# Advanced Machine Learning 1st Assignment

André Teixeira Dias (59452), Tiago Rodrigues (49593)

#### Problem 1 a)

From the problem description it is important to note that it is a XOR problem, whose output is either 1 or 0, with a step function as an activation function, described as follows:

$$\varphi_j(v_j(n)) = \begin{cases} 0, & \text{if } v_j(n) < 0 \\ 1, & \text{if } v_j(n) > 0 \end{cases} \text{ (does not have derivative at 0, but usually assumed as 0)}$$

To determine the separation planes, it is first necessary to build the output expression of the output neuron  $(y_2)$ . The output is a linear combination of the inputs and, as such, can be defined as:

$$y_j(n) = \varphi_j(v_j(n)) = \varphi_j\left(\sum_{i=0}^{ml} w_{ji}x_i\right)$$

where m is the total number of neurons in a given layer (1),  $w_{ii}$  the synapse weights and  $x_i$  the inputs.

This equation can then be deconstructed into:

$$y_2 = \varphi_2 \left( w_{2\,1} * x_1 + w_{2\,2} * x_2 + b_2 + w_{2\,3} * \left( \varphi_1 \left( w_{1\,1} * x_1 + w_{1\,2} * x_2 + b_1 \right) \right) \right)$$

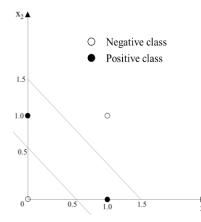
By substituting the values of the weights and biases (which are given), we arrive at:

$$y_2 = \varphi_2(x_1 + x_2 - 0.5 - 2 * \varphi_1(x_1 + x_2 - 1.5))$$

To determine the separating planes, it is necessary to fix either  $x_1$  or  $x_2$  to 0 and compute the resulting expression:

Fixing 
$$x_1$$
 ( $x_1 = 0$ ): Fixing  $x_2$  ( $x_2 = 0$ ): 
$$y_2 = \varphi_2(x_2 - 0.5 - 2 * \varphi_1(x_2 - 1.5))$$
 Fixing  $x_2$  ( $x_2 = 0$ ): 
$$y_2 = \varphi_2(x_1 - 0.5 - 2 * \varphi_1(x_1 - 1.5))$$

From this expression, it is possible to determine the  $x_1$  and  $x_2$  values of the separating planes. The second part of the expression ( $\phi_1(x_{1 \text{ or } 2}-1.5)$ ) changes from 0 to 1 when  $x_1$  or  $x_2=1.5$  (given the utilized step activation function), while the first part of the expression  $\phi_2(x_{1 \text{ or } 2}-0.5)$  changes from 0 to 1 when  $x_1$  or  $x_2=0.5$ . A plot example is presented below:



To define the presented hyperplanes, it is necessary to determine the slope of each. For this, the following expression was used:

m (slope) = 
$$\frac{y_2 - y_1}{x_2 - x_1}$$

where  $x_1$  and  $y_1$  correspond to the coordinates of one point and  $x_2$  and  $y_2$  to the coordinates of another point. In this case, the y plane is the  $x_2$  plane.

Regarding the hyperplane closer to  $0\ (h_1)$ , the known coordinates of the two points are (0,0.5) and (0.5,0), while for the other hyperplane  $(h_2)$ , the known points are (0,1.5) and (1.5,0). From these points, the following slopes can be calculated:

$$m_1 = \frac{0 - 0.5}{0.5 - 0} = -1$$
  $m_2 = \frac{0 - 1.5}{1.5 - 0} = -1$ 

Since the first hyperplane  $(h_1)$  crosses the  $x_2$  plane in 0.5 and the second hyperplane  $(h_2)$  also crosses it at 1.5, their expressions are:

$$h_1 = -x + 0.5$$
  $h_2 = -x + 1.5$ 

Considering the step activation function, values of  $x_1$  and  $x_2$  that are between these two planes will result in an output of 1 (positive class), while values below  $h_1$  or above  $h_2$  will result in an output of 0 (negative class).

### Problem 1 b)

Considering the presented XOR problem,  $x_1$  and  $x_2$  can only take values of 0 or 1. The truth table is a table that presents all possible outputs, taking into account all possible combinations of values of  $x_1$  and  $x_2$ . An example table can be seen below:

<b>X</b> <sub>1</sub>	<b>X</b> 2	y <sub>2</sub> (output)
0	0	$?_1$
0	1	?2
1	0	?3
1	1	?4

The output values can be calculated using the output expression previously defined:

$$\begin{split} ?_1 &= \phi_2 \Big( 0 + \ 0 - 0.5 - 2 * \phi_1 (\ 0 + \ 0 - 1.5) \Big) = \phi_2 (-0.5 - 2 * 0) = 0 \\ ?_2 &= \phi_2 \Big( 0 + \ 1 - 0.5 - 2 * \phi_1 (\ 0 + \ 1 - 1.5) \Big) = \phi_2 (0.5 - 2 * 0) = 1 \\ ?_3 &= \phi_2 \Big( 1 + \ 0 - 0.5 - 2 * \phi_1 (\ 1 + \ 0 - 1.5) \Big) = \phi_2 (0.5 - 2 * 0) = 1 \\ ?_4 &= \phi_2 \Big( 1 + \ 1 - 0.5 - 2 * \phi_1 (\ 1 + \ 1 - 1.5) \Big) = \phi_2 (1.5 - 2 * 1) = 0 \end{split}$$

From the presented results, it can be seen that when  $x_1$  and  $x_2$  take the same value [(0, 0) or (1, 1)], the output is 0, while different values of  $x_1$  and  $x_2$  [(0, 1) or (1, 0)] lead to an output of 1.

### Problem 1 c)

It is now asked to calculate a backpropagation iteration using a sigmoid activation function with a = 1. To do this, it is important to state the sigmoid activation function:

$$\varphi_j(v_j(n)) = \frac{1}{1+e^{-av_j(n)}}$$
, with  $a = 1$ 

## Forward propagation

Considering the case  $x_1 = 0$  and  $x_2 = 0$ :

$$y_1(1) = \varphi_1(0 + 0 - 1.5) = \frac{1}{1 + e^{1.5}} \sim 0.18243$$

$$y_2(1) = \varphi_2(0 + 0 - 0.5 - 2 * \varphi_1(0 + 0 - 1.5)) = \varphi_2(-0.5 - 2 * \frac{1}{1 + e^{1.5}}) = \varphi_2(-0.5 - 2 * 0.18243) = \frac{1}{1 + e^{0.86485}} \sim 0.29633$$

### **Backpropagation**

Since the resulting output of the neural network is not equal to the desired output for this specific case ( $y_2 = 0$ ), backpropagation should be used to adjust the network's weights to correct it. The weight correction is given by:

$$\Delta w_{ii}(n) = \eta \delta_i(n) y_i(n)$$

The backpropagation algorithm should start from the output layer first and then move backwards on the neuron layers. For an output neuron,  $y_k(n) = o_k(n)$ , and the local gradient  $(\delta_k)$  is given by:

$$\delta_k(n) = a o_k(n) [1 - o_k(n)] [d_k(n) - o_k(n)]$$

$$\delta_2(1) = ay_2(1)[1 - y_2(1)][d_2(1) - y_2(1)]$$

$$\delta_2(1) = 1 * 0.29633 * [1 - 0.29633] * [0 - 0.29633] \sim -0.06179$$

From this, it is now possible to calculate the following weight corrections (considering a learning rate  $\eta = 0.2$ ):

$$\Delta w_{2,1}(1) = \eta \delta_2(1) x_1 = 0.2 * -0.06179 * 0 = 0$$

$$\Delta w_{22}(1) = \eta \delta_2(1) x_2 = 0.2 * -0.06179 * 0 = 0$$

$$\Delta w_{2,3}(1) = \eta \delta_2(1) y_1(1) = 0.2 * -0.06179 * 0.18243 = -0.00225$$

For a hidden layer neuron, the local gradient  $(\delta_i)$  is given by:

$$\delta_j(n) = ay_j(n)[1 - y_j(n)] \sum_k \delta_k(n) w_{kj}(n)$$

$$\delta_1(1) = ay_1(1)[1 - y_1(1)][\delta_2(1)w_{23}(1)]$$

$$\delta_1(1) = 1 * 0.18243 * [1 - 0.18243] * [-0.06179 * -2] \sim 0.01843$$

From this, it is possible to calculate the following weight corrections:

$$\Delta w_{1,1}(1) = \eta \delta_1(1) x_1 = 0.2 * 0.01843 * 0 = 0$$

$$\Delta w_{1,2}(1) = \eta \delta_1(1) x_2 = 0.2 * 0.01843 * 0 = 0$$

Lastly, the weights can be updated by adding the weight correction to the current weight:

$$w_{ii}(n+1) = w_{ii}(n) + \Delta w_{ii}(n)$$

$$w_{1,1}(2) = 1 - 0 = 1$$
  $w_{1,2}(2) = 1 - 0 = 1$ 

$$w_{2,1}(2) = 1 - 0 = 1$$
  $w_{2,2}(2) = 1 - 0 = 1$ 

$$w_{2,3}(2) = -2 - 0.00225 = -2.00225$$

When it comes to the biases, these should also be updated, firstly by calculating the bias correction and then the bias update (Note: In this formulation,  $w_{1,0} = b_1$  and  $w_{2,0} = b_2$ ):

$$\Delta w_{20}(1) = \eta \delta_2(1) y_{b_2} = 0.2 * -0.06179 * 1 \sim -0.01236$$

$$\Delta w_{1,0}(1) = \eta \delta_1(1) y_{b_1} = 0.2 * 0.01843 * 1 \sim 0.00369$$

$$w_{2,0}(2) = -0.5 - 0.01236 = -0.51236$$
  $w_{1,0}(2) = -1.5 + 0.00369 = -1.49631$