# Cryptography:Mathematical Foundation RSA

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### **Functions**

**Definition**: A function is defined by a triplet  $\langle X, Y, f \rangle$ , where X: a set called domain; Y: a set called range or codomain and f: a rule which assigns to each element in X precisely one element in Y.

It is denoted by  $f: X \to Y$ Example: Let  $X = Y = \mathbf{Z}_5$ , Then  $f: X \to Y$  given by f(x) = 2 \* x is a function.

### **Definitions**

**Image**: If  $x \in X$ , the image of x in Y is an element  $y \in Y$  such that y = f(x).

**Pre-image**: If  $y \in Y$ , then a Pre-image of y in X is an element  $x \in X$  such that f(x) = y.

**Image of a function** f (Im(f): A set of all elements in Y which have at least one Pre-image.

$$Im(f) = \bigcup_{x \in X} \{f(x)\}\tag{1}$$

# One-to-one (injective) Function

A function is one-to-one (injective) if each element in the codomain Y is the image of **at most** one element in the domian X. In other words, each element in x in X is related to different y in X, never two different elements in X map to a same element in Y. We can say that  $|X| \leq |Y|$ . An alternate definition would be, a  $f: X \to Y$  is one-to-one (injective), provided

$$f(x_1) = f(x_2)$$
 implies  $x_1 = x_2$ .

**Examples:** Let  $X = Y = \mathbf{Z}_4$ , Then  $f : X \to Y$  given by f(x) = 3 \* x is a one-to-one function. However  $f(x) = x^2$  is a not a one-to-one function.

### Onto (surjective) Function

A function is Onto (surjective) if each element in the codomain Y is the image of **at least** one element in the domian X.

A function  $f: X \to Y$  is onto if Im(f) = Y

We can say that, if f is onto then  $|Y| \leq |X|$ .

**Example:** Let  $X = Y = \mathbf{Z}_5$ , Then  $f : X \to Y$  given by  $f(x) = x^2$  is a onto function.

**Bijection**: A function which is both one-to-one and onto.

In this case, we have  $|X| \leq |Y|$  and  $|Y| \leq |X|$ . This implies

|X|=|Y|.

If  $f: X \to Y$  is one-to-one then  $f: X \to Im(f)$  is a bijection.

If  $f: X \to Y$  is onto and X and Y are finite sets of the same size then f is a bijection.



### Bijection

Let m and n are relatively prime number,  $X = \mathbf{Z}_{mn}$ ,  $Y = \mathbf{Z}_m \times \mathbf{Z}_n$ . Then the mapping

$$f: X \rightarrow Y, f(x) = ((x \mod m), x \mod n),$$

is a bijection.



**Example:**  $X := \mathbf{Z}_6$ ,  $Y = \mathbf{Z}_2 \times \mathbf{Z}_3$ . The function f given below is a bijection:

$X = \mathbf{Z}_6$	$\rightarrow$	$\mathbf{Z}_2  imes \mathbf{Z}_3$
0	$\rightarrow$	(0,0)
1	$\rightarrow$	(1,1)
2	$\rightarrow$	(0,2)
3	$\rightarrow$	(1,0)
4	$\rightarrow$	(0,1)
5	$\rightarrow$	(1, 2)

Table:  $f: \mathbf{Z}_6 \to \mathbf{Z}_2 \times \mathbf{Z}_3$ 

Can you show this is true using Euclidean algorithm?

# One-Way functions

A function  $f: X \to Y$  is said to be one - way, if It is **EASY** to compute f(x), for all  $x \in X$ , but for most elements  $y \in Im(f)$ , it is **computationally** infeasible to find any x such that f(x) = y. **Trapdoor one-way functions**: It is one - way function without the trapdoor. But it ceases to be one - way if the trapdoor information is known.

For an integer  $n \ge 2$ , let  $\mathbf{Z}_n^*$  be the set of all integers less than n but relatively prime to n.

### Euler's Theorem

#### Theorem

If 
$$a \in \mathbf{Z}_n^{\star}$$
, then  $a^{\phi(n)} = 1 \pmod{n}$ .

**Proof:** Let  $R(n) = \{r_1, r_1, \ldots, r_{\phi(n)}\}$ , be reduced set of residues modulo n. Now consider the set a  $R(n) = \{a$   $r_1, a$   $r_1, \ldots, a$   $r_{\phi(n)}\}$ . Since a is relatively prime to n, the set aR(n) is identically equal to R(n). Note that a only rearranges the residues in R(n). Hence we can multiply all the elements in R(n) and equate with the multiplication of all the elements of a R(n). Hence we can write:

$$r_1 \times r_1 \cdots \times r_{\phi(n)} = ar_1 \times ar_1 \cdots \times ar_{\phi(n)}$$
.

Note that  $r_i$ s are relatively prime to n and hence we can cancel  $r_i$  in the above equation by multiplying  $r_i^{-1}$  to both the side of the equation. Then the above equation simplifies to

$$1=a^{\phi(n)}.$$

Hence the result.



### Fermat's Theorem

#### Theorem

Let p be a prime number, then if gcd(a, p) = 1, then

$$a^{p-1} = 1 \ (mod \ p).$$

This is the particular case of Euler's Theorem when n is prime.

#### Fermat's Little Theorem

#### Theorem

Let p be a prime number,

$$a^p = a \pmod{p}$$
, for any integer a.

When a is relatively prime, the theorem follows from the Fermatss theorem. When a is multiple of p, the result is trivially true.



# Chinese Remainder Theorem (CRT)

Let  $n_1$ ,  $n_2$  be pair-wise relatively prime integers, he system of simultaneous congruences

$$x \equiv a_1 \pmod{n_1},$$
  
$$x \equiv a_2 \pmod{n_2},$$

has a unique solution modulo  $n = n_1 n_2$ .

Note that the mapping  $f: \mathbf{Z}_{n_1 \ n_2} \to \mathbf{Z}_{n_1} \times \mathbf{Z}_{n_2}$  given by  $f(x) \to x \mod n_1$ ,  $x \mod n_2$  is a bijection.

The proof has two points. First show that the function is one-to-one. If there exists two elements x and y such that

$$x \mod n_1 = y \mod n_1,$$

and

$$x \mod n_2 = y \mod n_2$$
,

then x-y is divisible by both  $n_1$  and  $n_2$ . Since  $n_1$  and  $n_2$  are relatively prime, x-y is divisible by  $n_1$   $n_2=n$ . Hence x and y are identical equal modulo n. This proves that the function is one-to-one. In the next slide, we give an explicit construction for the inverse function which proves that the map is onto. Hence the f is bijection.

In fact, Chinese Remainder theorem gives a construction method to obtain the inverse function. Let

$$N_1 = n/n_1 = n_2, N_2 = n/n_2 = n_1.$$

Choose

$$M_1 = (N_1)^{-1} \pmod{n_1}$$

and

$$M_2 = (N_2)^{-1} \pmod{n_2}$$

.

Then the solution to the simultaneous congruences is given by

$$x = a_1 (N_1 M_1) + a_2 (N_2 M_2) \pmod{n}$$
.

You can immediately verify that x determined as above satisfies the congruences (This is because  $N_1 \mod n_2 = 0$  and  $N_2 \mod n_1 = 0$ )

# Chinese Remainder Theorem (CRT)

If  $n_1, n_2, \ldots, n_k$  are pair-wise relatively prime integers, k being a positive integer, the system of simultaneous congruences

$$x \equiv a_1 \pmod{n_1},$$
 $x \equiv a_2 \pmod{n_2},$ 
 $x \equiv a_3 \pmod{n_3},$ 
 $\dots$ 
 $x \equiv a_k \pmod{n_k},$ 

has a unique solution modulo  $n = n_1 n_2 \dots n_k$ .

Let

$$N_i = n/n_i$$

for i = 1, 2, ..., k.

Choose

$$M_i = (N_i)^{-1} \pmod{n_i},$$

for i = 1, 2, ..., k.

Then the solution is given by

$$x = \sum_{i=1}^{k} a_i N_i M_i \pmod{n}.$$

# RSA: Key Generation by entities

Before starting any transactions, Alice(A) and Bob(B) will set up the following key initializations.

Alice will do the following:

- Generate two large and distinct primes  $p_A$  and  $q_A$  of almost equal size.
- **2** Compute  $n_A = p_A q_A$  and  $\phi_A = (p_A 1)(q_A 1)$ .
- **3** Select a random integer  $e_A$ , such that  $GCD[e_A, \phi_A] = 1$ .
- **4** Compute the integer  $d_A$  such that

$$e_A d_A \equiv 1 \pmod{\phi_A}$$
.

(Use Extended Euclidean Algorithm).

**5** Alice's Public key is  $(n_A, e_A)$ . Alice's Private key is  $d_A$ .



Similarly, Bob will also initialize the key parameters. Let **Bob's Public key be**  $(n_B, e_B)$  and **Bob's Private key be**  $d_B$ ,

### RSA Public encryption

Here we assume that Bob wants to send a message to Alice.  $Encryption \ at \ B$ 

- Get A's Public Key  $(n_A, e_A)$ .
- ② Choose a message M as an integer in the interval  $[0, n_A 1]$ .
- **3** Compute  $c = M^{e_A} \pmod{n_A}$ .
- Send the cipher text c to A.

### Decryption at A

**1** To recover m compute  $M = c^{d_A} \mod n_A$  using the secret  $d_A$ .



# Proof of RSA Decryption

Since  $e_A d_A \equiv 1 \pmod{\phi_A}$ , by the extended Euclidean algorithm it is possible to find k such that

$$e_A d_A = 1 + k \phi_A = 1 + k(p_A - 1)(q_A - 1)$$

(Run Extended Euclidean algorithm on  $(e_A, \phi(n_A))$  or  $(d_A, \phi(n_A))$ .) From Fermat' theorem we get,

$$M^{p_A-1} \equiv 1 \pmod{p_A}$$
.

Hence,

$$M^{e_Ad_A} \equiv M^{1+k(p_A-1)(q_A-1)} \equiv M \; (M^{(p_A-1)})^{(q_A-1)} \equiv M \; (mod \; p_A).$$

Similarly,

$$M^{e_Ad_A} \equiv M^{1+k(p_A-1)(q_A-1)} \equiv M \; (M^{(q_A-1)})^{(p_A-1)} \equiv M \; (mod \; q_A).$$



Since,  $p_A$  and  $q_A$  are distinct primes, it follows from Chinese Remainder Theorem that

$$M^{e_A d_A} \equiv M \pmod{n_A}$$
.

This implies,

$$c^{d_A}=(M^{e_A})^{d_A}\equiv M\ (mod\ n_A).$$

# More serious proof of RSA Decryption

Note that we need to prove

$$(M^{e_A})^{d_a}=M^{e_A}$$
  $d_A=M$  mod  $n_A$ .

If M is relatively prime to  $n_A$ , then this implies  $(M, p_A) = (M, q_A) = 1$ . Then the arguments in the previous slides prove the result.

You can also see this as an application of Eulers's theorem. Note that,

$$e_A d_A = 1 + k \phi_A = 1 + k(p_A - 1)(q_A - 1).$$
 (2)

Then

$$M^{e_A \ d_A} = M^{1+k\phi_A} = M \ M^{k\phi_A} = M \ (M^{\phi_A})^k = M$$

as  $M^{\phi_A} = 1 \mod n_A$  (Eulers's theorem).

However, again note that to be able to use Fermat's or Euler's theorem, we need  $(M, n_A) = 1$ .



# What if M is not relatively prime to n?

Note that the probability that M is not relatively prime to  $n_A$  is very small  $(1/p_A+1/q_A-1/(p_Aq_A))$ . If we just ignore this possibility we are done. But, if you are serious and want to prove the RSA result for all  $M < n_A$ , then see the following.

Case when M is not relatively prime to  $n_A$ .

In this case M is divisible by either  $p_A$  or  $q_A$ . If it is divisible by both  $p_A$  and  $q_A$ , then M=0 mod  $n_A$  and hence the RSA result is trivially true. Then with out loss of generality assume that  $p_A$  divides M and hence we can write M=c  $p_A$ . Then we must have  $(M,q_A)=1$  (Otherwise, M is also multiple of  $q_A$  and hence identically equal to 0 mod  $n_A$ ).

Now we can use Fermat's theorem

$$M^{(q_A-1)}=1 \bmod q$$



Then taking  $(k(p_A-1))^{th}$  power on either side of the above equation, we get,

$$M^{k(p_A-1)(q_A-1)} = 1 \mod q_A,$$

where k is as in (2). This implies

$$M^{k(p_A-1)(q_A-1)} = 1 + k' q_A.$$

Multiplying each side by  $M = cp_A$ , we get

$$M^{k(p_A-1)(q_A-1)+1} = M + k' \ c \ p_A \ q_A = M + k'' \ n_A.$$

Taking  $mod n_A$  on both sides gives the result.