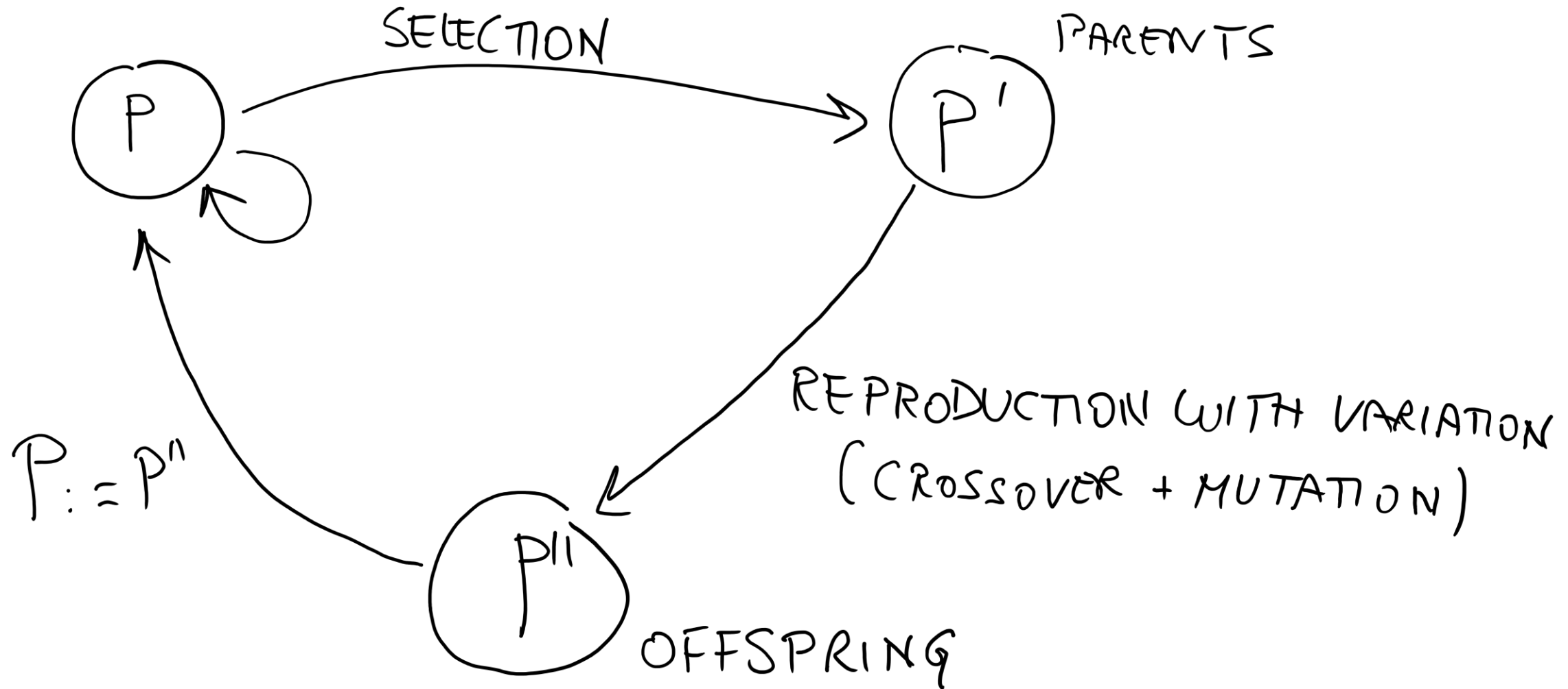


GENETIC ALGORITHMS

CIFO 4/4/2024



GENETIC ALGORITHMS

- ① CREATE THE INITIAL POP P COMPOSED OF N RANDOM INDIVIDUALS
- ② REPEAT UNTIL TERMINATION CONDITION
 - ②.1 CALCULATE FITNESS OF ALL INDIVS IN P (AVOIDABLE IN SOME CASES)
 - ②.2 CREATE AN EMPTY POP P'
 - ②.3 REPEAT UNTIL POP P' CONTAINS N INDIVIDUALS
 - ②.3.1 CHOOSE AN OPERATOR BETWEEN CROSSOVER (WITH PROB. p_c) OR REPLICATION (WITH PROB. $1-p_c$)
 - ②.3.2 SELECT 2 INDIVIDUALS FROM P
 - ②.3.3 APPLY THE OPERATOR IN 2.3.1 TO THE INDIVS OF IN 2.3.2.
 - ②.3.4 APPLY MUTATION TO THE INDIVIDUALS RESULTING FROM 2.3.3.
 - ②.3.5 INSERT THE INDIVIDUALS FROM 2.3.4 INTO P'
 - ②.4 $P := P'$
- ③ RETURN THE BEST INDIVIDUAL IN P



- IF N IS ODD, THERE ARE SEVERAL POSSIBILITIES
EXAMPLES : - SELECT 1 INDV, MUTATE IT, INSERT IT IN P'
 - FOR 1 CROSSOVER EVENT, LET ONLY ONE CHILD TO SURVIVE
 - ...
- ELITISM : COPY UNCHANGED OF THE BEST INDIVIDUAL (OR SET OF INDIVIDUALS) INTO P' .
- REPLICATION : COPY UNCHANGED OF THE PARENTS
- ~~TERMINATION~~ CODITION : LIKE FOR THE S.A.
- WE CAN AVOID POINT 2.1 — WHEN WE USE TOURNAMENT AND WE DON'T USE ELITISM

PARAMETERS

HOW TO SET THEM

- | | | |
|------------------------------|---|--|
| — POPULATION SIZE | → | THE BIGGER THE BETTER
(WITH AN UPPER BOUND) |
| — SELECTION ALGORITHM | → | TRY FIRST TOURNAMENT
(NEW PAR. : TOURN. SIZE) |
| — Crossover RATE p_c | → | LARGE (CLOSE TO 1) |
| — MUTATION RATE p_m | → | SMALL (CLOSE TO 0) |
| — MAX. NUMBER OF GENERATIONS | → | THE BIGGER THE
BETTER WITH AN
UPPER BOUND |

EXAMPLE

MAXIMIZE FUNCTION $f(x) = x^2$ FOR $x \in \mathbb{N}$ AND $0 \leq x \leq 31$.

REPRESENTATION: BINARY STRINGS OF LENGTH 5.

"TOY" PARAMETERS:

- POP. SIZE = 4
- $P_c = 1$, $P_m = 0$
- FITNESS PROP. SELECTION.

INITIAL POP.

1. 01101	$x = 13$
2. 11000	$x = 24$
3. 01000	$x = 8$
4. 10011	$x = 19$

$$x^2 = 169$$

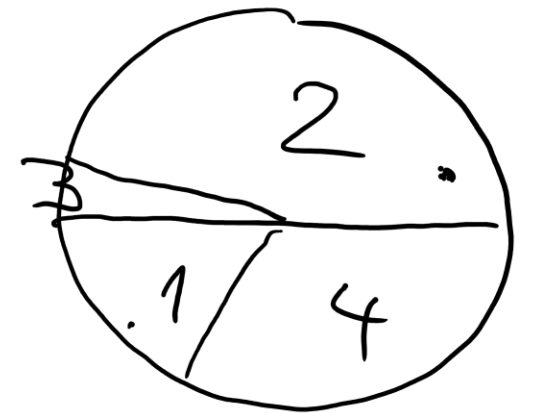
$$x^2 = 576$$

$$x^2 = 64$$

$$x^2 = 361$$

$$\frac{f_i}{\sum_j f_j}$$

0.14
0.49
0.06
0.31



FIRST TWO SELECTED INDIVS: 1 AND 2

0 1 1 0 1	X	0 1 1 0 0	BECAUSE $P_m = 0$	→ INTO P'
1 1 0 0 0		1 1 0 0 1		

SECOND TWO SELECTED INDIVS: 2 AND 4

1 1 0 0 0	X	1 1 0 1 1	→ P'
1 0 0 1 1		1 0 0 0 0	

NEW POP.

0 1 1 0 0
1 1 0 0 1

$$x^2 = 144$$

$$x^2 = 625$$

1 1 0 1 1

1 0 0 0 0

$$x^2 = 729$$

$$x^2 = 256$$

SCHEMA

A SET OF STRINGS THAT HAVE IN COMMON THE SAME CHARACTERS IN SOME COMMON POSITIONS.

THIS CAN BE OBTAINED BY ADDING ONE MORE CHAR TO THE ALPHABET:

DON'T CARE SYMBOL (*)

$$*0000 = \{00000, 10000\}$$

$$*111* = \{01110, 01111, 11110, 11111\}$$

THE MORE *
THE LARGER IS
THE
CARDINALITY
OF THE
SET

ORDER OF A SCHEMA H ($\sigma(H)$) =
N. OF CHARACTERS $\neq *$

LENGTH OF A SCHEMA H ($\delta(H)$) =
DISTANCE BETWEEN THE RIGHTMOST AND
LEFTMOST POSITIONS CONTAINING A CHAR
DIFFERENT FROM $*$

EXAMPLE
 $\sigma(011*1***) = 4$
 $\delta(011*1**) =$
 $5 - 1 = 4$

SCHEMA THEOREM (INFORMAL)

SCHEMATA THAT ARE :

- WITH AVG. FITNESS $>$ AVG. OF THE POP. (SELECTION)
- SHORT (CROSSOVER)
- OF SMALL ORDER (MUTATION)

RECEIVE A NUMBER OF COPIES EXPONENTIALLY GROWING
FROM 1 GENERATION TO THE NEXT

(ALL OTHER SCHEMATA EXPONENTIALLY DECREASING)



BUILDING
BLOCKS

THEOREM OF ASYMPTOTIC CONVERGENCE OF GAs

LET $i(t)$ BE THE BEST INDIV. IN P AT GEN t
AND S_{opt} THE SET OF EXISTING GLOBAL OPTIMA.

$$\lim_{t \rightarrow \infty} P(i(t) \in S_{opt}) = 1$$

CONSEQUENCE

SEQUENCE $[i(0), i(1), \dots, i(t), \dots]$

CONVERGES TOWARDS A SOLUTION IN S_{opt}

















