

# Recognizing faces

## Histogram Equalization

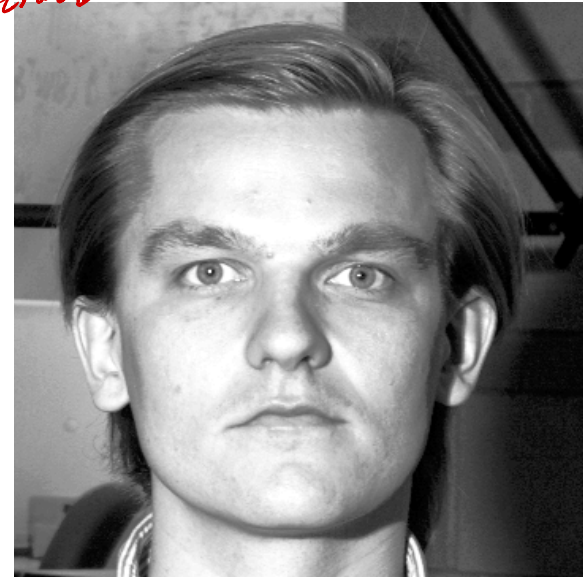
# Image normalization

- Due to lighting or shadow, intensity can vary significantly in an image.



*make. image  
more contrast*

Histogram  
equalization



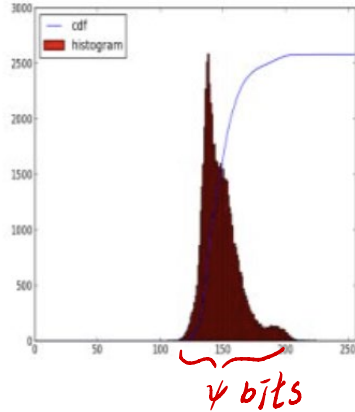
Histogram equalized

- Normalization of pixel intensity helps correct variations in imaging parameters in cameras as well as changes in illumination conditions.
- One widely used technique is [histogram equalization](#), which is based on image histogram. It helps reduce extreme illumination.

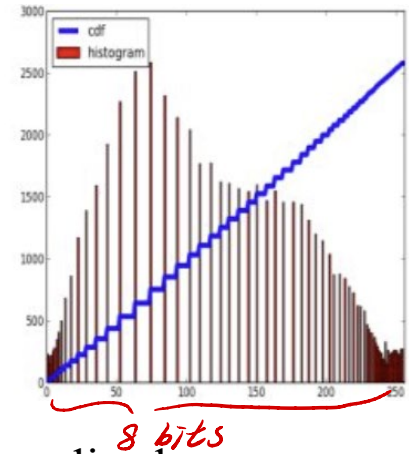
# Histogram Equalization

- Examples

*low contrast*



Histogram  
equalization



Histogram equalized

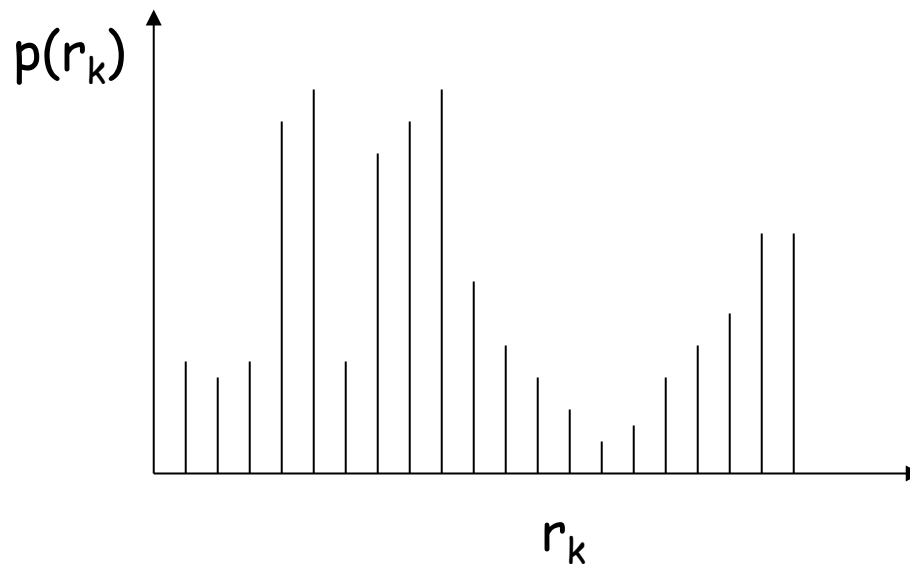


# Image Histogram

- Image Histogram
  - digital image with gray levels [0, L-1]<sup>255</sup>
  - $p(r_k) = n_k/N$ , probability of occurrence of gray level  $r_k$
  - $r_k$  is the  $k^{\text{th}}$  gray level
  - $n_k$  = number of pixels with  $k^{\text{th}}$  gray level
  - $N$  = total number of pixels
  - $k = 0, 1, 2, 3, 4, 5 \dots, L-1$

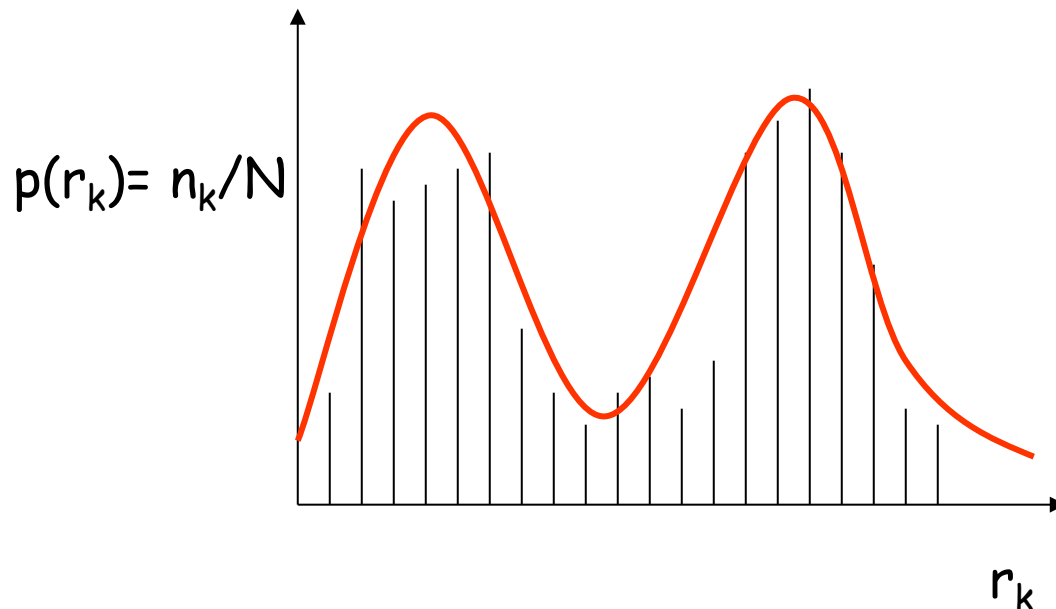
# Image Histogram

- $p(r_k)$  is the probability of the occurrence of gray-level  $r_k$
- $p_r(r_k)$  is the probability density function (PDF) of the variable  $r_k$ ,  $k = 0, \dots, L - 1$



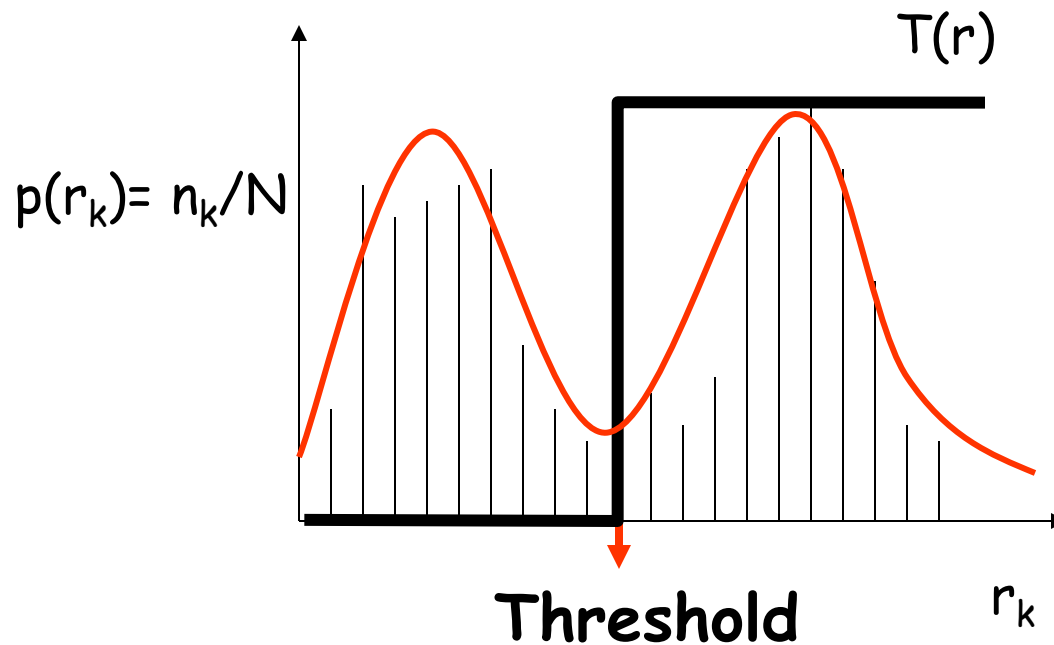
# Image Histogram

- Histogram can tell you a lot about an image
- Gray level distribution
- It can be modeled by a statistical distribution (red line)



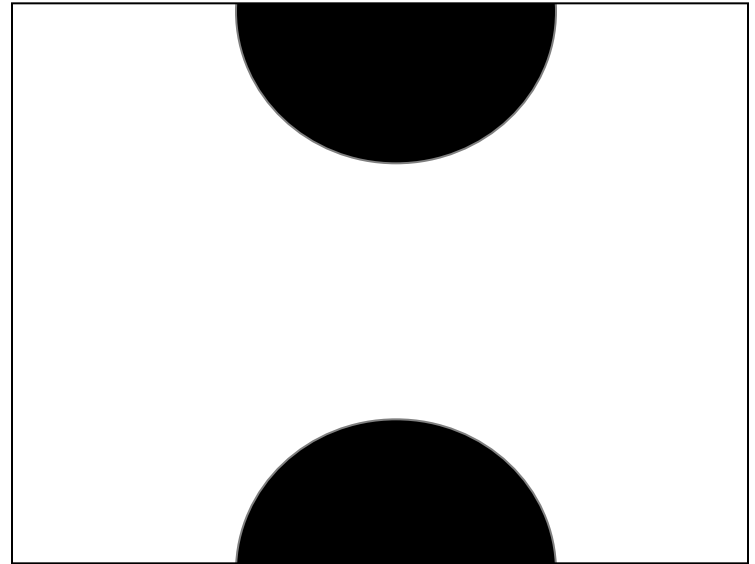
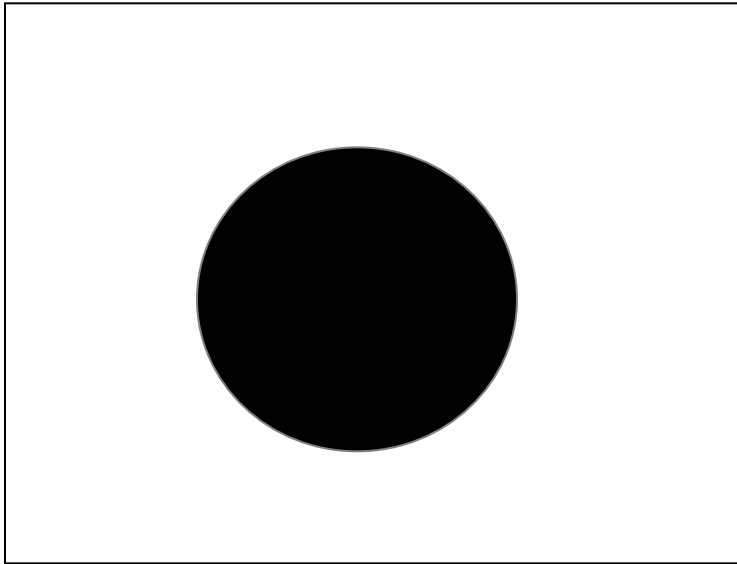
# Image Histogram

- Gray level distribution helps define intensity threshold



# Image Histogram

- Histograms are not unique. Two images below give the same image histogram.
- No spatial information is captured

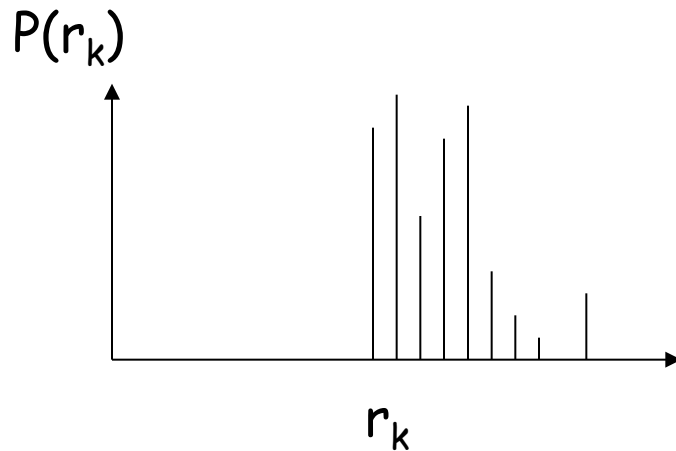




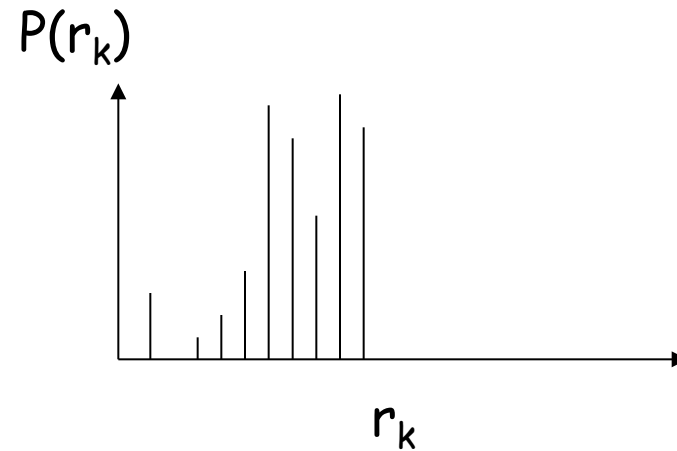
# Image Histogram

- Image types

Bright Image



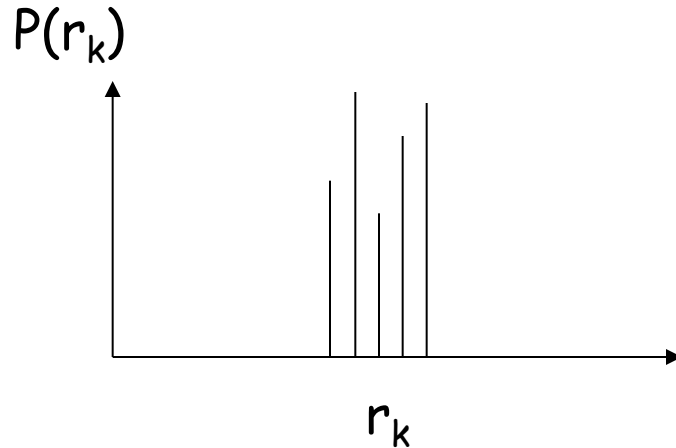
Dark Image



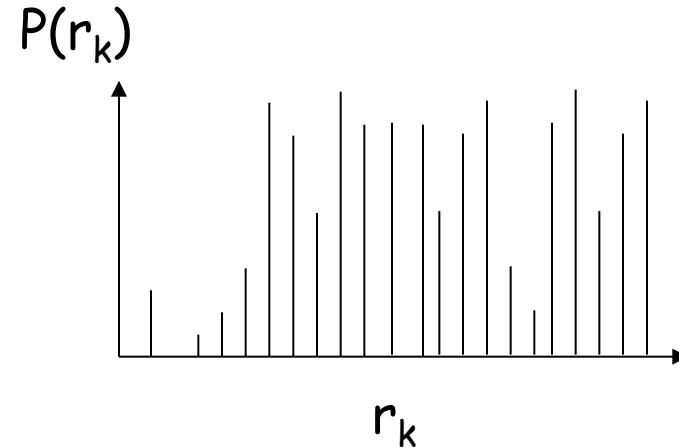
# Image Histogram

- Image types

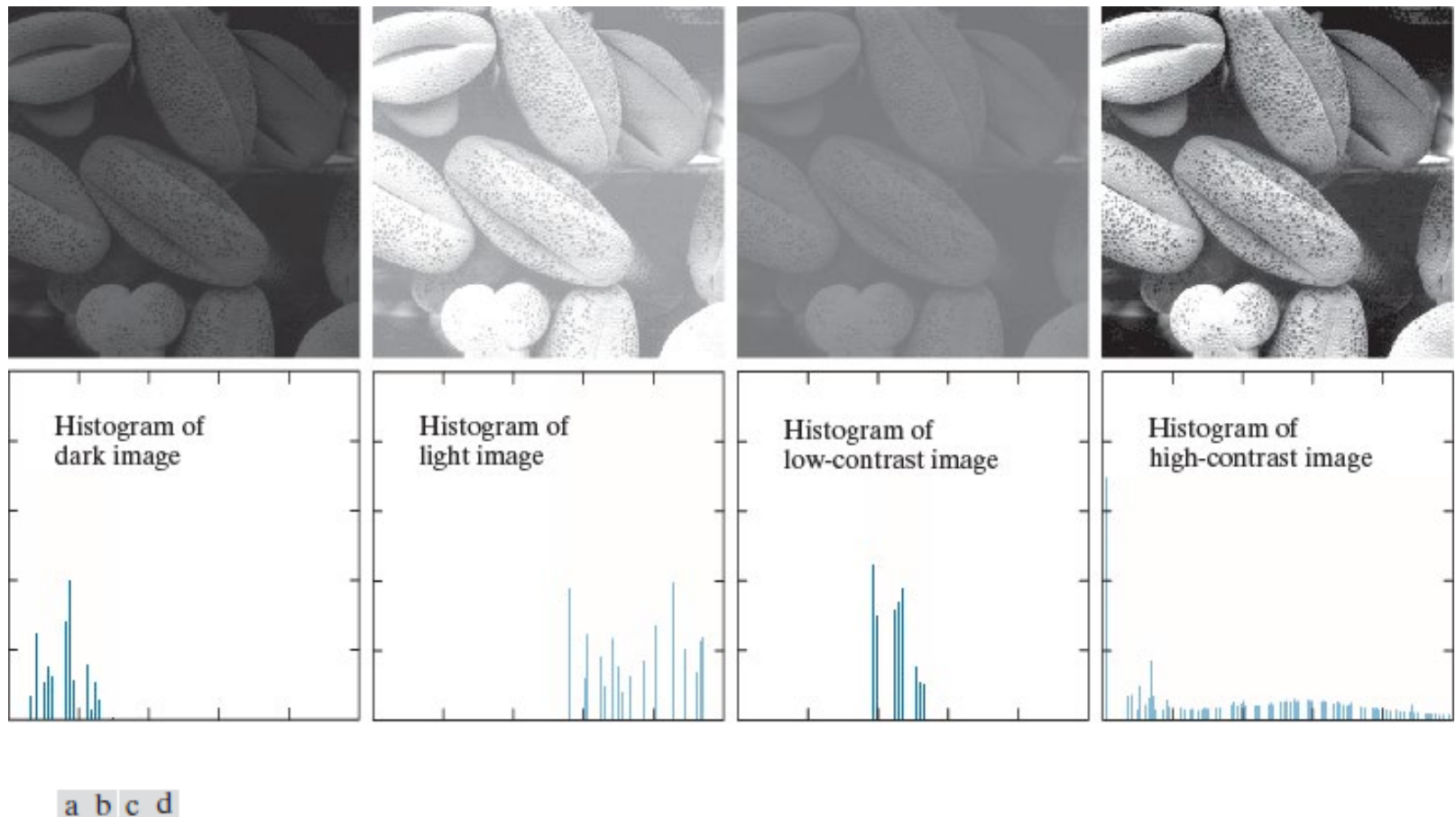
Low Contrast



High Contrast



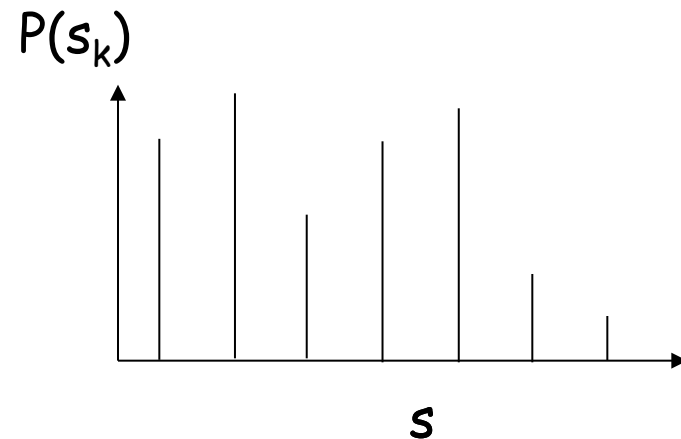
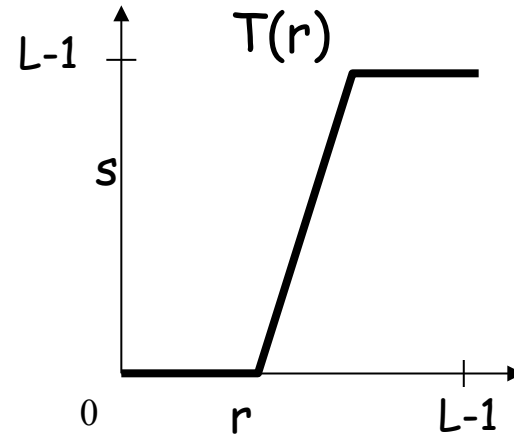
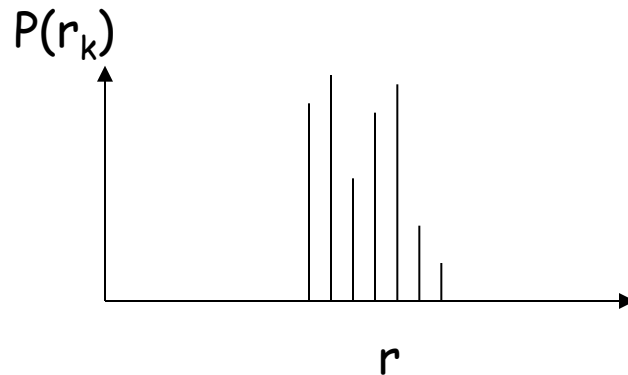
# Some Examples



**FIGURE 3.16** Four image types and their corresponding histograms. (a) dark; (b) light; (c) low contrast; (d) high contrast. The horizontal axis of the histograms are values of  $r_k$  and the vertical axis are values of  $p(r_k)$ .

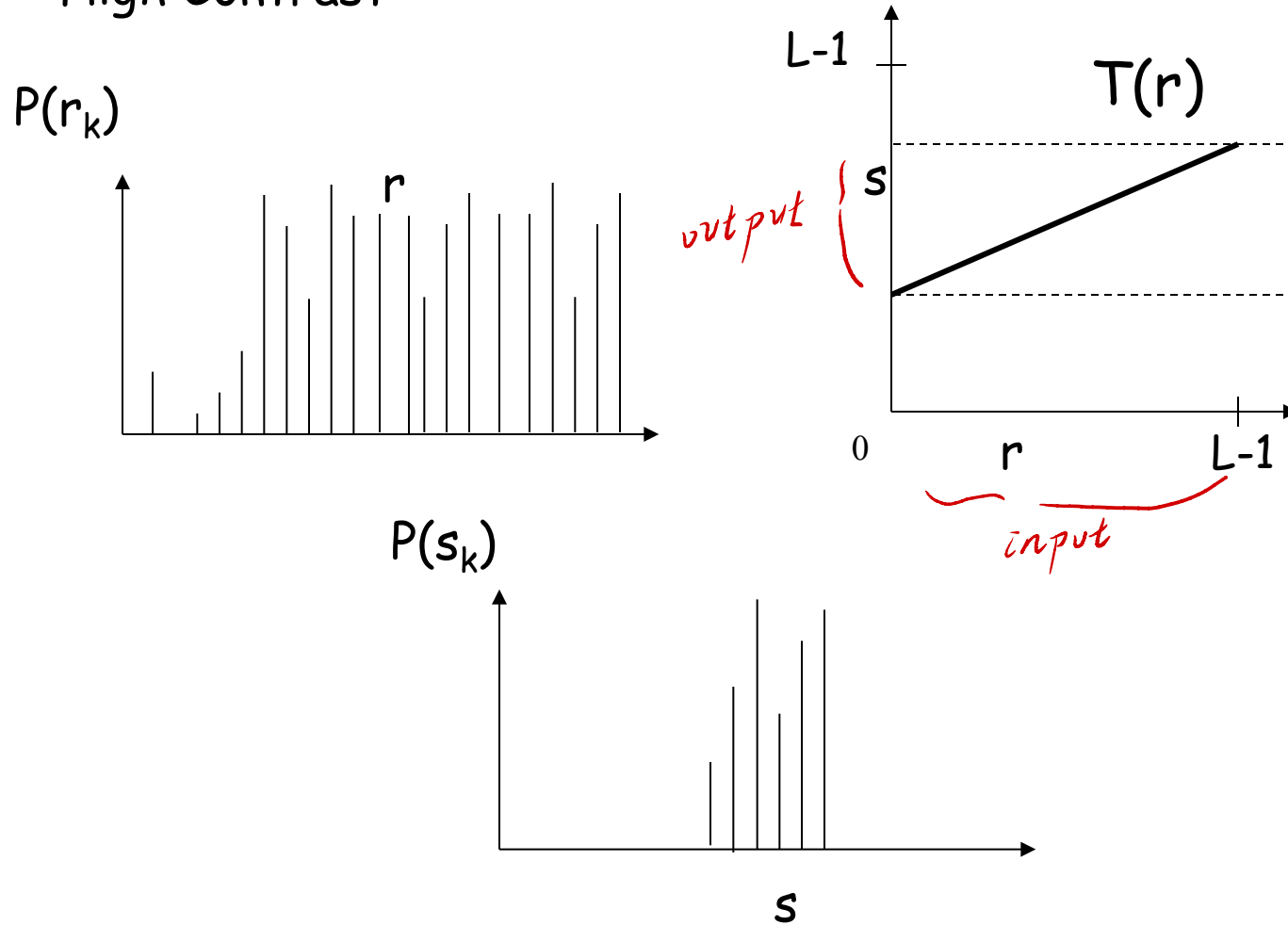
# Contrast Stretching

Low Contrast



# Contrast Compressing

High Contrast



# Histogram Equalization

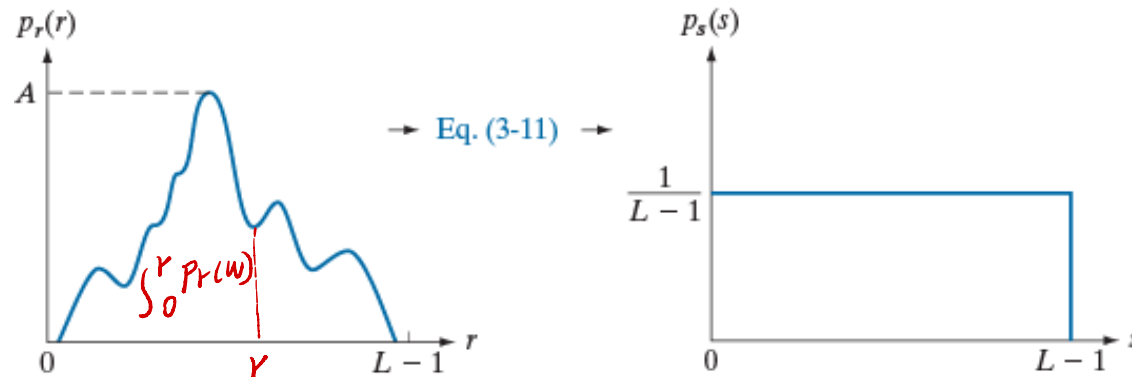
- We want an image with equally many pixels at every gray level, or the output intensity approximately follows a *uniform distribution*.
- That is, a flat histogram, where each gray level,  $r_k$ , appears  $(N/r_m)$  times
  - where “ $r_m$ ” is the maximum gray level
  - $N$  is number of pixels in the image
- Example
  - <https://demonstrations.wolfram.com/HistogramEqualization/>

# Histogram Equalization

- The weighted cumulative distribution function (CDF) of  $r$  is represented by (in continuous form), ( $T$  is the transformation function)

$$s = T(r) = (L - 1) \int_0^r \underbrace{p_r(w)}_{\text{PDF of } r} dw$$

- This transformation function seeks to generate an output image with a uniform (flat) probability density function (PDF), independently of the form of  $p_r(r)$

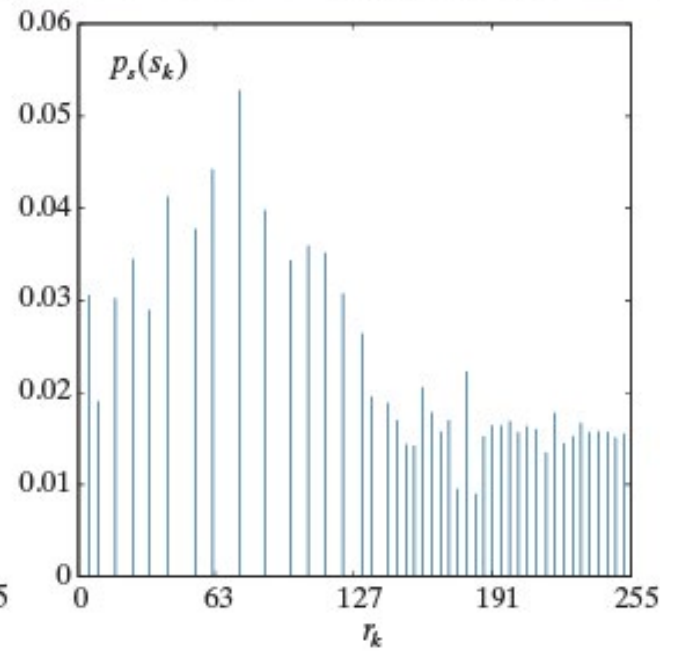
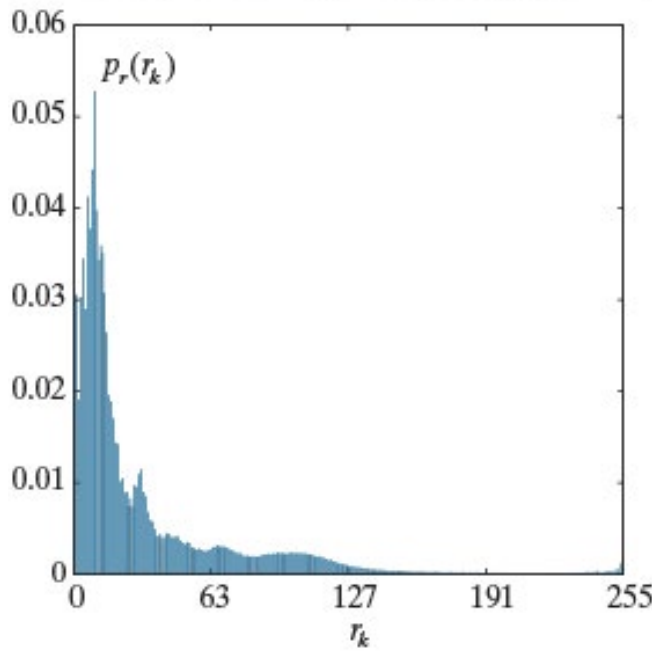
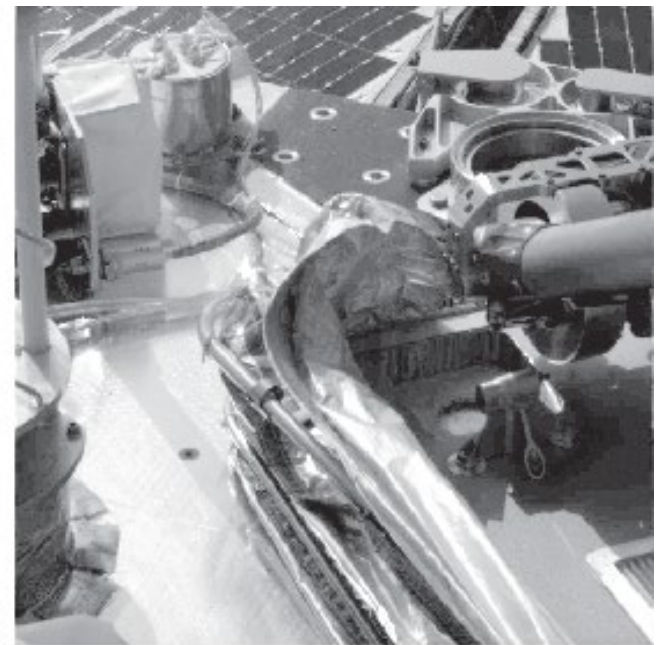


**FIGURE 3.18** (a) An arbitrary PDF. (b) Result of applying Eq. (3-11) to the input PDF. The resulting PDF is always uniform, independently of the shape of the input.

a	b
c	d

**FIGURE 3.22**

(a) Image from Phoenix Lander.  
 (b) Result of histogram equalization.  
 (c) Histogram of image (a).  
 (d) Histogram of image (b).  
 (Original image courtesy of NASA.)





# Use $T(r)$ to equalize the histogram

- Assume the input variable  $r$  has been normalized between  $[0,1]$
- $s = T(r)$ , there are two properties
  - (a)  $T(r)$  is single-valued in the interval  $0 \leq r \leq 1$
  - (b)  $0 \leq T(r) \leq 1$  for  $0 \leq r \leq 1$

# Conditions (a) and (b)

- (a)  $T(r)$  is single-valued and non-decreasing in the interval  $0 \leq r \leq 1$ 
  - Can preserve the order from black to white in the gray scale.
  - Can preserve the basic appearance of an image.
- (b) for  $0 \leq r \leq 1$ ,  $0 \leq T(r) \leq 1$ 
  - Can guarantee a mapping that is consistent with the allowed range of pixel values. No intensity rescaling is needed.

# Discrete approximation of $T$

- Let's look at the discrete approximation

$$s_k = T(r_k) = (L - 1) \sum_{j=0}^k \frac{n_j}{N} = (L - 1) \sum_{j=0}^{\textcircled{k} \text{ intensity level.}} p_r(r_j)$$

$s_k$  is the output continuous intensity value,  $0 \leq s_k \leq L-1$

$r_k$  is the input continuous intensity value,  $0 \leq r_k \leq L-1$

$n_j$  is number of pixels with the  $j^{\text{th}}$  gray level

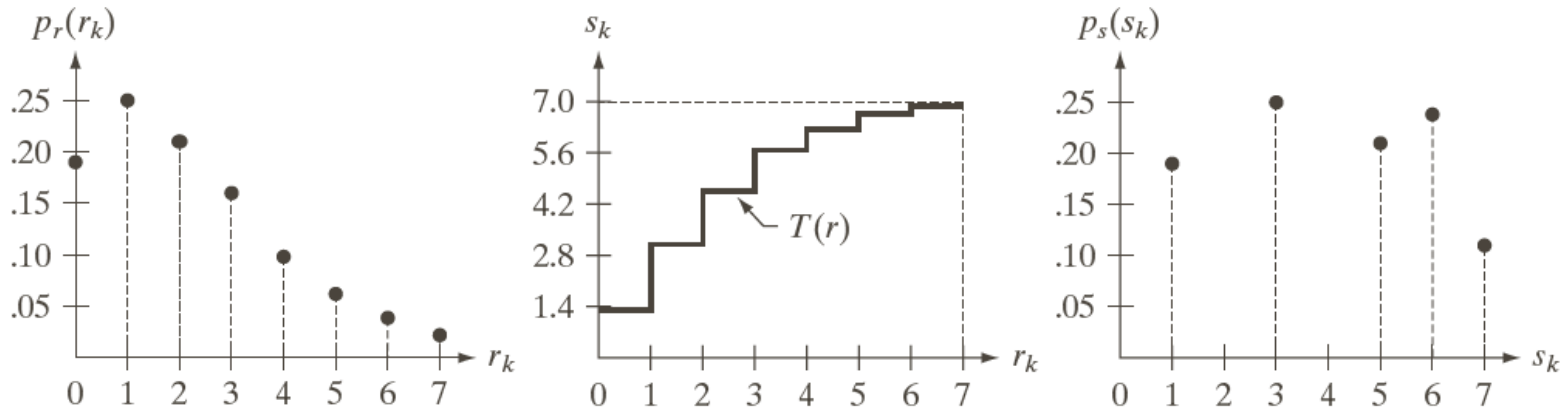
$k = 0, 1, 2, 3, \dots L-1$  (gray levels)

# Example 1

3 bits

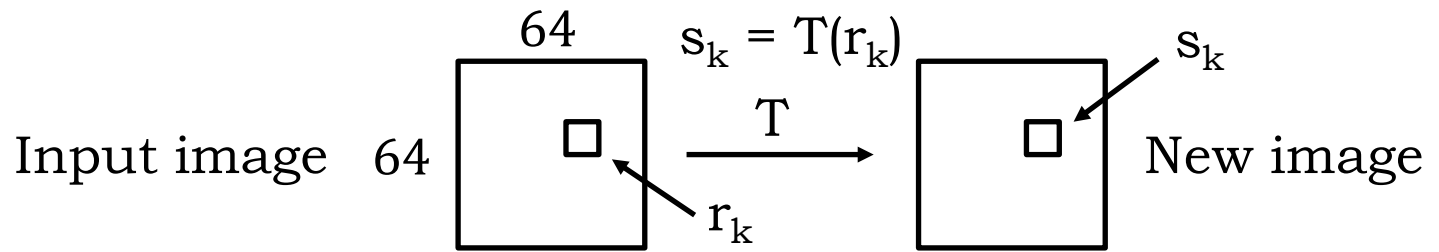
$r_k$	$n_k$	$p_r(r_k) = n_k/MN$
$r_0 = 0$	790	0.19
$r_1 = 1$	1023	0.25
$r_2 = 2$	850	0.21
$r_3 = 3$	656	0.16
$r_4 = 4$	329	0.08
$r_5 = 5$	245	0.06
$r_6 = 6$	122	0.03
$r_7 = 7$	81	0.02

**TABLE 3.1**  
Intensity  
distribution and  
histogram values  
for a 3-bit,  
 $64 \times 64$  digital  
image.



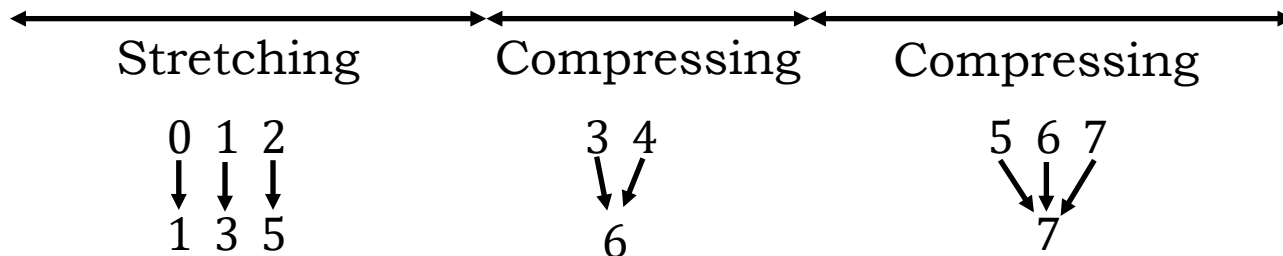
a b c

**FIGURE 3.19** Illustration of histogram equalization of a 3-bit (8 intensity levels) image. (a) Original histogram. (b) Transformation function. (c) Equalized histogram.



Total number of pixels =  $64 \times 64 = 4096$  pixels

k	0	1	2	3	4	5	6	7	$\frac{2^3-1=7}{2^8-1=255}$
$r_k$	0	1	2	3	4	5	6	7	
$Pr(r_k)$	$\frac{790}{4096}$ 0.19	$\frac{1023}{4096}$ 0.25	$\frac{850}{4096}$ 0.21	$\frac{656}{4096}$ 0.16	$\frac{329}{4096}$ 0.08	$\frac{245}{4096}$ 0.06	$\frac{122}{4096}$ 0.03	$\frac{81}{4096}$ 0.02	$\sum Pr(r_k) = 1$
$S_k^{*(1/7)}$	0.19	0.44	0.65	0.81	0.89	0.95	0.98	1	
Gray levels	$0.19 \times 7$ 1	$0.44 \times 7$ 3	5	6	6	7	7	7	



The discrete formulation of *histogram equalization* is given by

$$s_k = T(r_k) = \sum_{j=0}^k p_r(r_j), \quad k = 0, 1, 2, \dots, L-1$$

where  $r_k$  and  $s_k$  represent the original and transformed grey levels respectively,  $L$  denotes the number of discrete grey levels,  $T$  is the transformation function,  $p_r$  is the probability density function

$p_r(r_j) = n_j/n$ ,  $n$  is the total number of pixels and  $n_j$  is the number of pixels having intensity level  $r_j$ .

(a) Perform histogram equalization on a  $3 \times 3$  input image, as shown below. Show all steps.

Answers:

Input image

0	0.33	0.67
0.33	1	0.33
0.67	0.33	0.67

Histogram equalized image

0.11	0.56	0.89
0.56	1	0.56
0.89	0.56	0.89

Round to 2 decimal places

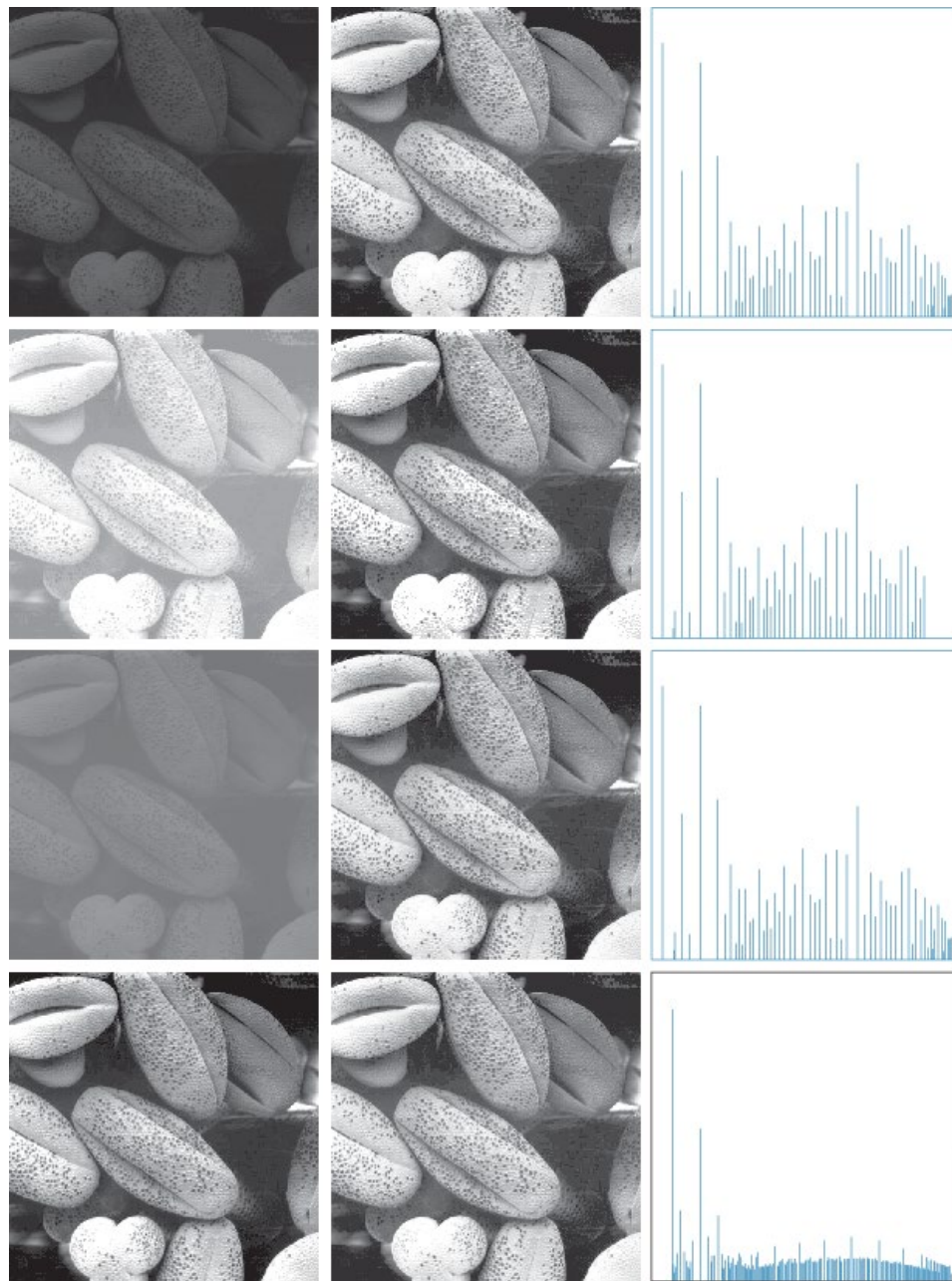
	$r_k$	0	0.33	0.67	1
	$P_r(r_k)$	$1/9$	$4/9$	$3/9$	$1/9$
Transformation function	$S_k$	$1/9$	$5/9$	$8/9$	$9/9$
		$= 0.11$	$= 0.56$	$= 0.89$	$= 1$

(b) Explain why the transformation function  $T(r_j)$  is increasing in the interval  $0 \leq r_j \leq 1$ , where  $j = 0, 1, 2, \dots, L-1$ .

Answers:

$$S_k = T(r_k) = \sum_{j=0}^k \text{Pr}(r_j)$$

$\text{Pr}(r_j)$  is non-negative



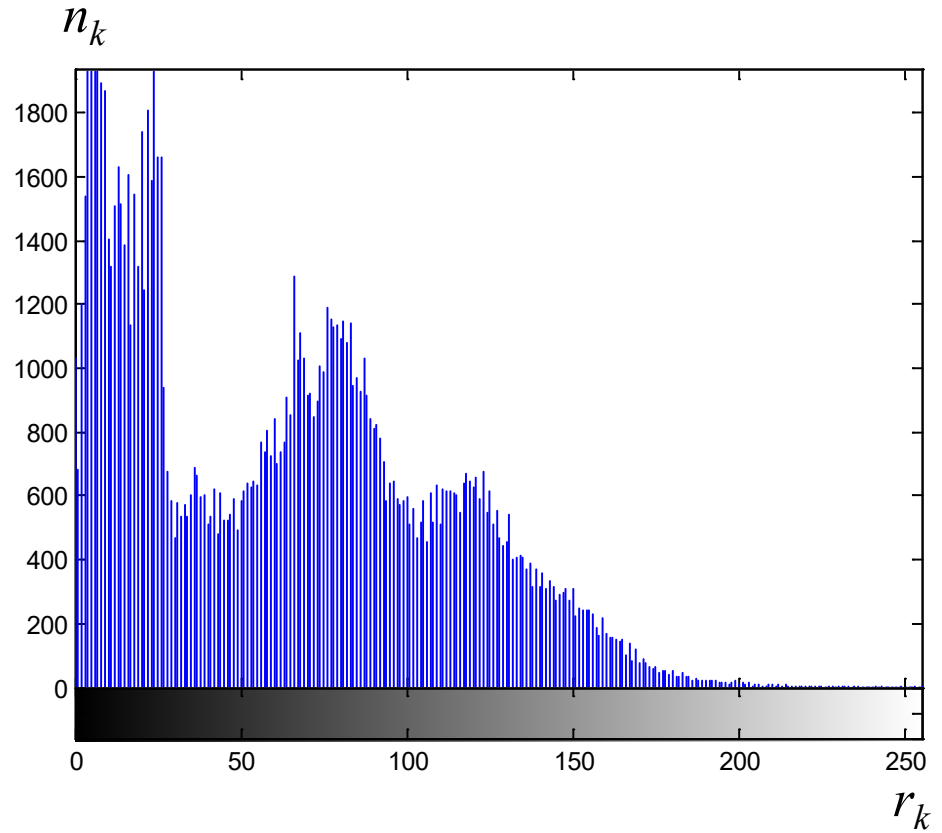
**FIGURE 3.20** Left column: Images from Fig. 3.16. Center column: Corresponding histogram-equalized images. Right column: histograms of the images in the center column (compare with the histograms in Fig. 3.16).



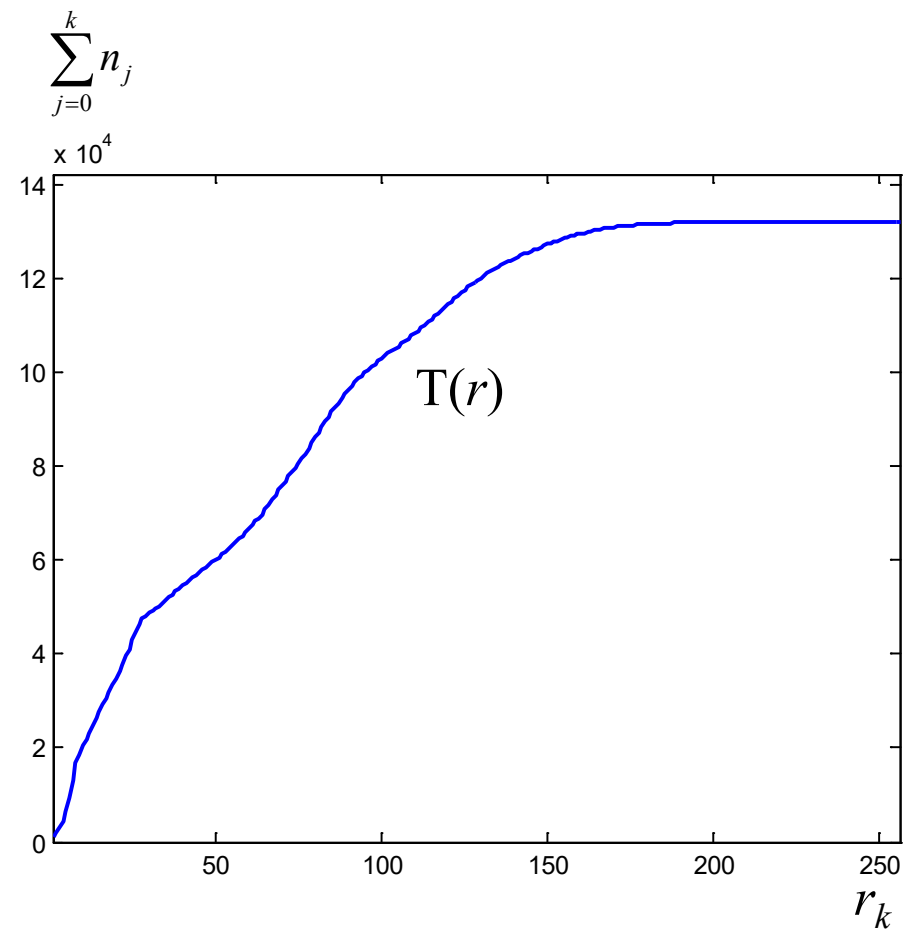
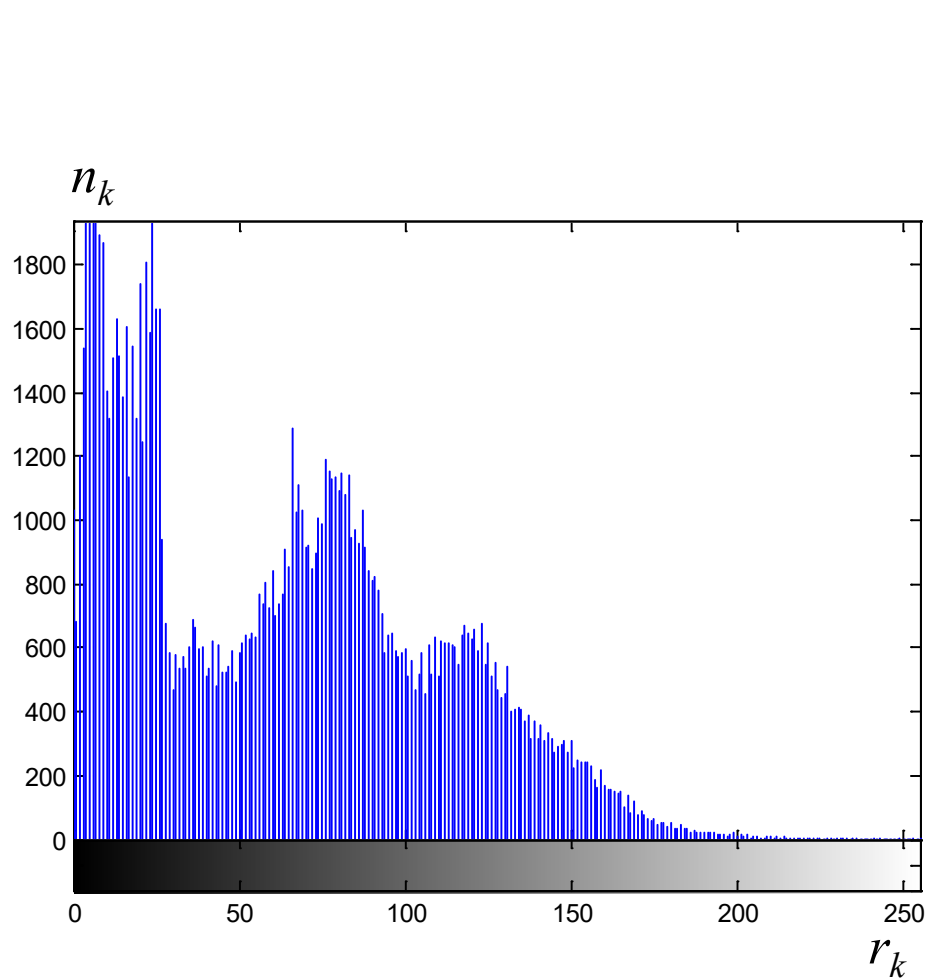
# Example 2



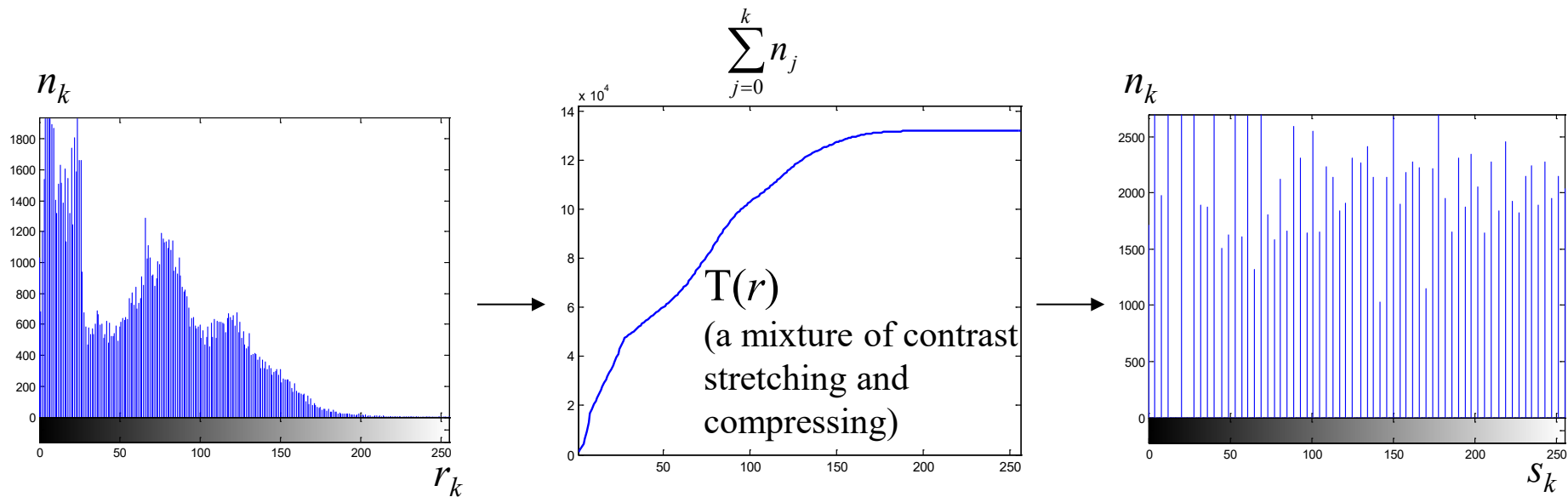
Image



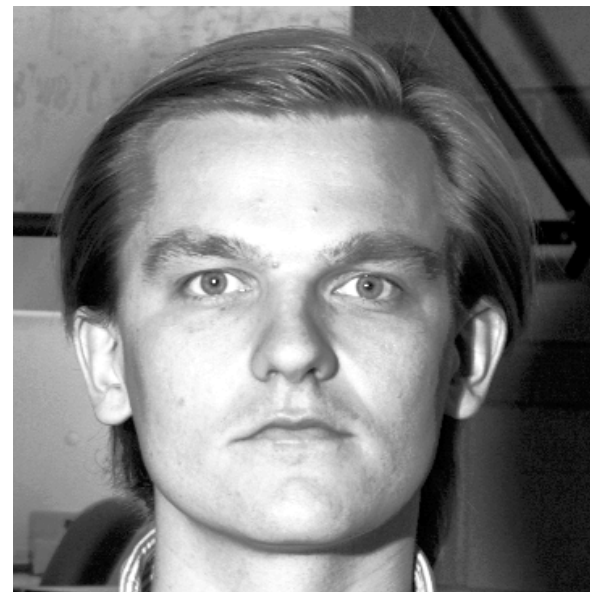
Histogram is not normalized,  $y$  axis is  $n_k$   
To normalize, let  $y$  axis =  $p_r(r_k) = n_k / N$



Histogram is not normalized, y axis is  $n_k$   
 To normalize, let y axis =  $p_r(r_k) = n_k / N$



Histogram  
equalization

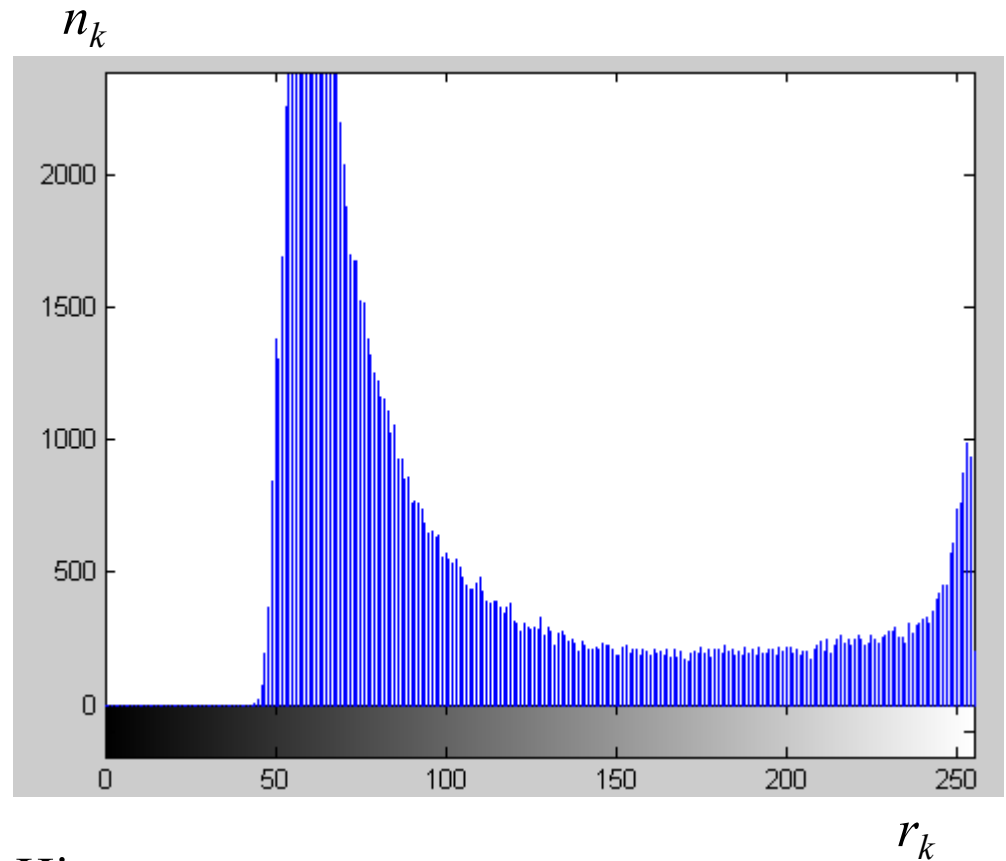


Histogram equalized

# Example 3



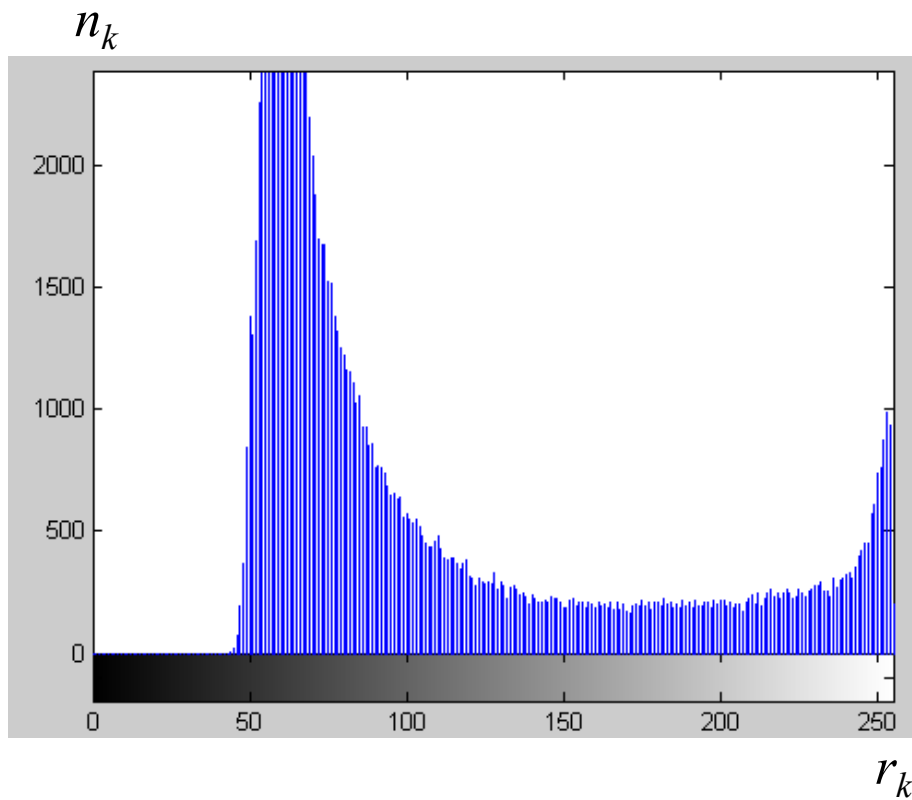
Image



Histogram

Notice, this is not normalized, y axis is  $n_k$ .

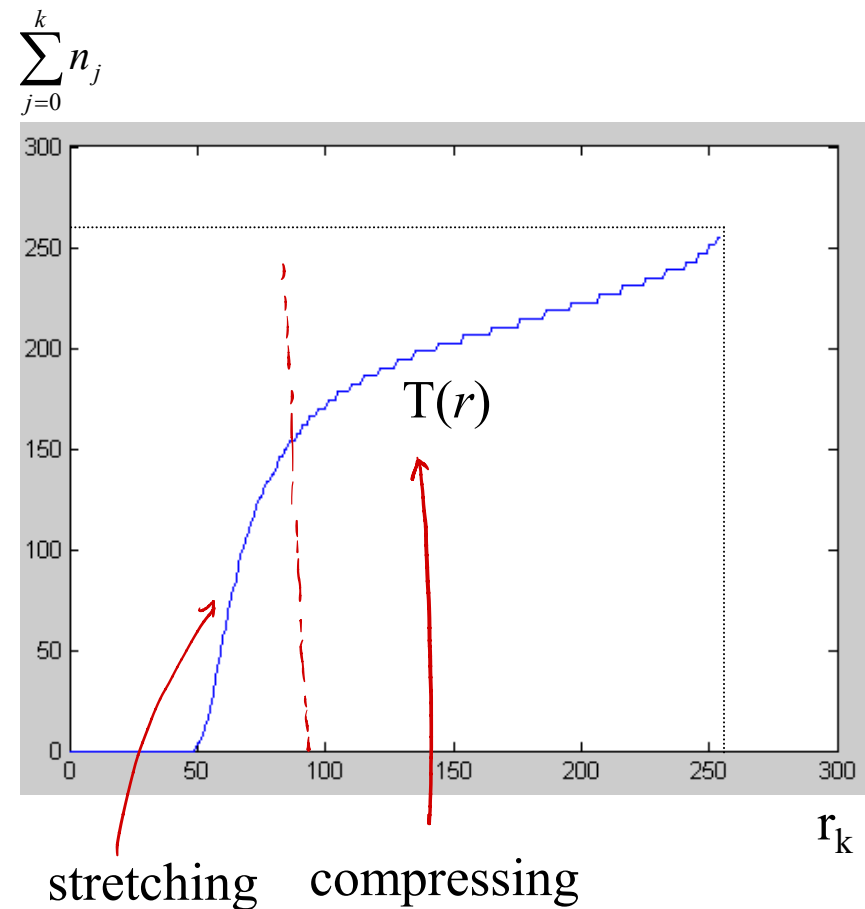
To normalize, let y axis =  $p_r(r_k) = n_k / N$

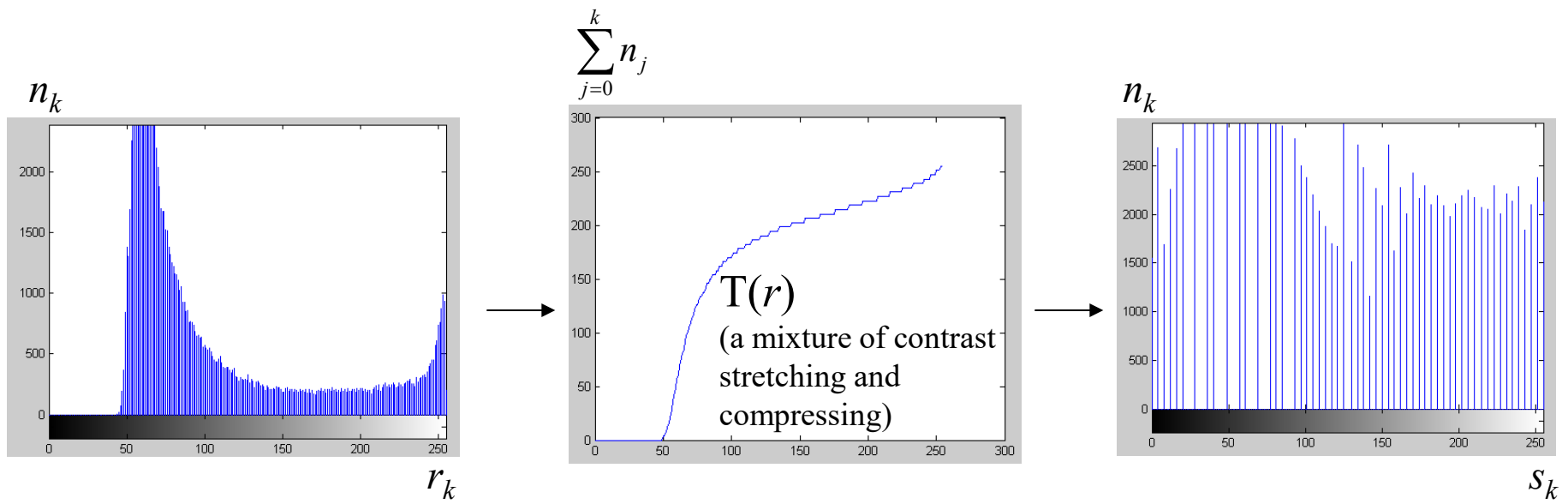


Histogram

Notice, this is not normalized, y axis is  $n_k$ .

To normalize, let y axis =  $p_r(r_k) = n_k / N$





Histogram  
equalization



Histogram equalized

*x improve  
rose details*

# Histogram equalization

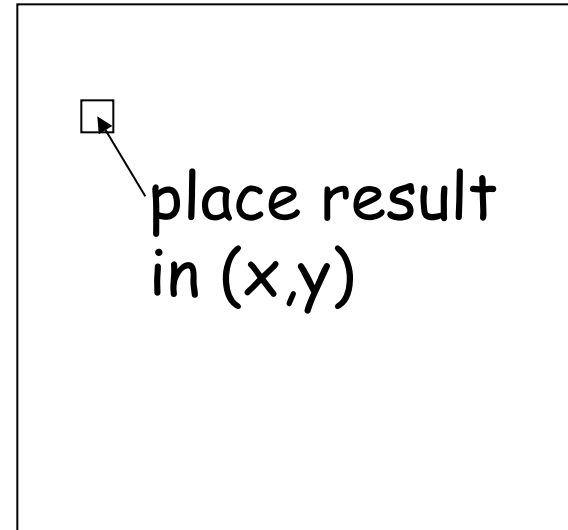
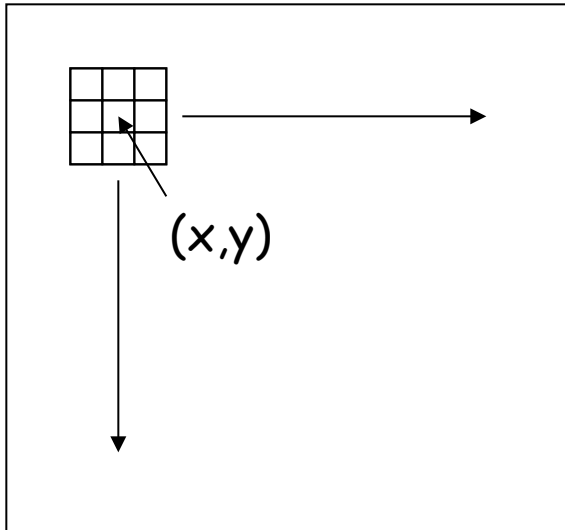
- Histogram equalization can significantly improve image appearance
  - Automatic
  - User doesn't have to perform windowing
- Nice pre-processing step before face detection
  - Account for different lighting conditions
  - Account for different camera/device properties

# Local Enhancement

- Histogram equalization is a global operation
  - Each pixel is processed based on information of the entire image
  - Often enhances global details
- We would like to enhance details over small areas
  - Each pixel is processed based on information of a small area/sub-image



# Local Histogram Equalization



**Result**

calculate histogram  
using neighborhood of  $m \times m$   
about  $(x,y)$  *each pixel has a table.*

# Local Histogram

- Apply histogram equalization about a neighborhood around  $(x,y)$
- Transform the gray level for pixel  $(x,y)$
- Move the neighborhood over the rest of the image

# Local Histogram

Reveals detail in local areas



Original

Global Histogram

Local Histogram

# Adaptive Histogram Equalization



**Figure 4:** *Left:* Basic histogram equalization. *Right:* Adaptive histogram equalization.