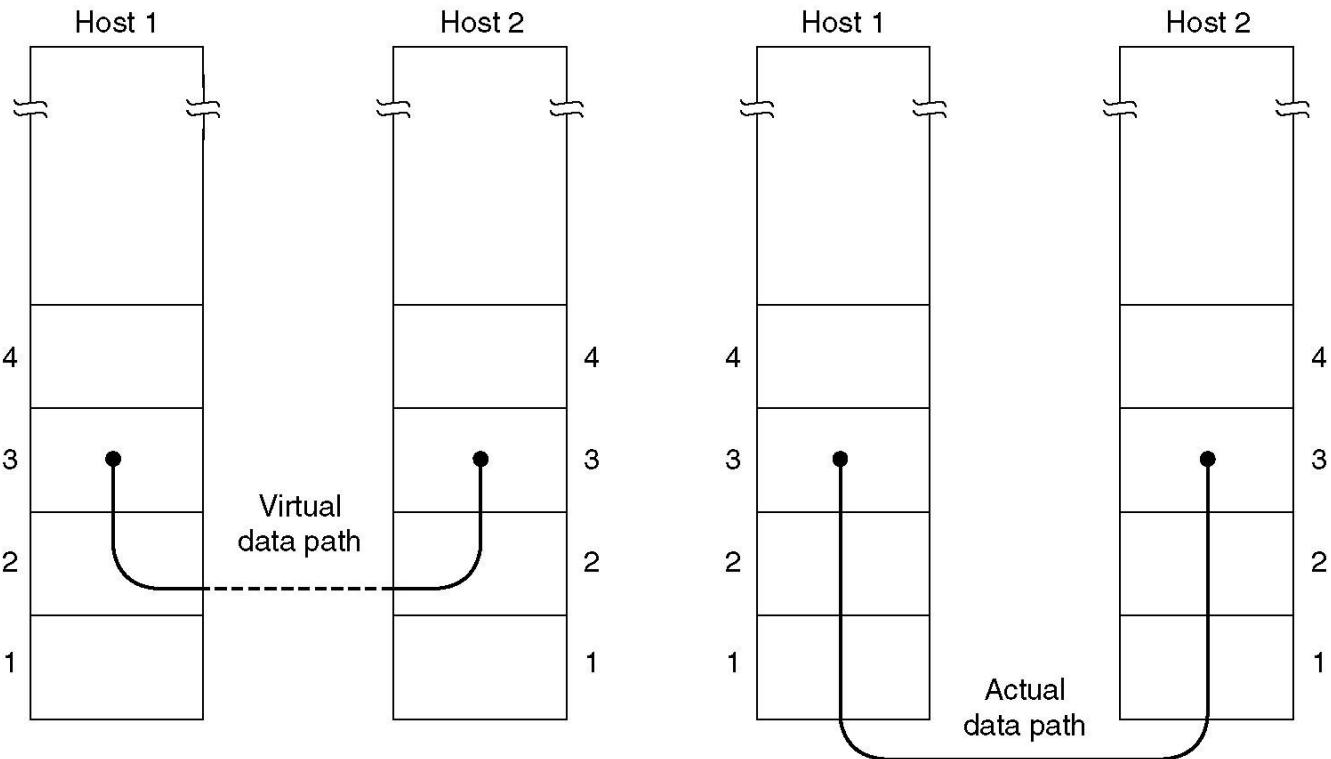
- » Eliminate/reduce transmission errors
- » Regulate data flow
 - Slow receivers not swamped by fast senders
- » Provide service to the network layer

- ◆ Services provided

- » Unacknowledged connectionless service
- » Acknowledged connectionless service
- » Acknowledged connection-oriented service



Services Provided to Network Layer

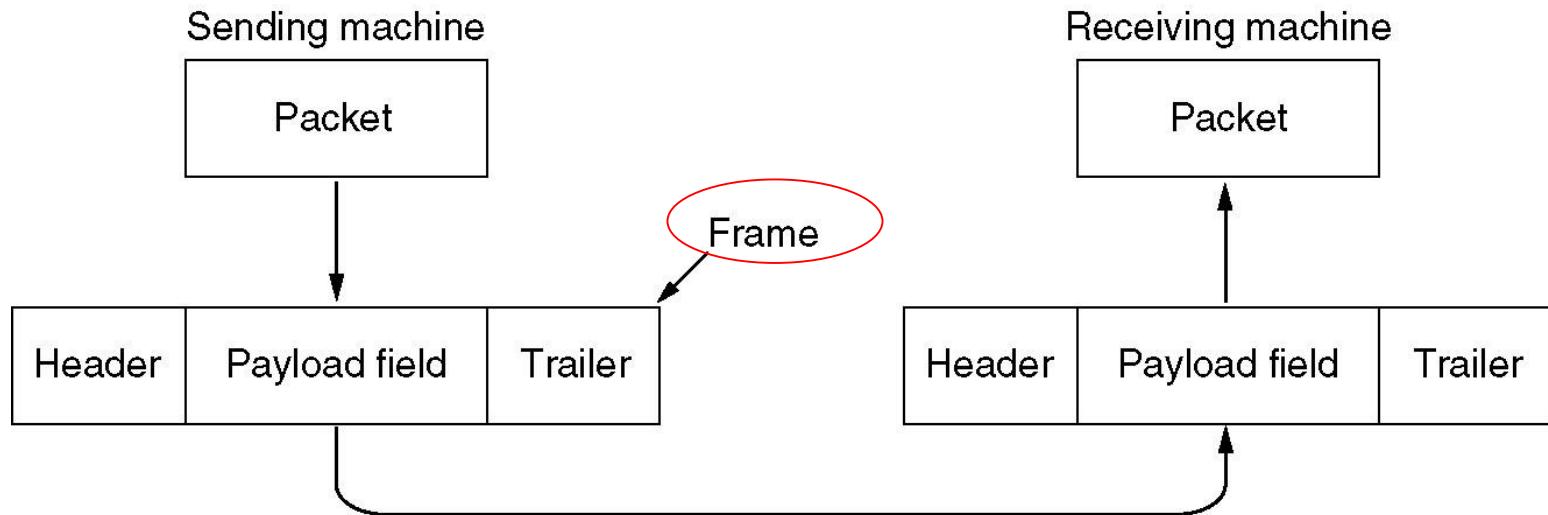


(a) Virtual communication

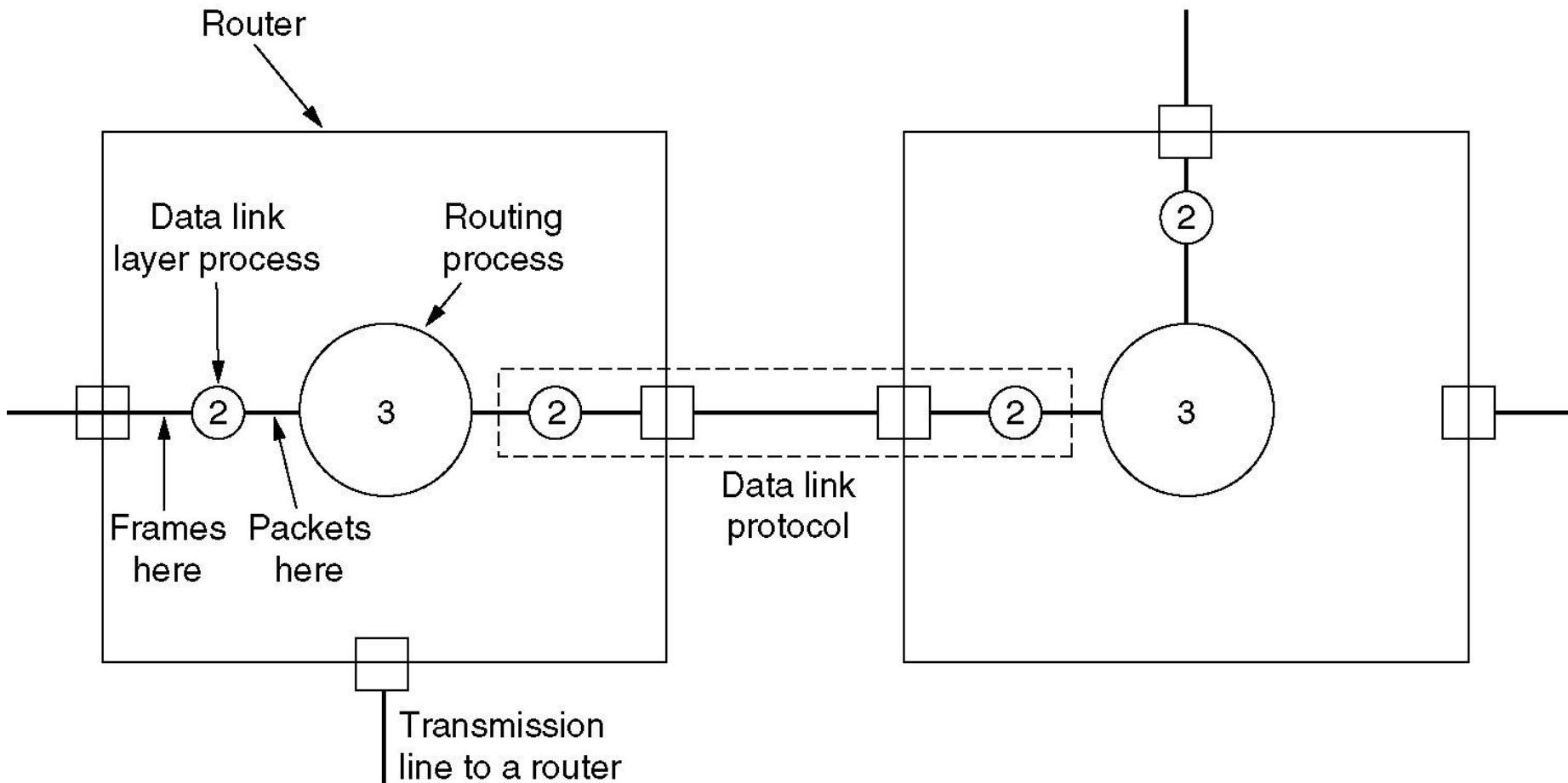
(b) Actual communication

Layer 3 Packets and Layer 2 Frames

Layer 3



Placement of the Data Link Protocol





Framing

To Think

[Sender] → ..1001101101101101101110101.. → [Receiver]

Where is the data? Where does the frame start and stop?

How to split this bit stream into frames (sets of bits)?

Framing

- ◆ [Sender] → ..10011011011011011011011101.. → [Receiver]

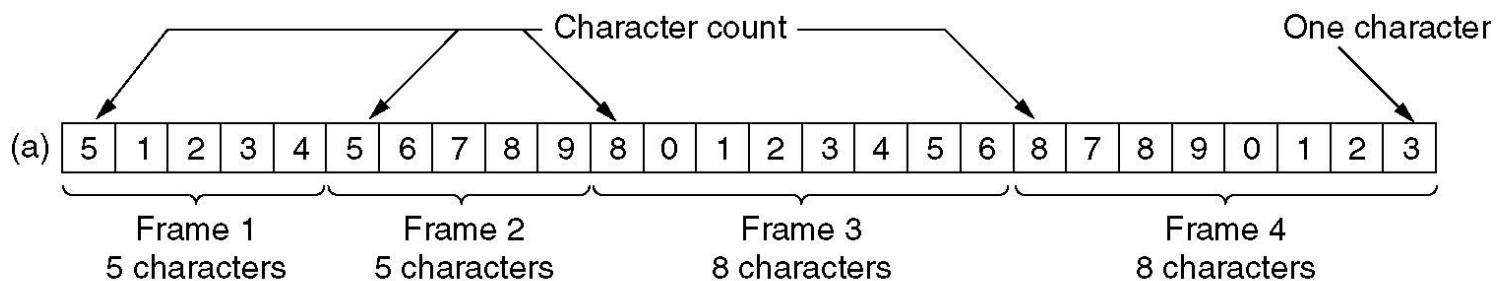
Where is the data? Where does the frame start and stop?

- ◆ Three methods
 - » **Character count**
 - » **Flag bytes with byte stuffing**
 - » **Start and ending flags, with bit stuffing**

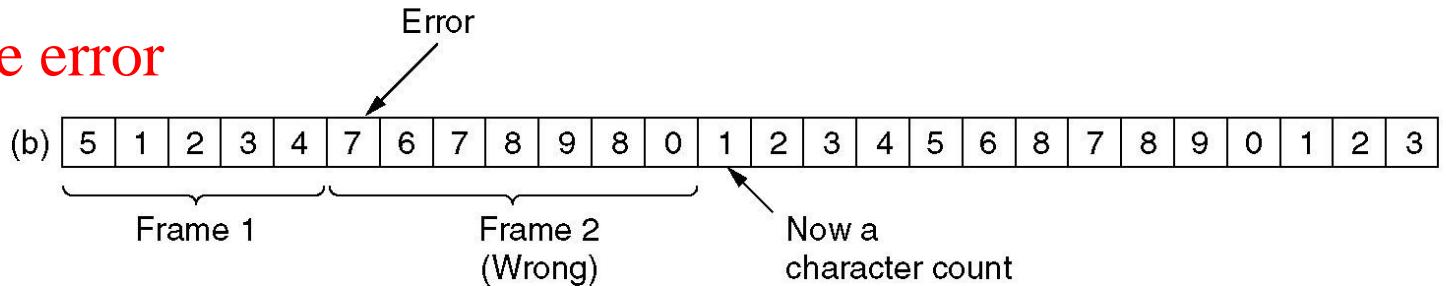
Framing – Character count

A stream of characters

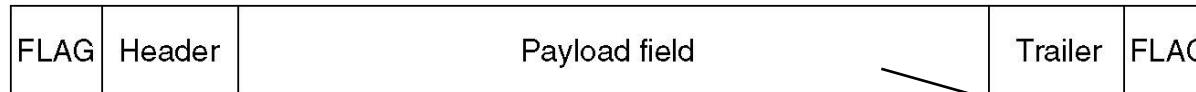
(a) Without errors



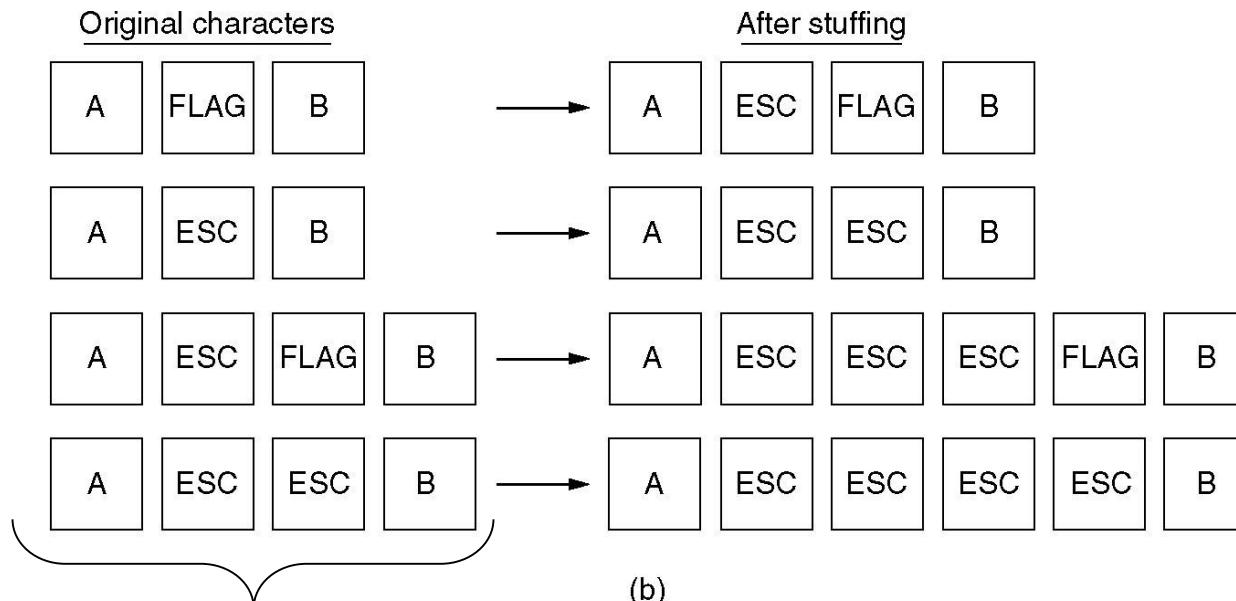
(b) With one error



Framing - Flag bytes with byte stuffing



(a)



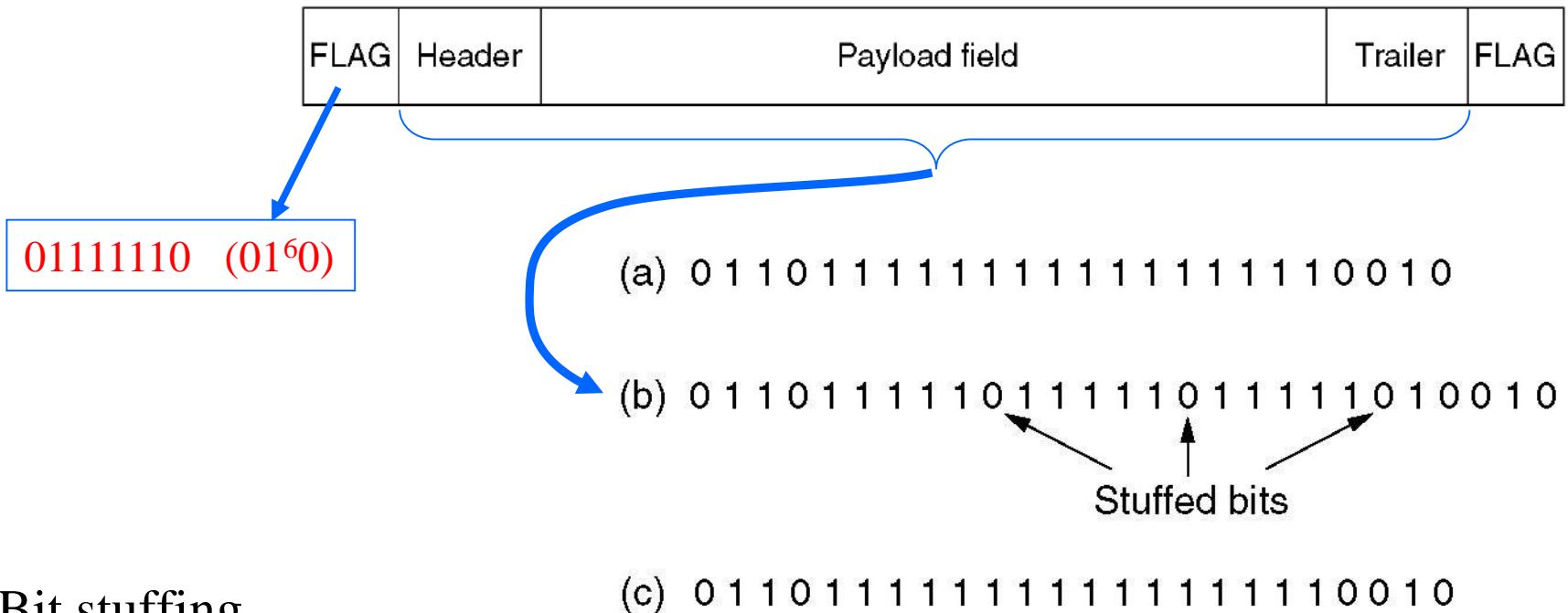
Problem if frame has internal character equal to FLAG!

Problem solved by stuffing mechanism!

(a) A frame delimited by flag bytes

(b) Four examples of byte sequences before and after stuffing

Framing - Start and ending flags, with bit stuffing



Bit stuffing

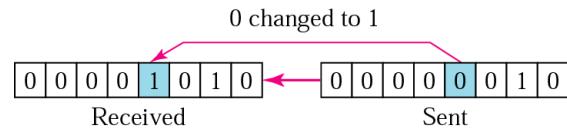
- (a) The original data
 - (b) The data as it appears on the line: $1^5 \rightarrow 1^50$
 - (c) The data as stored in receiver's memory **after destuffing**: $01^50 \rightarrow 01^5$

Error detection

Types of Errors

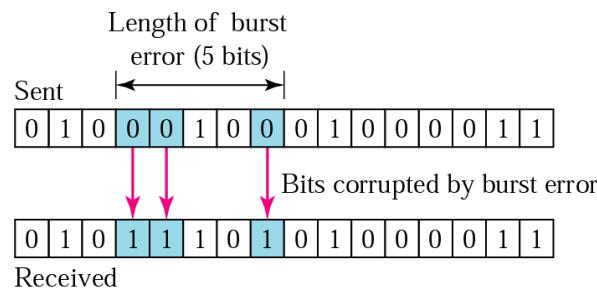
◆ Simple Error

- » Random and independent from previous error



♦ Errors in burst

- » Not independent; affect neighbour bits
 - » Burst length defined by the first and last bits in error



To Think

- ◆ Assume
 - p – bit error probability (or Bit Error Ratio – BER)
 - n – frame length
 - Independent errors
 - FER: Frame Error Ratio

- ◆ Student A explains to Student B

why $P[\text{frame has no errors}] = (1 - p)^n$

- ◆ Student B explains to Student A

why $P[\text{frame has errors}] = 1 - (1 - p)^n \Leftrightarrow FER = 1 - (1 - BER)^n$

Counting Errors

- ◆ Assume

- p – bit error probability (or **Bit Error Ratio – BER**)
- n – frame length
- Independent errors

$$FER = 1 - (1 - BER)^n$$

- ◆ $P[\text{frame has no errors}] = (1 - p)^n$

the n bits are good!

- ◆ $P[\text{frame has errors}] = 1 - (1 - p)^n$

P[frame has errors]= Frame Error Ratio (FER)

$p=10^{-7}$ (**good wired channel**)

$n=10^4$ (~ Ethernet frame length)

$$FER = 1 - (1 - 10^{-7})^{10^4} \approx 10^{-3}$$

- ◆ $P[1 \text{ bit received in error}] = \binom{n}{1} p (1 - p)^{n-1}$

- ◆ $P[i \text{ bits received in error}] = \binom{n}{i} p^i (1 - p)^{n-i}$

$p=10^{-3}$ (**wireless channel**)

$n=10^4$ (~ Ethernet frame length)

$$FER = 1 - (1 - 10^{-3})^{10^4} \approx 1$$

Error Techniques

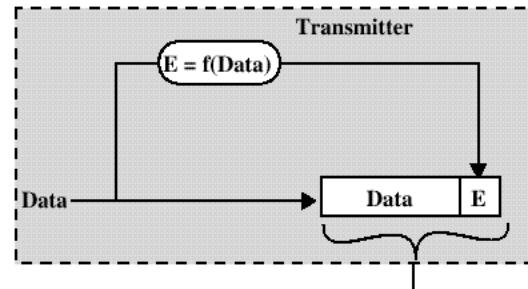
- ◆ Error techniques required!
 - » Detection (and correction)
- ◆ Effectiveness of **error detection** technique (code) characterized by
 - » Minimum distance of code: **d**
 - min number of bit errors **undetected** in a block of n bits
 - if fewer than d errors occur, errors are detected
 - » Burst detecting ability: **B**
 - max burst length of errors **detected**

Error Detection Techniques

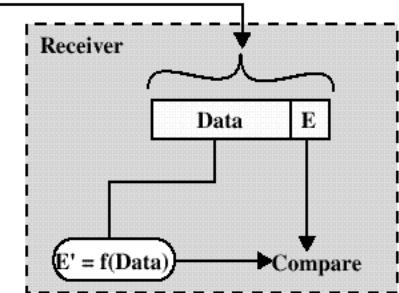
- ♦ Used by the receiver to determine if a packet contains errors
 - » If a packet is found to contain errors, the receiver may request the transmitter to re-send the packet

- ♦ Introducing redundancy →

- » $k \rightarrow k+r$
k: data bits; r: redundancy bits



E, E' = error detecting codes
 f = error detecting code function



- ♦ Error detection techniques

- » Parity check
 - » Cyclic Redundancy Check (CRC)
 - » ...

Simple Parity Check

- ◆ One parity bit added to every k information bits so that

- » The total number of bits 1 even → even parity

1110111	1101110	1010110	1101100	1100100
11101110	11011101	10101100	11011000	11001001

- » The total number of bit 1 is odd → odd parity

- ◆ Detection of

- » simple errors
 - » any number of odd errors in a block of $k+1$ bits

- ◆ Undetected

- » Even number of errors in a block
 $n=k+1$, block size
p: bit error probability

$$P(\text{undetected}) = \sum_{i \text{ even}} \binom{n}{i} p^i (1-p)^{n-i}$$

- ◆ Used in Character Oriented protocols

Bi-dimensional Parity

- ◆ Blocks represented in rows
 - » Parity bit per row; parity bit per column

1 0 0 1 0 1 0	1	1 0 0 1 0 1 0	1
0 1 1 1 0 1 0	0	0 1 1 1 0 1 0	0
1 1 1 0 0 0 1	0 checks	1 1 1 0 0 0 1	0
1 0 0 0 1 1 1	0	1 0 0 0 1 1 1	0
0 0 1 1 0 0 1	1	0 0 1 1 0 0 1	1
<hr/>		<hr/>	
1 0 1 1 1 1 1	0	1 0 1 1 1 1 1	0
Vertical checks			

- ◆ Minimum code distance d=4
 - Any four errors in a rectangular configuration becomes undetectable

Cyclic Redundancy Check (CRC)

- ◆ Bit string represented as a polynomial

» $110011 \rightarrow x^5 + x^4 + x + 1$

- ◆ Module 2 operations

» Additions and subtractions identical to **exclusive OR**
» **no carry, no borrow**

- ◆ $M(x); R(x); T(x)=M(x) * x^r + R(x)$

- ◆ How to compute the check bits: $R(x)$?

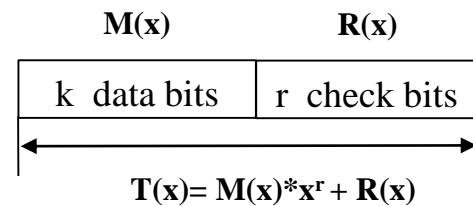
» Choose a generator string $G(x)$ of length $r+1$ bits
» Choose $R(x)$ such that **$T(x)$ is a multiple of $G(x)$** : $T(x)=A*G(x)$

- ◆ $T(x)=M(x)x^r+R(x) = A*G(x) \Leftrightarrow$

$$M(x)x^r = A*G(x) + R(x) \pmod{2}$$

$\Rightarrow R(x) = \text{remainder of } M(x)x^r / G(x)$

- ◆ Choice of $G(x)$ is very important! (**$G(x)=x^r+\dots+1$**)



CRC - Generating $R(x)$

- ◆ $r=3, x^r=x^3 ; G(x)=x^3+1 \quad (1001)$
 - ◆ $M(x)=x^5+x^4+x^2+1 \quad (110101)$
 - ◆ $M(x) * x^3 = x^8+x^7+x^5+x^3 \quad (110101000)$
 - ◆ $R(x)=$ remainder of $M(x)x^r / G(x)$
 - ◆ $R(x)=x+1 \quad (011)$
 - ◆ Sent word
 - » $T(x)=M(x) * x^r + R(x) = x^8+x^7+x^5+x^3+x+1$

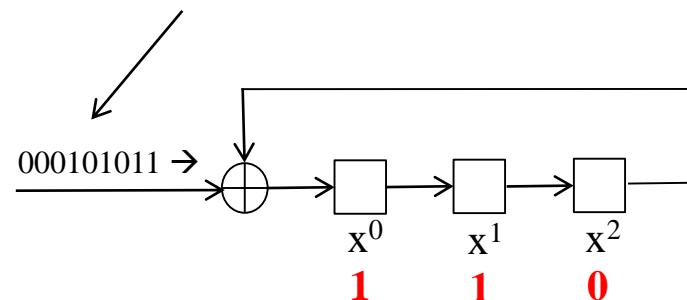
CRC - Generating $R(x)$ with a Shift Register

- ◆ $R(x)$ easily generated in hardware

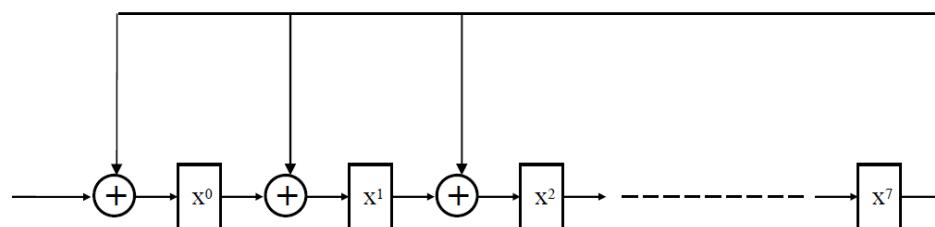
- ◆ $G(x) = x^3 + 1$

- » $M(x) * x^3 = x^8 + x^7 + x^5 + x^3$ (110101000)

- » $R(x) = x + 1$ (011)



- ◆ $G(x) = x^8 + x^2 + x + 1$



CRC – Checking at the Receiver

- ◆ Let $T'(x)$ be the received word
 - » $T'(x) = x^8 + x^7 + x^5 + x^3 + x + 1 \quad (110101\ 011)$
 - ◆ Divide $T'(x)$ by $G(x)$
 - » If remainder $R(x) = 0 \rightarrow$ no errors
 - » If remainder $R(x) \neq 0$
 - errors have occurred

CRC - Performance

- ♦ For r check bits per frame the following can be detected
 - » All patterns of 1, 2, or 3 errors ($d > 3$)
 - » All bursts of errors of r or fewer bits
 - » All errors consisting of an odd number of inverted bits
- ♦ Common polynomials
 - » ITU-16: $r=16$, $G(x) = x^{16} + x^{12} + x^5 + 1$ (1000100000100001)
 - » ITU-32: $r=32$,
$$G(x) = x^{32} + x^{26} + x^{23} + x^{22} + x^{16} + x^{12} + x^{11} + x^{10} + x^8 + x^7 + x^5 + x^4 + x^2 + x + 1$$

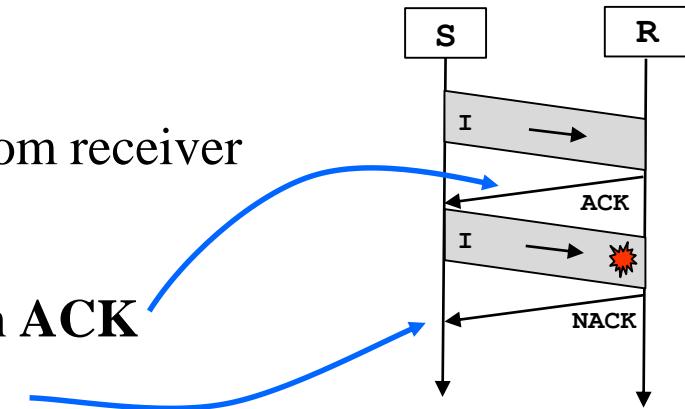
Automatic Repeat reQuest (ARQ)

Automatic Repeat ReQuest (ARQ)

- ◆ When the receiver detects errors in a frame
 how to ask the sender to retransmit the frame?
- ◆ ARQ mechanisms
 - Mechanisms that automatically request the retransmission of
 - missing packets
 - packets with errors
- ◆ Three common ARQ schemes
 - » **Stop and Wait**
 - » **Go Back N**
 - » **Selective Repeat**

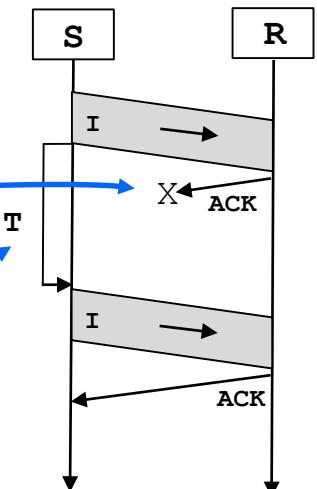
Stop and Wait ARQ

- ◆ Sender
 - » transmits Information frame **I**
 - » waits for positive confirmation **ACK** from receiver



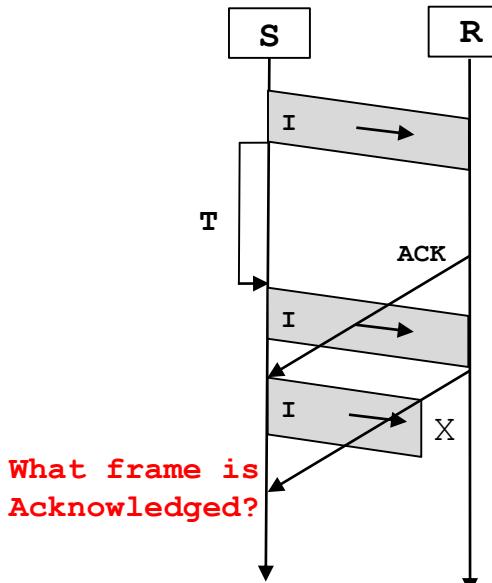
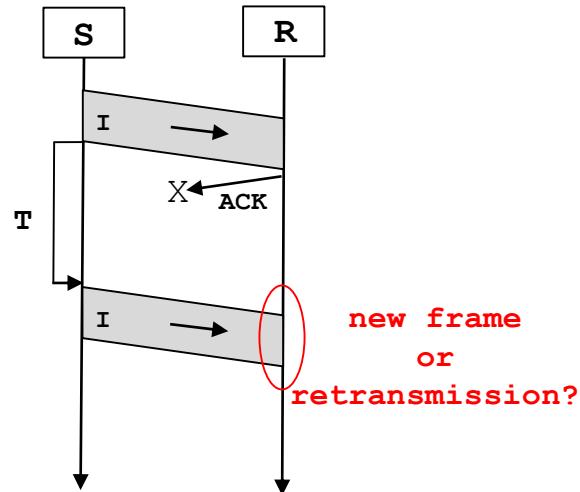
- ◆ Receiver: receives **I** frame
 - » If **I** frame has no error → confirms with **ACK**
 - » If **I** frame has error → sends **NACK**

- ◆ Sender
 - » Receives **ACK** → proceeds and transmits **new** frame
 - » Receives **NACK** → **retransmits** frame **I**



- ◆ Problem
 - » What happens if **I**, **ACK** or **NACK** is lost?
→ Timeout required!

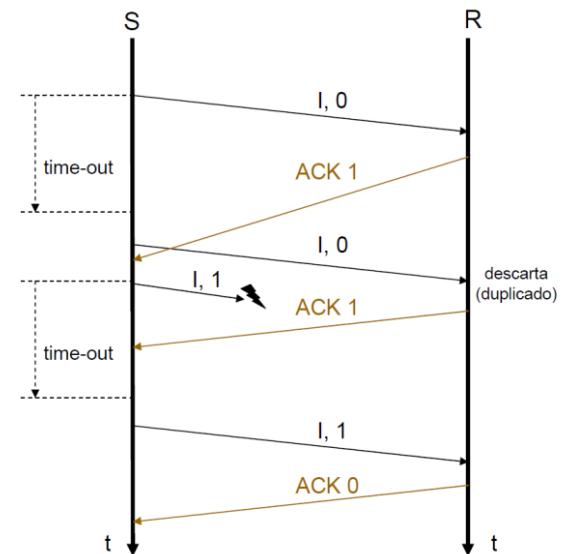
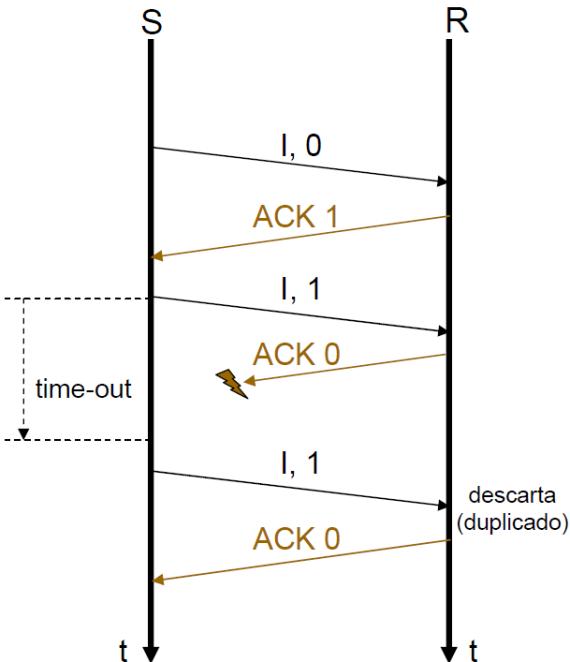
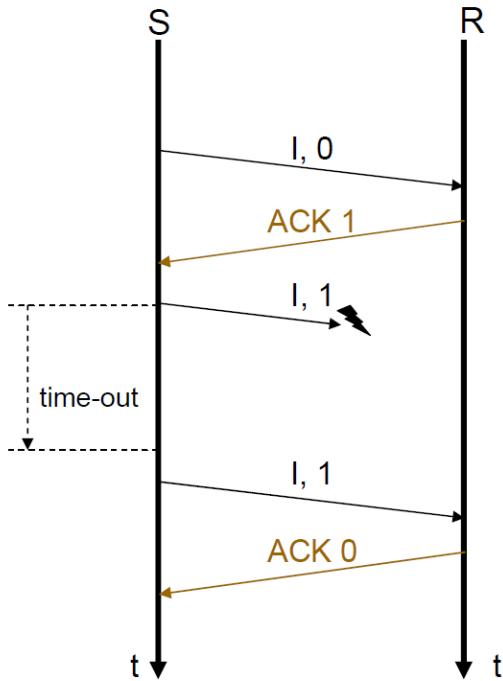
Stop and Wait ARQ – Sequence Numbers Required



♦ Solution

- » I frames numbered: **I(0), I(1)**
- » ACK frames numbered: **ACK(0), ACK(1)**
- » **ACK(i)** indicates that receiver is waiting for frame **I(i)**
- » No **NACK** required
- » Module 2 numbers

Stop and Wait ARQ – Examples



Stop and Wait – Efficiency Example

» WAN ATM

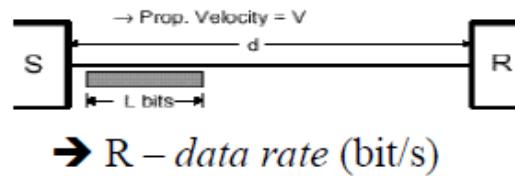
- $d = 1000 \text{ km}$
- $L = 424 \text{ bit}, R = 155.52 \text{ Mbit/s}$
- $T_t = 2.7 \mu\text{s}$
- Fibra óptica $\rightarrow 5 \mu\text{s/km} \rightarrow \tau = 5 \text{ ms}$
- $a = 1852$
- $S = 1 / 3705 = 0.0003$

» LAN

- $d = 0.1 \sim 10 \text{ km}$
- $L = 1000 \text{ bit}, R = 10 \text{ Mbit/s}$
- $T_t = 100 \mu\text{s}$
- Cabo coaxial $\rightarrow 4 \mu\text{s/km} \rightarrow \tau = 0.4 \sim 40 \mu\text{s}$
- $a = 0.004 \sim 0.4$
- $S = 0.55 \sim 0.99$ (e se $R = 100 \text{ Mbit/s?}$)

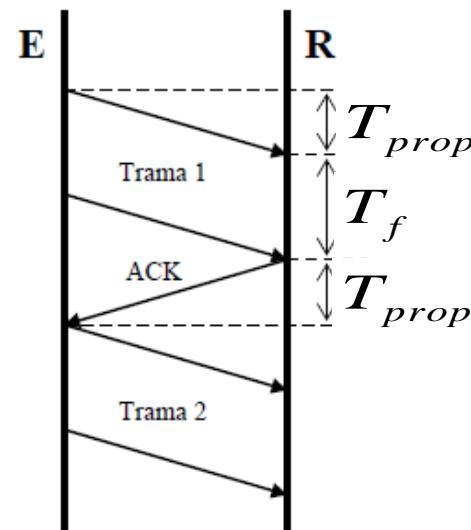
» Modem sobre linha telefónica

- $d = 1000 \text{ m}$
- $L = 1000 \text{ bit}, R = 28.8 \text{ kbit/s}$
- $T_t = 34.7 \text{ ms}$
- UTP $\rightarrow 5 \mu\text{s/km} \rightarrow \tau = 5 \mu\text{s}$
- $a = 1.44 \cdot 10^{-4}$
- $S \approx 1.0$



$$T_f = \frac{L}{R} = T_t$$

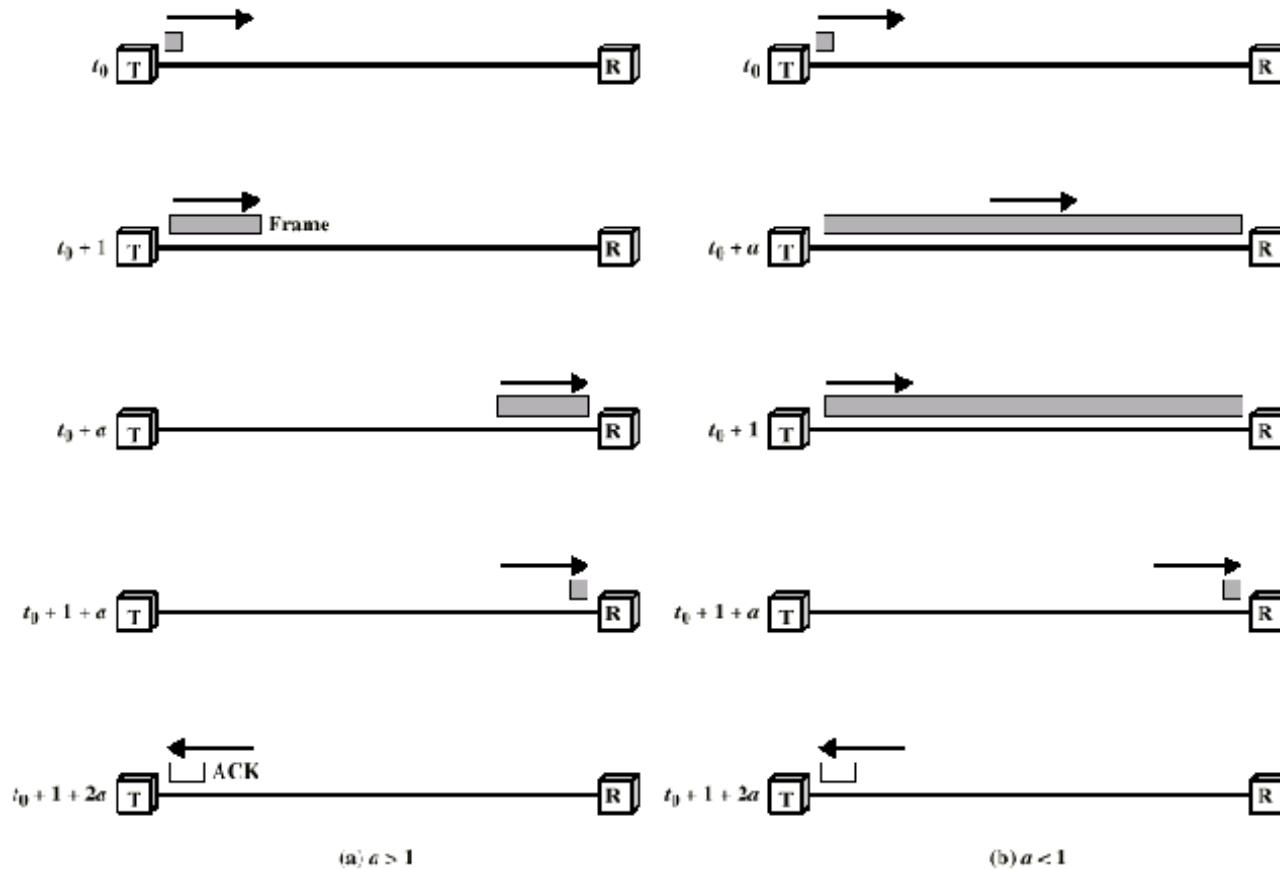
$$T_{prop} = \frac{d}{V} = \tau$$



$$a = \frac{T_{prop}}{T_f}$$

$$S = \frac{T_f}{T_{prop} + T_f + T_{prop}} = \frac{1}{1 + 2a}$$

Stop and Wait - Efficiency



Stop-and-Wait Link Utilization (transmission time = 1; propagation time = α)

Stop and Wait ARQ – Efficiency with Errors

- » p_e – frame error probability
- » $P[A=k]$
 - Probability of **k Attempts** required to transmit a frame with success

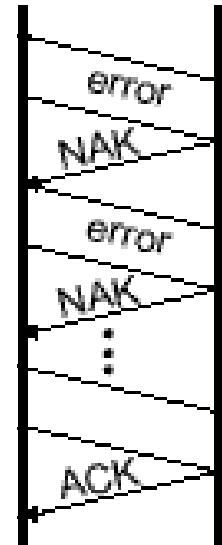
$$P[A=k] = p_e^{k-1} (1-p_e)$$

- » $E[A]$
 - expected number of Attempts to transmit a frame with success

$$E[A] = \sum_{k=1}^{+\infty} k * P[A=k] = \frac{1}{1-p_e}$$

- » Efficiency

$$S = \frac{T_f}{E[A](T_f + 2T_{prop})} = \frac{1}{E[A](1+2a)} = \frac{1-p_e}{1+2a}$$



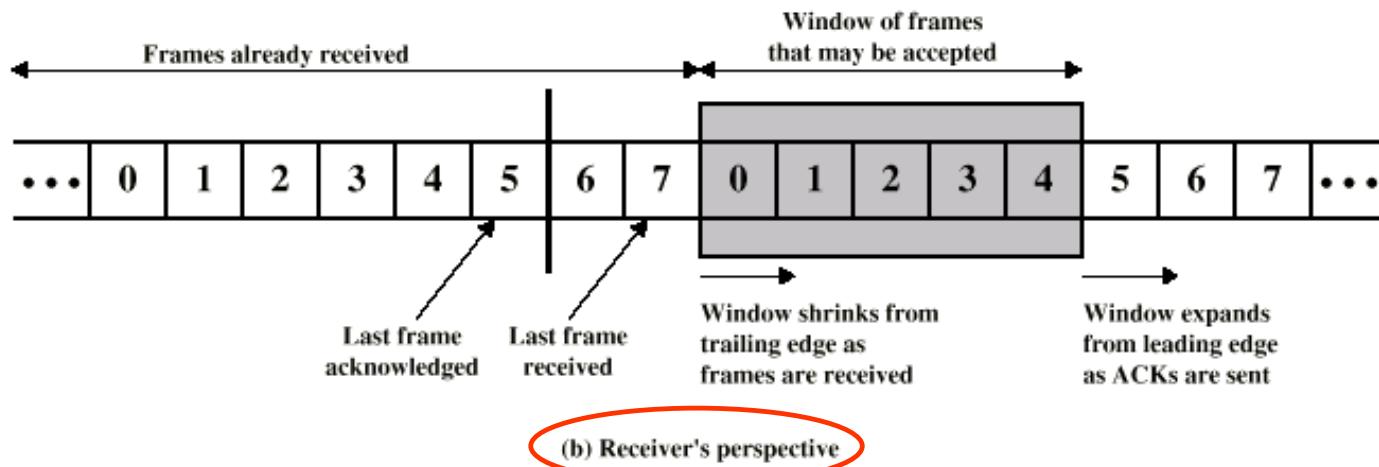
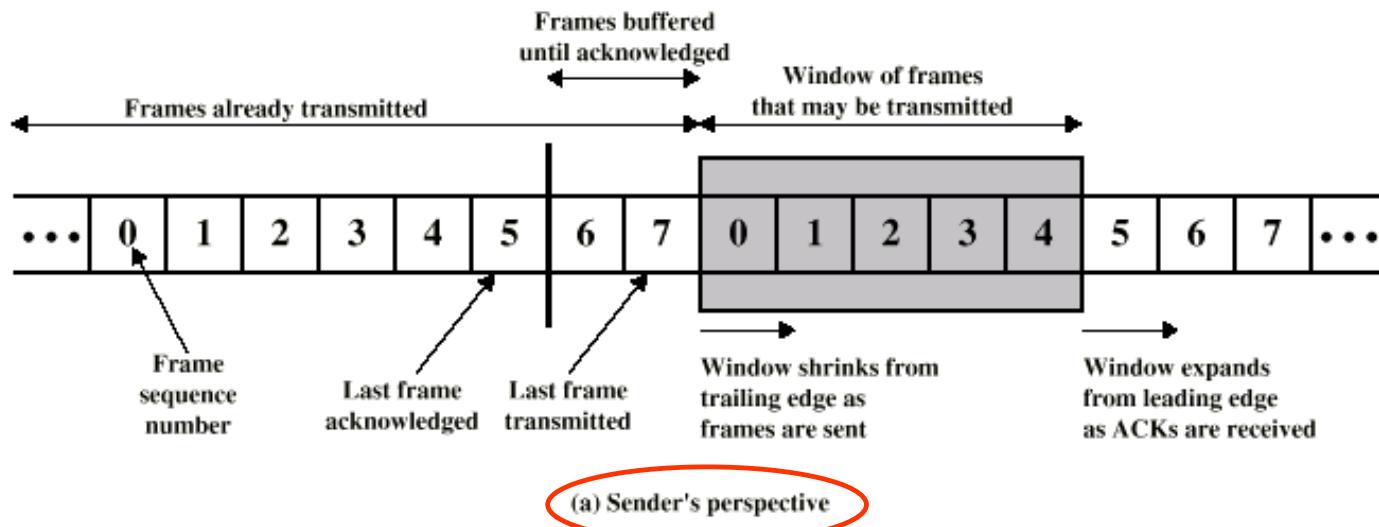
To Think

- ♦ Assume Sender and Receiver are separated by a large distance?
How to improve the Efficiency of the Stop & Wait ARQ?

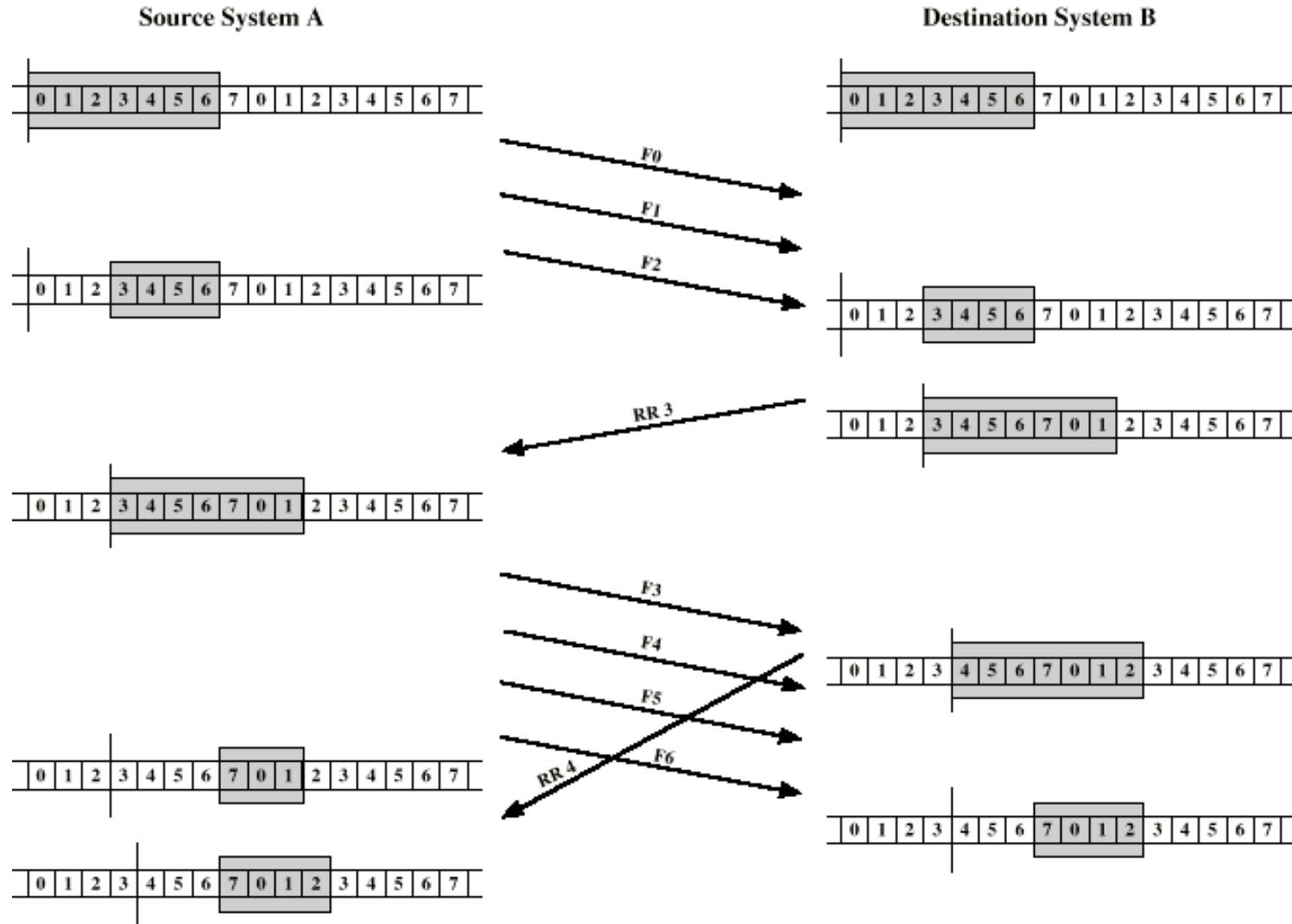
Go Back N ARQ (Sliding Window)

- ◆ Stop and Wait
 - » inefficient when $T_{prop} > T_f$ ($a > 1$)
 - » sends only one frame per Round-Trip Time ($RTT = 2 * T_{prop} + T_f$)
- ◆ Go Back N
 - » allows transmission of new packets before earlier ones are acknowledged
 - » uses a **Sliding Window** mechanism
 - sender can send packets that are within a “window” (range) of packets
 - window advances as acknowledgements for earlier packets are received

Sliding Window - Model



Sliding Window - Example



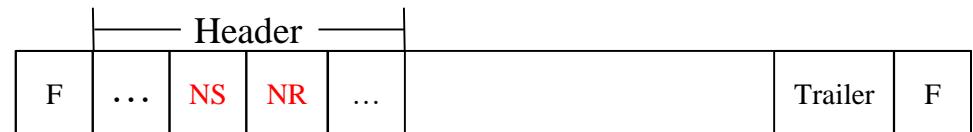
Go Back N ARQ – Basic Behaviour

- ◆ Sender
 - » may transmit up to **W** frames without receiving RR
RR - Receiver Ready = ACK
 - » I frames are numbered sequentially $I(NS)$: $I(0), I(1), I(2), \dots$
 - » Cannot send $I(NS=i+W)$ until it has received the $RR(NR=i)$

- ◆ Receiver
 - » does not accept frames out of sequence
 - » sends $RR(NR)$ to sender indicating
 - that **all the packets up to NR-1 have been received in sequence**
 - the sequence number, NR , of the next expected frame

Go Back N ARQ – Maximum Window, Extensions

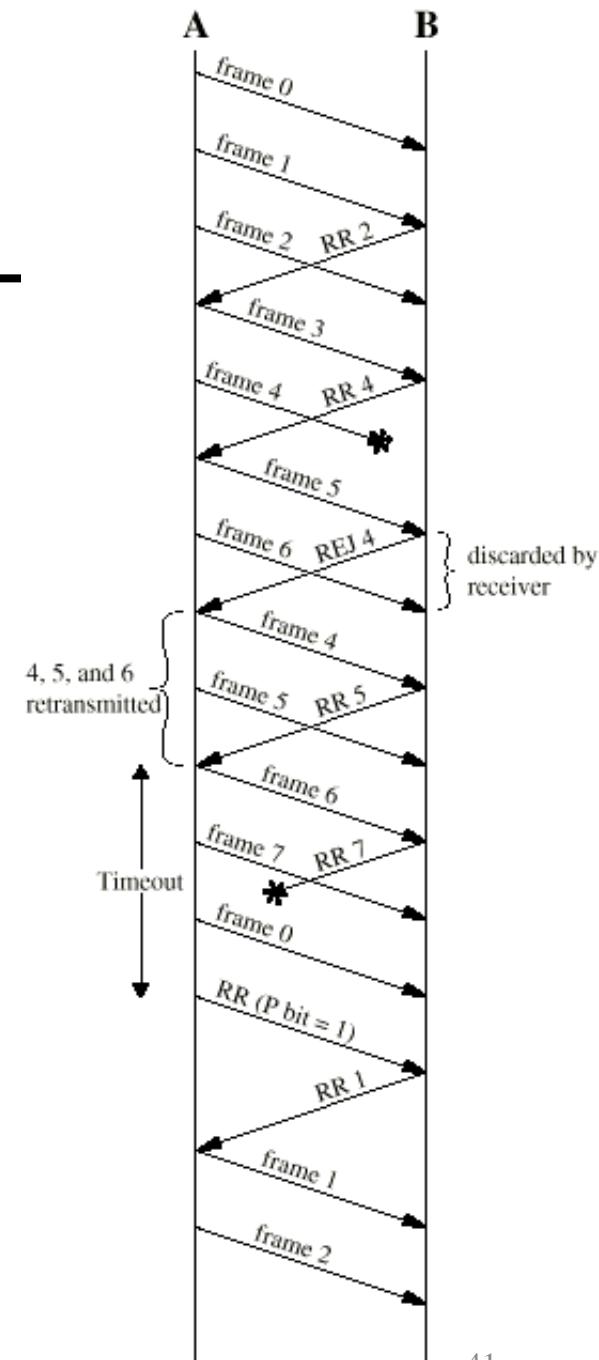
- ◆ Sequence numbers are represented module M
 - » NS, NR in {0, 1, ..., M-1}
- ◆ Maximum Window
 - » $W = M-1 = 2^k - 1$
 - » k is number of bits used to code sequence numbers



- ◆ Extensions to basic behaviour
 - » Piggybacking can be used for bidirectional flows
 - » RR information can be sent in the data packets of opposite direction

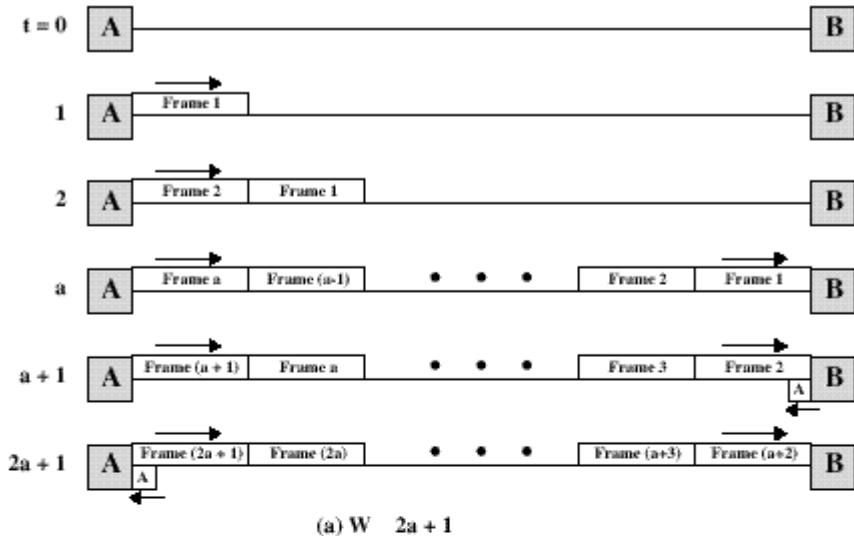
Go Back N ARQ – Behaviour under Errors

- ◆ Frame with errors
 - is silently discard by the Receiver
- ◆ If Receiver receives Data frame out of sequence
 - » First out-of-sequence-frame?
 - Receiver sends REJ(NR)
 - NR indicates the next in-sequence frame expected
 - » Following out-of sequence-frames
 - Receiver discards them; no REJ sent
- ◆ When Sender receives REJ(NR=x), the Sender
 - » **Goes-Back** and retransmits I(x), I(x+1), ...
 - » Continues using Sliding Window mechanism
- ◆ If timeout occurs, the Sender
 - » requests the Receiver to send a RR message
 - » by sending a special message (RR command message)

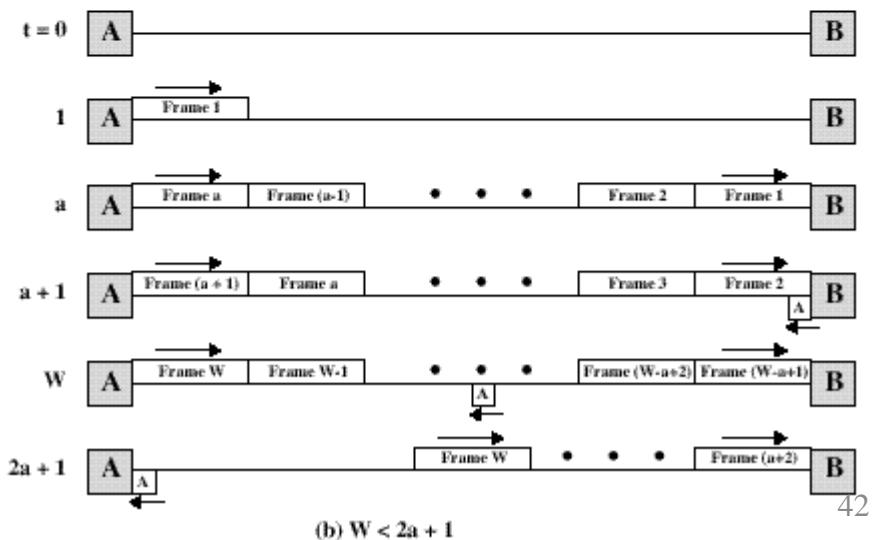


Go Back N – Efficiency

- ♦ If $W \geq 1+2a \rightarrow S = 1$

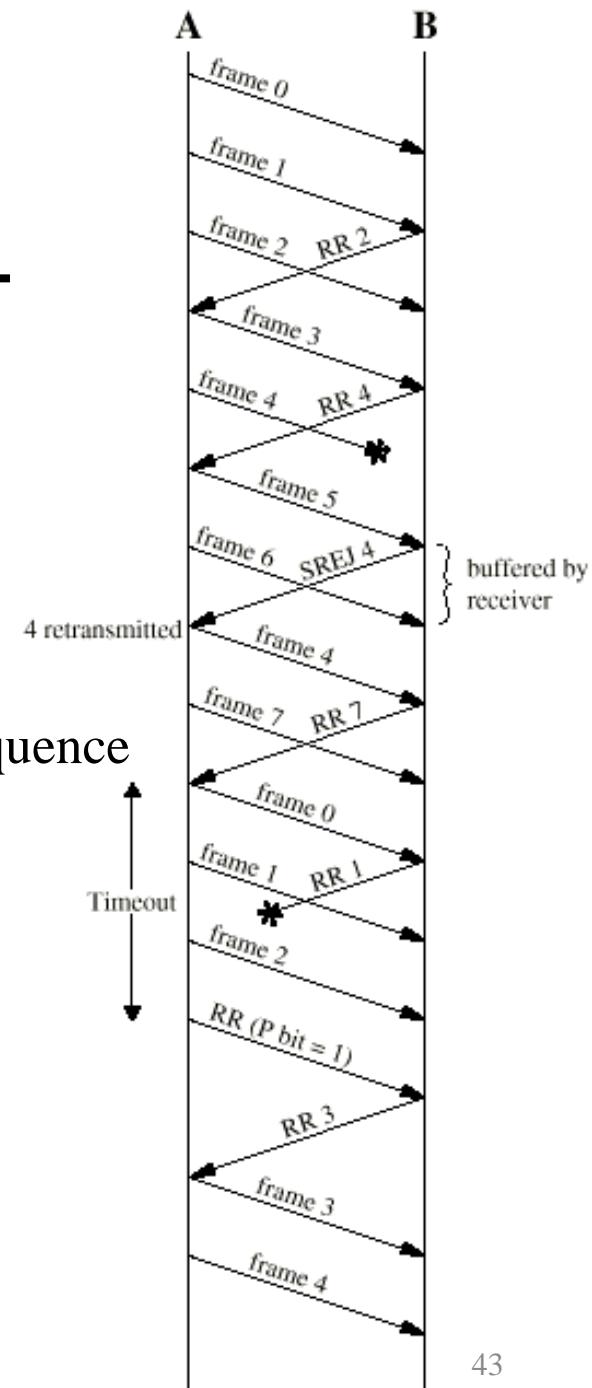


- ♦ If $W < 1+2a \rightarrow S = W/(1+2a)$



Selective Repeat ARQ

- ◆ Uses Sliding Window, but ...
- ◆ Receiver
 - » accepts out-of-sequence-frames
 - » confirms negatively, SREJ, a frame not arrived
 - » uses RR to confirm blocks of frames arrived in sequence
- ◆ Sender
 - » retransmits only the frames signaled by SREJ
- ◆ Adequate if W (a) is very large
- ◆ Maximum window size, $W = \frac{M}{2} = 2^{k-1}$



Go-Back-N and Selective Repeat ARQ – Efficiency under Errors

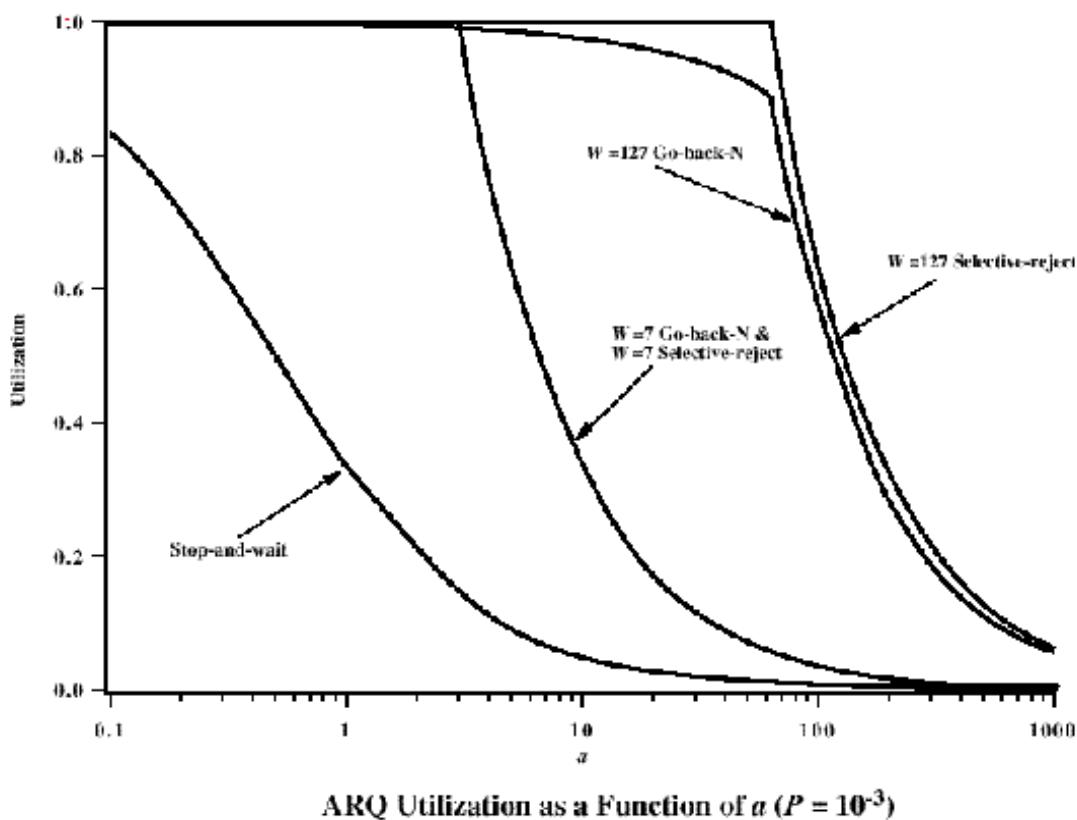
- ◆ *Go-Back-N ARQ*

p_e – frame error probability (= FER)

$$S = \begin{cases} \frac{1 - p_e}{1 + 2ap_e} & , W \geq 1 + 2a \\ \frac{W(1 - p_e)}{(1 + 2a)(1 - p_e + Wp_e)} & , W < 1 + 2a \end{cases}$$

- ◆ *Selective Repeat ARQ*

$$S = \begin{cases} \frac{1 - p_e}{W(1 - p_e)} & , W \geq 1 + 2a \\ \frac{1 - p_e}{1 + 2a} & , W < 1 + 2a \end{cases}$$



Framing, Error detection and ARQ in common networks

Ethernet

- ◆ Framing
 - » Start of frame: preamble + SFD
 - » End of frame: end of signal transitions (Manchester code), length
- ◆ Error detection: FCS → ITU-32, $G(x) = x^{32} + \dots + 1$

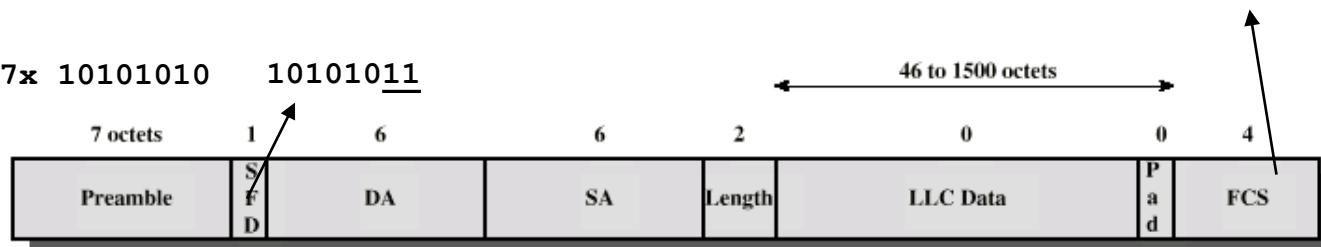
- ◆ No ARQ
 - » Bit Error ratio (BER) very low
 - ➔ Frame Error Ratio (FER) low
- » CRC/FCS strong
 - Good detection of error frames
 - Frame detected with errors ➔ discarded

$p=10^{-7}$ (good wired channel)

$n=10^4$ (~ Ethernet frame length)

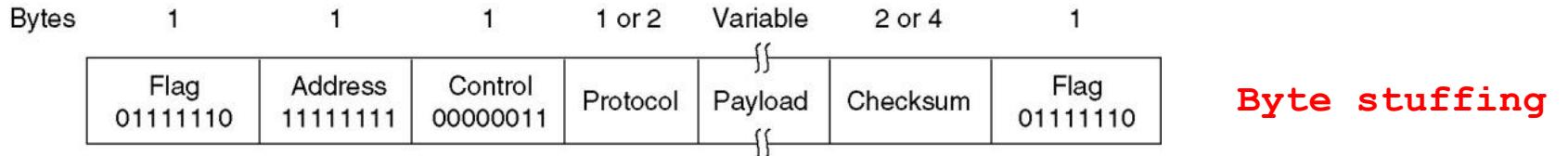
$$P[\text{frame has errors}] = 1 - (1 - 10^{-7})^{10^4} \approx 10^{-3}$$

ITU-32: $r=32$, $G(x) = x^{32} + \dots + 1$

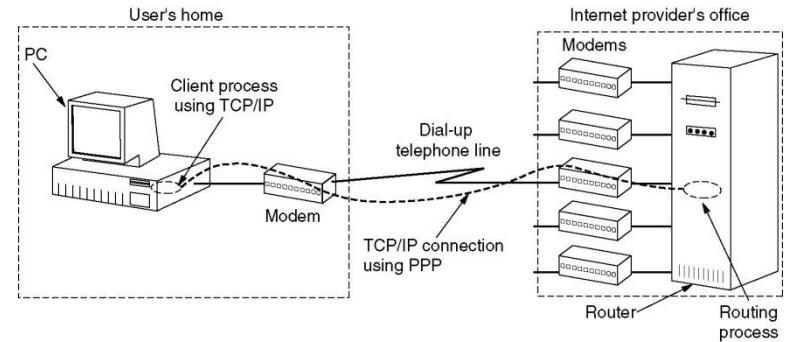


SFD = Start of frame delimiter
DA = Destination address
SA = Source address
FCS = Frame check sequence

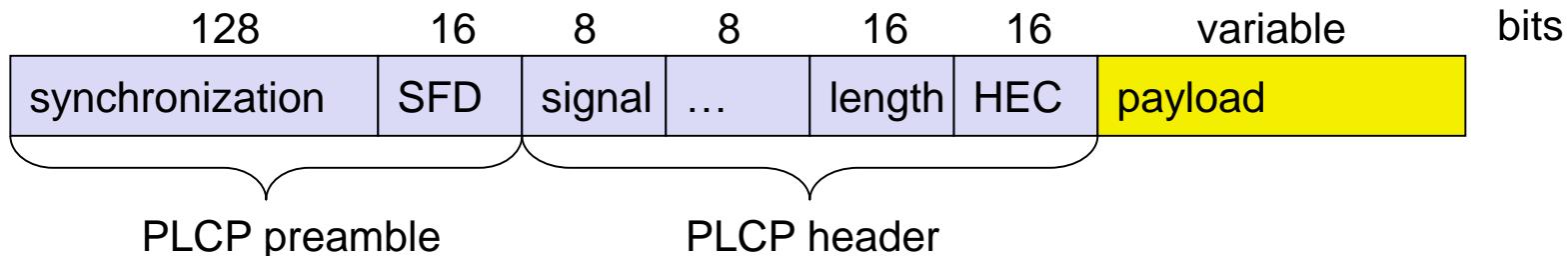
Point to Point Protocol



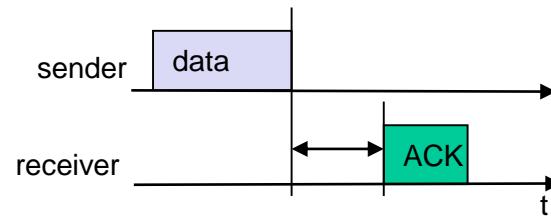
- ◆ Framing: Flags - 0x7E
- ◆ Byte stuffing: ESC – 0x7D
- ◆ Error detection – can be negotiated
- ◆ No ARQ



Wireless LAN

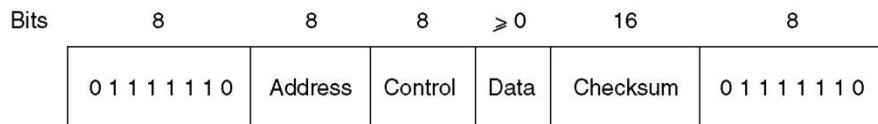


- ◆ **Framing**
 - » Synchronization: 0101010 ...
 - » SFD (Start Frame Delimiter → 1111001110100000)
 - » Length → Payload length in us
- ◆ **HEC (Header Error Check)**
 - » ITU-16, $G(x) = x^{16} + x^{12} + x^5 + 1$
- ◆ **Payload (data)**
 - » Protected by strong codes
- ◆ **ARQ**
 - » modified version of Stop and Wait
- ◆ **Signal:** Payload bitrate (0A: 1 Mbit/s DBPSK; 14: 2 Mbit/s DQPSK)



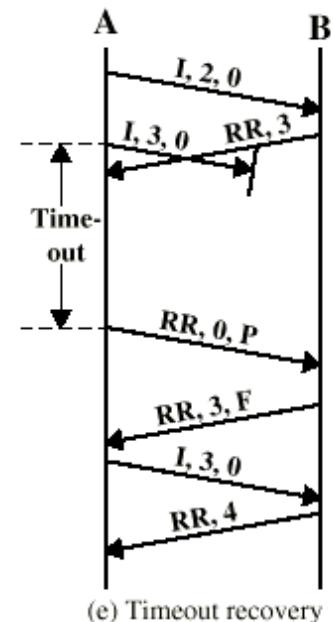
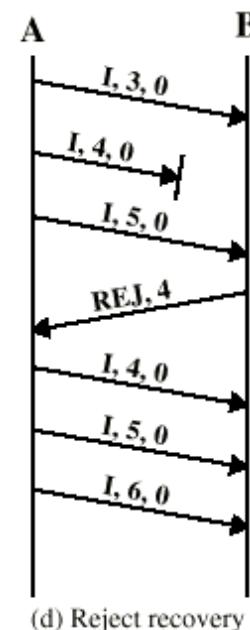
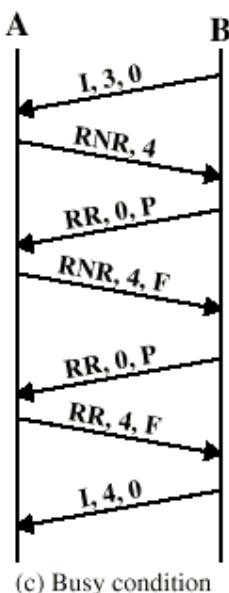
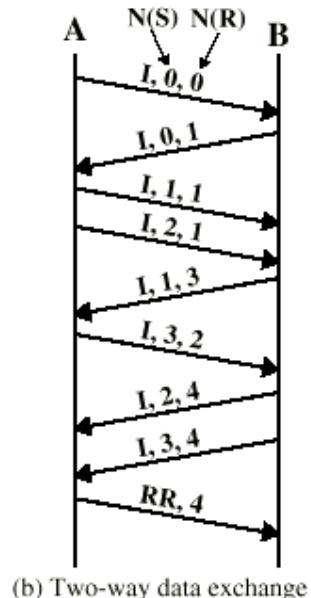
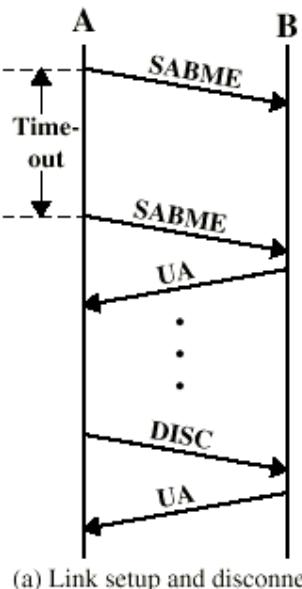
High-Level Data Link Control

- ♦ HDLC, Data Link Control, bit oriented



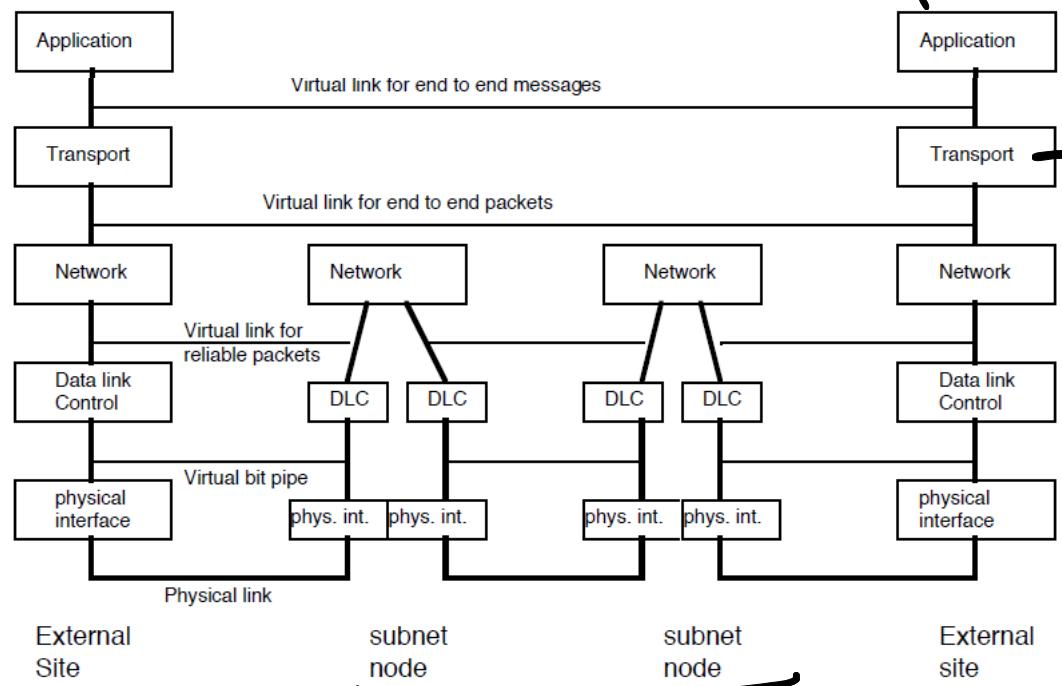
- ♦ Framing – FLAGS
- ♦ Bit stuffing
- ♦ Error detection – ITU-16
- ♦ ARQ – Selective Repeat ARQ
- ♦ Used as basis for many telecom networks
 - » GSM/GPRS/UMTS, Frame Relay
 - » LAP-x protocols

HDLC - Examples



Reliability in the Protocol Stack

Reliability in the Protocol Stack



* É possível a nível das aplicações aplicar estas medidas

A aplicação pode dizer à camada de baixo que não quer fiabilidade
→ Cliente envia um pedido, se dentro de timeout pede de novo

Reliability in the TCP/IP Reference Model

- ◆ The TCP/IP reference model assumes
 - » Layer 2 offers an error free service to the upper layer
 - » Service Data Units are
 - delivered to upper layer without error,
 - or discarded
- ◆ The layered model **transforms bit error in packet losses**
Therefore, packet losses must be repaired → ARQ solutions
- ◆ Two strategies can be used
 - » Link-by-Link ARQ
 - » End-to-end ARQ

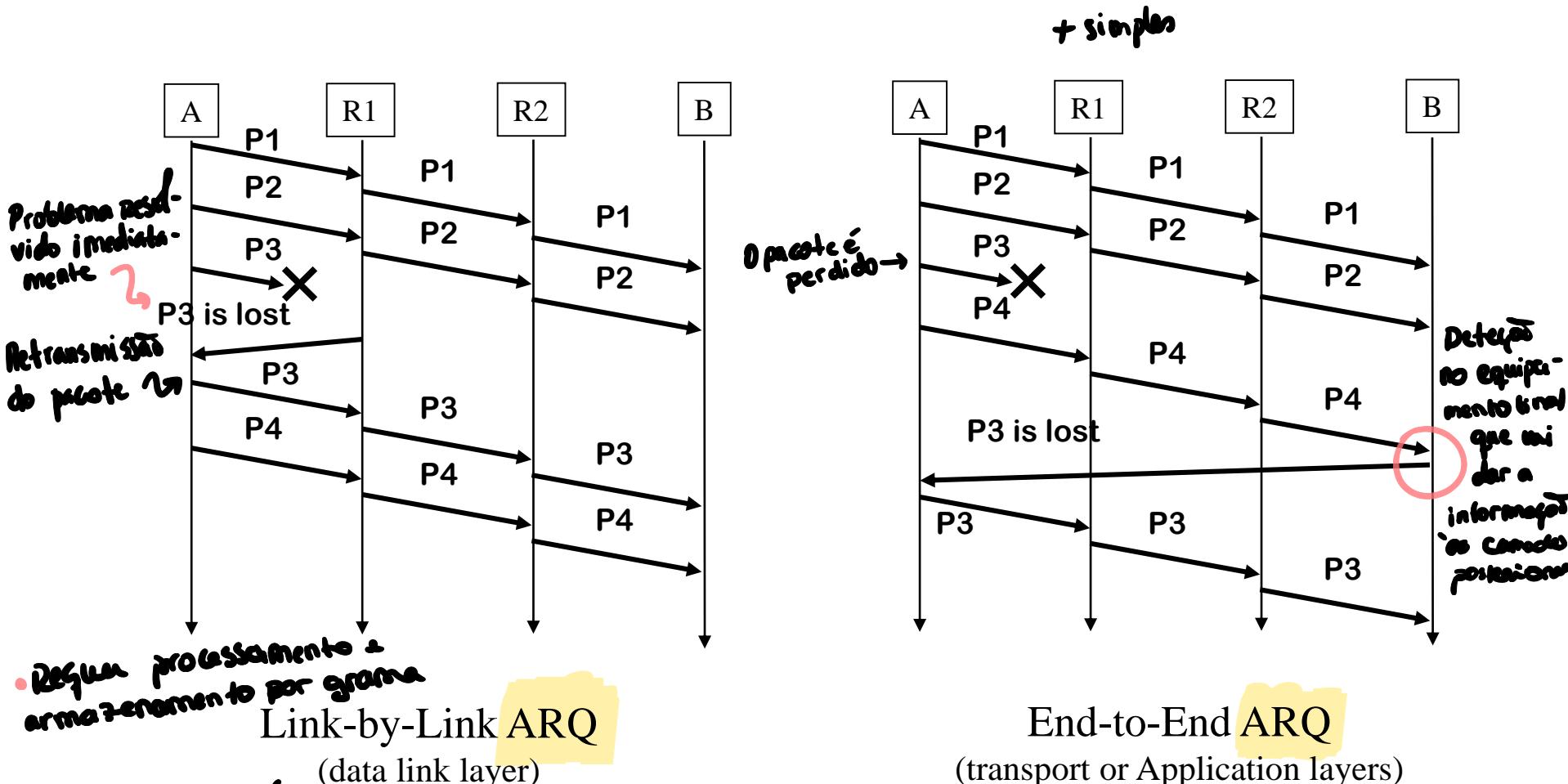
Se uma grama tem 1 Bit e esse bit é
Recuperável. Dado link loses vai consu-
mir o erro. logo, para as camadas
superiores: Bits errados
↓
Percos de pacote

$$FER = 1 - (1 - BER)^n$$



Tipo de recuperção depende da ligação

Link-by-Link ARQ versus End-to-End ARQ



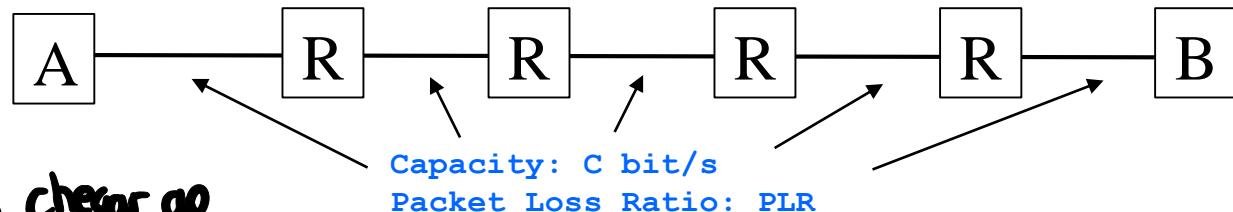
Link-by-Link ARQ versus End-to-End ARQ

- ◆ Link-by-Link ARQ
 - » Repairs losses link by link
 - » Requires network elements to
 - remember information about packet flows → high processing per frame
 - store packets in case they have to be retransmitted → memory required
- ◆ End-to-end ARQ
 - » Low complexity in intermediate network elements
 - Switches/routers become simpler
 - » Packets may follow different end-to-end paths
 - » But, not acceptable when Packet Loss Ratio is high
 - » Let's see why ...

* Como as perdas são maiores
↳ This vale fazer recuperação link-by-link, pois é + fiável e rentável

End to End Capacity

K links

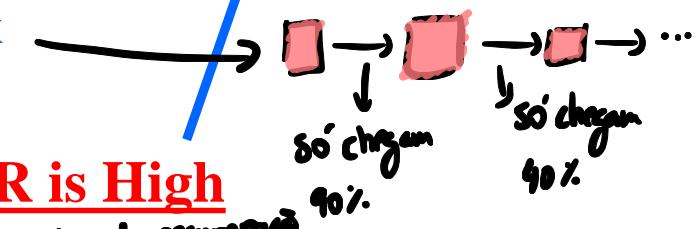


Probabilidade de um pacote não chegar ao destino

- Packet Loss Ratio (PLR)
- Capacity of one link $C_l = C * (1 - PLR)$
- End to End capacity
 - » using Link-by-Link ARQ: $C_{LL} = C_l = C * (1 - PLR)$
 - » Using End-to-End ARQ: $C_{EE} = C * (1 - PLR)^K$

k	PLR	C_{EE}	C_{LL}
10	0.05	$0.6 * C$	$0.95 * C$
10	0.0001	$0.9990 * C$	$0.9999 * C$

é logo recuperado



- End-to-end ARQ → Inefficient when PLR is High

* Se PLR é muito BAIXO → ligações + fiáveis → não vale a pena fazer técnicas de recuperção link-by-link
↳ Recuperação extremo-extremo é muito similar à ligação-ligação
→ não havendo fugas

ARQ in the TCP/IP Reference Model

- ♦ In the TCP/IP reference model, packet losses are repaired
 - » At the Data Link layer on lossy channels (e.g. wireless data links)
 - » At the end systems (transport layer or application layer)

Homework

1. Review slides
2. Read from Tanenbaum
 - » Chapter 3 (5th edition)
3. Read from Bertsekas&Gallager
 - » Sections 2.3, 2.4
4. Answer questions at moodle