

# Lecture 3: Countability and Separation Axioms

Tianpei Xie

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# 1 The Countability and Separation Axioms

## 1.1 The Countability Axioms

- **Definition (*First-Countable*)**

A space  $X$  is said to have a **countable basis at  $x$**  if there is a **countable** collection  $\mathcal{B}$  of **neighborhoods** of  $x$  such that *each neighborhood of  $x$  contains at least one* of the elements of  $\mathcal{B}$ .

A space that has a **countable basis at each of its points** is said to satisfy **the first countability axiom**, or to be **first-countable**.

- **Remark** *Every metric space is first-countable.*

- **Proposition 1.1 (*Limit Point Detected by Convergent Sequence*)** [Munkres, 2000]  
Let  $X$  be a topological space.

1. Let  $A$  be a subset of  $X$ . If there is a sequence of points of  $A$  converging to  $x$ , then  $x \in \bar{A}$ ; the **converse** holds if  $X$  is **first-countable**.
2. Let  $f : X \rightarrow Y$ . If  $f$  is continuous, then for every convergent sequence  $x_n \rightarrow x$  in  $X$ , the sequence  $f(x_n)$  converges to  $f(x)$ . The **converse** holds if  $X$  is **first-countable**.

- **Definition (*Second-Countable*)**

If a space  $X$  has a **countable basis** for its topology, then  $X$  is said to satisfy **the second countability axiom**, or to be **second-countable**.

- **Example ( $\mathbb{R}$ )**

The real line  $\mathbb{R}$  has a **countable basis**, which is the collection of all *open intervals*  $(a, b)$  with **rational end points**.

- **Example ( $\mathbb{R}^n$  and  $\mathbb{R}^\omega$  under product topology)**

1. The finite dimensional space  $\mathbb{R}^n$  has a **countable basis**, which is the collection of all product of intervals with **rational end points**.
2. The countable infinite dimensional space  $\mathbb{R}^\omega$  has a **countable basis**, which is the collection of all products  $\prod_{n \in \mathbb{Z}_+} U_n$ , where  $U_n$  is an *open interval with rational end points* for **finitely many** values of  $n$ , and  $U_n = \mathbb{R}$  for all other values of  $n$ .

- **Example ( $\mathbb{R}^\omega$  under Uniform Topology Not Second-Countable)**

In the **uniform topology**,  $\mathbb{R}^\omega$  satisfies the first countability axiom (being metrizable). However, it **does not satisfy the second**.

To verify this fact, we first show that if  $X$  is a space having a countable basis  $\mathcal{B}$ , then **any discrete subspace**  $A$  of  $X$  must be **countable**. Choose, for each  $a \in A$ , a basis element  $B_a$  that *intersects*  $A$  in the point  $a$  **alone**. If  $a$  and  $b$  are distinct points of  $A$ , the sets  $B_a$  and  $B_b$  are *different*, since the first *contains*  $a$  and the second does not. It follows that the map  $a \mapsto B_a$  is an **injection** of  $A$  into  $\mathcal{B}$ , so  $A$  must be countable (as  $\mathcal{B}$  being countable).

Now we note that the subspace  $A$  of  $\mathbb{R}^\omega$  consisting of *all sequences of 0's and 1's* is **uncountable**; and it has the **discrete topology** because  $\bar{\rho}(a, b) = 1$  for any two distinct points  $a$  and  $b$  of  $A$ . Therefore, in the uniform topology  $\mathbb{R}^\omega$  *does not have a countable basis*. ■

- **Example (Topological Manifolds)**

**Definition** Suppose  $M$  is a **topological space**. We say that  $M$  is a **topological manifold** of dimension  $n$  or a **topological  $n$ -manifold** if it has the following properties:

1.  $M$  is a **Hausdorff space**: for every pair of distinct points  $p, q \in M$ , there are disjoint open subsets  $U, V \subseteq M$  such that  $p \in U$  and  $q \in V$ .
2.  $M$  is **second-countable**: there exists a **countable basis** for the topology of  $M$ .
3.  $M$  is **locally Euclidean of dimension  $n$** : each point of  $M$  has a neighborhood that is **homeomorphic** to an open subset of  $\mathbb{R}^n$ .

- Both countability axioms are well behaved with respect to the operations of taking subspaces or countable products:

**Proposition 1.2 (Subspaces and Countable Product)** [Munkres, 2000]

A **subspace** of \_\_\_\_\_

1. a first-countable space is first-countable;
2. a second-countable space is second-countable.

And a **countable product** of \_\_\_\_\_

1. first-countable spaces is first-countable;
2. second-countable spaces is second-countable.

- **Definition (Dense Subset)**

A subset  $A$  of a space  $X$  is said to be **dense** in  $X$  if  $\bar{A} = X$ . (That is, every point in  $X$  is a limit point of  $A$ .)

- **Definition (Separability)**

A topological space  $X$  is called **separable** if and only if it has a **countable dense set**.

- **Definition (Lindelöf Space)**

A space for which every open covering contains a **countable subcovering** is called a **Lindelöf space**.

- **Proposition 1.3 (Properties of Second-Countability)** [Munkres, 2000]

Suppose that  $X$  has a **countable basis**. Then:

1. Every **open covering** of  $X$  contains a **countable subcollection** covering  $X$ . ( $X$  is **Lindelöf space**)
2. There exists a **countable subset** of  $X$  that is **dense** in  $X$ . ( $X$  is **separable**)

- **Proposition 1.4 (Metric Space Equivalence)** [Munkres, 2000]

Suppose that  $X$  is a **metrizable space**. The following statements are equivalent:

1.  $X$  has a **countable basis** (second-countable).
2.  $X$  has a **countable dense subset** (separable).
3. Every **open covering** of  $X$  contains a **countable subcollection** covering  $X$ . (**Lindelöf space**).

- **Proposition 1.5 (Compact Metrizable Space)** [Munkres, 2000]

Every **compact metrizable** space  $X$  has a countable basis (i.e. **second-countable**).

[Hint: Let  $\mathcal{A}_n$  be a finite covering of  $X$  by  $1/n$ -balls.]

- **Proposition 1.6 (Preservation by Continuity)** [Munkres, 2000]

Let  $f : X \rightarrow Y$  be continuous.

1. If  $X$  is **Lindelöf**, then  $f(X)$  is **Lindelöf**;
2. if  $X$  has a **countable dense subset**, then  $f(X)$  satisfies the same condition.

- **Proposition 1.7 (Preservation by Product)** [Munkres, 2000]

If  $X$  is a **countable product** of spaces having countable dense subsets (**separable**), then  $X$  has a countable dense subset (**separable**).

- **Example (The Product of two Lindelöf Spaces Need Not be Lindelöf)**

The space  $\mathbb{R}_\ell$  is **Lindelöf**, but the product space  $\mathbb{R}_\ell^2$  is not.  $\mathbb{R}_\ell^2$  is called the Sorgenfrey plane.

The space  $\mathbb{R}_\ell^2$  has as basis all sets of the form  $[a, b) \times [c, d)$ . To show it is not **Lindelöf**, consider the subspace

$$L = \{(x, -x) : x \in \mathbb{R}_\ell\}.$$

It is easy to check that  $L$  is **closed** in  $\mathbb{R}_\ell^2$ . Let us cover  $\mathbb{R}_\ell^2$  by **the open set**  $\mathbb{R}_\ell^2 \setminus L$  and by all **basis elements** of the form

$$[a, b) \times [-a, d).$$

Each of these open sets intersects  $L$  in **at most one point**. Since  $L$  is **uncountable**, no countable subcollection covers  $\mathbb{R}_\ell^2$ . ■

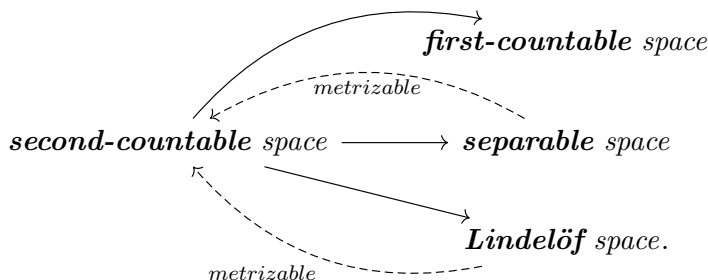
- **Example (The Subspace of Lindelöf Space Need Not be Lindelöf)**

The **ordered square**  $I_o^2$  is **compact**; therefore it is **Lindelöf**, trivially. However, the **subspace**  $A = I \times (0, 1)$  is **not Lindelöf**. For  $A$  is the union of the disjoint sets  $U_x = \{x\} \times (0, 1)$ , each of which is open in  $A$ . This collection of sets is **uncountable**, and **no proper subcollection covers**  $A$ . ■

- **Proposition 1.8 (Preservation by Continuous Open Map)** [Munkres, 2000]

Let  $f : X \rightarrow Y$  be **continuous open map**. If  $X$  satisfies **the first or the second countability axiom**, then  $f(X)$  satisfies the same axiom.

- **Remark (Relationship of Several Topological Properties)**



- **Definition ( $G_\delta$  Set)**

A  $G_\delta$  set in a space  $X$  is a set  $A$  that equals a **countable intersection** of open sets of  $X$ .

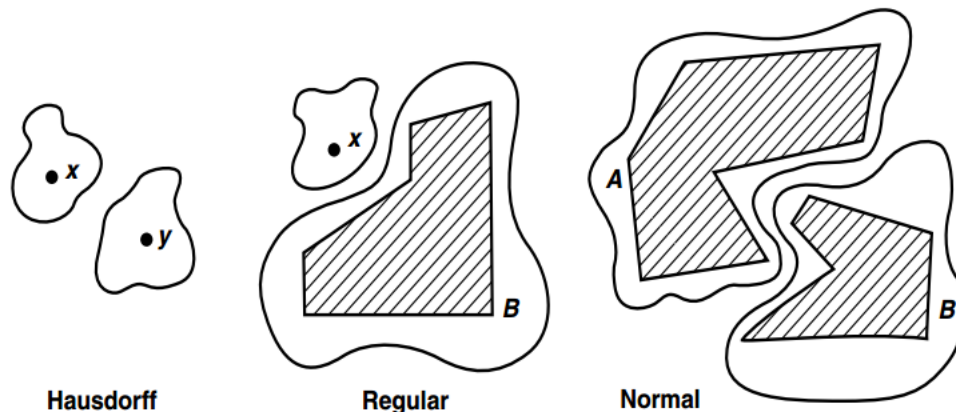


Figure 1: The separation axioms [Munkres, 2000]

- **Remark** By definition of topology,  $G_\delta$  is *neither open nor closed*.
- **Exercise 1.9** Show that in a first-countable  $T_1$  space, every one-point set is a  $G_\delta$  set.

## 1.2 The Separation Axioms

- **Definition** (*Regular Space and Normal Space*)

Suppose that one-point sets are *closed* in  $X$  (i.e.  $X$  is  $T_1$  space). Then  $X$  is said to be **regular** ( $T_3$ ) if for each pair consisting of a point  $x$  and a **closed set**  $B$  **disjoint** from  $x$ , there exist **disjoint open sets** containing  $x$  and  $B$ , respectively.

The space  $X$  is said to be **normal** ( $T_4$ ) if for each pair  $A, B$  of **disjoint closed sets** of  $X$ , there exist **disjoint open sets** containing  $A$  and  $B$ , respectively.

- **Proposition 1.10**

$$T_4 \Rightarrow T_3 \Rightarrow T_2 \Rightarrow T_1$$

- **Remark** (*Separation axioms  $\neq$  Discounnected Space*)

These axioms are called **separation axioms** for the reason that they involve “*separating* certain kinds of *sets from one another* by **disjoint open sets**.”

We have used the word “*separation*” before, of course, when we studied *connected spaces*. But in that case, we were trying to find *disjoint open sets whose union was the entire space*.

- **Lemma 1.11** Let  $X$  be a topological space. Let one-point sets in  $X$  be closed.

1.  $X$  is **regular** if and only if given a point  $x$  of  $X$  and a neighborhood  $U$  of  $x$ , there is a **neighborhood**  $V$  of  $x$  such that  $\bar{V} \subseteq U$ .
2.  $X$  is **normal** if and only if given a **closed set**  $A$  and an open set  $U$  containing  $A$ , there is an **open set**  $V$  containing  $A$  such that  $\bar{V} \subseteq U$ .

- **Remark**  $X$  is **regular**  $\Leftrightarrow$  Each point of  $X$  has a **closed neighborhood**

Note that  $X$  is **locally compact Hausdorff**  $\Leftrightarrow$  Each point of  $X$  has a **precompact neighborhood** i.e. it has a closed neighborhood and *the closure is compact*.

- **Proposition 1.12 (Simply Ordered Set is Hausdorff)** [Munkres, 2000]  
Every **simply ordered set** is a **Hausdorff** space in the **order topology**.
- **Proposition 1.13 (Order Topology is Regular)** [Munkres, 2000]  
Every **order topology** is a **regular**.
- **Remark** It can be shown actually that every **order topology** is a **normal**, which includes all of these two previous results.
- **Proposition 1.14 (Preservation of Hausdorff and Regular Axioms)**
  1. The **product** of two Hausdorff/regular spaces is a Hausdorff/regular space.
  2. A **subspace** of a Hausdorff/regular space is a Hausdorff/regular space.

- **Example ( $\mathbb{R}_K$  is Hausdorff but Not Regular)**

The space  $\mathbb{R}_K$  is **Hausdorff** but **not regular**. Recall that  $\mathbb{R}_K$  denotes the reals in the topology having as *basis* all open intervals  $(a, b)$  and all sets of the form  $(a, b) \setminus K$ , where  $K = \{1/n : n \in \mathbb{Z}_+\}$ . This space is **Hausdorff**, because any two distinct points have *disjoint open intervals* containing them.

But it is **not regular**. The set  $K$  is **closed** in  $\mathbb{R}_K$ , and it does *not contain the point* 0. Suppose that there exist *disjoint open sets*  $U$  and  $V$  containing 0 and  $K$ , respectively. Choose a basis element containing 0 and lying in  $U$ . It must be a basis element of the form  $(a, b) \setminus K$ , since each basis element of the form  $(a, b)$  containing 0 intersects  $K$ . Choose  $n$  large enough that  $1/n \in (a, b)$ . Then choose a basis element about  $1/n$  contained in  $V$ ; it must be a basis element of the form  $(c, d)$ . Finally, choose  $z$  so that  $z < 1/n$  and  $z > \max\{c, 1/(n+1)\}$ . Then  $z$  belongs to both  $U$  and  $V$ , so they are not disjoint. ■

- **Example ( $\mathbb{R}_\ell$  is Normal)**

The space  $\mathbb{R}_\ell$  is **normal**. Recall that  $\mathbb{R}_\ell$  is  $\mathbb{R}$  with **lower limit topology**. (i.e. the basis element is *the half-interval*  $[a, b)$ .) It is immediate that *one-point sets are closed* in  $\mathbb{R}_\ell$ , since the topology of  $\mathbb{R}_\ell$  is *finer* than that of  $\mathbb{R}$ .

To check **normality**, suppose that  $A$  and  $B$  are *disjoint closed sets* in  $\mathbb{R}_\ell$ . For each point  $a$  of  $A$  choose a basis element  $[a, x_a)$  *not intersecting*  $B$ ; and for each point  $b$  of  $B$  choose a basis element  $[b, x_b)$  *not intersecting*  $A$ . The open sets

$$U = \bigcup_{a \in A} [a, x_a) \quad \text{and} \quad V = \bigcup_{b \in B} [b, x_b)$$

are **disjoint open sets** about  $A$  and  $B$ , respectively. ■

- **Example (The Sorgenfrey plane  $\mathbb{R}_\ell^2$  is Not Normal)**

The space  $\mathbb{R}_\ell$  is regular, so the product space  $\mathbb{R}_\ell^2$  is regular. Thus this example serves *two purposes*. It shows that **a regular space need not be normal**, and it shows that **the product of two normal spaces need not be normal**.

- **Definition (Perfect Map)**

A **closed continuous surjective map**  $p : X \rightarrow Y$  is called a **perfect map** if  $p^{-1}(\{y\})$  is **compact** for each  $y \in Y$ .

- **Remark** A perfect map is a quotient map.
- **Proposition 1.15 (Preservation Properties of Perfect Map)** [Munkres, 2000]  
Let  $p : X \rightarrow Y$  be a **perfect map**, i.e. it is a **closed continuous surjective** map whose preimage of one point set is **compact**. Then
  1. If  $X$  is **Hausdorff**, then so is  $Y$ .
  2. If  $X$  is **regular**, then so is  $Y$ .
  3. If  $X$  is **locally compact**, then so is  $Y$ .
  4. If  $X$  is **second-countable**, then so is  $Y$ .
- **Theorem 1.16 (Preservation Properties of Orbit Space)** [Munkres, 2000]  
Let  $G$  be a **compact topological group**; let  $X$  be a topological space; let  $\alpha$  be an **action** of  $G$  on  $X$ . The orbit space  $X/G$  is the quotient space under equivalence relationship  $x \sim \alpha(x)$ .
  1. If  $X$  is **Hausdorff**, then so is  $X/G$ .
  2. If  $X$  is **regular**, then so is  $X/G$ .
  3. If  $X$  is **normal**, then so is  $X/G$ .
  4. If  $X$  is **locally compact**, then so is  $X/G$ .
  5. If  $X$  is **second-countable**, then so is  $X/G$ .

### 1.3 Normal Spaces

- **Remark** As we have seen, unlike its name suggested, normal spaces are *not as well-behaved* as one might wish. On the other hand, **most of the spaces** with which we are familiar do *satisfy this axiom*, as we shall see.  
  
Its **importance** comes from the fact that the results one can prove **under the hypothesis of normality** are central to much of topology. The **Urysohn metrization theorem** and the **Tietze extension theorem** are two such results
- **Proposition 1.17** [Munkres, 2000]  
Every **locally compact Hausdorff** space is **regular**.
- **Theorem 1.18 (Regular + Second-Countable  $\Rightarrow$  Normal)** [Munkres, 2000]  
Every **regular space with a countable basis** is **normal**.
- **Proposition 1.19 (Regular + Lindelöf  $\Rightarrow$  Normal)** [Munkres, 2000]  
Every **regular Lindelöf space** is **normal**.
- **Theorem 1.20** [Munkres, 2000]  
Every **metrizable** space is **normal**.
- **Theorem 1.21** [Munkres, 2000, Reed and Simon, 1980]  
Every **compact Hausdorff** space  $X$  is **normal**.
- **Theorem 1.22** [Munkres, 2000]  
Every **well-ordered** set  $X$  is **normal** in the order topology.

In fact, a stronger theorem holds:

**Theorem 1.23** *Every order topology is normal*

- **Example (The Uncountable Product of Normal Spaces Need Not be Normal)**

If  $J$  is **uncountable**, the product space  $\mathbb{R}^J$  is **not normal**.

This example serves *three purposes*. It shows that a regular space  $\mathbb{R}^J$  need not be normal. It shows that a subspace of a normal space need not be normal, for  $\mathbb{R}^J$  is *homeomorphic* to the subspace  $(0, 1)^J$  of  $[0, 1]^J$ , which (assuming the *Tychonoff theorem*) is **compact Hausdorff** and therefore **normal**. And it shows that an uncountable product of normal spaces need not be normal. It leaves unsettled the question as to whether a *finite or a countable product of normal spaces might be normal*.

- **Example (The Finite Product of Normal Spaces Need Not be Normal).**

Recall  $S_\Omega = \{x : x \in X \text{ and } x < \Omega\}$  is the **uncountable section** of a **well-ordered set**  $X$  by  $\Omega$  where  $\Omega$  is the **largest element** of  $X$  (called the minimal uncountable well-ordered set).

Consider the well-ordered set  $\bar{S}_\Omega$ , in the order topology, and consider the subset  $S_\Omega$ , *in the subspace topology* (which is the same as the order topology). Both spaces are **normal**, but the product space  $S_\Omega \times \bar{S}_\Omega$  is **not normal**.

this example serves *three purposes*. First, it shows that a regular space need not be normal, for  $S_\Omega \times \bar{S}_\Omega$  is a *product of regular spaces* and therefore *regular*. Second, it shows that a subspace of a normal space need not be normal, for  $S_\Omega \times \bar{S}_\Omega$  is a *subspace* of  $\bar{S}_\Omega \times \bar{S}_\Omega$ , which is a **compact Hausdorff space** and therefore **normal**. Third, it shows that the product of two normal spaces need not be normal.

## 2 Important Theorems

### 2.1 The Urysohn Lemma

- **Theorem 2.1 (Urysohn Lemma).** [Munkres, 2000]

Let  $X$  be a **normal space**; let  $A$  and  $B$  be **disjoint closed subsets** of  $X$ . Let  $[a, b]$  be a **closed interval** in the real line. Then there exists a **continuous map**

$$f : X \rightarrow [a, b]$$

such that  $f(x) = a$  for **every**  $x$  in  $A$ , and  $f(x) = b$  for **every**  $x$  in  $B$ .

- **Corollary 2.2 (Urysohn Lemma for  $G_\delta$ ).** [Munkres, 2000]

Let  $X$  be a **normal space**. Then there exists a **continuous map**

$$f : X \rightarrow [0, 1]$$

such that  $f(x) = 0$  for **every**  $x \in A$ , and  $f(x) > 0$  for **every**  $x \notin A$  **if and only if**  $A$  is a  $G_\delta$  set, i.e. it equal to a countable intersection of open sets in  $X$ .

- **Theorem 2.3 (Strong Form of Urysohn Lemma).** [Munkres, 2000]

Let  $X$  be a **normal space**. Then there exists a **continuous map**

$$f : X \rightarrow [0, 1]$$



**Table 1:** Comparison the Urysohn Lemma and Geometric Hahn-Banach Theorem

	<i>Urysohn's Lemma</i>	<i>Geometric Hahn-Banach Theorem</i>
<i>space</i>	<b>normal</b> topological space $T_4$	<b>normed linear</b> space
<i>weaker space</i>	<b>completely regular</b> topological space	<b>locally convex</b> space
<i>objects</i>	two <b>closed</b> subsets $A, B$	two <b>convex</b> subsets $A, B$
<i>separation pre-condition</i>	<b>closed</b> subsets are <b>disjoint</b>	<b>convex</b> sets are <b>disjoint</b>
<i>separating function</i>	<b>continuous function</b> $f : X \rightarrow [0, 1]$	<b>a hyperplane defined by linear functional</b> $\ell(x) = a$
<i>conclusion</i>	two <b>closed sets</b> can be <b>separated</b> by $f$	two <b>convex sets</b> can be <b>separated</b> by <b>hyperplane</b>
<i>conclusion in math</i>	$f(A) = \{0\}$ and $f(B) = \{1\}$	$\sup_{a \in A} \ell(a) \leq a \leq \inf_{b \in B} \ell(b)$

such that  $f(x) = 0$  for  $x \in A$ , **and**  $f(x) = 1$  for  $x \in B$ , and  $0 < f(x) < 1$  **otherwise if and only if**  $A$  and  $B$  are disjoint closed  $G_\delta$  set in  $X$ .

• **Definition (Separation by Continuous Function)**

If  $A$  and  $B$  are two subsets of the topological space  $X$ , and if there is a **continuous** function  $f : X \rightarrow [0, 1]$  such that  $f(A) = \{0\}$  and  $f(B) = \{1\}$ , we say that  $A$  and  $B$  can be **separated by a continuous function**.

- **Remark** The Urysohn lemma says that if **every pair of disjoint closed sets** in  $X$  can be separated by disjoint open sets, then each such pair can be **separated by a continuous function**. The **converse** is trivial, for if  $f : X \rightarrow [0, 1]$  is the function, then  $f^{-1}([0, 1/2])$  and  $f^{-1}((1/2, 1])$  are **disjoint open sets** containing  $A$  and  $B$ , respectively.

- **Remark (Separation by Continuous Function vs Separation by Linear Function)**  
We can compare the Urysohn lemma with the geometric Hahn-Banach theorem which separate two **convex sets** with **linear functional**. See Table 1. The geometric Hahn-Banach theorem can be seen as a generalization of the Urysohn lemma in **normed linear space**.

- **Remark (Continuous Function in Compact Hausdorff Space)** [Reed and Simon, 1980]  
The Urysohn lemma suggests that there are **a lot of continuous functions** in normal space. The space of all real-valued continuous functions  $\mathcal{C}_{\mathbb{R}}(X)$  on a **compact Hausdorff space**  $X$  (which is normal space) has a **dense subset** since any real-valued continuous functions on  $[0, 1]$  is a **uniform limit of polynomials**.

• **Definition (Completely Regular)**

A space  $X$  is **completely regular** if **one-point sets** are closed in  $X$  and if for each point  $x_0$  and each **closed** set  $A$  not containing  $x_0$ , there is a **continuous function**  $f : X \rightarrow [0, 1]$  such that  $f(x_0) = 1$  and  $f(A) = \{0\}$ .

- Remark

normal  $\Rightarrow$  completely regular  $\Rightarrow$  regular

**Proposition 2.4** *A subspace of a completely regular space is completely regular.*

*A product of completely regular spaces is completely regular.*

- **Example** ( $S_\Omega \times \bar{S}_\Omega$  is Completely Regular but Not Normal).  
 $S_\Omega \times \bar{S}_\Omega$  is **not normal** but it is the product space of two completely regular spaces.
- **Theorem 2.5 (Urysohn Lemma, Locally Compact Version)**. [Folland, 2013]  
*Let  $X$  be a locally compact Hausdorff space and  $K \subseteq U \subseteq X$  where  $K$  is compact and  $U$  is open. Then there exists a continuous map*

$$f : X \rightarrow [0, 1]$$

*such that  $f(x) = 1$  for every  $x \in K$ , and  $f(x) = 0$  for  $x$  outside a compact subset of  $U$ .*

- **Corollary 2.6** [Folland, 2013]  
*Every locally compact Hausdorff space is completely regular.*
- **Remark (Dual Space of  $C_c(X)$  on Locally Compact Hausdorff Space)** [Reed and Simon, 1980, Folland, 2013]  
The famous **Riesz-Markov theorem** shows that the **dual space** of  $C_c(X)$ , the space of compactly supported continuous function on *locally compact Hausdorff space*  $X$  is isomorphic to *the space of signed regular Borel measures* on  $X$ , i.e.  $(C_c(X))^* \simeq \mathcal{M}(X)$ . The proof of the *Riesz-Markov theorem* is based on *the Urysohn lemma* for locally compact space.

## 2.2 The Urysohn Metrization Theorem

- **Theorem 2.7 (Urysohn Metrization Theorem)**. [Munkres, 2000]  
*Every regular space  $X$  with a countable basis is metrizable.*
- **Theorem 2.8 (Embedding Theorem)**. [Munkres, 2000]  
*Let  $X$  be a space in which one-point sets are closed. Suppose that  $\{f_\alpha\}_{\alpha \in J}$  is an indexed family of continuous functions  $f_\alpha : X \rightarrow \mathbb{R}$  satisfying the requirement that for each point  $x_0$  of  $X$  and each neighborhood  $U$  of  $x_0$ , there is an index  $\alpha$  such that  $f_\alpha$  is **positive** at  $x_0$  and **vanishes outside**  $U$ . Then the function  $F : X \rightarrow \mathbb{R}^J$  defined by*

$$F(x) = (f_\alpha(x))_{\alpha \in J}$$

*is a topological embedding of  $X$  in  $\mathbb{R}^J$ . If  $f_\alpha$  maps  $X$  into  $[0, 1]$  for each  $\alpha$  then  $F$  embeds  $X$  in  $[0, 1]^J$ .*

- **Definition (Separation of Points From Closed Set by Continuous Functions)**  
A family of continuous functions that satisfies the hypotheses of the embedding theorem above is said to **separate points from closed sets in  $X$** .

The existence of such a family is readily seen to be *equivalent*, for a space  $X$  in which one-point sets are *closed*, to the requirement that  $X$  be *completely regular*.

**Table 2:** Comparison Tietze Extension Theorem and Hahn-Banach Theorem

	<i><b>Tietze Extension Theorem</b></i>	<i><b>Hahn-Banach Theorem</b></i>
<i>space</i>	<i><b>normal topological space <math>T_4</math></b></i>	<i><b>normed linear space</b></i>
<i>subspace</i>	<i><b>topological subspace</b></i>	<i><b>linear subspace</b></i>
<i>function to be extended</i>	<i><b>real-valued continuous function</b></i>	<i><b>linear functional</b></i>
<i>additional constraint</i>	<i><b>the subspace is closed</b></i>	<i><b>the functional bounded above by a sublinear functional</b></i>
<i>conclusion</i>	<i><b>the domain of continuous function can be extended to entire space</b></i>	<i><b>the domain of linear functional can be extended to entire space</b></i>

- **Corollary 2.9** (*Embedding Equivalent Definition of Completely Regular*) [Munkres, 2000]  
A space  $X$  is **completely regular** if and only if it is homeomorphic to a subspace of  $[0, 1]^J$  for some  $J$ .

### 2.3 The Tietze Extension Theorem

- **Theorem 2.10** (*Tietze Extension Theorem*) [Munkres, 2000, Reed and Simon, 1980]  
Let  $X$  be a **normal space**; let  $A$  be a **closed subspace** of  $X$ .
  1. Any **continuous** map of  $A$  into the **closed interval**  $[a, b]$  of  $\mathbb{R}$  may be **extended** to a **continuous** map of **all of**  $X$  into  $[a, b]$ .
  2. Any **continuous** map of  $A$  into  $\mathbb{R}$  may be **extended** to a **continuous** map of **all of**  $X$  into  $\mathbb{R}$ .
- **Theorem 2.11** (*Tietze Extension Theorem, Locally Compact Version*) [Folland, 2013]  
Let  $X$  be a **locally compact Hausdorff space**; let  $K$  be a **compact subspace** of  $X$ . If  $f \in C(K)$  is a **continuous** map of  $K$  into  $\mathbb{R}$ , there exists a **continuous** extension  $F \in C(X)$  of **all of**  $X$  into  $\mathbb{R}$  such that  $F|_K = f$ . Moreover,  $F$  may be taken to **vanish outside a compact set**.
- **Remark** (*Extension of Continuous Function vs. Extension of Linear Functional*)  
We can compare the Tietze extension theorem with the Hahn-Banach theorem in normed linear space. See from Table 2 that the Hahn-Banach theorem generalize the Tietze extension theorem from normal topological space to the normed linear space (which is metrizable so normal).

## 3 Embeddings of Manifolds

## 4 Summary of Preservation of Topological Properties

**Table 3:** Summary of Preservation of Topological Properties Under Transformations

	<i>subspace</i>	<i>product space</i>	<i>image of continuous function</i>
<i>connected</i>	✓	if finite product, ✓; if countable product, ✓ under product topology	✓
<i>locally connected</i>	if <i>open and connected</i> subspace, ✓	if <i>all but finitely many</i> of spaces are <i>connected</i> , ✓	in general ×
<i>compact</i>	if <i>closed</i> subspace, ✓;	for <i>arbitrary</i> product, ✓	✓
<i>locally compact</i>	if <i>closed or open</i> subspace and Hausdorff, ✓	if <i>finite</i> product, ✓; if infinite product ×	if <i>f</i> is a <i>perfect map</i> , then ✓; in general ×
<i>first-countable</i>	✓	if <i>countable</i> product, ✓	if <i>f</i> is a <i>open map</i> , then ✓; in general ×
<i>second-countable</i>	✓	if <i>countable</i> product, ✓	if <i>f</i> is a <i>open map or perfect map</i> , then ✓; in general ×
<i>separable</i>	if metrizable, then ✓; in general ×	if <i>countable</i> product, ✓	✓
<i>Lindelöf</i>	if metrizable, then ✓; in general ×	×	✓
<i>T<sub>1</sub> axiom</i>	✓	for <i>arbitrary</i> product, ✓	in general ×
<i>Hausdorff T<sub>2</sub></i>	✓	for <i>arbitrary</i> product, ✓	if <i>f</i> is a <i>perfect map</i> , then ✓; in general ×
<i>regular T<sub>3</sub></i>	✓	for <i>arbitrary</i> product, ✓	if <i>f</i> is a <i>perfect map</i> , then ✓; in general ×
<i>completely regular</i>	✓	for <i>arbitrary</i> product, ✓	in general ×
<i>normal T<sub>4</sub></i>	×	×	×
<i>paracompact</i>	if <i>closed</i> subspace, ✓;	×	×
<i>topologically complete</i>	for <i>closed or open</i> subspace, ✓	if <i>countable</i> product, ✓	×

## 5 Summary of Counterexamples for Topological Properties

Table 4: Summary of Counterexamples for Topological Properties

	$\mathbb{R}^\omega_{\mathcal{T}_{prod}}$	$\mathbb{R}^\omega_{\mathcal{T}_{box}}$	$\mathbb{R}^\omega_{\mathcal{T}_{unif}}$	$\mathbb{R}_K$	$\mathbb{R}_\ell$	$\mathbb{R}^2_\ell$	$I^2_o$	$S_\Omega$	$\bar{S}_\Omega$	$S_\Omega \times \bar{S}_\Omega$	$(x, \sin(1/x))$
<i>connected</i>	✓	×	×	✓	×	×	✓	×	×	×	✓
<i>path connected</i>	✓	×	×	×	×	×	×	×	×	×	×
<i>locally connected</i>	✓	×	✓	×	×	×	✓	×	×	×	×
<i>locally path connected</i>	✓	×	✓	×	×	×	×	×	×	×	×
<i>compact</i>	×	×	×	×	×	×	✓	×	✓	×	✓
<i>limit point compact</i>	×	×	×	×	×	×	✓	✓	✓		✓
<i>sequentially compact</i>	×	×	×	×	×	×	✓	✓	✓		✓
<i>locally compact</i>	×	×	×	×	×	×	✓	✓	✓	✓	✓
<i>paracompact</i>	✓	✓	✓	×	✓	×	✓	×	✓	×	✓
<i>first-countable</i>	✓	×	✓	✓	✓	✓	✓	✓	×	×	
<i>second-countable</i>	✓	×	×	✓	×	×	×	×	×	×	
<i>separable</i>	✓	×	×	✓	✓	✓	×	×	×	×	
<i>Lindelöf</i>	✓	×	×	✓	✓	×	✓	×	✓	×	✓
<i><math>T_1</math> axiom</i>	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
<i>Hausdorff <math>T_2</math></i>	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
<i>regular <math>T_3</math></i>	✓	✓	✓	×	✓	✓	✓	✓	✓	✓	
<i>completely regular</i>	✓	✓	✓	×	✓	✓	✓	✓	✓	✓	
<i>normal <math>T_4</math></i>	✓	✓	✓	×	✓	×	✓	✓	✓	×	
<i>locally metrizable</i>	✓	×	✓	×			×	✓	×	×	
<i>metrizable</i>	✓	×	✓	×	×	×	✓	×	×	×	×

1.  $(\mathbb{R}^\omega, \mathcal{T}_{prod})$ : space of **countable infinite** real sequence  $(a_n)_{n \in \mathbb{Z}}$  equipped with **product topology**. Note that under product topology, the **basis** is of form  $\prod_{n \in \mathbb{Z}_+} U_n$  where there exists some  $N$  so that for all  $n \geq N$ ,  $U_n = \mathbb{R}$ .
2.  $(\mathbb{R}^\omega, \mathcal{T}_{box})$ : space of **countable infinite** real sequence  $(a_n)_{n \in \mathbb{Z}}$  equipped with **box topology**. Note that under box topology, the **basis** is of form  $\prod_{n \in \mathbb{Z}_+} U_n$  where  $U_n \neq \mathbb{R}$  for all  $n$ .
3.  $(\mathbb{R}^\omega, \mathcal{T}_{unif})$ : space of **countable infinite** real sequence  $(a_n)_{n \in \mathbb{Z}}$  equipped with **uniform topology**. Note that the uniform topology is induced by **the uniform metric**  $\bar{\rho}$  on  $\mathbb{R}^\omega$ , which is defined by the equation

$$\bar{\rho}((x_n)_{n \in \mathbb{Z}_+}, (y_n)_{n \in \mathbb{Z}_+}) = \sup \{ \bar{d}(x_n, y_n) : n \in \mathbb{Z}_+ \},$$

where  $\bar{d}$  is **the standard bounded metric** on  $\mathbb{R}$ .

4.  $\mathbb{R}_K$ : the real line  $\mathbb{R}$  equipped with the  **$K$ -topology**. The  $K$ -topology is **generated** by all open intervals  $(a, b)$  and all sets of the form

$$(a, b) \setminus K \text{ where } K = \{1/n : n \in \mathbb{Z}_+\}.$$

5.  $\mathbb{R}_\ell$ : the real line  $\mathbb{R}$  equipped with the **lower limit topology**. The basis of lower limit topology is the collection of all **half-open intervals** of the form

$$[a, b) = \{x : a \leq x < b\},$$

where  $a < b$ .  $\mathbb{R}_\ell$  is also called **the Sorgenfrey line**.

6.  $\mathbb{R}_\ell^2 = \mathbb{R}_\ell \times \mathbb{R}_\ell$ : is called **the Sorgenfrey plane**.
7.  $I_o^2$ : is called **ordered square** where  $I = [0, 1]$ . It is the set  $[0, 1] \times [0, 1]$  in **the dictionary order topology**. In dictionary order relationship,  $(x_1, x_2) < (y_1, y_2)$  if and only if  $x_1 < y_1$  or  $(x_1 = y_1) \wedge (x_2 < y_2)$ . In dictionary order topology, open intervals are of the form

$$\{(x_1, x_2) : x_1 \in (a, b) \text{ or } (x_1 = c) \wedge (x_2 \in (d, e))\} = ((a, b) \times I) \cup (c \times (d, e)).$$

8.  $S_\Omega$ : is **the uncountable ordinal space**. If  $A$  is a **well-ordered set** then  $A$  itself contains a **smallest element** which we will denote by  $a_0$ . For each element  $x$  in a **well-ordered set**  $A$ , **the section at**  $x$  is defined to be the subset

$$S_x = (-\infty, x) = [a_0, x) = \{y \in A : y < x\}.$$

The uncountable ordinal space  $S_\Omega$  is an **uncountable well-ordered set** in which each section  $S_x$  is **countable**. This description of  $S_\Omega$  is justified by the following:

**Lemma 5.1** *There exists an uncountable well-ordered set  $A$  such that  $S_x$  is countable for each  $x \in A$ , and any two uncountable well-ordered sets satisfying this property are **order isomorphic** (that is, they have the same order type).*

9.  $\bar{S}_\Omega$ : is **the closed uncountable ordinal space**. It is defined by  $\bar{S}_\Omega = S_\Omega \cup \{\Omega\}$  with **the well-ordering** given by: (a) if  $x, y \in S_\Omega$  then  $x < y$  in  $\bar{S}_\Omega$  iff  $x < y$  in  $S_\Omega$ , and (b) if  $x \in S_\Omega$  then  $x < \Omega$ . Notice that  $\Omega$  is a **maximal element** in  $\bar{S}_\Omega$  (but  $S_\Omega$  does not have a maximal element).  $S_\Omega$  is the section of  $\Omega$  in  $\bar{S}_\Omega$ .

10.  $S_\Omega \times \bar{S}_\Omega$

11.  $\bar{S}$ : is called *the topologist's sine curve*. It is the closure of the graph

$$S = \{(x, \sin(1/x)) : 0 < x \leq 1\}.$$

That is  $\bar{S} = S \cup \{(x, y) : x = 0\}$ .

## References

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