

# Lecture 0: Summary of Topology (Part 1)

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# 1 Set Theory

## 1.1 Set Operations and Logics

- **Definition** Given a set  $X$ , the collection of all subsets of  $X$ , denoted as  $2^X$ , is defined as

$$2^X := \{E : E \subseteq X\}$$

- **Remark** The followings are basic operation on  $2^X$ : For  $A, B \in 2^X$ ,

1. **Inclusion**:  $A \subseteq B$  if and only if  $\forall x \in A, x \in B$ .
2. **Union**:  $A \cup B = \{x : x \in A \vee x \in B\}$ .
3. **Intersection**:  $A \cap B = \{x : x \in A \wedge x \in B\}$ .
4. **Difference**:  $A \setminus B = \{x : x \in A \wedge x \notin B\}$ .
5. **Complement**:  $A^c = X \setminus A = \{x : x \in X \wedge x \notin A\}$ .
6. **Symmetric Difference**:  $A \Delta B = (A \setminus B) \cup (B \setminus A) = \{x \in X : x \notin A \vee x \notin B\}$ .

We have *deMorgan's laws*:

$$\left( \bigcup_{a \in A} U_a \right)^c = \bigcap_{a \in A} U_a^c, \quad \left( \bigcap_{a \in A} U_a \right)^c = \bigcup_{a \in A} U_a^c$$

- **Remark** Note that the following equality is useful:

$$A \Delta B = (A \cup B) \setminus (A \cap B)$$

- The forms of logic statement using “if ... then”:

1. Original statement: “If  $P$  then  $Q$ ”, or “ $Q$  holds **if**  $P$  holds”;

$$P \Rightarrow Q$$

2. **Converse statement**: “If  $Q$  then  $P$ ”, or “ $Q$  holds **only if**  $P$  holds”;

$$Q \Rightarrow P$$

3. **Contrapositive statement**: “If not  $Q$  then not  $P$ ”, or “ $P$  not holds **if**  $Q$  not holds”;

$$\neg Q \Rightarrow \neg P$$

The contrapositive and the original statements are *logically equivalent*.

If it should happen that both the statement  $P \Rightarrow Q$  and its converse  $Q \Rightarrow P$  are *true*, we express this fact by the notation

$$P \Leftrightarrow Q$$

“ $P$  holds **if and only if**  $Q$  holds”

## 1.2 Functions

- **Definition** A **rule of assignment** is a subset  $r$  of the cartesian product  $C \times D$  of two sets, having the property that each element of  $C$  appears as the first coordinate **at most one ordered pair belonging to  $r$** . Thus, a subset  $r$  of  $C \times D$  is a **rule of assignment** if

$$[(c, d) \in r \text{ and } (c, d') \in r] \Rightarrow [d = d'].$$

Given a rule of assignment  $r$ , **the domain** of  $r$  is defined to be the *subset* of  $C$  consisting of *all first coordinates of elements* of  $r$ , and **the image** set of  $r$  is defined as the *subset* of  $D$  consisting of *all second coordinates of elements* of  $r$ .

A **function**  $f$  is a **rule of assignment**  $r$ , together with a set  $B$  that *contains the image set* of  $r$ .

- **Definition**  $f : X \rightarrow Y$  is a **function** if for each  $x \in X$ , there exists a unique  $y = f(x) \in Y$ .  $X$  is called the **domain** of  $f$  and  $Y$  is called the **codomain or image** of  $f$ .  $f(X) = \{y \in Y : y = f(x)\}$  is called the **range** of  $f$

The **pre-image** of  $f$  is defined as

$$f^{-1}(E) = \{x \in X : f(x) \in E\}.$$

- **Definition** If  $f : A \rightarrow B$  and if  $A_0$  is a subset of  $A$ , we define the **restriction** of  $f$  to  $A_0$  to be the function mapping  $A_0$  into  $B$  whose rule is

$$\{(a, f(a)) : a \in A_0\}.$$

It is denoted by  $f|_{A_0}$ , which is read "  $f$  restricted to  $A_0$ ."

- **Remark** The pre-image operation **commutes** with **all basic set operations**:

$$\begin{aligned} A \subseteq B &\Rightarrow f^{-1}(A) \subseteq f^{-1}(B) \\ f^{-1}\left(\bigcup_{\alpha \in A} E_\alpha\right) &= \bigcup_{\alpha \in A} f^{-1}(E_\alpha) \\ f^{-1}\left(\bigcap_{\alpha \in A} E_\alpha\right) &= \bigcap_{\alpha \in A} f^{-1}(E_\alpha) \\ f^{-1}(A \setminus B) &= f^{-1}(A) \setminus f^{-1}(B) \\ f^{-1}(E^c) &= (f^{-1}(E))^c \end{aligned}$$

- **Remark** The image operation **commutes** with only **inclusion and union** operations:

$$\begin{aligned} A \subseteq B &\Rightarrow f(A) \subseteq f(B) \\ f\left(\bigcup_{\alpha \in A} E_\alpha\right) &= \bigcup_{\alpha \in A} f(E_\alpha) \end{aligned}$$

For the other operations:

$$\begin{aligned} f\left(\bigcap_{\alpha \in A} E_\alpha\right) &\subseteq \bigcap_{\alpha \in A} f(E_\alpha) \\ f(A \setminus B) &\supseteq f(A) \setminus f(B) \end{aligned}$$

- **Definition** A map  $f : X \rightarrow Y$  is **surjective, or, onto**, if for every  $y \in Y$ , there exists a  $x \in X$  such that  $y = f(x)$ . In set theory notation:

$$f : X \rightarrow Y \text{ is surjective} \Leftrightarrow f^{-1}(Y) \subseteq X.$$

A map  $f : X \rightarrow Y$  is **injective, or one-to-one**, if for every  $x_1 \neq x_2 \in X$ , their map  $f(x_1) \neq f(x_2)$ , or equivalently,  $f(x_1) = f(x_2)$  only if  $x_1 = x_2$ .

If a map  $f : X \rightarrow Y$  is both *surjective* and *injective*, we say  $f$  is a **bijective**, or there exists an *one-to-one correspondence* between  $X$  and  $Y$ . Thus  $Y = f(X)$ .

- **Remark**

$$\begin{aligned} f^{-1}(f(B)) &\supseteq B, \quad \forall B \subseteq X \\ f(f^{-1}(E)) &\subseteq E, \quad \forall E \subseteq Y \\ f : X \rightarrow Y \text{ is surjective} &\Leftrightarrow f^{-1}(Y) \subseteq X. \\ &\Rightarrow f(f^{-1}(E)) = E. \\ f : X \rightarrow Y \text{ is injective} &\Rightarrow f^{-1}(f(B)) = B \\ &\Rightarrow f\left(\bigcap_{\alpha \in A} E_\alpha\right) = \bigcap_{\alpha \in A} f(E_\alpha) \\ &\Rightarrow f(A \setminus B) = f(A) \setminus f(B) \end{aligned}$$

- **Proposition 1.1** *The following statements for composite functions are true:*

1. If  $f, g$  are both *injective*, then  $g \circ f$  is *injective*.
2. If  $f, g$  are both *surjective*, then  $g \circ f$  is *surjective*.
3. Every **injective** map  $f : X \rightarrow Y$  can be written as  $f = \iota \circ f_R$  where  $f_R : X \rightarrow f(X)$  is a **bijective** map and  $\iota$  is the **inclusion map**.
4. Every **surjective** map  $f : X \rightarrow Y$  can be written as  $f = f_p \circ \pi$  where  $\pi : X \rightarrow (X/\sim)$  is a **quotient map** (projection  $x \mapsto [x]$ ) for the equivalent relation  $x \sim y \Leftrightarrow f(x) = f(y)$  and  $f_p : (X/\sim) \rightarrow Y$  is defined as  $f_p([x]) = f(x)$  **constant** in each coset  $[x]$ .
5. If  $g \circ f$  is **injective**, then  $f$  is **injective**.
6. If  $g \circ f$  is **surjective**, then  $g$  is **surjective**.

### 1.3 Equivalence Relation

- **Definition** A **relation** on a set  $A$  is a subset  $R$  of the cartesian product  $A \times A$ .

If  $R$  is a relation on  $A$ , we use the notation  $xRy$  to mean the same thing as  $(x, y) \in R$ . We read it “ $x$  is in the relation  $R$  to  $y$ .”

- **Remark** A **rule of assignment**  $r$  for a function  $f : A \rightarrow A$  is also a subset of  $A \times A$ . But it is a subset of a *very special* kind: namely, one such that **each element** of  $A$  appears as the **first coordinate** of an element of  $r$  **exactly once**. **Any subset** of  $A \times A$  is a relation on  $A$ .

- **Definition** An equivalence relation on  $X$  is a relation  $R$  on  $X$  such that

1. (**Reflexivity**):  $xRx$  for all  $x \in X$ ;
2. (**Symmetry**):  $xRy$  if and only if  $yRx$  for all  $x, y \in X$ ;
3. (**Transitivity**):  $xRy$  and  $yRz$  then  $xRz$  for all  $x, y, z \in X$ .

We usually denote the equivalence relation  $R$  as  $\sim$ .

- **Definition** (**Equivalence Class**)

The equivalence class of an element  $x$  is denoted as  $[x] := \{y \in X : xRy\}$ .

- **Lemma 1.2** [Munkres, 2000]

Two equivalence classes  $E$  and  $E'$  are either **disjoint** or **equal**.

- **Definition** A partition of a set  $A$  is a collection of **disjoint** nonempty subsets of  $A$  whose **union** is all of  $A$ .

- **Remark** The set of equivalence classes provides **a partition of the set**  $X$  in that every  $z \in X$  can must belong to *only one equivalence class*  $[x]$ . That is  $[x] \cap [y] = \emptyset$  if  $x \not\sim y$  and  $X = \bigcup_{x \in X} [x]$ .

- **Definition** The set of all equivalence classes of  $X$  by  $\sim$ , denoted  $X/\sim := \{[x] : x \in X\}$ , is the quotient set of  $X$  by  $\sim$ .  $X = \bigcup_{C \in X/\sim} C$ .

- **Remark** Since  $x \sim y \Rightarrow y \in [x]$ , we see that if  $[x] \neq [y]$ , then  $x \not\sim y$ , i.e. representative of different equivalence classes are not in the given relationship.

## 1.4 Order Relation

- **Definition** A relation  $C$  on a set  $A$  is called an order relation (or **a simple order**, or **a linear order**) if it has the following properties:

1. (**Comparability**) For every  $x$  and  $y$  in  $A$  for which  $x \neq y$ , either  $xCy$  or  $yCx$ .
2. (**Nonreflexivity**) For no  $x$  in  $A$  does the relation  $xCx$  hold.
3. (**Transitivity**) If  $xCy$  and  $yCz$ , then  $xCz$ .

We denote order relation as  $>$  or  $<$ . We shall use the notation  $x \leq y$  to stand for the statement “either  $x < y$  or  $x = y$ ”; and we shall use the notation  $y > x$  to stand for the statement “ $x < y$ .” We write  $x < y < z$  to mean “ $x < y$  and  $y < z$ ”

- **Remark** If  $x \neq y$ , then  $x < y$  and  $y < x$  cannot hold simultaneously.
- **Remark** The Comparability condition means **every two elements are comparable under simple order**. Without this condition, we have partial order  $x \prec y$ . Consider *the simple ordering* as along **a chain graph**, while *the partial ordering* is along **the general graphs**.
- **Definition** (**Order Type**)

Suppose that  $A$  and  $B$  are two sets with order relations  $<_A$ , and  $<_B$  respectively. We say that  $A$  and  $B$  have *the same order type* if there is a **bijective** correspondence between them

that **preserves order**; that is, if there exists a bijective function  $f : A \rightarrow B$  such that

$$x <_A y \Rightarrow f(x) <_B f(y)$$

- **Definition (*Dictionary Order Relation*)**

Suppose that  $A$  and  $B$  are two sets with order relations  $\prec_A$  and  $\prec_B$  respectively. Define an order relation  $<$  on  $A \times B$  by defining

$$a_1 \times b_1 < a_2 \times b_2$$

if  $a_1 <_A a_2$ , **or** if  $a_1 = a_2$  and  $b_1 <_B b_2$ . It is called the dictionary order relation on  $A \times B$ .

- **Definition** Suppose that  $A$  is a set ordered by the relation  $<$ . Let  $A_0$  be a subset of  $A$ . We say that the element  $b$  is the largest element of  $A_0$  if  $b \in A_0$  and  $x \leq b$  for every  $x \in A_0$ .

Similarly, we say that  $a$  is the smallest element of  $A_0$  if  $a \in A_0$  and if  $a \leq x$  for every  $x \in A_0$ .

- **Remark** It is easy to see that a set has **at most one** largest element and **at most one** smallest element.

- **Definition (*The Upper Bound and The Supremum of Subset*)**

We say that the subset  $A_0$  of  $A$  is bounded above if there is an element  $b$  of  $A$  such that  $x \leq b$  for every  $x \in A_0$ ; the element  $b \in A$  is called an upper bound for  $A_0$ .

If the set of all upper bounds for  $A_0$  has a **smallest element**, that element is called the least upper bound, or the supremum, of  $A_0$ . It is denoted by  $\sup A_0$ , it may or may not belong to  $A_0$ . If it *does*, it is the largest element of  $A_0$ .

- **Definition (*The Lower Bound and The Infimum of Subset*)**

Similarly, we say that the subset  $A_0$  of  $A$  is bounded below if there is an element  $a$  of  $A$  such that  $a \leq x$  for every  $x \in A_0$ ; the element  $a \in A$  is called a lower bound for  $A_0$ .

If the set of all lower bounds for  $A_0$  has a **largest element**, that element is called the greatest lower bound, or the infimum, of  $A_0$ . It is denoted by  $\inf A_0$ , it may or may not belong to  $A_0$ . If it *does*, it is the smallest element of  $A_0$ .

- **Definition (*The Least Upper Bound Property and The Greatest Lower Bound Property*)**

An ordered set  $A$  is said to have the least upper bound property if every nonempty subset  $A_0$  of  $A$  that is *bounded above* has a *least upper bound*.

Analogously, the set  $A$  is said to have the greatest lower bound property if every nonempty subset  $A_0$  of  $A$  that is *bounded below* has a *greatest lower bound*.

## 1.5 Cartesian Products

- **Definition (*Indexed Family of Sets*)**

Let  $\mathcal{A}$  be a nonempty collection of sets. An indexing function for  $\mathcal{A}$  is a surjective function  $f$  from some set  $J$ , called the index set, to  $\mathcal{A}$ . The *collection  $\mathcal{A}$* , together with *the*

indexing function  $f$ , is called **an indexed family of sets**. Given  $\alpha \in J$ , we shall denote the set  $f(\alpha)$  by the symbol  $A_\alpha$ . And we shall denote the indexed family itself by the symbol

$$\{A_\alpha\}_{\alpha \in J},$$

which is read “*the family of all  $A_\alpha$ , as  $\alpha$  ranges over  $J$ .*” Sometimes we write merely  $\{A_\alpha\}$ , if it is clear what the index set is.

• **Definition (Cartesian Product of Indexed Family of Sets)**

Let  $m$  be a positive integer. Given a set  $X$ , we define an  ***$m$ -tuple of elements*** of  $X$  to be a function

$$x : \{1, \dots, m\} \rightarrow X.$$

If  $X$  is an  $m$ -tuple, we often denote the value of  $x$  at  $i$  by *the symbol  $x_i$* ; rather than  $x(i)$  and call it **the  $i$ -th coordinate of  $x$** . And we often denote the function  $x$  itself by the symbol

$$(x_1, \dots, x_m).$$

Now let  $\{A_1, \dots, A_m\}$  be a family of sets indexed with the set  $\{1, \dots, m\}$ . Let  $X = A_1 \cup \dots \cup A_m$ . We define **the cartesian product of this indexed family**, denoted by

$$\prod_{i=1}^m A_i \quad \text{or} \quad A_1 \times \dots \times A_m$$

to be *the set of all  $m$ -tuples  $(x_1, \dots, x_m)$  of elements of  $X$  such that  $x_i \in A_i$  for each  $i$ .*

• **Definition (Countable Cartesian Product of Indexed Family of Sets)**

Given a set  $X$ , we define an  **$\omega$ -tuple of elements** of  $X$  to be a function

$$x : \mathbb{Z}_+ \rightarrow X;$$

we also call such a function a ***sequence***, or an ***infinite sequence***, of elements of  $X$ . If  $x$  is an  ***$\omega$ -tuple***, we often denote the value of  $x$  at  $i$  by  $x_i$  rather than  $x(i)$ , and call it **the  $i$ -th coordinate of  $x$** . We denote  $x$  itself by the symbol

$$(x_1, x_2, \dots) \quad \text{or} \quad (x_n)_{n \in \mathbb{Z}_+}$$

Now let  $\{A_1, A_2, \dots\}$  be a family of sets, indexed with the positive integers; let  $X$  be the union of the sets in this family. **The cartesian product of this indexed family of sets**, denoted by

$$\prod_{i \in \mathbb{Z}_+} A_i \quad \text{or} \quad A_1 \times A_2 \times \dots$$

is defined to be the set of *all  $\omega$ -tuples  $(x_1, x_2, \dots)$  of elements of  $X$  such that  $x_i \in A_i$  for each  $i$ .*

## 1.6 Infinite Set and the Principle of Recursive Definition

- **Definition** See the following definitions

1. A set is said to be **countably infinite** if it admits a **bijection** with the set of *positive integers*  $f : A \rightarrow \mathbb{Z}_+$ , and
2. A set is said to be **countable** if it is *finite* or *countably infinite*.
3. A set that is not countable is said to be **uncountable**.

• **Proposition 1.3** *Let  $B$  be a nonempty set. Then the following are equivalent:*

1.  $B$  is **countable**.
2. There is a **surjective** function  $f : \mathbb{Z}_+ \rightarrow B$ .
3. There is an **injective** function  $g : B \rightarrow \mathbb{Z}_+$ .

• **Lemma 1.4** *If  $C$  is an infinite subset of  $\mathbb{Z}_+$ , then  $C$  is countably infinite.*

• **Principle 1.5 (Principle of Recursive Definition).** [Munkres, 2000]

*Let  $A$  be a set. Given a **formula** that defines  $h(1)$  as a **unique** element of  $A$ , and for  $i > 1$  defines  $h(i)$  **uniquely** as an element of  $A$  in terms of the values of  $h$  **for positive integers less than  $i$** , this formula determines a **unique function**  $h : \mathbb{Z}_+ \rightarrow A$ .*

• **Theorem 1.6 (Principle of Recursive Definition).** [Munkres, 2000]

*Let  $A$  be a set; let  $a_0$  be an element of  $A$ . Suppose  $\rho$  is a function that assigns, to **each function  $f$  mapping a nonempty section of the positive integers into  $A$ , an element of  $A$** . Then there exists a **unique function***

$$h : \mathbb{Z}_+ \rightarrow A$$

*such that*

$$\begin{aligned} h(1) &= a_0, \\ h(i) &= \rho(h| \{1, \dots, (i-1)\}) \text{ for all } i > 1. \end{aligned} \tag{1}$$

*The formula (2) is called a **recursion formula** for  $h$ . It specifies  $h(1)$ , and it expresses the value of  $h$  at  $i > 1$  in terms of the values of  $h$  for positive integers less than  $i$ . A definition given by such a formula is called a **recursive definition**.*

• **Remark (Recursive Definition)**

*Given the infinite subset  $C$  of  $\mathbb{Z}_+$ , there is a unique function  $h : \mathbb{Z}_+ \rightarrow C$  satisfying the formula:*

$$\begin{aligned} h(1) &= \text{smallest element of } C, \\ h(i) &= \text{smallest element of } [C - h(\{1, \dots, (i-1)\})] \text{ for all } i > 1. \end{aligned} \tag{2}$$

*The formula (2) is called a **recursion formula** for  $h$ ; it defines the function  $h$  in terms of itself. A definition given by such a formula is called a **recursive definition**.*

- **Corollary 1.7** *A subset of a countable set is countable.*
- **Corollary 1.8** *The set  $\mathbb{Z}_+ \times \mathbb{Z}_+$  is countably infinite.*
- **Proposition 1.9** *A countable union of countable sets is countable.*
- **Proposition 1.10** *A finite product of countable sets is countable.*



- It is very tempting to assert that *countable products of countable sets should be countable*; but this assertion is in fact **not true**:

**Theorem 1.11** *Let  $X$  denote the two element set  $\{0, 1\}$ . Then the set  $X^\omega$  is uncountable.*

- **Theorem 1.12** *Let  $A$  be a set. There is **no injective map**  $f : 2^A \rightarrow A$ , and there is **no surjective map**  $g : A \rightarrow 2^A$ .*
- **Proposition 1.13** *Let  $A$  be a set. The following statements about  $A$  are equivalent:*
  1. *There exists an **injective** function  $f : \mathbb{Z}_+ \rightarrow A$ .*
  2. *There exists a **bijection** of  $A$  with a proper subset of itself.*
  3.  *$A$  is infinite.*

## 1.7 The Axioms of Choice

- **Principle 1.14 (Axiom of Choice).** [Munkres, 2000]  
*Given a collection  $\mathcal{A}$  of **disjoint** nonempty sets, there exists a set  $C$  consisting of **exactly one element from each element of  $\mathcal{A}$** ; that is, a set  $C$  such that  $C$  is contained in the union of the elements of  $\mathcal{A}$ , and for each  $A \in \mathcal{A}$ , the set  $C \cap A$  contains a **single element**.*
- **Lemma 1.15 (Existence of a Choice Function).** [Munkres, 2000]  
*Given a collection  $\mathcal{B}$  of nonempty sets (not necessarily disjoint), there exists a function*

$$c : \mathcal{B} \rightarrow \bigcup_{B \in \mathcal{B}} B$$

*such that  $c(B)$  is an element of  $B$ , for each  $B \in \mathcal{B}$ .*

**Remark** The function  $c$  is called a **choice function** for the collection  $\mathcal{B}$ . The difference between this lemma and the axiom of choice is that in this lemma the sets of the collection  $\mathcal{B}$  are not required to be disjoint.

- **Remark** The axiom of choice is used when someone construct *an infinite set* using *infinite number of arbitrary choices*.
- **Corollary 1.16** *If  $\{A_\alpha\}_{\alpha \in J}$  is a **disjoint** collection of nonempty sets, there is a set  $C \subset \bigcup_{\alpha \in J} A_\alpha$  such that  $C \cap A_\alpha$  contains **precisely one element** for each  $\alpha \in J$ .*

## 1.8 Well-Ordering Theorem and Zorn's Lemma

- **Definition (Well-Ordered Set)**  
A set  $A$  with an order relation  $<$  is said to be **well-ordered** if *every nonempty subset of  $A$  has a **smallest element***.
- **Proposition 1.17 (Finite Ordered Set is Well-Ordered)** [Munkres, 2000]  
*Every nonempty **finite** ordered set has the order type of a section  $\{1, \dots, n\}$  of  $\mathbb{Z}_+$ , so it is **well-ordered**.*
- **Theorem 1.18 (Well-Ordering Theorem).** [Munkres, 2000]  
*If  $A$  is a set, there **exists** an order relation on  $A$  that is a well-ordering.*

- **Remark** The proof of Well-Ordering Theorem is based on a construction involving *an infinite number of arbitrary choices*, that is, a construction involving the choice axiom.
- **Corollary 1.19** *There exists an uncountable well-ordered set.*
- **Definition (Strict Partial Order)**  
Given a set  $A$ , a relation  $\prec$  on  $A$  is called a strict partial order on  $A$  if it has the following two properties;
  1. (**Nonreflexivity**) The relation  $a \prec a$  never holds.
  2. (**Transitivity**) If  $a \prec b$  and  $b \prec c$ , then  $a \prec c$ .
 Moreover, suppose that we define  $a \preceq b$  either  $a \prec b$  or  $a = b$ . Then the relation  $\preceq$  is called a partial order on  $A$ .
- **Theorem 1.20 (The Maximum Principle).**  
*Let  $A$  be a set; let  $\prec$  be a strict partial order on  $A$ . Then there exists a maximal simply ordered subset  $B$  of  $A$ .*
- **Definition (Upper Bound and Maximal Element for Strict Partial Order)**  
Let  $A$  be a set and let  $\prec$  be a strict partial order on  $A$ . If  $B$  is a subset of  $A$ , an upper bound on  $B$  is an element  $c$  of  $A$  such that for every  $b$  in  $B$ , either  $b = c$  or  $b \prec c$ .  
A maximal element of  $A$  is an element  $m$  of  $A$  such that for no element  $a$  of  $A$  does the relation  $m \prec a$  hold.
- **Remark** *The upper bound* of a set  $A$  is not necessarily in  $A$ , but *the maximal element* of  $A$  is in  $A$ .
- **Theorem 1.21 (Zorn's Lemma).** [Munkres, 2000]  
*Let  $A$  be a set that is strictly partially ordered. If every simply ordered subset of  $A$  has an upper bound in  $A$ , then  $A$  has a maximal element.*

## 1.9 Principles in Set Theory

- **Remark** We summarize the main principles in the set theory chapter:
  1. The Axioms of Choice: Given a collection  $\mathcal{A}$  of *disjoint* nonempty sets, there exists a set  $C$  consisting of *exactly one element from each element of  $\mathcal{A}$* .  $\Rightarrow$  one can construct a infinite set with infinite number of arbitrary choices
  2. Well-Ordering Theorem: Every set has a *well-ordering relation* so that every non-empty subset has a *smallest* element.
  3. The Maximum Principle: Any *strict partial ordered set* has a *maximal simply ordered subset*.  
Zorn's Lemma: For a *strictly partially ordered set*  $A$ , if *every simply ordered subset* has an *upper bound* in  $A$ , then  $A$  has a *maximal element*.
  4. Principle of Recursive Definition: To determine a *unique* function  $h : \mathbb{Z}_+ \rightarrow A$ , one first define  $h(1)$  *uniquely* as an element of  $A$ . Then for  $i > 1$ , one define  $h$  *uniquely* on  $[1 : i]$  in terms of value of  $h$  on *all positive integers less than  $i$* .

## 2 Topology

### 2.1 Topological Space

- **Definition** [Munkres, 2000]

Let  $X$  be a set. A **topology** on  $X$  is a collection  $\mathcal{T}$  of subsets of  $X$ , called **open subsets**, satisfying

1.  $X$  and  $\emptyset$  are open.
2. The **union** of **any family** of open subsets is open.
3. The **intersection** of **any finite family** of open subsets is open.

A pair  $(X, \mathcal{T})$  consisting of a set  $X$  together with a topology  $\mathcal{T}$  on  $X$  is called a **topological space**.

- **Example** (**Discrete and Trivial Topology**)

If  $X$  is any set, the collection of **all subsets** of  $X$  is a topology on  $X$ ; it is called the discrete topology.

The collection consisting of  $X$  and  $\emptyset$  only is also a topology on  $X$ ; we shall call it the indiscrete topology, or the trivial topology.

- **Example** (**The Finite Complement Topology**)

Let  $X$  be a set; let  $\mathcal{T}_f$  be the collection of all subsets  $U$  of  $X$  such that  $X \setminus U$  either is **finite** or is **all of**  $X$ . Then  $\mathcal{T}_f$  is a topology on  $X$ , called the finite complement topology.

- **Definition** (**Comparable Topologies on the Same Set**)

Suppose that  $\mathcal{T}$  and  $\mathcal{T}'$  are two topologies on a given set  $X$ . If  $\mathcal{T}' \supseteq \mathcal{T}$ , we say that  $\mathcal{T}'$  is finer (or stronger) than  $\mathcal{T}$ ; if  $\mathcal{T}'$  **properly contains**  $\mathcal{T}$ , we say that  $\mathcal{T}'$  is strictly finer than  $\mathcal{T}$ .

We also say that  $\mathcal{T}$  is coarser (or weaker) than  $\mathcal{T}'$ , or strictly coarser, in these two respective situations. We say  $\mathcal{T}$  is **comparable** with  $\mathcal{T}'$  if either  $\mathcal{T}' \subseteq \mathcal{T}$  or  $\mathcal{T} \subseteq \mathcal{T}'$ .

- **Definition** Suppose  $X$  is a topological space. A collection  $\mathcal{B}$  of open subsets of  $X$  is said to be a **basis** for the topology of  $X$  (plural: **bases**) if every open subset of  $X$  is the **union of some collection of elements** of  $\mathcal{B}$ .

More generally, suppose  $X$  is merely a set, and  $\mathcal{B}$  is a collection of **subsets** of  $X$  satisfying the following conditions:

1.  $X = \bigcup_{B \in \mathcal{B}} B$ .
2. If  $B_1, B_2 \in \mathcal{B}$  and  $x \in B_1 \cap B_2$ , then there exists  $B_3 \in \mathcal{B}$  such that  $x \in B_3 \subseteq B_1 \cap B_2$ .

Then the collection of **all unions** of elements of  $\mathcal{B}$  is a topology  $\mathcal{T}$  on  $X$ , called the topology  $\mathcal{T}$  generated by  $\mathcal{B}$ , and  $\mathcal{B}$  is a **basis** for this topology.

- **Remark** (**Basis Element in Each Neighborhood**)

By definition, a subset  $U$  of  $X$  is said to be **open** in  $X$  (that is, to be an element of  $\mathcal{T}$ ) if for each  $x \in U$ , there exists a **basis element**  $B \in \mathcal{B}$  such that  $x \in B \subset U$ . Note that each basis element is itself an element of  $\mathcal{T}$ .

- **Lemma 2.1** Let  $X$  be a set; let  $\mathcal{B}$  be a basis for a topology  $\mathcal{T}$  on  $X$ . Then  $\mathcal{T}$  equals the

collection of **all unions** of elements of  $\mathcal{B}$ .

- **Remark** This lemma states that every open set  $U$  in  $X$  can be expressed as a *union* of *basis elements*. This expression for  $U$  is **not**, however, **unique**.

- **Lemma 2.2 (Obtaining Basis from Given Topology)**. [Munkres, 2000]

Let  $X$  be a topological space. Suppose that  $\mathcal{C}$  is a collection of open sets of  $X$  such that for each open set  $U$  of  $X$  and each  $x$  in  $U$ , there is an element  $C$  of  $\mathcal{C}$  such that  $x \in C \subset U$ . Then  $\mathcal{C}$  is a basis for the topology of  $X$ .

- **Lemma 2.3 (Topology Comparison via Bases)**. [Munkres, 2000]

Let  $\mathcal{B}$  and  $\mathcal{B}'$  be bases for the topologies  $\mathcal{T}$  and  $\mathcal{T}'$ , respectively, on  $X$ . Then the following are equivalent:

1.  $\mathcal{T}'$  is **finer** than  $\mathcal{T}$ .
2. For each  $x \in X$  and each basis element  $B \in \mathcal{B}$  containing  $x$ , there is a basis element  $B' \in \mathcal{B}'$  such that  $x \in B' \subset B$ .

- **Remark** The **basis element** of **finer** topology are always **smaller** than the basis element of coarser topology so the finer basis element should be included in coarser basis element.

- **Example (Topology in  $\mathbb{R}$ )**

If  $\mathcal{B}$  is the collection of all **open intervals** in the real line,

$$(a, b) = \{x : a < x < b\},$$

the topology generated by  $\mathcal{B}$  is called **the standard topology** on the real line. Whenever we consider  $\mathbb{R}$ , we shall suppose it is given this topology unless we specifically state otherwise.

If  $\mathcal{B}'$  is the collection of all **half-open** intervals of the form

$$[a, b) = \{x : a \leq x < b\},$$

where  $a < b$ , the topology generated by  $\mathcal{B}'$  is called **the lower limit topology on  $\mathbb{R}$** . When  $\mathbb{R}$  is given the lower limit topology, we denote it by  $\mathbb{R}_\ell$ .

Finally let  $K$  denote the set of all numbers of the form  $1/n$ , for  $n \in \mathbb{Z}_+$ , and let  $\mathcal{B}''$  be the collection of all open intervals  $(a, b)$ , along with all sets of the form  $(a, b) \setminus K$ . The topology generated by  $\mathcal{B}''$  will be called **the  $K$ -topology on  $\mathbb{R}$** . When  $\mathbb{R}$  is given this topology, we denote  $\mathbb{R}_K$ .

**Lemma 2.4** The topologies of  $\mathbb{R}_\ell$  and  $\mathbb{R}_K$  are **strictly finer** than the standard topology on  $\mathbb{R}$ , but are not comparable with one another.

- **Definition (Subbasis)**

A **subbasis**  $\mathcal{S}$  for a topology on  $X$  is a collection of subsets of  $X$  whose union equals  $X$ . The topology generated by the subbasis  $\mathcal{S}$  is defined to be the collection  $\mathcal{T}$  of **all unions of finite intersections** of elements of  $\mathcal{S}$ .

- **Remark (Basis from Subbasis)**

For a subbasis  $\mathcal{S}$ , the collection  $\mathcal{B}$  of **all finite intersections** of elements of  $\mathcal{S}$  is a **basis**,

- **Remark Topology** of a set  $X$  defines **all local information** we know regarding a set. For each point  $x \in X$ , it specifies what do we mean by a “**neighborhood**”  $U$  of  $x$ . Thus

properties that relies on the **local characteristic** of the space likely depend on the topology of the space. Examples include *the continuity* of function, *the convergence properties* of sequence and *differential properties* of function.

## 2.2 The Order Topology

- **Example (*Order Topology*)**

If  $X$  is a **simply ordered set**, there is a *standard topology* for  $X$ , defined using the order relation. It is called **the order topology**. The order topology is generated by *intervals*.

- **Definition (*Intervals based on Simple Order Relation*)**

Suppose that  $X$  is a set having a *simple order relation*  $<$ . Given elements  $a$  and  $b$  of  $X$  such that  $a < b$ , there are *four subsets* of  $X$  that are called ***the intervals*** determined by  $a$  and  $b$ . They are the following :

$$\begin{aligned}(a, b) &= \{x : a < x < b\}, \\(a, b] &= \{x : a < x \leq b\}, \\[a, b) &= \{x : a \leq x < b\}, \\[a, b] &= \{x : a \leq x \leq b\}.\end{aligned}$$

A set of the *first* type is called **an open interval** in  $X$ , a set of the *last* type is called **a closed interval** in  $X$ , and sets of *the second and third* types are called **half-open intervals**.

- **Definition** Let  $X$  be a set with a ***simple order relation***; assume  $X$  has more than one element. Let  $\mathcal{B}$  be the collection of all sets of the following types:

1. ***All open intervals***  $(a, b)$  in  $X$ .
2. ***All intervals of the form***  $[a_0, b)$ , where  $a_0$  is the ***smallest element*** (if any) of  $X$ .
3. ***All intervals of the form***  $(a, b_0]$ , where  $b_0$  is the ***largest element*** (if any) of  $X$ .

The collection  $\mathcal{B}$  is a basis for a topology on  $X$ , which is called **the order topology**.

- **Definition (*Rays*)**

If  $X$  is an ordered set, and  $a$  is an element of  $X$ , there are four subsets of  $X$  that are called **the rays** determined by  $a$ . They are the following:

$$\begin{aligned}(a, +\infty) &= \{x : x > a\}, \\(-\infty, a) &= \{x : x < a\}, \\[a, +\infty) &= \{x : x \geq a\}, \\(-\infty, a] &= \{x : x \leq a\}.\end{aligned}$$

Sets of the first two types are called ***open rays***, and sets of the last two types are called ***closed rays***.

- **Remark** The ***open rays*** in  $X$  are *open sets* in ***the order topology***. In fact, ***the open rays form a subbasis for the order topology on  $X$ .***

## 2.3 The Product Topology

- We now generalize to topology of *arbitrary Cartesian products*.

**Definition (*J*-tuples)**

Let  $J$  be an index set. Given a set  $X$ , we define a *J-tuple of elements* of  $X$  to be a function  $x : J \rightarrow X$ . If  $\alpha$  is an element of  $J$ , we often denote ***the value of  $X$  at  $\alpha$***  by  $X_\alpha$  rather than  $x(\alpha)$ ; we call it *the  $\alpha$ -th coordinate* of  $x$ . And we often *denote the function  $x$  itself* by the symbol

$$(x_\alpha)_{\alpha \in J}$$

which is as close as we can come to a “*tuple notation*” for an arbitrary index set  $J$ . We denote ***the set of all  $J$ -tuples*** of elements of  $X$  by  $X^J$ .

- **Remark** Compare with the  $m$ -tuple  $(x_n)_{n=1}^m$  and  $\omega$ -tuple  $(x_n)_{n \in \mathbb{Z}_+}$ , the  $J$ -tuple  $(x_\alpha)_{\alpha \in J}$  is not necessarily countable.

- **Definition (*Arbitrary Cartesian Products*)**

Let  $\{A_\alpha\}_{\alpha \in J}$  be an *indexed* family of sets; let  $X = \bigcup_{\alpha \in J} A_\alpha$ . The ***cartesian product of this indexed family***, denoted by

$$\prod_{\alpha \in J} A_\alpha$$

is defined to be the set of all  $J$ -tuples  $(x_\alpha)_{\alpha \in J}$  of elements of  $X$  such that  $x_\alpha \in A_\alpha$  for each  $\alpha \in J$ . That is, it is the set of all functions

$$x : J \rightarrow \bigcup_{\alpha \in J} A_\alpha$$

such that  $x(\alpha) \in A_\alpha$  for each  $\alpha \in J$ .

- **Remark** The existence of just construction is due to *the Axioms of Choice* since  $J$  is an arbitrary set.
- **Remark** If  $A_\alpha = X$  for all  $\alpha \in J$ , then we use the notation  $X^J$  to represent the cartesian product  $\prod_{\alpha \in J} X$

- **Definition (*Box Topology*)**

Let  $\{X_\alpha\}_{\alpha \in J}$  be an indexed family of ***topological spaces***. Let us take as a ***basis*** for a topology on the product space

$$\prod_{\alpha \in J} X_\alpha$$

the collection of all sets of the form

$$\prod_{\alpha \in J} U_\alpha$$

where  $U_\alpha$  is ***open*** in  $X_\alpha$ , for each  $\alpha \in J$ . The topology generated by this basis is called ***the box topology***.

- **Definition (*Projection Mapping or Coordinate Projection*)**

Let

$$\pi_\beta : \prod_{\alpha \in J} X_\alpha \rightarrow X_\beta$$

be the function assigning to each element of the product space its  $\beta$ -th coordinate,

$$\pi_\beta((x_\alpha)_{\alpha \in J}) = x_\beta;$$

it is called **the projection mapping** associated with the index  $\beta$ .

- **Definition (*Product Topology*)**

Let  $\mathcal{S}_\beta$  denote the collection

$$\mathcal{S}_\beta = \left\{ \pi_\beta^{-1}(U_\beta) : U_\beta \text{ open in } X_\beta \right\},$$

and let  $\mathcal{S}$  denote the union of these collections,

$$\mathcal{S} = \bigcup_{\beta \in J} \mathcal{S}_\beta.$$

The topology generated by the **subbasis**  $\mathcal{S}$  is called **the product topology**. In this topology  $\prod_{\alpha \in J} X_\alpha$  is called **a product space**.

- **Remark (*Product Topology = Weak Topology by Coordinate Projections*)**

The product topology on  $\prod_{\alpha \in J} X_\alpha$  is **the weak topology** generated by a family of projection mappings  $(\pi_\beta)_{\beta \in J}$ . It is **the coarsest (weakest) topology** such that  $(\pi_\beta)_{\beta \in J}$  are **continuous**.

**A typical element of the basis** from the product topology is **the finite intersection** of subbasis where the index is different:

$$\pi_{\beta_1}^{-1}(V_{\beta_1}) \cap \dots \cap \pi_{\beta_n}^{-1}(V_{\beta_n})$$

Thus a **neighborhood** of  $x$  in **the product topology** is

$$N(x) = \{(x_\alpha)_{\alpha \in J} : x_{\beta_1} \in V_{\beta_1}, \dots, x_{\beta_n} \in V_{\beta_n}\}$$

where there is **no restriction** for  $\alpha \in \{\beta_1, \dots, \beta_n\}$ .

Note that for **the box topology**, a neighborhood of  $x$  is

$$N_b(x) = \{(x_\alpha)_{\alpha \in J} : x_\alpha \in U_\alpha, \forall \alpha \in J\} \subset N(x)$$

Thus **the box topology** is **finer** than **the product topology**. Moreover, for **finite product**  $\prod_{\alpha=1}^n X_\alpha$ , the box topology and the product topology is the **same**.

- **Proposition 2.5 (*Comparison of the Box and Product Topologies*)**. [Munkres, 2000]

The box topology on  $\prod_{\alpha \in J} X_\alpha$  has as basis all sets of the form  $\prod_{\alpha \in J} U_\alpha$ , where  $U_\alpha$  is **open** in  $X_\alpha$  **for each**  $\alpha$ . The product topology on  $\prod_{\alpha \in J} X_\alpha$  has as basis all sets of the form  $\prod_{\alpha \in J} U_\alpha$ , where  $U_\alpha$  is **open** in  $X_\alpha$  for each  $\alpha$  and  $U_\alpha$  **equals**  $X_\alpha$  **except for finitely many values** of  $\alpha$ .

- **Remark** Whenever we consider the product  $\prod_{\alpha \in J} X_\alpha$ , we shall **assume** it is given **the product topology** unless we specifically state otherwise.

- **Proposition 2.6** (*Basis for Box and Product Topology*)

Suppose the topology on each space  $X_\alpha$  is given by a basis  $\mathcal{B}_\alpha$ . The collection of all sets of the form

$$\prod_{\alpha} B_{\alpha}$$

where  $B_{\alpha} \in \mathcal{B}_{\alpha}$  for **each**  $\alpha$ , will serve as a **basis for the box topology** on  $\prod_{\alpha \in J} X_{\alpha}$ .

The collection of all sets of the same form, where  $B_{\alpha} \in \mathcal{B}_{\alpha}$  for **finitely many indices**  $\alpha$  and  $B_{\alpha} = X_{\alpha}$  for all the remaining indices, will serve as a **basis for the product topology**  $\prod_{\alpha \in J} X_{\alpha}$ .

- **Proposition 2.7** Let  $A_{\alpha}$  be a **subspace** of  $X_{\alpha}$ , for each  $\alpha \in J$ . Then  $\prod_{\alpha} A_{\alpha}$  is a **subspace** of  $\prod_{\alpha} X_{\alpha}$  if both products are given the box topology, or if both products are given the product topology.
- **Proposition 2.8** If each space  $X_{\alpha}$  is a **Hausdorff space**, then  $\prod_{\alpha} X_{\alpha}$  is a **Hausdorff space** in both the box and product topologies.
- **Proposition 2.9** Let  $(X_{\alpha})$  be an indexed family of spaces; let  $A_{\alpha} \subset X_{\alpha}$  for each  $\alpha$ . If  $\prod_{\alpha} X_{\alpha}$  is given either the product or the box topology, then

$$\prod_{\alpha} \bar{A}_{\alpha} = \overline{\prod_{\alpha} A_{\alpha}}$$

- **Proposition 2.10** (*Maps into Arbitrary Products*). [Munkres, 2000]  
Let  $f : A \rightarrow \prod_{\alpha} X_{\alpha}$  is given by the equation

$$f(x) = (f_{\alpha}(x))_{\alpha \in J}$$

where  $f_{\alpha} : A \rightarrow X_{\alpha}$  for each  $\alpha$ . Let  $\prod_{\alpha} X_{\alpha}$  be the **product topology**. Then the function  $f$  is **continuous** if and only if each function  $f_{\alpha}$  is **continuous**.

- **Remark** The above proposition does **not hold for the box topology**. See example in [Munkres, 2000].

## 2.4 The Subspace Topology

- **Definition** If  $(X, \mathcal{T})$  is a topological space and  $S \subseteq X$  is an arbitrary subset, we define **the subspace topology** on  $S$  (sometimes called **the relative topology**) as

$$\mathcal{T}_S = \{S \cap U : U \in \mathcal{T}\}$$

That is, a subset  $U \subseteq S$  to be *open* in  $S$  if and only if there exists an *open* subset  $V \subseteq X$  such that  $U = V \cap S$ . Any subset of  $X$  endowed with *the subspace topology* is said to be **a subspace of  $X$** .

- **Lemma 2.11** (*Basis of Subspace Topology*)  
If  $\mathcal{B}$  is a basis for the topology of  $X$  then the collection

$$\mathcal{B}_S = \{B \cap S : B \in \mathcal{B}\}$$

is a **basis** for the subspace topology on  $S \subset X$ .



- **Remark (*Relative Openness*)**

When dealing with a space  $X$  and a *subspace*  $Y$ , one needs to be careful when one uses the term “open set”. Does one mean *an element of the topology of  $Y$*  or *an element of the topology of  $X$* ? We make the following definition: If  $Y$  is a subspace of  $X$ , we say that **a set  $U$  is open in  $Y$**  (or *open relative to  $Y$* ) if it belongs to the topology of  $Y$ ; this implies in particular that it is a subset of  $Y$ . We say that  **$U$  is open in  $X$**  if it belongs to the topology of  $X$ .

- **Lemma 2.12 (*Open Subspace*)**

*Let  $Y$  be a subspace of  $X$ . If  $U$  is open in  $Y$  and  $Y$  is open in  $X$ , then  $U$  is open in  $X$ .*

- **Proposition 2.13 (*Product of Subspace = Subspace of Product*)** [Munkres, 2000]

*If  $A$  is a subspace of  $X$  and  $B$  is a subspace of  $Y$ , then **the product topology** on  $A \times B$  is the same as the topology  $A \times B$  inherits as a **subspace** of  $X \times Y$ .*

- **Remark (*Subspace Topology  $\neq$  Order Topology on Subspace*)**

Now let  $X$  be an ordered set in *the order topology*, and let  $Y$  be a subset of  $X$ . The order relation on  $X$ , when restricted to  $Y$ , makes  $Y$  into an ordered set. *However, the resulting order topology on  $Y$  need not be the same as the topology that  $Y$  inherits as a subspace of  $X$ .*

Let  $I = [0, 1]$ . The *dictionary order* on  $I \times I$  is just *the restriction to  $I \times I$  of the dictionary order on the plane  $\mathbb{R} \times \mathbb{R}$* . However, **the dictionary order topology on  $I \times I$  is not the same as the subspace topology on  $I \times I$  obtained from the dictionary order topology on  $\mathbb{R} \times \mathbb{R}$ .**

The set  $I \times I$  in *the dictionary order topology* will be called **the ordered square**, and denoted by  $I_o^2$ .

- **Definition** Given an ordered set  $X$ , let us say that a subset  $Y$  of  $X$  is **convex** in  $X$  if for each pair of points  $a < b$  of  $Y$ , **the entire interval  $(a, b)$  of points of  $X$  lies in  $Y$** . Note that *intervals and rays in  $X$  are convex in  $X$* .

- **Proposition 2.14 (*Convex Subspace Preserve Order Topology*)**[Munkres, 2000]

*Let  $X$  be an ordered set in the order topology; let  $Y$  be a subset of  $X$  that is **convex** in  $X$ . Then **the order topology on  $Y$**  is the same as the topology  $Y$  inherits as a **subspace** of  $X$ .*

## 2.5 Closure of Set and Limit Point

- **Definition** A subset  $A$  of a topological space  $X$  is said to be **closed** if the set  $X \setminus A$  is *open*.

- **Proposition 2.15** *Let  $X$  be a topological space. Then the following conditions hold:*

1.  $\emptyset$  and  $X$  are **closed**.
2. **Arbitrary intersections of closed sets are closed.**
3. **Finite unions of closed sets are closed.**

- **Remark** When dealing with **subspaces**, one needs to be careful in using the term “**closed set**.” If  $Y$  is a subspace of  $X$ , we say that a set  $A$  is **closed in  $Y$**  if  $A$  is a subset of  $Y$  and if  $A$  is **closed** in **the subspace topology** of  $Y$  (that is, if  $Y \setminus A$  is *open* in  $Y$ ).

**Proposition 2.16** (*Closed Set in Subspace Topology*)

Let  $Y$  be a subspace of  $X$ . Then a set  $A$  is closed in  $Y$  if and only if it equals the intersection of a closed set of  $X$  with  $Y$ .

- **Remark** A set  $A$  that is **closed in** the subspace  $Y$  may or may **not be closed in** the larger space  $X$ .

**Proposition 2.17** Let  $Y$  be a subspace of  $X$ . If  $A$  is closed in  $Y$  and  $Y$  is closed in  $X$ , then  $A$  is closed in  $X$ .

- **Definition** Given a subset  $A$  of a topological space  $X$ , the interior of  $A$  is defined as the union of all open sets **contained** in  $A$ , and the closure of  $A$  is defined as the intersection of all closed sets **containing**  $A$ .

The interior of  $A$  is denoted by  $\text{Int } A$  or by  $\overset{\circ}{A}$  and the closure of  $A$  is denoted by  $\text{Cl } A$  or by  $\bar{A}$ . Obviously  $\overset{\circ}{A}$  is an open set and  $\bar{A}$  is a closed set; furthermore,

$$\overset{\circ}{A} \subseteq A \subseteq \bar{A}.$$

If  $A$  is **open**,  $A = \overset{\circ}{A}$ ; while if  $A$  is **closed**,  $A = \bar{A}$ .

- **Proposition 2.18** (*Closure in Subspace Topology*)  
Let  $Y$  be a subspace of  $X$ ; let  $A$  be a subset of  $Y$ ; let  $\bar{A}$  denote the closure of  $A$  in  $X$ . Then the closure of  $A$  in  $Y$  equals  $\bar{A} \cap Y$ .

- **Proposition 2.19** (*Characterization of Closure in terms of Basis*) [Munkres, 2000]  
Let  $A$  be a subset of the topological space  $X$ .

1. Then  $x \in \bar{A}$  if and only if every **open** set  $U$  **containing**  $x$  **intersects**  $A$ .
2. Supposing the topology of  $X$  is given by a **basis**, then  $x \in \bar{A}$  if and only if every basis element  $B$  **containing**  $x$  **intersects**  $A$ .

- **Remark** We can say “ $U$  is a **neighborhood** of  $x$ ” if “ $U$  is an open set containing  $x$ ”.

- **Definition** (*Limit Point*)

If  $A$  is a subset of the topological space  $X$  and if  $x$  is a point of  $X$ , we say that  $x$  is a limit point (or “**cluster point**,” or “**point of accumulation**”) of  $A$  if **every neighborhood of  $x$  intersects  $A$  in some point other than  $x$  itself**.

Said differently,  $x$  is a **limit point** of  $A$  if it belongs to **the closure of  $A \setminus \{x\}$** . The point  $x$  may lie in  $A$  or not; for this definition it does not matter.

- **Theorem 2.20** (*Decomposition of Closure*)

Let  $A$  be a subset of the topological space  $X$ ; let  $A'$  be the set of **all limit points** of  $A$ . Then

$$\bar{A} = A \cup A'.$$

- **Corollary 2.21** A subset of a topological space is **closed** if and only if it contains all its **limit points**.

- **Definition** A topological space is called **Hausdorff** (or  $T_2$ ) if and only if for all  $x$  and  $y$ ,  $x \neq y$ , there are **open sets**  $U, V$  such that  $x \in U$ ,  $y \in V$ , and  $U \cap V = \emptyset$ .

- **Proposition 2.22** Every **finite point set** in a **Hausdorff** space  $X$  is **closed**.

- **Proposition 2.23** (*Limit Point in  $T_1$  Axiom*). [Munkres, 2000]  
Let  $X$  be a space satisfying the  $T_1$  axiom; let  $A$  be a subset of  $X$ . Then the point  $x$  is a **limit point** of  $A$  if and only if every **neighborhood** of  $x$  contains **infinitely many points** of  $A$ .
- **Proposition 2.24** (*Limit Point is Unique in Hausdorff Space*). [Munkres, 2000]  
If  $X$  is a **Hausdorff space**, then a sequence of points of  $X$  **converges to at most one point** of  $X$ .

## 2.6 Continuous Function

### 2.6.1 Definitions

- **Definition** A map  $F : X \rightarrow Y$  is said to be **continuous** if for every open subset  $U \subseteq Y$ , the **preimage**  $F^{-1}(U)$  is **open** in  $X$ .
- **Remark** *Continuity of a function* depends *not only upon the function  $f$  itself*, but also *on the topologies specified for its domain and range*. If we wish to emphasize this fact, we can say that  $f$  is **continuous relative to specific topologies on  $X$  and  $Y$** .
- **Remark** (*Prove Continuity via Basis*)  
If the topology of *the range space*  $Y$  is given by a **basis**  $\mathcal{B}$ , then to prove **continuity of  $f$**  it suffices to show that *the inverse image of every basis element is open*: The arbitrary open set  $V$  of  $Y$  can be written as *a union of basis elements*

$$\begin{aligned} V &= \bigcup_{\alpha \in J} B_\alpha \\ \Rightarrow f^{-1}(V) &= \bigcup_{\alpha \in J} f^{-1}(B_\alpha) \end{aligned}$$

- **Remark** (*Prove Continuity via Subbasis*)  
If the topology on  $Y$  is given by a **subbasis**  $\mathcal{S}$ , to prove continuity of  $f$  it will even suffice to show that *the inverse image of each subbasis element is open*: The arbitrary basis element  $B$  for  $Y$  can be written as *a finite intersection*  $S_1 \cap \dots \cap S_n$  of subbasis elements; it follows from the equation

$$f^{-1}(B) = f^{-1}(S_1) \cap \dots \cap f^{-1}(S_n)$$

that the inverse image of every basis element is *open*.

- **Example** ( *$\mathcal{F}$ -Weak Topology using Continuity Only*)  
One can **define a topology just** based on *the notion of continuity* from a family of functions. Let  $\mathcal{F}$  be a family of functions from a set  $S$  to a topological space  $(X, \mathcal{T})$ . The  **$\mathcal{F}$ -weak** (or simply **weak**) **topology** on  $S$  is the **coarest topology** for which *all the functions  $f \in \mathcal{F}$  are continuous*.

The  **$\mathcal{F}$ -weak topology**  $\mathcal{T}$  is generated by **subbasis**  $\mathcal{S}$  of the preimage sets  $S = f^{-1}(U)$  where  $f \in \mathcal{F}$  and  $U \in \mathcal{T}$ . And the basis of  $\mathcal{T}$  is *the collection of all finite intersections of preimages  $f^{-1}(U)$  for  $f \in \mathcal{F}$  and  $U \in \mathcal{T}$* .

- **Proposition 2.25** (*Equivalent Definition of Continuity*) [Munkres, 2000]  
Let  $X$  and  $Y$  be topological spaces; let  $f : X \rightarrow Y$ . Then the following are equivalent:

1.  $f$  is **continuous**.
2. For every subset  $A$  of  $X$ , one has  $f(\bar{A}) \subseteq \overline{f(A)}$ .
3. For every **closed** set  $B$  of  $Y$ , the set  $f^{-1}(B)$  is **closed** in  $X$ .
4. For **each**  $x \in X$  and each **neighborhood**  $V$  of  $f(x)$ , there is a **neighborhood**  $U$  of  $x$  such that  $f(U) \subseteq V$ .

If the condition in (4) holds for the point  $x$  of  $X$ , we say that  $f$  is continuous at the point  $x$ .

### 2.6.2 Homomorphism

- **Definition (*Homomorphism*)**

A **continuous bijective** map  $f : X \rightarrow Y$  with **continuous inverse**

$$f^{-1} : Y \rightarrow X$$

is called a **homeomorphism**. If there exists a *homeomorphism* from  $X$  to  $Y$ , we say that  $X$  and  $Y$  are **homeomorphic**.

- **Remark (*Homomorphism is Topological Equivalence (Isomorphism)*)**

A **homeomorphism**  $f : X \rightarrow Y$  gives us a *bijective correspondence* not only between  $X$  and  $Y$  but between the collections of open sets of  $X$  and of  $Y$ . As a result, any **property** of  $X$  that is **entirely expressed in terms of the topology** of  $X$  (that is, in terms of the open sets of  $X$ ) **yields**, via the correspondence  $f$ , the **corresponding property** for the space  $Y$ .

Such a *property* of  $X$  is called a **topological property** of  $X$ . A *homomorphism* is an **isomorphism** between topological space, i.e. it **preserves the topological structure** during the transformation.

- **Definition (*Topological Embedding*)**

If  $X$  and  $Y$  are topological spaces, a **continuous injective** map  $f : X \rightarrow Y$  is called a **topological embedding** if it is a **homeomorphism** onto its image  $f(X) \subseteq Y$  in the *subspace topology* (i.e.  $f^{-1}|_{f(X)} : f(X) \rightarrow X$  is continuous in  $Y$ ).

- **Remark (*Smooth Embedding*)**

If  $X$  and  $Y$  are smooth manifolds, a **smooth embedding**  $f : X \rightarrow Y$  when it is a **topological embedding**, and it is *smooth map* with *injective differential*  $df_x$  for all  $x \in X$  (called a **smooth immersion**).

### 2.6.3 Constructing Continuous Functions

- **Proposition 2.26 (*Rules for Constructing Continuous Functions*)**. [Munkres, 2000]  
Let  $X$ ,  $Y$ , and  $Z$  be topological spaces.

1. (**Constant Function**) If  $f : X \rightarrow Y$  maps all of  $X$  into the **single point**  $y_0$  of  $Y$ , then  $f$  is **continuous**.
2. (**Inclusion**) If  $A$  is a subspace of  $X$ , the **inclusion function**  $\iota : A \xrightarrow{X}$  is **continuous**.

3. (**Composites**) If  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are continuous, then the map  $g \circ f : X \rightarrow Z$  is continuous
4. (**Restricting the Domain**) If  $f : X \rightarrow Y$  is **continuous**, and if  $A$  is a subspace of  $X$ , then **the restricted function**  $f|_A : A \rightarrow Y$  is continuous.
5. (**Restricting or Expanding the Range**) Let  $f : X \rightarrow Y$  be **continuous**. If  $Z$  is a **subspace** of  $Y$  containing the **image** set  $f(X)$ , then the function  $g : X \rightarrow Z$  obtained by **restricting the range** of  $f$  is **continuous**. If  $Z$  is a space having  $Y$  as a **subspace**, then the function  $h : X \rightarrow Z$  obtained by **expanding the range** of  $f$  is **continuous**.
6. (**Local Formulation of Continuity**) The map  $f : X \rightarrow Y$  is **continuous** if  $X$  can be written as the **union of open sets**  $U_\alpha$  such that  $f|_{U_\alpha}$  is **continuous** for each  $\alpha$ .

- **Theorem 2.27 (The Pasting Lemma / Gluing Lemma).** [Munkres, 2000]  
Let  $X = A \cup B$ , where  $A$  and  $B$  are **closed** in  $X$ . Let  $f : A \rightarrow Y$  and  $g : B \rightarrow Y$  be **continuous**. If  $f(x) = g(x)$  for **every**  $x \in A \cap B$ , then  $f$  and  $g$  combine to give a **continuous function**  $h : X \rightarrow Y$ , defined by setting  $h|_A = f$ , and  $h|_B = g$ .
- **Remark** The set  $A$  and  $B$  can be open sets, and the gluing lemma comes “**Local Formulation of Continuity**”.
- **Remark** Notice the condition for *the gluing lemma*:
  1. The domain  $X$  is a union of two **closed sets (or open sets)**  $A$  and  $B$
  2. The two functions  $f$  and  $g$  are **continuous** each of closed domain sets, respectively
  3.  $f$  and  $g$  **agree on the intersection** of two sets  $A \cap B$ .
- **Theorem 2.28 (Maps into Products).** [Munkres, 2000]  
Let  $f : A \rightarrow X \times Y$  be given by the equation

$$f(a) = (f_1(a), f_2(a)).$$

Then  $f$  is **continuous** if and only if the functions

$$f_1 : A \rightarrow X \quad \text{and} \quad f_2 : A \rightarrow Y$$

are **continuous**. The maps  $f_1$  and  $f_2$  are called **the coordinate functions** of  $f$ .

- **Remark** There is no useful criterion for the *continuity* of a map  $f : A \times B \rightarrow X$  whose **domain is a product space**. One might conjecture that  $f$  is continuous if it is continuous “in each variable separately,” but **this conjecture is not true**.

## 2.7 Metric Topology

### 2.7.1 Metric Topology and Metrizability

- **Definition (Metric Space)**  
A **metric space** is a set  $M$  and a real-valued function  $d(\cdot, \cdot) : M \times M \rightarrow \mathbb{R}$  which satisfies:
  1. (**Non-Negativity**)  $d(x, y) \geq 0$

2. (**Definiteness**)  $d(x, y) = 0$  if and only if  $x = y$
3. (**Symmetric**)  $d(x, y) = d(y, x)$
4. (**Triangle Inequality**)  $d(x, z) \leq d(x, y) + d(y, z)$

The function  $d$  is called a **metric** on  $M$ . The metric space  $M$  equipped with metric  $d$  is denoted as  $(M, d)$ .

- **Definition ( $\epsilon$ -Ball)**

Given a metric  $d$  on  $X$ , the number  $d(x, y)$  is often called *the distance between  $x$  and  $y$  in the metric  $d$* . Given  $\epsilon > 0$ , consider the set

$$B_d(x, \epsilon) = \{y : d(x, y) < \epsilon\}$$

of all points  $y$  whose distance from  $x$  is less than  $\epsilon$ . It is called **the  $\epsilon$ -ball centered at  $x$** . Sometimes we omit the metric  $d$  from the notation and write this ball simply as  $B(x, \epsilon)$ , when no confusion will arise.

- **Definition (Metric Topology)**

If  $d$  is a metric on the set  $X$ , then *the collection of all  $\epsilon$ -balls  $B_d(x, \epsilon)$ , for  $x \in X$  and  $\epsilon > 0$* , is a **basis** for a topology on  $X$ , called **the metric topology induced by  $d$** .

- **Remark (The Triangle Inequality is Necessary for Basis)**

The triangle inequality condition is a necessary condition for the  $\epsilon$ -balls to form a *basis*. It guarantees that for any  $y \in B(x, \epsilon)$ , there exists a neighborhood of  $y$ ,  $B(y, \delta)$  such that  $B(y, \delta) \subset B(x, \epsilon)$ .

**Definition (Open Set in Metric Topology)**

A set  $U$  is **open** in the metric topology induced by  $d$  if and only if for each  $y \in U$ , there is a  $\delta > 0$  such that  $B_d(y, \delta) \subset U$ .

- **Remark (Metric Topology is Quantitative)**

A *metric* provides a measurement on the **closeness** between two points. *The metric topology* generated by open balls thus provides a **quantitative description of the neighborhood** and it answers the question “*how close the neighborhood of  $x$  is ?*” On the other hand, *the general topology* answer this question using **qualitative description** via **comparison** with other neighborhoods via the *inclusion* operation  $\subset$ . Note that inclusion  $\subset$  is **partially ordered**, while the metric maps onto the real line where  $<$  is **simply ordered**.

*The study of topology* is to acknowledge that *in many areas of research, there might not exist a properly defined metric in the set of interest*. On the other hand, *the study of analysis* mainly focus on *the space equipped with metric topology*.

- **Definition (Metrizability)**

If  $X$  is a topological space,  $X$  is said to be **metrizable** if *there exists a metric  $d$  on the set  $X$  that induces the topology of  $X$* . **A metric space** is a metrizable space  $X$  together with a specific metric  $d$  that *gives the topology of  $X$* .

- **Remark (Metrizability as Inverse Problem)**

Given a metric  $d$  on  $X$ , we can generate a metric topology using  $\epsilon$ -balls as basis. Conversely, given a topology  $\mathcal{T}$  on  $X$ , is  $\mathcal{T}$  a metric topology for some unknown metric  $d$  ?

This is the question that **the metrization theory** is trying to answer.

- **Remark (*Metrizability is Valuable*)**

Many of the spaces important for mathematics are metrizable, but some are not. ***Metrizability*** is always a highly desirable attribute for a space to possess, for the existence of a *metric* gives one a *valuable tool* for *proving theorems* about the space.

- **Definition** Let  $X$  be a metric space with metric  $d$ . A subset  $A$  of  $X$  is said to be ***bounded*** if there is some number  $M$  such that

$$d(a_1, a_2) \leq M$$

for every pair  $a_1, a_2$  of points of  $A$ . If  $A$  is bounded and nonempty, the ***diameter*** of  $A$  is defined to be the number

$$\text{diam } A = \sup \{d(a_1, a_2) : \forall a_1, a_2 \in A\}.$$

- **Remark** The boundedness property depends on specific metric topology, thus it is not a topological property.

For instance, the following metric guarantee that every open set is bounded.

**Definition (*Standard Bounded Metric*)**

Let  $X$  be a metric space with metric  $d$ . Define  $\bar{d} : X \times X \rightarrow \mathbb{R}$  by the equation

$$\bar{d}(x, y) = \min\{d(x, y), 1\}.$$

Then  $\bar{d}$  is a *metric* that induces ***the same topology*** as  $d$ .

The metric  $\bar{d}$  is called ***the standard bounded metric*** corresponding to  $d$ .

- **Definition (*Euclidean Metric and Square Metric*)**

Given  $x = (x_1, \dots, x_n)$  in  $\mathbb{R}^n$ , we define the ***norm*** of  $x$  by the equation

$$\|x\|_2 = (x_1^2 + \dots + x_n^2)^{1/2};$$

and we define ***the euclidean metric***  $d$  on  $\mathbb{R}^n$  by the equation

$$d(x, y) = \|x - y\|_2 = ((x_1 - y_1)^2 + \dots + (x_n - y_n)^2)^{1/2}.$$

We define ***the square metric***  $\rho$  by the equation

$$\rho(x, y) = \max\{|x_1 - y_1|, \dots, |x_n - y_n|\}.$$

- **Lemma 2.29** Let  $d$  and  $d'$  be two metrics on the set  $X$ ; let  $\mathcal{T}$  and  $\mathcal{T}'$  be the topologies they induce, respectively. Then  $\mathcal{T}'$  is ***finer*** than  $\mathcal{T}$  if and only if for each  $x$  in  $X$  and each  $\epsilon > 0$ , there exists a  $\delta > 0$  such that

$$B_{d'}(x, \delta) \subseteq B_d(x, \epsilon).$$

- **Proposition 2.30 (*Product Topology = Euclidean Metric Topology in  $\mathbb{R}^n$* )**

The topologies on  $\mathbb{R}^n$  induced by ***the euclidean metric***  $d$  and ***the square metric***  $\rho$  are the ***same*** as the ***product topology*** on  $\mathbb{R}^n$ .

- **Remark** (*Finite Dimensional Vector Space has Only One Meaningful Topology*)  
In *finite dimensional* vector space, *all norms are equivalent*, and *all norm-induced metric topologies* are the same. For infinite dimensional space, these topologies are different.

- **Definition** (*Uniform Metric on Infinite Dimensional Space*)

Given an index set  $J$ , and given points  $x = (x_\alpha)_{\alpha \in J}$  and  $y = (y_\alpha)_{\alpha \in J}$  of  $\mathbb{R}^J$ , let us define a metric  $\bar{\rho}$  on  $\mathbb{R}^J$  by the equation

$$\bar{\rho}(x, y) = \sup \{ \bar{d}(x_\alpha, y_\alpha) : \alpha \in J \},$$

where  $\bar{d}$  is *the standard bounded metric* on  $\mathbb{R}$ . It is easy to check that  $\bar{\rho}$  is indeed a metric; it is called *the uniform metric* on  $\mathbb{R}^J$ , and the topology it induces is called *the uniform topology*.

- **Proposition 2.31** *The uniform topology on  $\mathbb{R}^J$  is finer than the product topology and coarser than the box topology; these three topologies are all different if  $J$  is infinite.*

$$\mathcal{T}_{\text{product}} \subset \mathcal{T}_{\text{uniform}} \subset \mathcal{T}_{\text{box}}$$

- **Theorem 2.32** (*Countable Product Space with Product Topology is Metrizable*).  
[Munkres, 2000]  
Let  $\bar{d}(a, b) = \min \{ |a - b|, 1 \}$  be the *standard bounded metric* on  $\mathbb{R}$ . If  $x$  and  $y$  are two points of  $W^\omega$ , define

$$D(x, y) = \sup \left\{ \frac{\bar{d}(x_i, y_i)}{i} \right\}$$

Then  $D$  is a metric that induces *the product topology* on  $\mathbb{R}^\omega$ .

## 2.7.2 Constructing Continuous Functions on Metric Space

- The followings are some important facts about the metric topology:
  1. **Proposition 2.33** *Every metric space  $(X, d)$  is Hausdorff.*
  2. **Proposition 2.34** *Every subspace of metric space  $(X, d)$  is a metric space. That is, if  $A$  is a subspace of the topological space  $X$  and  $d$  is a metric for  $X$ , then the restriction of  $d$  on  $A \times A$  is a metric for the topology of  $A$ .*
- **Theorem 2.35** ( *$\epsilon$ - $\delta$  Definition of Continuous Function in Metric Space*). [Munkres, 2000]  
Let  $f : X \rightarrow Y$ ; let  $X$  and  $Y$  be *metrizable* with metrics  $d_x$  and  $d_y$ , respectively. Then *continuity* of  $f$  is *equivalent* to the requirement that given  $x \in X$  and given  $\epsilon > 0$ , there exists  $\delta > 0$  such that

$$d_x(x, y) < \delta \Rightarrow d_y(f(x), f(y)) < \epsilon.$$

- **Remark** To use  $\epsilon$ - $\delta$  definition, both *domain* and *codomain* need to be *metrizable*.
- **Lemma 2.36** (*The Sequence Lemma*). [Munkres, 2000]  
Let  $X$  be a topological space; let  $A \subseteq X$ . If there is a sequence of points of  $A$  *converging* to  $x$ , then  $x \in \bar{A}$ ; the *converse* holds if  $X$  is *metrizable*.



- **Proposition 2.37** *Let  $f : X \rightarrow Y$ . If the function  $f$  is **continuous**, then for every **convergent** sequence  $x_n \rightarrow x$  in  $X$ , the sequence  $f(x_n)$  **converges** to  $f(x)$ . The **converse** holds if  $X$  is **metrizable**.*
- **Remark** To show the converse part, i.e. “if  $x_n \rightarrow x \Rightarrow f(x_n) \rightarrow f(x)$  then  $f$  is continuous”, we just need the space  $X$  to be **first countable**. That is, at each point  $x$ , there is **a countable collection**  $(U_n)_{n \in \mathbb{Z}_+}$  of **neighborhoods** of  $x$  such that any neighborhood  $U$  of  $x$  contains at least one of the sets  $U_n$ .
- **Proposition 2.38 (Arithmetic Operations of Continuous Functions).**  
*If  $X$  is a topological space, and if  $f, g : X \rightarrow Y$  are continuous functions, then  $f + g$ ,  $f - g$ , and  $f \cdot g$  are continuous. If  $g(x) \neq 0$  for all  $x$ , then  $f/g$  is continuous.*
- **Definition (Uniform Convergence)**  
 Let  $f_n : X \rightarrow Y$  be a sequence of functions from the **set**  $X$  to **the metric space**  $Y$ . Let  $d$  be the metric for  $Y$ . We say that the sequence  $(f_n)$  **converges uniformly** to the function  $f : X \rightarrow Y$  if given  $\epsilon > 0$ , there exists an integer  $N$  such that
 
$$d(f_n(x), f(x)) < \epsilon$$
 for all  $n > N$  and **all**  $x$  in  $X$ .
- **Theorem 2.39 (Uniform Limit Theorem).** [Munkres, 2000]  
*Let  $f_n : X \rightarrow Y$  be a sequence of **continuous** functions from the **topological space**  $X$  to the **metric space**  $Y$ . If  $(f_n)$  converges **uniformly** to  $f$ , then  $f$  is **continuous**.*
- **Remark (Uniform Convergence = Convergence of Functions in Uniform Metric)**  
 A sequence of functions  $f_n : X \rightarrow \mathbb{R}$  **converges uniformly** to  $f : X \rightarrow \mathbb{R}$  **if and only if** the sequence  $(f_n)$  converges to  $f$  when they are considered as elements of the metric space  $(\mathbb{R}^X, \bar{\rho})$ , where  $\mathbb{R}^X$  is the space of all real-valued functions on  $X$  and  $\bar{\rho}$  is **the uniform metric** defined before.
- **Example** The space of all countable infinite sequences  $\mathbb{R}^\omega$  in the **box topology** is **not metrizable**. (on the other hand, it is metrizable *in product topology*).
- **Example** The **countable product space**  $\mathbb{R}^\omega$  in the **box topology** is **not metrizable**. (on the other hand, it is metrizable *in product topology*).
- **Example** An **uncountable product** of  $\mathbb{R}$  with itself is **not metrizable**.

## 2.8 The Quotient Topology

### 2.8.1 Definitions and Properties

- **Remark (Quotient Topology as “Cut-and-Paste”)**  
 One motivation of **quotient topology** comes from geometry, where one often has occasion to use “**cut-and-paste**” techniques to construct such geometric objects as surfaces.:
  1. The **torus** (surface of a doughnut), for example, can be constructed by taking a **rectangle** and “**pasting**” its edges together appropriately
  2. The **sphere** (surface of a ball) can be constructed by taking a **disc** and **collapsing** its entire boundary to a single point;

- **Definition (Quotient Map)**

Let  $X$  and  $Y$  be topological spaces; let  $\pi : X \rightarrow Y$  be a **surjective map**. The map  $\pi$  is said to be a **quotient map** provided a subset  $U$  of  $Y$  is **open** in  $Y$  if and only if  $\pi^{-1}(U)$  is **open** in  $X$ .

- **Remark (Quotient Map = Strong Continuity)**

The condition of quotient map is **stronger** than continuity (it is called **strong continuity** in some literature).

$$\begin{aligned} \text{continuity : } & U \text{ is open in } Y \Rightarrow \pi^{-1}(U) \text{ is open in } X \\ \text{open map : } & \pi(V) \text{ is open in } Y \Leftarrow V \text{ is open in } X \\ \text{quotient map : } & U \text{ is open in } Y \Leftrightarrow \pi^{-1}(U) \text{ is open in } X \end{aligned}$$

An *equivalent condition* is to require that a subset  $A$  of  $Y$  be **closed** in  $Y$  *if and only if*  $\pi^{-1}(A)$  is **closed** in  $X$ . Equivalence of the two conditions follows from equation

$$\pi^{-1}(Y \setminus B) = X \setminus \pi^{-1}(B).$$

- **Definition (Saturated Set and Fiber)**

If  $\pi : X \rightarrow Y$  is a **surjective map**, a subset  $U \subseteq X$  is said to be **saturated** with respect to  $\pi$  if  $U$  contains every set  $\pi^{-1}(\{y\})$  that it **intersects**. Thus  $U$  is **saturated** if it equals to the **entire preimage** of its **image**:  $U = \pi^{-1}(\pi(U))$ .

Given  $y \in Y$ , the **fiber** of  $\pi$  over  $y$  is the set  $\pi^{-1}(\{y\})$ .

- **Definition (Quotient Map via Saturated Set)**

A surjective map  $\pi : X \rightarrow Y$  is a **quotient map** if  $\pi$  is **continuous** and  $\pi$  maps **saturated open sets** of  $X$  to **open sets** of  $Y$  (or **saturated closed sets** of  $X$  to **closed sets** of  $Y$ ).

- **Definition (Open Map and Closed Map)**

A map  $f : X \rightarrow Y$  (continuous or not) is said to be an **open map** if for every **open** subset  $U \subseteq X$ , the image set  $f(U)$  is **open** in  $Y$ , and a **closed map** if for every **closed** subset  $K \subseteq X$ , the image  $f(K)$  is **closed** in  $Y$ .

- **Proposition 2.40** *If  $\pi : X \rightarrow Y$  is a surjective continuous map that is either open or closed, then  $\pi$  is a quotient map.*

**Remark** There are *quotient maps* that are **neither open nor closed**. See Exercise in [Munkres, 2000].

- **Example (Coordinate Projection as Quotient Map)**

Let  $\pi_1 : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$  be **projection onto the first coordinate**; then  $\pi_1$  is **continuous** and **surjective**. Furthermore,  $\pi_1$  is an **open map**. For if  $U \times V$  is a nonempty **basis element** for  $\mathbb{R} \times \mathbb{R}$ , then  $\pi_1(U \times V) = U$  is **open** in  $\mathbb{R}$ ; it follows that  $\pi_1$  carries open sets of  $\mathbb{R} \times \mathbb{R}$  to open sets of  $\mathbb{R}$ . That is,  $\pi_1$  is a **quotient map**.

However,  $\pi_1$  is **not a closed map**. The subset

$$C = \{(x, y) : x \cdot y = 1\}$$

of  $\mathbb{R} \times \mathbb{R}$  is **closed**, but  $\pi_1(C) = \mathbb{R} \setminus \{0\}$ , which is **not closed** in  $\mathbb{R}$ .

- **Definition (Quotient Topology)**

If  $X$  is a space and  $A$  is a set and if  $\pi : X \rightarrow A$  is a **surjective** map, then there exists **exactly one topology**  $\mathcal{T}$  on  $A$  relative to which  $\pi$  is a quotient map; it is called the quotient topology induced by  $\pi$ .

- **Definition (Quotient Space)**

Suppose  $X$  is a topological space and  $\sim$  is an *equivalence relation* on  $X$ . Let  $X/\sim$  denote **the set of equivalence classes** in  $X$ , and let  $\pi : X \rightarrow X/\sim$  be the **natural projection** sending each *point* to its *equivalence class*. Endowed with **the quotient topology** determined by  $\pi$ , the space  $X/\sim$  is called the quotient space (or *identification space*) of  $X$  determined by  $\pi$ .

**Definition** [Munkres, 2000]

Let  $X$  be a topological space, and let  $X^*$  be a **partition** of  $X$  into *disjoint subsets whose union is  $X$* . Let  $\pi : X \rightarrow X^*$  be the **surjective** map that carries each point of  $X$  to the element of  $X^*$  *containing it*. In **the quotient topology** induced by  $\pi$ , the space  $X^*$  is called a **quotient space** of  $X$ .

- **Remark (Understanding Topology of Quotient Space)**

We can describe the topology of  $X/\sim$  in another way. A *subset*  $U$  of  $X/\sim$  is **a collection of equivalence classes**, and the set  $\pi^{-1}(U)$  is just **the union of the equivalence classes belonging to  $U$** .

Thus the typical open set of  $X/\sim$  is **a collection of equivalence classes** whose union is an open set of  $X$ .

$$V \text{ open in } X/\sim \iff U := \pi^{-1}(V) = \bigcup_{[y] \in V} [y] \text{ open in } X$$

- **Remark (Geometrical Understanding of Quotient Space)**

A *set of points in  $X$  in the same equivalence class*  $[y]$  is considered as **one point** in quotient space  $X/\sim$ . Geometrically, it is seen as **collapsing a set of points into one** if this set of points are in a connected neighborhood, or, it is seen as **cut-and-paste a set of points in boundary** with another set of points in boundary.

In general, if a property is considered as irrelevant for the problem of concern, we can **identify** a set of instances that share this property as one instance, which forms the quotient space.

- **Proposition 2.41 (Restricting Quotient Map to Subspace).** [Munkres, 2000]

Let  $\pi : X \rightarrow Y$  be a **quotient map**; let  $A$  be a subspace of  $X$  that is **saturated** with respect to  $\pi$ ; let  $q : A \rightarrow \pi(A)$  be the map obtained by restricting  $\pi$ .

1. If  $A$  is either **open** or **closed** in  $X$ , then  $q$  is a **quotient map**.
2. If  $\pi$  is either an **open map** or a **closed map**, then  $q$  is a **quotient map**.

- **Remark (Composite of Quotient Maps is Quotient Map).**

Composites of maps behave nicely; it is easy to check that the *composite of two quotient maps is a quotient map*; this fact follows from the equation

$$p^{-1}(q^{-1}(U)) = (q \circ p)^{-1}(U).$$

- **Remark (Product of Quotient Maps Need Not to be Quotient Map).**

On the other hand, products of maps do not behave well; *the cartesian product of two quotient maps **need not** be a quotient map.*

One needs further conditions on either the maps or the spaces in order for this statement to be true.

1. One such, a condition on the spaces, is called **local compactness**; we shall study it later.
  2. Another, a condition on the *maps*, is the condition that **both the maps  $p$  and  $q$  be open maps**. In that case, it is easy to see that  $p \times q$  is also **an open map**, so it is a quotient map.
- **Remark (Quotient Space of Hausdorff Space Need Not to be Hausdorff)**  
The Hausdorff condition does not behave well; *even if  $X$  is Hausdorff, there is no reason that the quotient space  $X/\sim$  needs to be Hausdorff*. There is a simple condition for  $X/\sim$  to satisfy the  $T_1$  axiom; one simply requires that **each element of the partition  $X/\sim$  be a closed subset of  $X$** . Conditions that will ensure  $X/\sim$  is Hausdorff are harder to find.

### 2.8.2 Constructing Continuous Function on Quotient Space

- We want to know if  $f : (X/\sim) \rightarrow Z$  is *continuous function*.
- **Theorem 2.42 (Passing Continuity to the Quotient).** [Munkres, 2000]  
*Let  $\pi : X \rightarrow Y$  be a **quotient map**. Let  $Z$  be a space and let  $g : X \rightarrow Z$  be a map that is **constant on each fiber**  $\pi^{-1}(\{y\})$ , for  $y \in Y$ . Then  $g$  **induces** a map  $f : Y \rightarrow Z$  such that  $f \circ \pi = g$ . The induced map  $f$  is **continuous** if and only if  $g$  is **continuous**:  $f$  is a **quotient map** if and only if  $g$  is a **quotient map**.*

$$\begin{array}{ccc} X & & \\ \pi \downarrow & \searrow g & \\ Y & \dashrightarrow f & Z. \end{array}$$

- **Corollary 2.43** *Let  $g : X \rightarrow Z$  be a **surjective continuous** map. Let  $X/\sim$  be the following collection of subsets of  $X$ :*

$$X/\sim := \{g^{-1}(\{z\}) : z \in Z\},$$

*Given  $X/\sim$  the **quotient topology**,*

1. *The map  $g$  induces a **bijective continuous map**  $f : (X/\sim) \rightarrow Z$ , which is a **homeomorphism** if and only if  $g$  is a **quotient map**.*

$$\begin{array}{ccc} X & & \\ \pi \downarrow & \searrow g & \\ (X/\sim) & \dashrightarrow f & Z \end{array}$$

2. *If  $Z$  is **Hausdorff**, so is  $X/\sim$ .*

## 2.9 Topological Groups

- **Definition (Topological Group)**

A **topological group**  $G$  is a **group** that is also a **topological space** satisfying *the*  $T_1$  **axiom**, such that *the multiplication map*  $m : G \times G \rightarrow G$  and *inversion map*  $i : G \rightarrow G$ , given by

$$m(x, y) = xy, \quad i(x) = x^{-1}.$$

are both **continuous maps**. Here,  $G \times G$  is viewed as a *topological space* by using *the product topology*.

- **Example (Common Topological Groups)**

The following are topological groups:

1.  $(\mathbb{Z}, +)$
2.  $(\mathbb{R}, +)$
3.  $(\mathbb{R}_+, \cdot)$
4.  $(\mathbb{S}^1, \cdot)$ , where we take  $\mathbb{S}^1$  to be *the space of all complex numbers*  $z$  for which  $|z| = 1$

- **Example (Lie Groups)**

**Definition (Lie Group)** [Lee, 2003.]

A **Lie group** is a **smooth manifold**  $\mathcal{G}$  (without boundary) that is also a **group** in the *algebraic sense*, with the property that *the multiplication map*  $m : G \times G \rightarrow G$  and *inversion map*  $i : G \rightarrow G$ , given by

$$m(g, h) = gh, \quad i(g) = g^{-1}.$$

are both **smooth**.

A Lie group is a topological group. The followings are all **Lie groups**:

1. The general linear group  $GL(n, \mathbb{R})$  is the set of **invertible**  $n \times n$  *matrices* with real entries.

$$GL(n, \mathbb{R}) \equiv \{ \mathbf{A} \in \mathbb{R}^{n \times n} : \det(\mathbf{A}) \neq 0 \}.$$

It is a *group* under **matrix multiplication**, and it is an *open submanifold* of the vector space  $M(n, \mathbb{R}) \simeq \mathbb{R}^{n \times n}$ . *Multiplication is smooth* because *the matrix entries* of a product matrix  $AB$  are *polynomials* in the entries of  $A$  and  $B$ . Inversion is *smooth* by *Cramer's rule*.

2. Let  $GL_+(n, \mathbb{R})$  denote the subset of  $GL(n, \mathbb{R})$  consisting of matrices with **positive determinant**. Because  $\det(AB) = \det(A)\det(B)$  and  $\det(A^{-1}) = (\det(A))^{-1}$ , it is a subgroup of  $GL(n, \mathbb{R})$ ; and because it is the *preimage* of  $(0, +\infty)$  under *the continuous determinant function*, it is an open subset of  $GL(n, \mathbb{R})$  and therefore an  $n^2$ -dimensional manifold. The *group operations* are the restrictions of those of  $GL(n, \mathbb{R})$ , so they are smooth. Thus  $GL_+(n, \mathbb{R})$  is a *Lie group*.
3. The special linear group  $SL(n, \mathbb{R})$  is the subgroup of  $GL(n, \mathbb{R})$  consisting of matrices with a **determinant of 1**.

$$SL(n, \mathbb{R}) \equiv \{ \mathbf{A} \in \mathbb{R}^{n \times n} : \det(\mathbf{A}) = 1 \}.$$

It is a *Lie group* with dimension  $\dim SL(n, \mathbb{R}) = n^2 - 1$ .

4. The **orthogonal group** of dimension  $n$ , denoted  $\mathcal{O}(n)$ , is the group of ***distance-preserving transformations*** of a Euclidean space of dimension  $n$  that preserve a fixed point, where the group operation is given by composing transformations. Also,  $(\mathcal{O}(n), \cdot)$  is the group of  $n \times n$  ***orthogonal matrices***, where the group operation  $(\cdot)$  is given by matrix multiplication, and an orthogonal matrix is a real matrix whose inverse equals its transpose. *The orthogonal group is a Lie group with dimension  $n(n-1)/2$ .*

$$\mathcal{O}(n) \equiv \{Q \in GL(n, \mathbb{R}) : Q^T Q = Q Q^T = I_n\}.$$

5. The **special orthogonal group**  $\mathcal{SO}(n)$  is the group of *the orthogonal matrices of determinant 1*. This group is also called the **rotation group**

$$\mathcal{SO}(n) \equiv \{Q \in \mathcal{O}(n) : \det(Q) = 1\}.$$

It is an open subgroup of  $\mathcal{O}(n)$ , which is a *Lie group* of dimension  $\dim \mathcal{SO}(n) = \dim \mathcal{O}(n) = n(n-1)/2$ .

6. ***The complex general linear group***  $GL(n, \mathbb{C})$  is the group of *invertible complex  $n \times n$  matrices* under matrix multiplication. It is an open submanifold of  $M(n, \mathbb{C})$  and thus a  $2n^2$ -dimensional smooth manifold, and it is a *Lie group* because *matrix products and inverses are smooth functions* of the real and imaginary parts of the matrix entries.
7. If  $V$  is any *real or complex vector space*,  $GL(V)$  denotes *the set of invertible linear maps* from  $V$  to itself. It is a *group under composition*. If  $V$  has ***finite dimension***  $n$ , any basis for  $V$  determines an *isomorphism* of  $GL(V)$  with  $GL(n, \mathbb{R})$  or  $GL(n, \mathbb{C})$ , so  $GL(V)$  is a *Lie group*.
8.  $(\mathbb{Z}, +)$
9.  $(\mathbb{R}, +)$
10. The set  $\mathbb{R}^*$  of *nonzero real numbers* is a ***1-dimensional Lie group under multiplication***. (In fact, it is exactly  $GL(1, \mathbb{R})$  if we identify a  $1 \times 1$  matrix with the corresponding real number.) The subset  $\mathbb{R}_+$  of ***positive real numbers*** is an *open subgroup*, and is thus itself a *1-dimensional Lie group*.
11. The set  $\mathbb{C}^*$  of ***nonzero complex numbers*** is a ***2-dimensional Lie group*** under complex multiplication, which can be identified with  $GL(1, \mathbb{C})$ .
12. The ***circle***  $\mathbb{S}^1 \subset \mathbb{C}^*$  is a smooth manifold and a group under complex multiplication. With appropriate ***angle functions*** as *local coordinates* on open subsets of  $\mathbb{S}^1$ , *multiplication and inversion* have the *smooth coordinate expressions*  $(\theta_1, \theta_2) \mapsto \theta_1 + \theta_2$  and  $\theta \mapsto -\theta$ , and therefore  $\mathbb{S}^1$  is a Lie group, called **the circle group**.
13. The  **$n$ -torus**  $\mathbb{T}^n = \mathbb{S}^1 \times \dots \times \mathbb{S}^1$  is an  ***$n$ -dimensional abelian Lie group***.

- **Example (*Discrete Group*)**

Any *group* with ***the discrete topology*** is a *topological group*, called a **discrete group**. If in addition the group is *finite* or *countably infinite*, then it is a ***zero-dimensional Lie group***, called a **discrete Lie group**.

- **Definition (*Homogeneous Space*)**

A topological space  $G$  is a homogeneous space if for every pair  $x, y \in G$ , there exists a homomorphism  $f : G \rightarrow G$  such that  $f(x) = y$ .

- **Proposition 2.44 (*Topological Groups Are Homogeneous*)**

Every **topological group** is a **homogeneous space**; in particular, define map  $h_\alpha : G \rightarrow G$  as  $h_\alpha(x) = \alpha \cdot x$  and  $g_\alpha : G \rightarrow G$  as  $g_\alpha(x) = x \cdot \alpha$ , for  $\alpha \in G$ . Then  $h_\alpha, g_\alpha$  are **homomorphisms**.

- **Proposition 2.45 (*Subgroup of Topological Group*)**

Let  $H$  be a **subspace** of topological group  $G$ . If  $H$  is also a **subgroup** of  $G$ , then both  $H$  and its closure  $\bar{H}$  are **topological groups**.

- **Definition (*Left Coset and Right Coset*)**

For  $H \subset G$  as the **subgroup** of  $G$ , define the left coset as  $xH = \{x \cdot h : h \in H\}$ . Similarly, define the right coset as  $Hx = \{h \cdot x : h \in H\}$

- **Definition (*Quotient Group*)**

The collection of **left cosets** defines a quotient group  $G/H = \{xH \mid x \in G\}$  with the group operation  $xH \cdot yH = (x \cdot y)H$ .

- **Proposition 2.46** Let  $G$  be a topological group.

1. If  $\alpha \in G$ , the map  $f_\alpha : x \mapsto \alpha \cdot x$  induces a homeomorphism of  $G/H$  carrying  $xH$  to  $(\alpha \cdot x)H$ . Thus  $G/H$  is a **homogeneous space**.
2. If  $H$  is a **closed** set in the topology of  $G$ , then **one-point sets** are **closed** in  $G/H$ .
3. The **quotient map**  $\pi : G \rightarrow G/H$  is **open**.
4. If  $H$  is **closed** in the topology of  $G$  and is a **normal subgroup** of  $G$ , then the (left) quotient group  $G/H$  under quotient topology is a **topological group**.
5. If  $H$  is **compact subgroup** of  $G$  and  $\pi : G \rightarrow G/H$  is closed, then  $G/H$  is **compact**.

- **Example**  $(GL(n, \mathbb{R})/SL(n, \mathbb{R})) \simeq \mathbb{R}^* = \mathbb{R} \setminus \{0\}$ .

Given the generalized linear group  $GL(n, \mathbb{R})$ , the special linear group  $SL(n, \mathbb{R})$  is a subgroup of  $GL(n, \mathbb{R})$ . The quotient group  $GL(n, \mathbb{R})/SL(n, \mathbb{R}) \simeq \mathbb{R}^* = \mathbb{R} \setminus \{0\}$ .

- **Example**  $(\mathcal{O}(n)/\mathcal{SO}(n)) \simeq \mathbb{Z}_2 = \{-1, 1\} = \mathbb{Z}/2\mathbb{Z}$ .

The quotient group of orthogonal group  $\mathcal{O}(n)$  over the special orthorogal group  $\mathcal{SO}(n) = \{Q \in \mathcal{O}(n) : \det(Q) = 1\}$  is homomorphic to  $\mathbb{Z}_2 = \{-1, 1\}$ .

- **Example**  $(\mathcal{O}(n)/\mathcal{O}(n-1)) \simeq \mathbb{S}^{n-1}$ .

The quotient group of  $n$ -dimensional orthogonal group  $\mathcal{O}(n)$  over  $(n-1)$ -dimensional orthogonal group  $\mathcal{O}(n-1)$  is homomorphic to  $(n-1)$ -dimensional sphere  $\mathbb{S}^{n-1}$ .

- **Definition (*Topological Group Action*)**

An action of a **topological group**  $G$  on a **topological space**  $X$  is a **continuous map**  $\phi : G \times X \rightarrow X$  such that for  $g(x) := \phi(g, x)$ ,

$$\begin{aligned} (g_1 \cdot g_2)(x) &= g_1(g_2(x)), & \forall g_1, g_2 \in G, x \in X \\ 1_G(x) &= x, & \forall x \in X \end{aligned}$$

where  $1_G$  is the unit element of group  $G$ . Together with the group action,  $X$  is called a **G-space**.

- **Remark** The map  $x \mapsto g(x)$  is a **continuous map** on  $X$  for each  $g \in G$ . This map has **inverse map**  $x \mapsto g^{-1}(x)$  which is continuous as well. Thus the map  $x \mapsto g(x)$  is a **homeomorphism**.

- **Example** The topological group  $\mathcal{O}(n)$  acts on  $\mathbb{R}^n$  is the rotation transformation of vectors in  $\mathbb{R}^n$ . Similarly,  $\mathcal{O}(n)$  acts on  $\mathbb{S}^1$  is the rotation of circle  $\mathbb{S}^1$ .

- **Definition (Orbit under Topological Group Actions)**

If the topological group  $G$  acts on topological space  $X$ , and  $x \in X$ , then the orbit of  $x$  is defined as

$$G(x) = \{g(x) : g \in G\}$$

- **Definition** The **stabilizer** of  $x$  under group actions  $G$  is defined as

$$G_x = \{g \in G : g(x) = x\}$$

- **Definition (Orbit Space  $X/G$ )**

Let  $G$  be a topological group and  $X$  be a  $G$ -space so that  $G$  acts on  $X$ . **The orbit space** is the set of all orbits of action with quotient topology. The quotient map  $\pi : x \mapsto G(x)$  maps  $x$  to its orbit. The orbit space is often called the quotient of  $X$  by group actions  $G$ , i.e.

$$X/G = \{G(x) : x \in X\}.$$

- **Proposition 2.47 (Orbit Space by Compact Group)**

Let  $G$  be a **compact** topological group and  $X$  be a topological space so that  $G$  acts on  $X$ . Let  $X/G$  be the **orbit space**, i.e. the quotient space of  $X$  by group actions  $G$ . Then

1.  $X/G$  is **Hausdorff** if  $X$  is **Hausdorff**;
2.  $X/G$  is **regular** if  $X$  is **regular**;
3.  $X/G$  is **normal** if  $X$  is **normal**;
4.  $X/G$  is **locally compact** if  $X$  is **locally compact**;
5.  $X/G$  is **second countable** if  $X$  is **second countable**;

- **Example (Global Flow on Smooth Manifold)** [Lee, 2003.]

**Definition** A global flow on  $M$  (also called a **one-parameter group action**) is defined as a **continuous left  $\mathbb{R}$ -action on  $M$** ; that is, a **continuous map**  $\theta : \mathbb{R} \times M \rightarrow M$  satisfying the following properties for all  $s, t \in \mathbb{R}$  and  $p \in M$ :

$$\begin{aligned}\theta_{t+s}(p) &= \theta_t \circ \theta_s(p), \\ \theta_0(p) &= p\end{aligned}$$

where  $\theta_t = \theta(t, \cdot) : M \rightarrow M$  is a **continuous map** and  $\theta_0 = \text{Id}_M$ .

As we can see that, **the global flow is topological group action** of  $(\mathbb{R}, +)$  on the smooth manifold  $M$  (a topological space).

**Definition** For each  $p \in M$ , define a curve  $\theta^{(p)} : \mathbb{R} \rightarrow M$  by

$$\theta^{(p)}(t) = \theta(t, p).$$

The image of this curve is the orbit of  $p$  under the group action.



## References

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