

# **COMP3600/6466 Algorithms**

## Lecture 14

S2 2016

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# Application 5: The Activity-Selection

$i$	1	2	3	4	5	6	7	8	9	10	11
$s_i$	1	3	0	5	3	5	6	8	8	2	12
$f_i$	4	5	6	7	9	9	10	11	12	14	16

Activity  $i$  has a **start time**  $s_i$  and a **finish time**  $f_i$

**Problem:** select a maximum-size subset of *mutually compatible* activities.

Activities  $a_i$  and  $a_j$  are **compatible** if  $s_i \geq f_j$  or  $s_j \geq f_i$ .

Example: The subset  $\{a_3, a_9, a_{11}\}$  consists of mutually compatible activities

The subset  $\{a_1, a_4, a_8, a_{11}\}$  is a better solution.

# The Activity-Selection Problem

$i$	1	2	3	4	5	6	7	8	9	10	11
$s_i$	1	3	0	5	3	5	6	8	8	2	12
$f_i$	4	5	6	7	9	9	10	11	12	14	16

Increasing  
finish time

**Problem:** select a maximum-size subset of *mutually compatible* activities.

Optimal substructure?

Introduce activities  $a_0$  with  $s_0 = f_0 = 0$  and  $a_{n+1}$  with  $s_{n+1} = f_{n+1} = f_n$ .

Let  $S_{i,j}$  denote the set of activities that start after the end of activity  $a_i$  and finish before the beginning of activity  $a_j$ .

**Example:**  $S_{0,4} = \{a_1\}$ ,  $S_{0,n+1} = \{a_1, \dots, a_n\}$ ,  $S_{1,11} = \{a_4, a_6, a_7, a_8, a_9\}$ .

# The Activity-Selection Problem

$i$	1	2	3	4	5	6	7	8	9	10	11
$s_i$	1	3	0	5	3	5	6	8	8	2	12
$f_i$	4	5	6	7	9	9	10	11	12	14	16

Increasing  
finish time

**Problem:** select a maximum-size subset of *mutually compatible* activities.

Optimal substructure?

Let  $A_{ij}$  denote the maximum set of mutually compatible activities in  $S_{ij}$ .

Consider  $a_k \in A_{ij}$

It is easy to see that  $A_{ij} = A_{ik} \cup \{a_k\} \cup A_{kj}$ .

$$\implies |A_{ij}| = |A_{ik}| + |A_{kj}| + 1.$$

# The Activity-Selection Problem

$a_k$  is not known in advance!

Let  $c[i, j]$  denote the size of an optimal solution to  $S_{ij}$

$$c[i, j] = \begin{cases} 0 & \text{if } S_{ij} = \emptyset \\ \max_{a_k \in S_{ij}} \{c[i, k] + c[k, j] + 1\} & \text{if } S_{ij} \neq \emptyset \end{cases}$$

Optimal solution value =  $c[0, n + 1]$

# A Greedy Algorithm

$i$	1	2	3	4	5	6	7	8	9	10	11
$s_i$	1	3	0	5	3	5	6	8	8	2	12
$f_i$	4	5	6	7	9	9	10	11	12	14	16

Increasing  
finish time

No recursive or iterative computation, take a decision NOW.  
The decision that maximises your objective function.

I will pick a valid meeting that has the smallest finishing time.


Is this a locally optimal decision?

Yes, if I replace my meeting with any other valid meeting,  
I can only reduce my chances of scheduling more meetings.

# A Greedy Algorithm

$i$	1	2	3	4	5	6	7	8	9	10	11
$s_i$	1	3	0	5	3	5	6	8	8	2	12
$f_i$	4	5	6	7	9	9	10	11	12	14	16

Increasing  
finish time



No recursive or iterative computation, take a decision NOW.  
The decision that maximises your objective function.

I will pick a valid meeting that has the smallest finishing time.

Will the greedy local decision always lead to a globally optimal solution?

Yes, but we need a formal proof!

# Proving that Greedy is Optimal

## What is a formal proof?

A proof based on mathematical reasoning

**Theorem 1.** The subset obtained by always picking the valid activity that finishes first is globally optimal

**Proof.** Let  $S_k = \{a_i \in \{a_1, \dots, a_n\} : s_i \geq f_k\}$ .

$S_k$  is the set of activities that can be scheduled after  $a_k$ .

Let  $A_k = \{a_{i_1}, a_{i_2}, \dots, a_{i_k}\}$  be an optimal solution for  $S_k$ , that is

$A_k$  is a maximum-size subset of mutually compatible activities in  $S_k$ .

Let  $a_{i_1}^*$  be the activity that finishes first in  $S_k$ . If  $a_{i_1}^*$  is not in  $A_k$ , then the set  $A_k^* = \{a_{i_1}^*, a_{i_2}, \dots, a_{i_k}\}$  is also an optimal solution for  $S_k$ .

Observe that  $A_k^* = \{a_{i_1}^*\} \cup A_{i_1}^* = \{a_{i_2}, \dots, a_{i_k}\}$ . Since the same argument can be applied with  $A_{i_1}^*, \dots, A_{i_k}^*$ , the proof is complete.



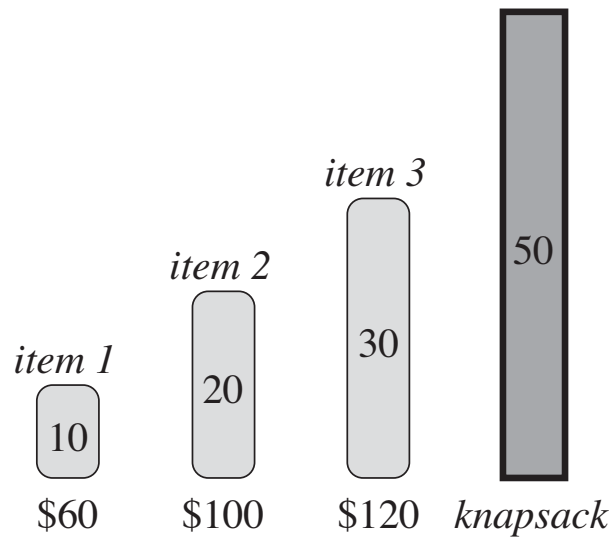
# Greedy Algorithms: How to

Formulate your optimisation problem such as:

1. If you make a decision NOW, you are left with ONE subproblem to solve.
2. Define your greedy decision
3. Prove that the greedy decision leads to a globally optimal solution

# Application 6: The Thief

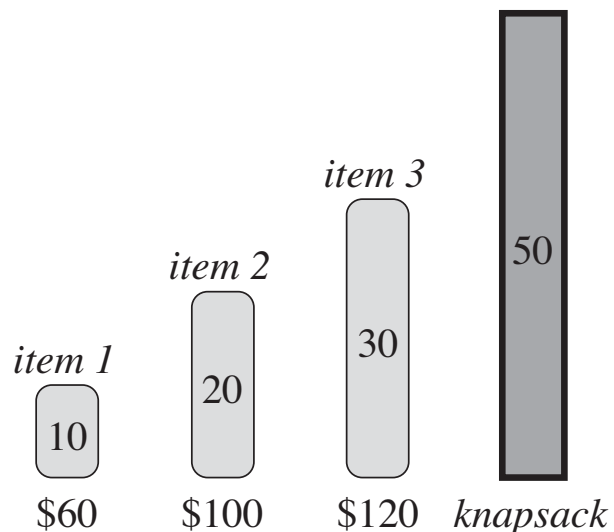
A thief robbing a store finds  $n$  items. The  $i$ th item is worth  $v_i$  dollars and weighs  $w_i$  kilos, where  $v_i$  and  $w_i$  are integers. The thief wants to take as valuable a load as possible, but he can carry at most  $W$  kilos in his knapsack, for some integer  $W$ . Which items should he take?



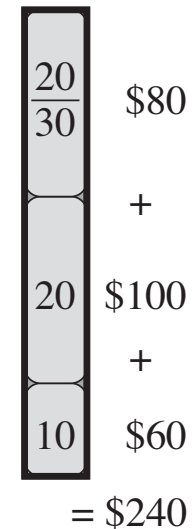
(a)



# Case 1. Diamonds (Fractional Items)



(a)



(c)

# Greedy Algorithms: How to

Formulate your optimisation problem such as:

1. If you make a decision NOW, you are left with ONE subproblem to solve.

Pick ONE element NOW

2. Define your greedy decision

Pick THE MOST valuable element

3. Prove that the greedy decision leads to a globally optimal solution

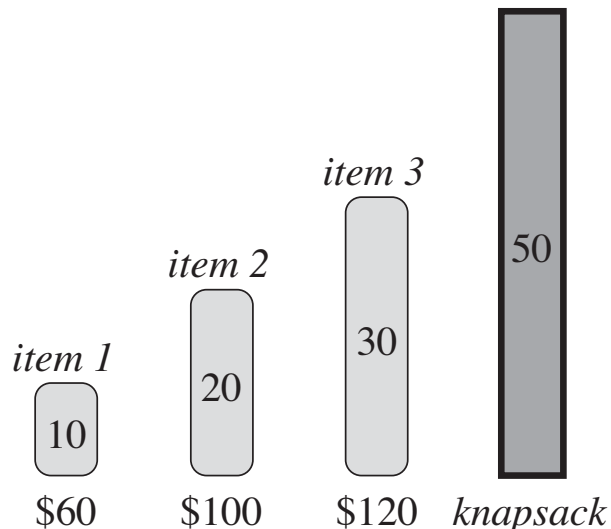
# Case 1. Diamonds (Fractional Items)



Pick THE MOST valuable element

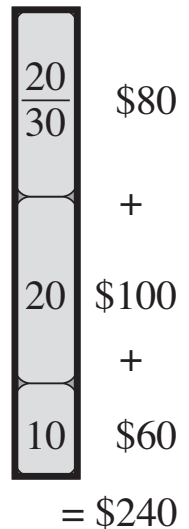
FAIR comparison!

Price per kilo



(a)

$$\begin{aligned}
 pu_1 &= 60/10 = 6 \\
 pu_2 &= 100/20 = 5 \\
 pu_3 &= 120/30 = 4
 \end{aligned}$$



Fraction of Item	Weight (kilo)	Value (\$)
$\frac{20}{30}$ of item 3	20/30	\$80
+		
$\frac{20}{20}$ of item 2	20	\$100
+		
$\frac{10}{30}$ of item 1	10	\$60
=		\$240

(c)

# Case 1. Diamonds (Fractional Items)

3. Prove that the greedy decision leads to a globally optimal solution

**Theorem 1.** The solution obtained by always picking the maximum amount of the most valuable item is globally optimal

**Proof.** Let  $O = \{o_1, o_2, \dots, o_k\}$  be an optimal solution.

$O$  is a selection of items (can be fractional) that maximises the thief's bounty.

Let  $o^*$  be the item with the highest value per kilo.

Let  $w^*$  denote the total weight of  $o^*$ .

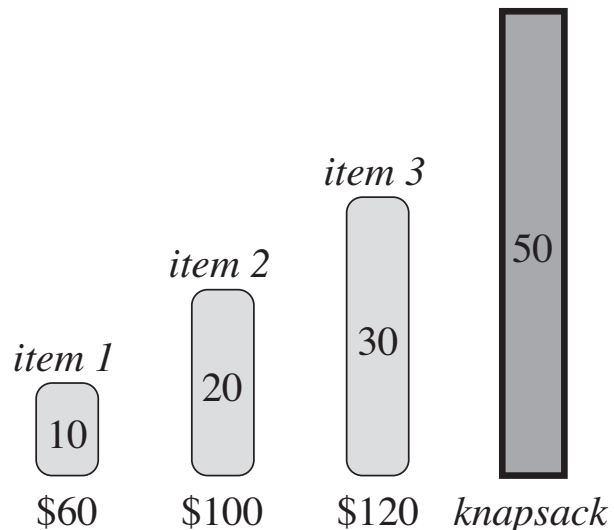
If  $o^*$  is not in  $O$ , let  $O^*$  denote the solution obtained by removing  $\min(w^*, W)$  worth of items from  $O$  and replacing them by  $o^*$ .

By construction,  $O^*$  cannot have a total value less than  $O$ .

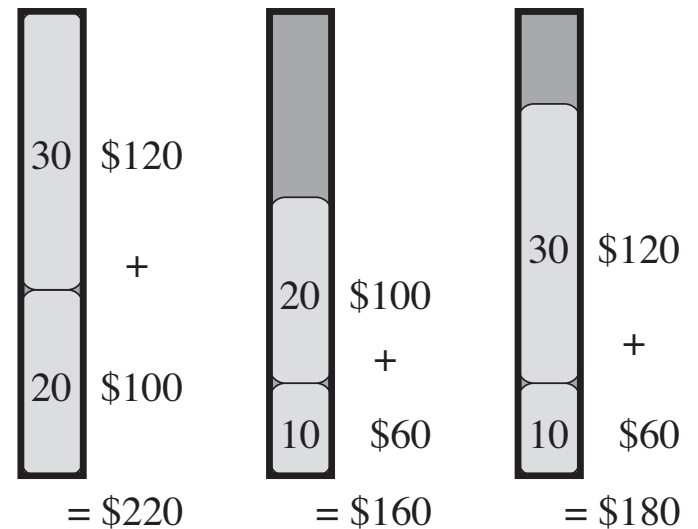
This argument can be applied with the item having the second highest value per kilo, then third highest value, etc.

At every step, I discount the weight inserted in  $W$  during the previous step.

## Case 2. Cars (Indivisible Items)



(a)



(b)

# Application 7: Data Compression





# Fun Facts

In 1952, David Huffman, a PhD candidate at MIT introduced the Huffman code

Allows for data compression with savings up to 90%

Huffman Coding is based on a greedy algorithm!

# Basic Idea

Huffman observed that in a given file, some letters or symbols might appear more than others

IDEA: Encode the high frequency symbols with short binary strings

	a	b	c	d	e	f
Frequency (in thousands)	45	13	12	16	9	5
Fixed-length codeword	000	001	010	011	100	101
Variable-length codeword	0	101	100	111	1101	1100

“abaabef” is encoded as

000001000000001100101 using the fixed-length encoding

01010010111011100 using the variable-length encoding

# Prefix-Free Code

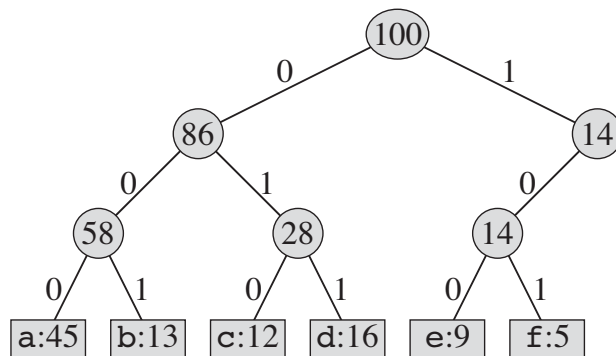
Huffman observed that in order to avoid ambiguous encoding, no codeword can be a prefix of another.

For example, if the codewords are  $\{0, 01, 11, 001\}$ , the decoding of a string like 001 is ambiguous. You can interpret it as 0-01, or 001.

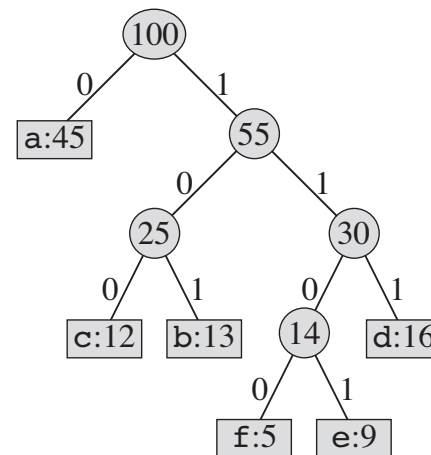
# Prefix-Free Code

Prefix codes are easily representable as binary trees.

	a	b	c	d	e	f
Frequency (in thousands)	45	13	12	16	9	5
Fixed-length codeword	000	001	010	011	100	101
Variable-length codeword	0	101	100	111	1101	1100



(a)

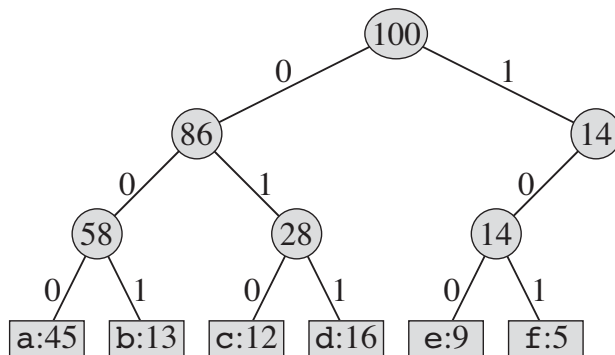


(b)

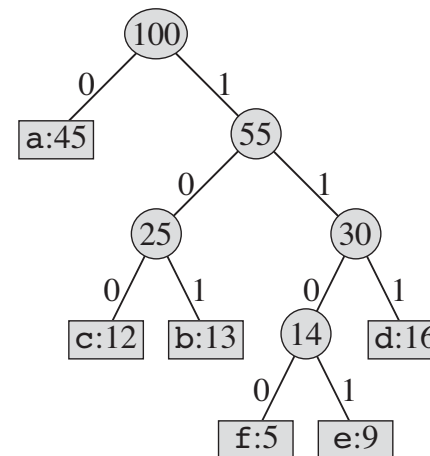
# Prefix-Free Code

Huffman's idea: Always combine the least frequent pair of elements together

	a	b	c	d	e	f
Frequency (in thousands)	45	13	12	16	9	5
Fixed-length codeword	000	001	010	011	100	101
Variable-length codeword	0	101	100	111	1101	1100



(a)



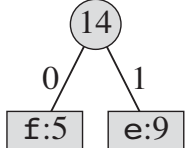
(b)

# Huffman Greedy Algorithm

Huffman's idea: Always combine the least frequent pair of elements together

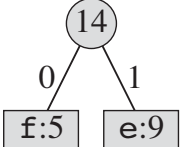
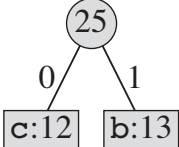
(a) f:5 e:9 c:12 b:13 d:16 a:45

(b) c:12 b:13



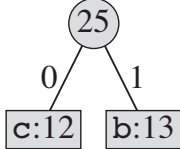
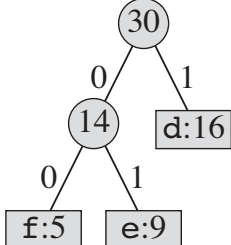
d:16 a:45

(c)

d:16 a:45

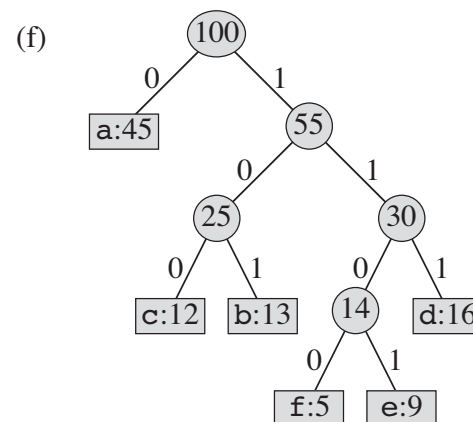
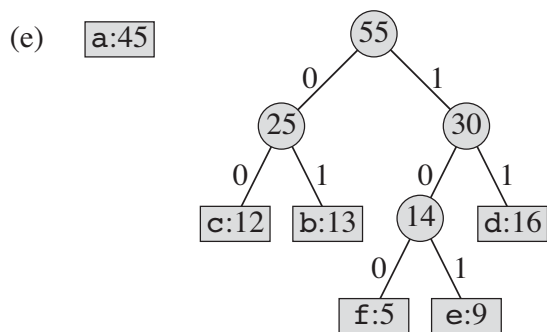
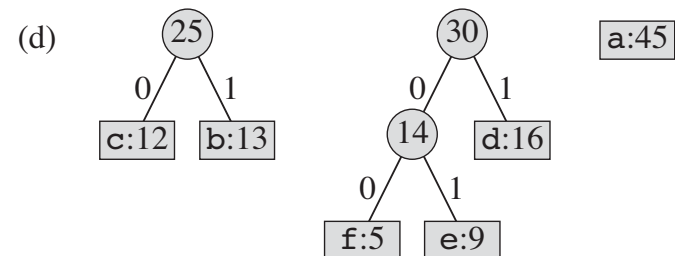
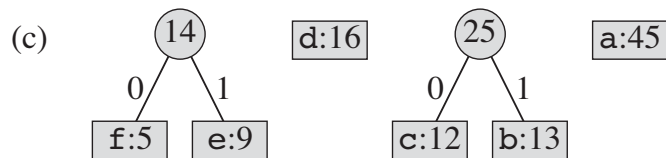
(d)

a:45

# Huffman Greedy Algorithm

Huffman's idea: Always combine the least frequent pair of elements together



## HUFFMAN( $C$ )

```
1   $n = |C|$ 
2   $Q = C$ 
3  for  $i = 1$  to  $n - 1$ 
4      allocate a new node  $z$ 
5       $z.left = x = \text{EXTRACT-MIN}(Q)$ 
6       $z.right = y = \text{EXTRACT-MIN}(Q)$ 
7       $z.freq = x.freq + y.freq$ 
8       $\text{INSERT}(Q, z)$ 
9  return  $\text{EXTRACT-MIN}(Q)$     // return the root of the tree
```



Sometimes, we have to be greedy!