

# Lecture 0: Summary (Part 1)

Tianpei Xie

Dec. 15th., 2022

## Contents

<b>1</b>	<b>Topology Basis</b>	<b>2</b>
1.1	Topology, Basis and Subbasis . . . . .	2
1.2	Limit Point and Closure . . . . .	3
1.3	Subspace, Product and Quotient Topologies . . . . .	3
1.3.1	Subspace Topology . . . . .	3
1.3.2	Product Topology . . . . .	4
1.3.3	Quotient Topology . . . . .	5
1.4	Continuous Function . . . . .	6
1.4.1	Definitions . . . . .	6
1.4.2	Homomorphism . . . . .	7
1.4.3	Constructing Continuous Functions . . . . .	8
1.5	Metric Topology . . . . .	9
1.6	Connectedness and Local Connectedness . . . . .	10
1.7	Compactness and Local Compactness . . . . .	11
1.8	Countability and Separability . . . . .	14
1.8.1	Countability Axioms . . . . .	14
1.8.2	Separability Axioms . . . . .	15
1.9	Important Results and Theorems on Normal Space . . . . .	16
1.10	Nets . . . . .	17
<b>2</b>	<b>Topology in Function Space</b>	<b>18</b>
2.1	Complete Metric Space . . . . .	18
2.2	Compactness in Metric Spaces . . . . .	20
2.3	Pointwise and Compact Convergence . . . . .	22
2.4	Subspaces of Continuous Functions . . . . .	23
2.5	Baire Category . . . . .	24
<b>3</b>	<b>Locally Convex Topological Space</b>	<b>26</b>
3.1	Topological Vector Space . . . . .	26
3.2	Locally Convex Topological Vector Space . . . . .	26

# 1 Topology Basis

## 1.1 Topology, Basis and Subbasis

- **Definition** Let  $X$  be a set. A **topology** on  $X$  is a collection  $\mathcal{T}$  of subsets of  $X$ , called **open subsets**, satisfying
  1.  $X$  and  $\emptyset$  are *open*.
  2. The **union** of *any family* of open subsets is open.
  3. The **intersection** of *any finite family* of open subsets is open.

A pair  $(X, \mathcal{T})$  consisting of a set  $X$  together with a topology  $\mathcal{T}$  on  $X$  is called a **topological space**.

- **Definition** A map  $F : X \rightarrow Y$  is said to be **continuous** if for every open subset  $U \subseteq Y$ , the **preimage**  $F^{-1}(U)$  is **open** in  $X$ .
- **Definition** A **continuous bijective** map  $F : X \rightarrow Y$  with **continuous inverse** is called a **homeomorphism**. If there exists a *homeomorphism* from  $X$  to  $Y$ , we say that  $X$  and  $Y$  are **homeomorphic**.
- **Definition** Suppose  $X$  is a topological space. A collection  $\mathcal{B}$  of open subsets of  $X$  is said to be a **basis** for the topology of  $X$  (plural: **bases**) if every open subset of  $X$  is the *union of some collection of elements of  $\mathcal{B}$* .

More generally, suppose  $X$  is merely a set, and  $\mathcal{B}$  is a collection of *subsets* of  $X$  satisfying the following conditions:

1.  $X = \bigcup_{B \in \mathcal{B}} B$ .
2. If  $B_1, B_2 \in \mathcal{B}$  and  $x \in B_1 \cap B_2$ , then there exists  $B_3 \in \mathcal{B}$  such that  $x \in B_3 \subseteq B_1 \cap B_2$ .

Then the collection of **all unions** of elements of  $\mathcal{B}$  is a topology on  $X$ , called **the topology generated by  $\mathcal{B}$** , and  $\mathcal{B}$  is a **basis** for this topology.

- **Lemma 1.1 (Obtaining Basis from Given Topology)**. [Munkres, 2000]  
Let  $X$  be a topological space. Suppose that  $\mathcal{C}$  is a collection of open sets of  $X$  such that for each open set  $U$  of  $X$  and each  $x$  in  $U$ , there is an element  $C$  of  $\mathcal{C}$  such that  $x \in C \subset U$ . Then  $\mathcal{C}$  is a basis for the topology of  $X$ .
- **Lemma 1.2 (Topology Comparison via Bases)**. [Munkres, 2000]  
Let  $\mathcal{B}$  and  $\mathcal{B}'$  be bases for the topologies  $\mathcal{T}$  and  $\mathcal{T}'$ , respectively, on  $X$ . Then the following are equivalent:
  1.  $\mathcal{T}'$  is **finer** than  $\mathcal{T}$ .
  2. For each  $x \in X$  and each basis element  $B \in \mathcal{B}$  containing  $x$ , there is a basis element  $B' \in \mathcal{B}'$  such that  $x \in B' \subset B$ .

- **Definition (Subbasis)**  
A **subbasis**  $\mathcal{S}$  for a topology on  $X$  is a collection of subsets of  $X$  whose union equals  $X$ . The topology generated by the subbasis  $\mathcal{S}$  is defined to be the collection  $\mathcal{T}$  of **all unions of finite intersections of elements of  $\mathcal{S}$** .

- **Remark (*Basis from Subbasis*)**

For a *subbasis*  $\mathcal{S}$ , the collection  $\mathcal{B}$  of *all finite intersections* of elements of  $\mathcal{S}$  is a *basis*,

## 1.2 Limit Point and Closure

- **Definition** A subset  $A$  of a topological space  $X$  is said to be **closed** if the set  $X \setminus A$  is *open*.
- **Definition** Given a subset  $A$  of a topological space  $X$ , **the interior of  $A$**  is defined as *the union of all open sets contained in  $A$* , and **the closure of  $A$**  is defined as *the intersection of all closed sets containing  $A$* .

**The interior of  $A$**  is denoted by  $\text{Int } A$  or by  $\overset{\circ}{A}$  and **the closure of  $A$**  is denoted by  $\text{Cl } A$  or by  $\bar{A}$ . Obviously  $\overset{\circ}{A}$  is an *open set* and  $\bar{A}$  is a *closed set*; furthermore,

$$\overset{\circ}{A} \subseteq A \subseteq \bar{A}.$$

If  $A$  is **open**,  $A = \overset{\circ}{A}$ ; while if  $A$  is **closed**,  $A = \bar{A}$ .

- **Proposition 1.3 (*Characterization of Closure in terms of Basis*)** [Munkres, 2000]  
Let  $A$  be a subset of the topological space  $X$ .

1. Then  $x \in \bar{A}$  if and only if every **open** set  $U$  **containing  $x$**  **intersects  $A$** .
2. Supposing the topology of  $X$  is given by a **basis**, then  $x \in \bar{A}$  if and only if every basis element  $B$  **containing  $x$**  **intersects  $A$** .

- **Remark** We can say “ $U$  is a **neighborhood** of  $x$ ” if “ $U$  is an open set containing  $x$ ”.
- **Definition (*Limit Point*)**  
If  $A$  is a subset of the topological space  $X$  and if  $x$  is a point of  $X$ , we say that  $x$  is a **limit point** (or “**cluster point**,” or “**point of accumulation**”) of  $A$  if *every neighborhood of  $x$  intersects  $A$  in some point other than  $x$  itself*.

Said differently,  $x$  is a **limit point** of  $A$  if it belongs to **the closure of  $A \setminus \{x\}$** . The point  $x$  may lie in  $A$  or not; for this definition it does not matter.

- **Theorem 1.4 (*Decomposition of Closure*)**  
Let  $A$  be a subset of the topological space  $X$ ; let  $A'$  be the set of **all limit points** of  $A$ . Then

$$\bar{A} = A \cup A'.$$

- **Corollary 1.5** *A subset of a topological space is **closed** if and only if it contains all its **limit points**.*

## 1.3 Subspace, Product and Quotient Topologies

### 1.3.1 Subspace Topology

- **Definition** If  $X$  is a topological space and  $S \subseteq X$  is an arbitrary subset, we define **the subspace topology** on  $S$  (sometimes called **the relative topology**) by declaring a subset  $U \subseteq S$  to be *open in  $S$*  if and only if there exists an open subset  $V \subseteq X$  such that  $U = V \cap S$ .

Any subset of  $X$  endowed with the subspace topology is said to be **a subspace of  $X$** .

- **Lemma 1.6 (*Basis of Subspace Topology*)**  
If  $\mathcal{B}$  is a basis for the topology of  $X$  then the collection

$$\mathcal{B}_S = \{B \cap S : B \in \mathcal{B}\}$$

is a **basis** for the subspace topology on  $S \subset X$ .

- **Proposition 1.7** Let  $Y$  be a subspace of  $X$ . If  $A$  is closed in  $Y$  and  $Y$  is closed in  $X$ , then  $A$  is closed in  $X$ .
- **Proposition 1.8 (*Closure in Subspace Topology*)**  
Let  $Y$  be a subspace of  $X$ ; let  $A$  be a subset of  $Y$ ; let  $\bar{A}$  denote the closure of  $A$  in  $X$ . Then the closure of  $A$  in  $Y$  equals  $\bar{A} \cap Y$ .

### 1.3.2 Product Topology

- **Definition (*J-tuples*)**  
Let  $J$  be an index set. Given a set  $X$ , we define a ***J-tuple*** of elements of  $X$  to be a function  $x : J \rightarrow X$ . If  $\alpha$  is an element of  $J$ , we often denote **the value of  $X$  at  $\alpha$**  by  $X_\alpha$  rather than  $x(\alpha)$ ; we call it **the  $\alpha$ -th coordinate** of  $x$ . And we often denote the function  $x$  itself by the symbol

$$(x_\alpha)_{\alpha \in J}$$

which is as close as we can come to a “*tuple notation*” for an arbitrary index set  $J$ . We denote **the set of all  $J$ -tuples** of elements of  $X$  by  $X^J$ .

- **Definition (*Arbitrary Cartesian Products*)**  
Let  $\{A_\alpha\}_{\alpha \in J}$  be an *indexed* family of sets; let  $X = \bigcup_{\alpha \in J} A_\alpha$ . The **cartesian product** of this indexed family, denoted by

$$\prod_{\alpha \in J} A_\alpha$$

is defined to be the set of all  $J$ -tuples  $(x_\alpha)_{\alpha \in J}$  of elements of  $X$  such that  $x_\alpha \in A_\alpha$  for each  $\alpha \in J$ . That is, it is the set of all functions

$$x : J \rightarrow \bigcup_{\alpha \in J} A_\alpha$$

such that  $x(\alpha) \in A_\alpha$  for each  $\alpha \in J$ .

- **Definition (*Projection Mapping or Coordinate Projection*)**  
Let

$$\pi_\beta : \prod_{\alpha \in J} X_\alpha \rightarrow X_\beta$$

be the function assigning to each element of the product space its  $\beta$ -th coordinate,

$$\pi_\beta((x_\alpha)_{\alpha \in J}) = x_\beta;$$

it is called **the projection mapping** associated with the index  $\beta$ .

- **Definition (*Product Topology*)**

Let  $\mathcal{S}_\beta$  denote the collection

$$\mathcal{S}_\beta = \left\{ \pi_\beta^{-1}(U_\beta) : U_\beta \text{ open in } X_\beta \right\},$$

and let  $\mathcal{S}$  denote *the union of these collections*,

$$\mathcal{S} = \bigcup_{\beta \in J} \mathcal{S}_\beta.$$

The topology generated by *the subbasis*  $\mathcal{S}$  is called **the product topology**. In this topology  $\prod_{\alpha \in J} X_\alpha$  is called **a product space**.

- **Remark (*Product Topology = Weak Topology by Coordinate Projections*)**

The product topology on  $\prod_{\alpha \in J} X_\alpha$  is **the weak topology** generated by a family of projection mappings  $(\pi_\beta)_{\beta \in J}$ . It is **the coarsest (weakest) topology** such that  $(\pi_\beta)_{\beta \in J}$  are **continuous**.

**A typical element of the basis** from the product topology is **the finite intersection of subbasis** where the *index is different*:

$$\pi_{\beta_1}^{-1}(V_{\beta_1}) \cap \dots \cap \pi_{\beta_n}^{-1}(V_{\beta_n})$$

Thus a **neighborhood** of  $x$  in **the product topology** is

$$N(x) = \{(x_\alpha)_{\alpha \in J} : x_{\beta_1} \in V_{\beta_1}, \dots, x_{\beta_n} \in V_{\beta_n}\}$$

where there is **no restriction** for  $\alpha \in \{\beta_1, \dots, \beta_n\}$ .

Note that for **the box topology**, a neighborhood of  $x$  is

$$N_b(x) = \{(x_\alpha)_{\alpha \in J} : x_\alpha \in U_\alpha, \forall \alpha \in J\} \subset N(x)$$

Thus **the box topology** is **finer** than **the product topology**. Moreover, for **finite product**  $\prod_{\alpha=1}^n X_\alpha$ , the box topology and the product topology is the **same**.

- **Definition** If  $X$  and  $Y$  are topological spaces, a continuous injective map  $F : X \rightarrow Y$  is called a **topological embedding** if it is a **homeomorphism** onto its image  $F(X) \subseteq Y$  in the subspace topology.

### 1.3.3 Quotient Topology

- **Definition (*Quotient Map*)**

Let  $X$  and  $Y$  be topological spaces; let  $\pi : X \rightarrow Y$  be a **surjective map**. The map  $\pi$  is said to be **a quotient map** provided a subset  $U$  of  $Y$  is **open** in  $Y$  **if and only if**  $\pi^{-1}(U)$  is **open** in  $X$ .

- **Remark (*Quotient Map = Strong Continuity*)**

The condition of quotient map is **stronger** than continuity (it is called **strong continuity** in some literature).

$$\text{continuity : } U \text{ is open in } Y \Rightarrow \pi^{-1}(U) \text{ is open in } X$$

$$\text{open map : } \pi(V) \text{ is open in } Y \Leftarrow V \text{ is open in } X$$

$$\text{quotient map : } U \text{ is open in } Y \Leftrightarrow \pi^{-1}(U) \text{ is open in } X$$

An equivalent condition is to require that a subset  $A$  of  $K$  be **closed** in  $Y$  if and only if  $\pi^{-1}(A)$  is **closed** in  $X$ . Equivalence of the two conditions follows from equation

$$\pi^{-1}(Y \setminus B) = X \setminus \pi^{-1}(B).$$

- **Definition (Saturated Set and Fiber)**

If  $\pi : X \rightarrow Y$  is a **surjective map**, a subset  $U \subseteq X$  is said to be **saturated** with respect to  $\pi$  if  $U$  contains every set  $\pi^{-1}(\{y\})$  that it **intersects**. Thus  $U$  is **saturated** if it equals to the **entire preimage** of its **image**:  $U = \pi^{-1}(\pi(U))$ .

Given  $y \in Y$ , the **fiber** of  $\pi$  over  $y$  is the set  $\pi^{-1}(\{y\})$ .

- **Definition (Quotient Map via Saturated Set)**

A surjective map  $\pi : X \rightarrow Y$  is a **quotient map** if  $\pi$  is **continuous** and  $\pi$  maps **saturated open sets** of  $X$  to **open sets** of  $Y$  (or **saturated closed sets** of  $X$  to **closed sets** of  $Y$ ).

- **Definition (Open Map and Closed Map)**

A map  $f : X \rightarrow Y$  (continuous or not) is said to be an **open map** if for every **open** subset  $U \subseteq X$ , the image set  $f(U)$  is **open** in  $Y$ , and a **closed map** if for every **closed** subset  $K \subseteq X$ , the image  $f(K)$  is **closed** in  $Y$ .

- **Definition (Quotient Topology)**

If  $X$  is a space and  $A$  is a set and if  $\pi : X \rightarrow A$  is a **surjective map**, then there exists **exactly one topology**  $\mathcal{T}$  on  $A$  relative to which  $\pi$  is a quotient map; it is called **the quotient topology** induced by  $\pi$ .

- **Definition (Quotient Space)**

Suppose  $X$  is a topological space and  $\sim$  is an **equivalence relation** on  $X$ . Let  $X/\sim$  denote **the set of equivalence classes** in  $X$ , and let  $\pi : X \rightarrow X/\sim$  be the **natural projection** sending each **point** to its **equivalence class**. Endowed with **the quotient topology** determined by  $\pi$ , the space  $X/\sim$  is called **the quotient space** (or **identification space**) of  $X$  determined by  $\pi$ .

## 1.4 Continuous Function

### 1.4.1 Definitions

- **Definition** A map  $F : X \rightarrow Y$  is said to be **continuous** if for every open subset  $U \subseteq Y$ , the **preimage**  $F^{-1}(U)$  is **open** in  $X$ .

- **Remark Continuity of a function** depends *not only upon the function  $f$  itself*, but also *on the topologies specified for its domain and range*. If we wish to emphasize this fact, we can say that  $f$  is **continuous relative to specific topologies on  $X$  and  $Y$** .

- **Remark (Prove Continuity via Basis)**

If the topology of **the range space**  $Y$  is given by a **basis**  $\mathcal{B}$ , then to prove **continuity of  $f$**  it suffices to show that **the inverse image of every basis element is open**: The arbitrary

open set  $V$  of  $Y$  can be written as *a union of basis elements*

$$V = \bigcup_{\alpha \in J} B_\alpha$$

$$\Rightarrow f^{-1}(V) = \bigcup_{\alpha \in J} f^{-1}(B_\alpha)$$

- **Remark (*Prove Continuity via Subbasis*)**

If the topology on  $Y$  is given by **a subbasis**  $\mathcal{S}$ , to prove continuity of  $f$  it will even suffice to show that **the inverse image of each subbasis element is open**: The arbitrary basis element  $B$  for  $Y$  can be written as **a finite intersection**  $S_1 \cap \dots \cap S_n$  of subbasis elements; it follows from the equation

$$f^{-1}(B) = f^{-1}(S_1) \cap \dots \cap f^{-1}(S_n)$$

that the inverse image of every basis element is *open*.

- **Example ( *$\mathcal{F}$ -Weak Topology using Continuity Only*)**

One can **define a topology just** based on **the notion of continuity** from a family of functions. Let  $\mathcal{F}$  be a family of functions from a set  $S$  to a topological space  $(X, \mathcal{T})$ . The  **$\mathcal{F}$ -weak (or simply weak) topology** on  $S$  is the **coarest topology** for which **all the functions**  $f \in \mathcal{F}$  are **continuous**.

The  **$\mathcal{F}$ -weak topology**  $\mathcal{T}$  is generated by **subbasis**  $\mathcal{S}$  of the preimage sets  $S = f^{-1}(U)$  where  $f \in \mathcal{F}$  and  $U \in \mathcal{T}$ . And the basis of  $\mathcal{T}$  is **the collection of all finite intersections** of preimages  $f^{-1}(U)$  for  $f \in \mathcal{F}$  and  $U \in \mathcal{T}$ .

- **Proposition 1.9 (*Equivalent Definition of Continuity*)** [Munkres, 2000]

Let  $X$  and  $Y$  be topological spaces; let  $f : X \rightarrow Y$ . Then the following are equivalent:

1.  $f$  is **continuous**.
2. For every subset  $A$  of  $X$ , one has  $f(\bar{A}) \subseteq \overline{f(A)}$ .
3. For every **closed** set  $B$  of  $Y$ , the set  $f^{-1}(B)$  is **closed** in  $X$ .
4. For **each**  $x \in X$  and each **neighborhood**  $V$  of  $f(x)$ , there is a **neighborhood**  $U$  of  $x$  such that  $f(U) \subseteq V$ .

If the condition in (4) holds for the point  $x$  of  $X$ , we say that  **$f$  is continuous at the point  $x$** .

#### 1.4.2 Homomorphism

- **Definition (*Homomorphism*)**

A **continuous bijective** map  $f : X \rightarrow Y$  with **continuous inverse**

$$f^{-1} : Y \rightarrow X$$

is called a **homeomorphism**. If there exists a **homeomorphism** from  $X$  to  $Y$ , we say that  $X$  and  $Y$  are **homeomorphic**.

- **Definition (*Topological Embedding*)**

If  $X$  and  $Y$  are topological spaces, a **continuous injective** map  $f : X \rightarrow Y$  is called

a **topological embedding** if it is a **homeomorphism** onto its image  $f(X) \subseteq Y$  in the subspace topology (i.e.  $f^{-1}|_{f(X)} : f(X) \rightarrow X$  is continuous in  $Y$ ).

- **Remark (Smooth Embedding)**

If  $X$  and  $Y$  are smooth manifolds, a **smooth embedding**  $f : X \rightarrow Y$  when it is a **topological embedding**, and it is **smooth map** with **injective differential**  $df_x$  for all  $x \in X$  (called a **smooth immersion**).

### 1.4.3 Constructing Continuous Functions

- **Proposition 1.10 (Rules for Constructing Continuous Functions).** [Munkres, 2000]  
Let  $X$ ,  $Y$ , and  $Z$  be topological spaces.

1. **(Constant Function)** If  $f : X \rightarrow Y$  maps all of  $X$  into the **single point**  $y_0$  of  $Y$ , then  $f$  is **continuous**.
2. **(Inclusion)** If  $A$  is a subspace of  $X$ , the **inclusion function**  $\iota : A \xrightarrow{X}$  is **continuous**.
3. **(Composites)** If  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are continuous, then the map  $g \circ f : X \rightarrow Z$  is continuous.
4. **(Restricting the Domain)** If  $f : X \rightarrow Y$  is **continuous**, and if  $A$  is a subspace of  $X$ , then the **restricted function**  $f|_A : A \rightarrow Y$  is continuous.
5. **(Restricting or Expanding the Range)** Let  $f : X \rightarrow Y$  be **continuous**. If  $Z$  is a subspace of  $Y$  containing the **image** set  $f(X)$ , then the function  $g : X \rightarrow Z$  obtained by **restricting the range** of  $f$  is **continuous**. If  $Z$  is a space having  $Y$  as a **subspace**, then the function  $h : X \rightarrow Z$  obtained by **expanding the range** of  $f$  is **continuous**.
6. **(Local Formulation of Continuity)** The map  $f : X \rightarrow Y$  is **continuous** if  $X$  can be written as the **union of open sets**  $U_\alpha$  such that  $f|_{U_\alpha}$  is **continuous** for each  $\alpha$ .

- **Theorem 1.11 (The Pasting Lemma / Gluing Lemma).** [Munkres, 2000]

Let  $X = A \cup B$ , where  $A$  and  $B$  are **closed** in  $X$ . Let  $f : A \rightarrow Y$  and  $g : B \rightarrow Y$  be **continuous**. If  $f(x) = g(x)$  for **every**  $x \in A \cap B$ , then  $f$  and  $g$  combine to give a **continuous function**  $h : X \rightarrow Y$ , defined by setting  $h|_A = f$ , and  $h|_B = g$ .

- **Remark** The set  $A$  and  $B$  can be open sets, and the gluing lemma comes “**Local Formulation of Continuity**”.

- **Remark** Notice the condition for the *gluing lemma*:

1. The domain  $X$  is a union of two **closed sets (or open sets)**  $A$  and  $B$
2. The two functions  $f$  and  $g$  are **continuous** each of closed domain sets, respectively
3.  $f$  and  $g$  **agree on the intersection** of two sets  $A \cap B$ .

- **Theorem 1.12 (Maps into Products).** [Munkres, 2000]

Let  $f : A \rightarrow X \times Y$  be given by the equation

$$f(a) = (f_1(a), f_2(a)).$$



Then  $f$  is **continuous** if and only if the functions

$$f_1 : A \rightarrow X \quad \text{and} \quad f_2 : A \rightarrow Y$$

are **continuous**. The maps  $f_1$  and  $f_2$  are called the coordinate functions of  $f$ .

## 1.5 Metric Topology

- **Definition (Metric Space)**

A **metric space** is a set  $M$  and a real-valued function  $d(\cdot, \cdot) : M \times M \rightarrow \mathbb{R}$  which satisfies:

1. (**Non-Negativity**)  $d(x, y) \geq 0$
2. (**Definiteness**)  $d(x, y) = 0$  if and only if  $x = y$
3. (**Symmetric**)  $d(x, y) = d(y, x)$
4. (**Triangle Inequality**)  $d(x, z) \leq d(x, y) + d(y, z)$

The function  $d$  is called a **metric** on  $M$ . The metric space  $M$  equipped with metric  $d$  is denoted as  $(M, d)$ .

- **Definition ( $\epsilon$ -Ball)**

Given a metric  $d$  on  $X$ , the number  $d(x, y)$  is often called *the distance between  $x$  and  $y$  in the metric  $d$* . Given  $\epsilon > 0$ , consider the set

$$B_d(x, \epsilon) = \{y : d(x, y) < \epsilon\}$$

of all points  $y$  whose distance from  $x$  is less than  $\epsilon$ . It is called the  $\epsilon$ -ball centered at  $x$ . Sometimes we omit the metric  $d$  from the notation and write this ball simply as  $B(x, \epsilon)$ , when no confusion will arise.

- **Definition (Metric Topology)**

If  $d$  is a metric on the set  $X$ , then the collection of all  $\epsilon$ -balls  $B_d(x, \epsilon)$ , for  $x \in X$  and  $\epsilon > 0$ , is a **basis** for a topology on  $X$ , called the metric topology induced by  $d$ .

- **Definition (Metrizability)**

If  $X$  is a topological space,  $X$  is said to be **metrizable** if there exists a metric  $d$  on the set  $X$  that induces the topology of  $X$ . A metric space is a metrizable space  $X$  together with a specific metric  $d$  that gives the topology of  $X$ .

- **Theorem 1.13 ( $\epsilon$ - $\delta$  Definition of Continuous Function in Metric Space).** [Munkres, 2000]

Let  $f : X \rightarrow Y$ ; let  $X$  and  $Y$  be **metrizable** with metrics  $d_x$  and  $d_y$ , respectively. Then **continuity** of  $f$  is **equivalent** to the requirement that given  $x \in X$  and given  $\epsilon > 0$ , there exists  $\delta > 0$  such that

$$d_x(x, y) < \delta \Rightarrow d_y(f(x), f(y)) < \epsilon.$$

- **Remark** To use  $\epsilon$ - $\delta$  definition, both **domain** and **codomain** need to be **metrizable**.

- **Lemma 1.14 (The Sequence Lemma).** [Munkres, 2000]

Let  $X$  be a topological space; let  $A \subseteq X$ . If there is a sequence of points of  $A$  **converging** to  $x$ , then  $x \in \bar{A}$ ; the **converse** holds if  $X$  is **metrizable**.

- **Proposition 1.15** *Let  $f : X \rightarrow Y$ . If the function  $f$  is **continuous**, then for every **convergent** sequence  $x_n \rightarrow x$  in  $X$ , the sequence  $f(x_n)$  **converges** to  $f(x)$ . The **converse** holds if  $X$  is **metrizable**.*
- **Remark** To show the converse part, i.e. “if  $x_n \rightarrow x \Rightarrow f(x_n) \rightarrow f(x)$  then  $f$  is continuous”, we just need the space  $X$  to be **first countable**. That is, at each point  $x$ , there is a **countable collection**  $(U_n)_{n \in \mathbb{Z}_+}$  of **neighborhoods** of  $x$  such that any neighborhood  $U$  of  $x$  contains at least one of the sets  $U_n$ .
- **Proposition 1.16 (Arithmetic Operations of Continuous Functions).**  
*If  $X$  is a topological space, and if  $f, g : X \rightarrow Y$  are continuous functions, then  $f + g$ ,  $f - g$ , and  $f \cdot g$  are continuous. If  $g(x) \neq 0$  for all  $x$ , then  $f/g$  is continuous.*
- **Definition (Uniform Convergence)**  
Let  $f_n : X \rightarrow Y$  be a sequence of functions from the **set**  $X$  to **the metric space**  $Y$ . Let  $d$  be the metric for  $Y$ . We say that the sequence  $(f_n)$  **converges uniformly** to the function  $f : X \rightarrow Y$  if given  $\epsilon > 0$ , there exists an integer  $N$  such that

$$d(f_n(x), f(x)) < \epsilon$$

for all  $n > N$  and **all**  $x$  in  $X$ .

- **Theorem 1.17 (Uniform Limit Theorem).** [Munkres, 2000]  
*Let  $f_n : X \rightarrow Y$  be a sequence of **continuous** functions from the **topological** space  $X$  to the **metric space**  $Y$ . If  $(f_n)$  converges **uniformly** to  $f$ , then  $f$  is **continuous**.*

## 1.6 Connectedness and Local Connectedness

- **Remark** **Connectedness** and **compactness** are basic **topological properties**. Both of them are defined based on a collection of open subsets.
  1. **Connectedness** is a **global topological property**: a topological space is **connected** if it cannot be partitioned by two **disjoint nonempty** open subsets. **Connectedness** reveals the information of **entire space not just within a neighborhood**. **Connectedness** is **compatible** with the **continuity** of functions as it implies **the intermediate value theorem**, which in turn, can be used to construct **inverse function**. Moreover, **connectedness** defines **an equivalence relationship** which allows a **partition** of the space into **components**.
  2. **Connectedness** is a **local-to-global topological property**: a topological space is **compact** if every open cover have a finite sub-cover. Using **finite sub-cover**, **local properties** defined **within each neighborhood** can be **generalized globally** to entire space. Concept of functions that are closely related to compactness is **the uniform continuity** and **the maximum value theorem**. The compactness allows us to drop dependency on each individual point  $x$ .

Compared to **connectedness**, **compactness** is usually a **strong condition** on the topological space.

- **Definition (Separation and Connectedness)**  
Let  $X$  be a topological space. A **separation** of  $X$  is a pair  $U, V$  of **disjoint nonempty open subsets** of  $X$  whose union is  $X$ .

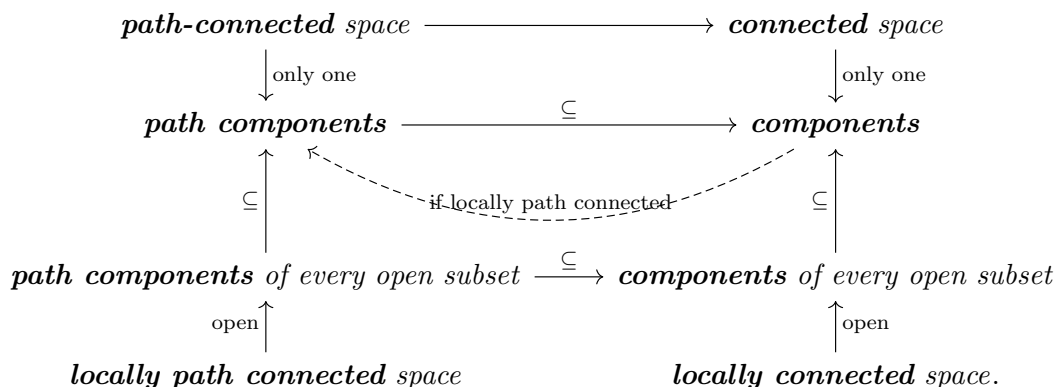
The space  $X$  is said to be **connected** if there *does not exist a separation* of  $X$ .

- **Definition** Equivalently,  $X$  is **connected** if and only if the only subsets of  $X$  that are **both open and closed** are  $\emptyset$  and  $X$  itself.
- **Definition** Recall that a topological space  $X$  is
  - **connected** if there do not exist two *disjoint, nonempty, open* subsets of  $X$  whose union is  $X$ ;
  - **path-connected** if every pair of points in  $X$  can be **joined by a path** in  $X$ , and
  - **locally path-connected** if  $X$  has a **basis** of *path-connected open* subsets.

- **Theorem 1.18 (Intermediate Value Theorem).** [Munkres, 2000]

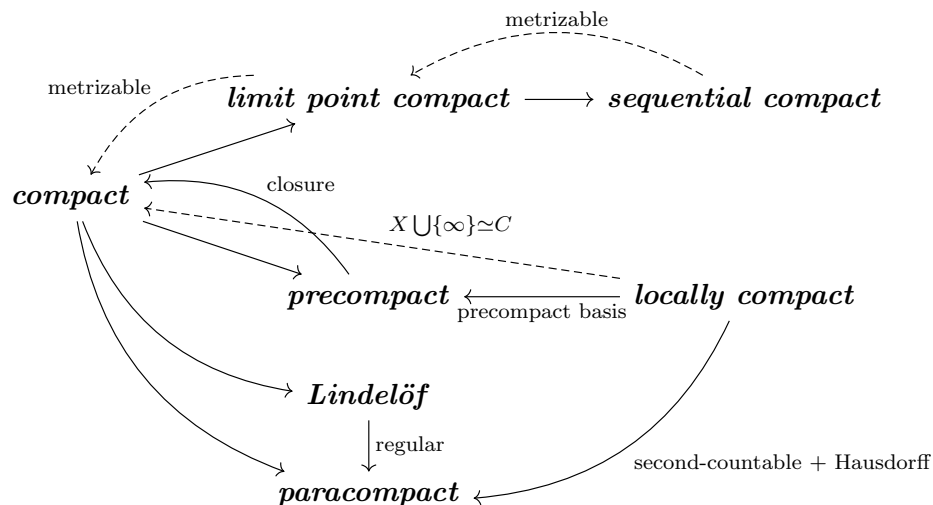
Let  $f : X \rightarrow Y$  be a **continuous** map, where  $X$  is a **connected** space and  $Y$  is an ordered set in the **order topology**. If  $a$  and  $b$  are two points of  $X$  and if  $r$  is a point of  $Y$  lying between  $f(a)$  and  $f(b)$ , then there **exists** a point  $c$  of  $X$  such that  $f(c) = r$ .

- **Concepts Related to Connectedness**



## 1.7 Compactness and Local Compactness

- **Concepts Related to Compactness**



- **Definition (Covering of Set and Open Covering of Topological Set)**

A collection  $\mathcal{A}$  of subsets of a space  $X$  is said to cover  $X$ , or to be a covering of  $X$ , if the union of the elements of  $\mathcal{A}$  is equal to  $X$ .

It is called an open covering of  $X$  if its elements are *open subsets* of  $X$ .

- **Definition (Compactness)**

A topological space  $X$  is said to be compact if *every open covering*  $\mathcal{A}$  of  $X$  contains a **finite subcollection** that also *covers*  $X$ .

- To prove *compactness*, the following property is useful:

**Definition (Finite Intersection Property)**

A collection  $\mathcal{C}$  of subsets of  $X$  is said to have the finite intersection property if for *every finite subcollection*

$$\{C_1, \dots, C_n\}$$

of  $\mathcal{C}$ , the *intersection*  $C_1 \cap \dots \cap C_n$  is **nonempty**.

- **Proposition 1.19 (Equivalent Definition of Compactness)** [Munkres, 2000]

Let  $X$  be a topological space. Then  $X$  is **compact** if and only if for every collection  $\mathcal{C}$  of **closed** sets in  $X$  having the finite intersection property, the intersection  $\bigcap_{C \in \mathcal{C}} C$  of all the elements of  $\mathcal{C}$  is **nonempty**.

- **Definition** If  $X$  and  $Y$  are topological spaces, a map  $F : X \rightarrow Y$  (continuous or not) is said to be proper if for every **compact** set  $K \subseteq Y$ , the **preimage**  $F^{-1}(K)$  is **compact**.

- **Corollary 1.20 (Closed Interval in Real Line is Compact)** [Munkres, 2000]

*Every closed interval in  $\mathbb{R}$  is compact.*

- **Proposition 1.21 (Closed and Bounded in Euclidean Metric = Compact)** [Munkres, 2000]

A subspace  $A$  of  $\mathbb{R}^n$  is **compact** if and only if it is closed and is bounded in the euclidean metric  $d$  or the square metric  $\rho$

- **Theorem 1.22 (Extreme Value Theorem).** [Munkres, 2000]

Let  $f : X \rightarrow Y$  be **continuous**, where  $Y$  is an **ordered set** in the order topology. If  $X$  is **compact**, then there exist points  $c$  and  $d$  in  $X$  such that  $f(c) \leq f(x) \leq f(d)$  for every  $x \in X$ .

- **Definition (Uniform Continuity)**

A function  $f : (X, d_X) \rightarrow (Y, d_Y)$  is said to be uniformly continuous if given  $\epsilon > 0$ , there is a  $\delta > 0$  such that for every pair of points  $x_0, x_1$  of  $X$ ,

$$d_X(x_0, x_1) < \delta \quad \Rightarrow \quad d_Y(f(x_0), f(x_1)) < \epsilon.$$

- **Theorem 1.23 (Uniform Continuity Theorem).** [Munkres, 2000]

Let  $f : X \rightarrow Y$  be a **continuous** map of the **compact** metric space  $(X, d_X)$  to the metric space  $(Y, d_Y)$ . Then  $f$  is **uniformly continuous**.

- **Definition (Limit Point Compactness)**

A space  $X$  is said to be limit point compact if every infinite subset of  $X$  has a **limit point**.

- **Proposition 1.24 (Compactness  $\Rightarrow$  Limit Point Compactness)** [Munkres, 2000]

*Compactness implies limit point compactness, but not conversely.*

- **Example (Limit Point Compactness  $\ncong$  Compactness)**

Let  $Y$  consist of **two points**; give  $Y$  the topology consisting of  $Y$  and the empty set. Then the space  $X = \mathbb{Z}_+ \times Y$  is **limit point compact**, for *every nonempty subset of  $X$  has a limit point*. It is **not compact**, for the covering of  $X$  by the open sets  $U_n = \{n\} \times Y$  has *no finite subcollection covering  $X$* . ■

- **Definition (Sequential Compactness)**

Let  $X$  be a topological space. If  $(x_n)$  is a *sequence* of points of  $X$ , and if

$$n_1 < n_2 < \dots < n_i < \dots$$

is an increasing sequence of positive integers, then the sequence  $(y_i)$  defined by setting  $y_i = x_{n_i}$  is called a **subsequence** of the sequence  $(x_n)$ .

The space  $X$  is said to be **sequentially compact** if *every sequence of points of  $X$  has a convergent subsequence*.

- **Theorem 1.25 (Equivalent Definitions of Compactness in Metric Space)** [Munkres, 2000]

Let  $X$  be a **metrizable space**. Then the following are **equivalent**:

1.  $X$  is **compact**.
2.  $X$  is **limit point compact**.
3.  $X$  is **sequentially compact**.

- **Definition** A topological space  $X$  is said to be **locally compact** if every point has a **neighborhood** contained in a **compact subset** of  $X$ .

A subset of  $X$  is said to be **precompact** in  $X$  if its **closure** in  $X$  is **compact**.

- If  $X$  is not a compact Hausdorff space, then under what conditions is  $X$  homeomorphic with a **subspace** of a compact Hausdorff space ?

**Theorem 1.26 (Unique One-Point Compactification)** [Munkres, 2000]

Let  $X$  be a space. Then  $X$  is **locally compact Hausdorff** if and only if there exists a space  $Y$  satisfying the following conditions:

1.  $X$  is a subspace of  $Y$ .
2. The set  $Y \setminus X$  consists of **a single point** (which is the limit point of  $X$ ).
3.  $Y$  is a **compact Hausdorff** space.

If  $Y$  and  $Y'$  are two spaces satisfying these conditions, then there is a **homeomorphism** of  $Y$  with  $Y'$  that equals **the identity map** on  $X$ .

- **Definition (One-Point Compactification)**

If  $Y$  is a **compact Hausdorff** space and  $X$  is a proper subspace of  $Y$  whose **closure** equals  $Y$ , then  $Y$  is said to be a **compactification** of  $X$ .

If  $Y \setminus X$  equals a *single point*, then  $Y$  is called **the one-point compactification** of  $X$ .

- **Proposition 1.27 (Locally Compact Hausdorff = Precompact Basis)** [Munkres, 2000]

Let  $X$  be a **Hausdorff** space. Then  $X$  is **locally compact** if and only if given  $x$  in  $X$ ,

and given a neighborhood  $U$  of  $x$ , there is a neighborhood  $V$  of  $x$  such that  $\bar{V}$  is **compact** and  $\bar{V} \subseteq U$ .

- **Corollary 1.28 (Closed or Open Subspace)** [Munkres, 2000]

Let  $X$  be locally compact Hausdorff; let  $A$  be a subspace of  $X$ . If  $A$  is **closed** in  $X$  or **open** in  $X$ , then  $A$  is locally compact.

- **Corollary 1.29** [Munkres, 2000]

A space  $X$  is **homeomorphic** to an **open** subspace of a **compact Hausdorff** space if and only if  $X$  is **locally compact Hausdorff**.

- For a **Hausdorff** space  $X$ , the following are equivalent:

1.  $X$  is **locally compact**.
2. Each point of  $X$  has a **precompact** neighborhood.
3.  $X$  has a basis of **precompact** open subsets.

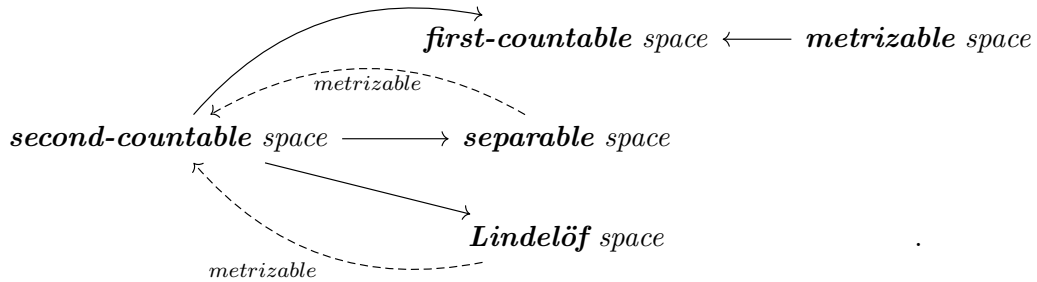
- **Theorem 1.30 (Tychonoff Theorem)**. [Munkres, 2000]

An arbitrary product of compact spaces is **compact** in the product topology.

## 1.8 Countability and Separability

### 1.8.1 Countability Axioms

- *Concepts Related to Countability Axioms*



- **Definition (Countability)**

A topological space  $X$  is said to be

1. **first-countable** if there is a **countable neighborhood basis** at each point,
2. **second-countable** if there is a **countable basis** for its topology.

- **Proposition 1.31 (Limit Point Detected by Convergent Sequence)** [Munkres, 2000]

Let  $X$  be a topological space.

1. Let  $A$  be a subset of  $X$ . If there is a sequence of points of  $A$  converging to  $x$ , then  $x \in \bar{A}$ ; the **converse** holds if  $X$  is **first-countable**.
2. Let  $f : X \rightarrow Y$ . If  $f$  is continuous, then for every convergent sequence  $x_n \rightarrow x$  in  $X$ , the sequence  $f(x_n)$  converges to  $f(x)$ . The **converse** holds if  $X$  is **first-countable**.

- **Definition (*Dense Subset*)**

A subset  $A$  of a space  $X$  is said to be **dense** in  $X$  if  $\bar{A} = X$ . (That is, *every point in  $X$  is a limit point of  $A$ .*)

- **Definition (*Separability*)**

A topological space  $X$  is called **separable** if and only if it has a **countable dense set**.

- **Definition (*Lindelöf Space*)**

A space for which *every open covering* contains a **countable subcovering** is called a **Lindelöf space**.

- **Proposition 1.32 (*Properties of Second-Countability*)** [Munkres, 2000]

Suppose that  $X$  has a **countable basis**. Then:

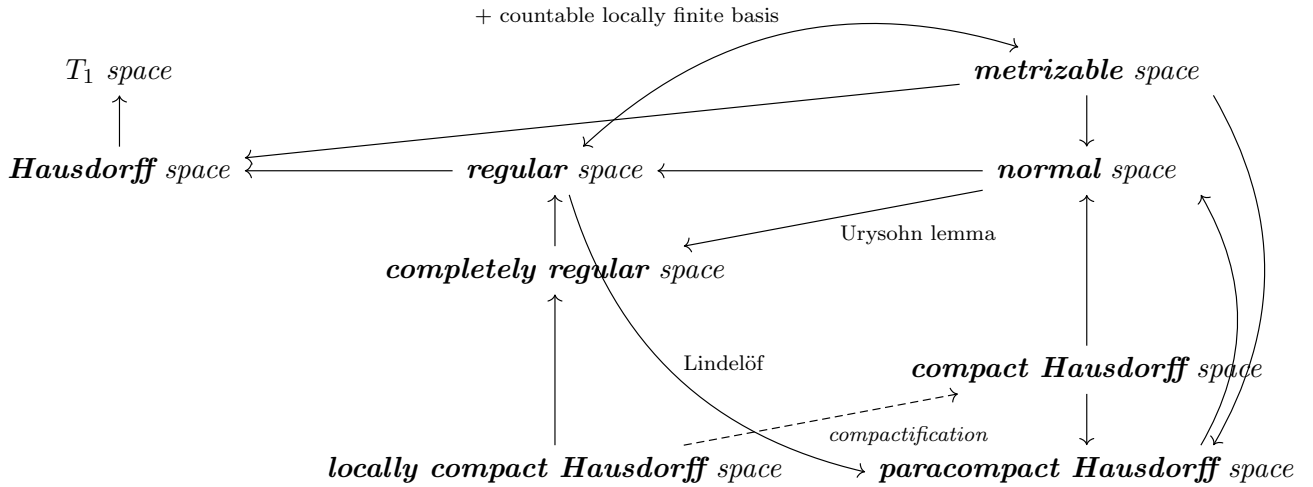
1. Every **open covering** of  $X$  contains a **countable subcollection** covering  $X$ . ( $X$  is **Lindelöf space**)
2. There exists a **countable subset** of  $X$  that is **dense** in  $X$ . ( $X$  is **separable**)

- **Proposition 1.33 (*Metric Space Countability and Separability*)**

1. Every **metric space** is **first countable**.
2. A metric space is **second countable** if and only if it is **separable**.
3. Any **second countable topological space** is **separable**.

## 1.8.2 Separability Axioms

- **Concepts Related to Separation Axioms**



- **Definition (*Separation Axioms*)**

1. A topological space is called a  **$T_1$  space** if and only if for all  $x$  and  $y$ ,  $x \neq y$ , there is an **open set**  $U$  with  $y \in U$ ,  $x \notin U$ .

Equivalently, a space is  $T_1$  if and only if  $\{x\}$  is **closed** for each  $x$ .

2. A topological space is called **Hausdorff** (or  $T_2$ ) if and only if for all  $x$  and  $y$ ,  $x \neq y$ , there are **open sets**  $U, V$  such that  $x \in U$ ,  $y \in V$ , and  $U \cap V = \emptyset$ .

3. A topological space is called **regular** (or  $T_3$ ) if and only if it is  $T_1$  and for all  $x$  and  $C$ , **closed**, with  $x \notin C$ , there are **open sets**  $U, V$  such that  $x \in U$ ,  $C \subset V$ , and  $U \cap V = \emptyset$ .

Equivalently, a space is  $T_3$  if the **closed neighborhoods** of any point are a **neighborhood base**.

4. A topological space is called **normal** (or  $T_4$ ) if and only if it is  $T_1$  and for all  $C_1, C_2$ , **closed**, with  $C_1 \cap C_2 = \emptyset$ , there are **open sets**  $U, V$  with  $C_1 \subset U$ ,  $C_2 \subset V$ , and  $U \cap V = \emptyset$ .

- **Proposition 1.34**

$$T_4 \Rightarrow T_3 \Rightarrow T_2 \Rightarrow T_1$$

- **Proposition 1.35 (*Limit Point in  $T_1$  Axiom*)**. [Munkres, 2000]

Let  $X$  be a space satisfying the  $T_1$  axiom; let  $A$  be a subset of  $X$ . Then the point  $x$  is a **limit point** of  $A$  if and only if every **neighborhood** of  $x$  contains **infinitely many points** of  $A$ .

- **Proposition 1.36 (*Limit Point is Unique in Hausdorff Space*)**. [Munkres, 2000]

If  $X$  is a **Hausdorff space**, then a sequence of points of  $X$  **converges to at most one point** of  $X$ .

- **Lemma 1.37** Let  $X$  be a topological space. Let one-point sets in  $X$  be closed.

1.  $X$  is **regular** if and only if given a point  $x$  of  $X$  and a neighborhood  $U$  of  $x$ , there is a **neighborhood**  $V$  of  $x$  such that  $\bar{V} \subseteq U$ .
2.  $X$  is **normal** if and only if given a **closed** set  $A$  and an open set  $U$  containing  $A$ , there is an **open set**  $V$  containing  $A$  such that  $\bar{V} \subseteq U$ .

- **Proposition 1.38** [Munkres, 2000]

Every **locally compact Hausdorff** space is **regular**.

## 1.9 Important Results and Theorems on Normal Space

- **Theorem 1.39 (*Regular + Second-Countable  $\Rightarrow$  Normal*)**[Munkres, 2000]

Every **regular** space with a **countable basis** is **normal**.

- **Theorem 1.40** [Munkres, 2000]

Every **metrizable** space is **normal**.

- **Theorem 1.41** [Munkres, 2000, Reed and Simon, 1980]

Every **compact Hausdorff** space  $X$  is **normal**.

- **Theorem 1.42 (*Urysohn Lemma*)**. [Munkres, 2000]

Let  $X$  be a **normal** space; let  $A$  and  $B$  be **disjoint closed subsets** of  $X$ . Let  $[a, b]$  be a **closed interval** in the real line. Then there exists a **continuous map**

$$f : X \rightarrow [a, b]$$

such that  $f(x) = a$  for **every**  $x$  in  $A$ , and  $f(x) = b$  for **every**  $x$  in  $B$ .

- **Theorem 1.43 (*Urysohn Lemma, Locally Compact Version*)**. [Folland, 2013]

Let  $X$  be a **locally compact Hausdorff** space and  $K \subseteq U \subseteq X$  where  $K$  is **compact** and



$U$  is **open**. Then there exists a **continuous** map

$$f : X \rightarrow [0, 1]$$

such that  $f(x) = 1$  for **every**  $x \in K$ , and  $f(x) = 0$  for  $x$  outside a **compact subset** of  $U$ .

- **Theorem 1.44 (Tietze Extension Theorem)** [Munkres, 2000, Reed and Simon, 1980]  
Let  $X$  be a **normal space**; let  $A$  be a **closed subspace** of  $X$ .
  1. Any **continuous** map of  $A$  into the **closed interval**  $[a, b]$  of  $\mathbb{R}$  may be **extended** to a **continuous** map of **all of**  $X$  into  $[a, b]$ .
  2. Any **continuous** map of  $A$  into  $\mathbb{R}$  may be **extended** to a **continuous** map of **all of**  $X$  into  $\mathbb{R}$ .
- **Theorem 1.45 (Tietze Extension Theorem, Locally Compact Version)** [Folland, 2013]  
Let  $X$  be a **locally compact Hausdorff space**; let  $K$  be a **compact subspace** of  $X$ . If  $f \in C(K)$  is a **continuous** map of  $K$  into  $\mathbb{R}$ , there exists a **continuous** extension  $F \in C(X)$  of **all of**  $X$  into  $\mathbb{R}$  such that  $F|_K = f$ . Moreover,  $F$  may be taken to **vanish outside a compact set**.
- **Theorem 1.46 (The Urysohn Metrization Theorem)**. [Munkres, 1975, Folland, 2013]  
Every **second countable normal space** is **metrizable**.

## 1.10 Nets

- **Definition (Directed System of Index Set)**  
A **directed system** is an **index set**  $I$  together with an **ordering**  $\prec$  which satisfies:
  1. If  $\alpha, \beta \in I$  then there exists  $\gamma \in I$  so that  $\gamma \succ \alpha$  and  $\gamma \succ \beta$ .
  2.  $\prec$  is a **partial ordering**.
- **Definition (Net)**  
A **net** in a topological space  $X$  is a mapping from a **directed system**  $I$  to  $X$ ; we denote it by  $\{x_\alpha\}_{\alpha \in I}$
- **Remark (Net vs. Sequence)**  
**Net** is a generalization and abstraction of **sequence**. The directed system  $I$  is **not necessarily countable**. So  $\{x_\alpha\}_{\alpha \in I}$  may not be a countable sequence. A **sequence** is a **net** with **countable index set**  $I \subseteq \mathbb{N}$ . The directed system can be any set e.g. a graph.
- **Definition** If  $P(\alpha)$  is a **proposition** depending on an **index**  $\alpha$  in a **directed set**  $I$  we say  **$P(\alpha)$  is eventually true** if there is a  $\beta$  in  $I$  with  $P(\alpha)$  true if for all  $\alpha \succ \beta$ .  
We say  **$P(\alpha)$  is frequently true** if it is **not eventually false**, that is, if for any  $\beta$  there exists an  $\alpha \succ \beta$  with  $P(\alpha)$  true.
- **Definition (Convergence)**  
A **net**  $\{x_\alpha\}_{\alpha \in I}$  in a topological space  $X$  is said to **converge** to a point  $x \in X$  (written  $x_\alpha \rightarrow x$ ) if for **any neighborhood**  $N$  of  $x$ , **there exists** a  $\beta \in I$  so that  $x_\alpha \in N$  if  $\alpha \succ \beta$ . The point  $x$  that being converged to is called **the limit point** of  $x_\alpha$ .

Note that if  $x_\alpha \rightarrow x$ , then  $x_\alpha$  is eventually in all neighborhoods of  $x$ . If  $x_\alpha$  is frequently in any neighborhood of  $x$ , we say that  $x$  is a cluster point of  $x_\alpha$ .

- **Proposition 1.47** [Reed and Simon, 1980]

Let  $A$  be a set in a topological space  $X$ . Then, a point  $x$  is in the **closure** of  $A$  if and only if there is a net  $\{x_\alpha\}_{\alpha \in I}$  with  $x_\alpha \in A$ , So that  $x_\alpha \rightarrow x$ .

- **Proposition 1.48** [Reed and Simon, 1980]

1. (**Continuous Function**): A function  $f$  from a topological space  $X$  to a topological space  $Y$  is **continuous** if and only if for **every convergent net**  $\{x_\alpha\}_{\alpha \in I}$  in  $X$ , with  $x_\alpha \rightarrow x$ , the net  $\{f(x_\alpha)\}_{\alpha \in I}$  **converges in**  $Y$  to  $f(x)$ .

2. (**Uniqueness of Limit Point for Hausdorff Space**): Let  $X$  be a **Hausdorff space**. Then a net  $\{x_\alpha\}_{\alpha \in I}$  in  $X$  can have **at most one limit**; that is, if  $x_\alpha \rightarrow x$  and  $x_\alpha \rightarrow y$ , then  $x = y$ .

- **Definition** A net  $\{x_\alpha\}_{\alpha \in I}$  is a subnet of a net  $\{y_\beta\}_{\beta \in J}$  if and only if there is a function  $F : I \rightarrow J$  such that

1.  $x_\alpha = y_{F(\alpha)}$  for each  $\alpha \in I$ .

2. For all  $\beta' \in J$ , there is an  $\alpha' \in I$  such that  $\alpha \succ \alpha'$  implies  $F(\alpha) \succ \beta'$  (that is,  $F(\alpha)$  is **eventually larger** than any fixed  $\beta \in J$ ).

- **Proposition 1.49** A point  $x$  in a topological space  $X$  is a **cluster point** of a net  $\{x_\alpha\}_{\alpha \in I}$  if and only if **some subnet** of  $\{x_\alpha\}_{\alpha \in I}$  **converges** to  $x$ .

- **Theorem 1.50 (The Bolzano-Weierstrass Theorem)** [Reed and Simon, 1980]  
A space  $X$  is **compact** if and only if **every net in**  $X$  **has a convergent subnet**.

## 2 Topology in Function Space

### 2.1 Complete Metric Space

- **Definition (Cauchy Net in Topological Vector Space)**

A net  $\{x_\alpha\}_{\alpha \in I}$  in **topological vector space**  $X$  is called Cauchy if the net  $\{x_\alpha - x_\beta\}_{(\alpha, \beta) \in I \times I}$  **converges to zero**. (Here  $I \times I$  is **directed** in the usual way:  $(\alpha, \beta) \prec (\alpha', \beta')$  if and only if  $\alpha \prec \alpha'$  and  $\beta \prec \beta'$ .)

- **Definition (Completeness)**

A topological vector space  $X$  is **complete** if every Cauchy net converges.

- **Proposition 2.1 (Complete First Countable Topological Vector Space)**

If  $X$  is a **first-countable topological vector space** and every **Cauchy sequence** in  $X$  **converges**, then every **Cauchy net** in  $X$  **converges**.

- **Proposition 2.2 (Completeness of Euclidean Space)** [Munkres, 2000]

Euclidean space  $\mathbb{R}^k$  is **complete** in either of its usual **metrics**, the **euclidean metric**  $d$  or the **square metric**  $\rho$ .

- **Lemma 2.3 (Convergence in Product Space is Weak Convergence)** [Munkres, 2000]

Let  $X$  be the product space  $X = \prod_{\alpha} X_{\alpha}$ ; let  $x_n$  be a sequence of points of  $X$ . Then  $x_n \rightarrow x$  if and only if  $\pi_{\alpha}(x_n) \rightarrow \pi_{\alpha}(x)$  for each  $\alpha$ .

- **Proposition 2.4 (Completeness of Countable Product Space)** [Munkres, 2000]

There is a metric for the product space  $\mathbb{R}^{\omega}$  relative to which  $\mathbb{R}^{\omega}$  is **complete**.

- **Definition (Uniform Metric in Function Space)**

Let  $(Y, d)$  be a metric space; let  $\bar{d}(a, b) = \min\{d(a, b), 1\}$  be the **standard bounded metric** on  $Y$  derived from  $d$ . If  $x = (x_{\alpha})_{\alpha \in J}$  and  $y = (y_{\alpha})_{\alpha \in J}$  are points of the cartesian product  $Y^J$ , let

$$\bar{\rho}(x, y) = \sup \{ \bar{d}(x_{\alpha}, y_{\alpha}) : \alpha \in J \}.$$

It is easy to check that  $\bar{\rho}$  is a metric; it is called **the uniform metric** on  $Y^J$  corresponding to the metric  $d$  on  $Y$ .

Note that **the space of all functions**  $f : J \rightarrow Y$ , denoted as  $Y^J$ , is a subset of the product space  $J \times Y$ . We can define uniform metric in the function space: if  $f, g : J \rightarrow Y$ , then

$$\bar{\rho}(f, g) = \sup \{ \bar{d}(f(\alpha), g(\alpha)) : \alpha \in J \}.$$

- **Proposition 2.5 (Completeness of Function Space Under Uniform Metric)** [Munkres, 2000]

If the space  $Y$  is **complete** in the metric  $d$ , then the space  $Y^J$  is **complete** in the **uniform metric**  $\bar{\rho}$  corresponding to  $d$ .

- **Definition (Space of Continuous Functions and Bounded Functions)**

Let  $Y^X$  be the space of all functions  $f : X \rightarrow Y$ , where  $X$  is a topological space and  $Y$  is a metric space with metric  $d$ . Denote the **subspace** of  $Y^X$  consisting of all **continuous functions**  $f$  as  $\mathcal{C}(X, Y)$ .

Also denote the set of all **bounded functions**  $f : X \rightarrow Y$  as  $\mathcal{B}(X, Y)$ . (A function  $f$  is said to be **bounded** if its image  $f(X)$  is a **bounded subset** of the metric space  $(Y, d)$ .)

- **Proposition 2.6 (Completeness of  $\mathcal{C}(X, Y)$  and  $\mathcal{B}(X, Y)$  Under Uniform Metric)** [Munkres, 2000]

Let  $X$  be a topological space and let  $(Y, d)$  be a metric space. The set  $\mathcal{C}(X, Y)$  of **continuous functions** is **closed** in  $Y^X$  under the **uniform metric**. So is the set  $\mathcal{B}(X, Y)$  of **bounded functions**. Therefore, if  $Y$  is **complete**, these spaces are **complete** in the **uniform metric**.

- **Definition (Sup Metric on Bounded Functions)**

If  $(Y, d)$  is a metric space, one can define another metric on the set  $\mathcal{B}(X, Y)$  of **bounded functions** from  $X$  to  $Y$  by the equation

$$\rho(x, y) = \sup \{ d(f(x), g(x)) : x \in X \}.$$

It is easy to see that  $\rho$  is well-defined, for the set  $f(X) \cup g(X)$  is **bounded** if both  $f(X)$  and  $g(X)$  are. The metric  $\rho$  is called **the sup metric**.

- **Theorem 2.7 (Existence of Completion)** [Munkres, 2000]

Let  $(X, d)$  be a metric space. There is an **isometric embedding** of  $X$  into a **complete metric space**.

- **Definition (Completion)**

Let  $X$  be a *metric space*. If  $h : X \rightarrow Y$  is an **isometric embedding** of  $X$  into a **complete metric space**  $Y$ , then the **subspace**  $h(X)$  of  $Y$  is a *complete metric space*. It is called the completion of  $X$ .

- **Definition (Topological Complete)**

A space  $X$  is said to be **topologically complete** if there *exists* a metric for the *topology* of  $X$  relative to which  $X$  is *complete*.

- **Proposition 2.8 (Properties of Topological Complete)** [Munkres, 2000]

The followings are properties of topological completeness:

1. A **closed** subspace of a topologically complete space is topologically complete.
2. A **countable product** of topologically complete spaces is topologically complete (in the **product topology**).
3. An **open** subspace of a topologically complete space is topologically complete.
4. A  $G_\delta$  set in a topologically complete space is topologically complete.

## 2.2 Compactness in Metric Spaces

- **Remark (Compactness and Completeness)**

How is **compactness** of a metric space  $X$  related to **completeness** of  $X$ ?

The followings is from the *sequential compactness* and definition of *completeness*:

**Proposition 2.9** Every **compact** metric space is **complete**.

The *converse* does not hold – a **complete metric space need not be compact**. It is reasonable to ask what **extra condition** one needs to impose on a complete space to be assured of its compactness. Such a condition is the one called *total boundedness*.

- **Definition (Total Boundedness)**

A metric space  $(X, d)$  is said to be **totally bounded** if for every  $\epsilon > 0$ , there is a **finite covering** of  $X$  by  $\epsilon$ -balls.

- **Theorem 2.10** [Munkres, 2000]

A metric space  $(X, d)$  is **compact** if and only if it is **complete** and **totally bounded**.

- **Remark** We now apply this result to find **the compact subspaces** of the space  $\mathcal{C}(X, \mathbb{R}^n)$ , in the **uniform topology**. We know that a subspace of  $\mathbb{R}^n$  is compact if and only if it is **closed** and **bounded**.

One might hope that an analogous result holds for  $\mathcal{C}(X, \mathbb{R}^n)$ . **But** it does not, even if  $X$  is *compact*. One needs to assume that the subspace of  $\mathcal{C}(X, \mathbb{R}^n)$  satisfies an **additional condition**, called **equicontinuity**.

- **Definition (Equicontinuity)** [Reed and Simon, 1980, Munkres, 2000]

Let  $(Y, d)$  be a *metric space*. Let  $\mathcal{F}$  be a *subset* of the function space  $\mathcal{C}(X, Y)$  (i.e.  $f \in \mathcal{F}$  is continuous). If  $x_0 \in X$ , the set  $\mathcal{F}$  of functions is said to be **equicontinuous at  $x_0$**  if given

$\epsilon > 0$ , there is a neighborhood  $U$  of  $x_0$  such that *for all*  $x \in U$  and *all*  $f \in \mathcal{F}$ ,

$$d(f(x), f(x_0)) < \epsilon.$$

If the set  $\mathcal{F}$  is *equicontinuous* at  $x_0$  for each  $x_0 \in X$ , it is said simply to be *equicontinuous* or  $\mathcal{F}$  is an *equicontinuous family*.

We say  $\mathcal{F}$  is a *uniformly equicontinuous family* if and only if for all  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $d(f(x), f(x')) < \epsilon$  whenever  $p(x, x') < \delta$  for all  $x, x' \in X$  and *every*  $f \in \mathcal{F}$ .

- **Remark** An *equicontinuous family* of functions is a *family of continuous functions*.
- **Remark** *Continuity* of the function  $f$  at  $x_0$  means that *given*  $f$  and given  $\epsilon > 0$ , there exists a neighborhood  $U$  of  $x_0$  such that  $d(f(x), f(x_0)) < \epsilon$  for  $x \in U$ . ***Equicontinuity* of  $\mathcal{F}$  means that a single neighborhood  $U$  can be chosen that will work for all the functions  $f$  in the collection  $\mathcal{F}$ .**
- **Lemma 2.11** (***Total Boundedness  $\Rightarrow$  Equicontinuous***) [Munkres, 2000]  
Let  $X$  be a *space*; let  $(Y, d)$  be a *metric space*. If the subset  $\mathcal{F}$  of  $\mathcal{C}(X, Y)$  is ***totally bounded*** under the ***uniform metric*** corresponding to  $d$ , then  $\mathcal{F}$  is ***equicontinuous*** under  $d$ .
- **Lemma 2.12** (***Equicontinuous + Compactness  $\Rightarrow$  Total Boundedness***) [Munkres, 2000]  
Let  $X$  be a *space*; let  $(Y, d)$  be a *metric space*; assume  $X$  and  $Y$  are ***compact***. If the subset  $\mathcal{F}$  of  $\mathcal{C}(X, Y)$  is ***equicontinuous*** under  $d$ , then  $\mathcal{F}$  is ***totally bounded*** under the ***uniform*** and ***sup*** metrics corresponding to  $d$ .
- **Definition** (***Pointwise Bounded***)  
If  $(Y, d)$  is a *metric space*, a subset  $\mathcal{F}$  of  $\mathcal{C}(X, Y)$  is said to be *pointwise bounded* under  $d$  if for each  $x \in X$ , the subset

$$F_a = \{f(a) : f \in \mathcal{F}\}$$

of  $Y$  is ***bounded*** under  $d$ .

- **Theorem 2.13** (***Ascoli's Theorem, Classical Version***). [Munkres, 2000]  
Let  $X$  be a ***compact space***; let  $(\mathbb{R}^n, d)$  denote euclidean space in either the square metric or the euclidean metric; give  $\mathcal{C}(X, \mathbb{R}^n)$  the corresponding ***uniform topology***. A subspace  $\mathcal{F}$  of  $\mathcal{C}(X, \mathbb{R}^n)$  has *compact closure if and only if  $\mathcal{F}$  is equicontinuous and pointwise bounded* under  $d$ .
- **Corollary 2.14** Let  $X$  be ***compact***; let  $d$  denote either the square metric or the euclidean metric on  $\mathbb{R}^n$ ; give  $\mathcal{C}(X, \mathbb{R}^n)$  the corresponding ***uniform topology***. A subspace  $\mathcal{F}$  of  $\mathcal{C}(X, \mathbb{R}^n)$  is *compact if and only if it is closed, bounded* under the *sup metric*  $\rho$ , and ***equicontinuous*** under  $d$ .
- **Remark** (***Ascoli's Theorem, Sequence Version***) [Reed and Simon, 1980]  
Let  $\{f_n\}$  be a family of ***uniformly bounded equicontinuous functions*** on  $[0, 1]$ . Then *some subsequence*  $\{f_{n,m}\}$  converges ***uniformly*** on  $[0, 1]$ .
- **Definition** (***Continuous Functions that Vanish At Infinity***  $\mathcal{C}_0(X, \mathbb{R})$ )  
Let  $X$  be a *space*. A subset  $\mathcal{F}$  of  $\mathcal{C}(X, \mathbb{R})$  is said to *vanish uniformly at infinity* if given  $\epsilon > 0$ , there is a ***compact subspace***  $C$  of  $X$  such that  $|f(x)| < \epsilon$  for  $x \in X \setminus C$  and  $f \in \mathcal{F}$ .

If  $\mathcal{F}$  consists of a single function  $f$ , we say simply that  $f$  **vanishes at infinity**. Let  $\mathcal{C}_0(X, \mathbb{R})$  denote the set of continuous functions  $f : X \rightarrow \mathbb{R}$  that **vanish at infinity**.

• **Corollary 2.15** [Munkres, 2000]

Let  $X$  be **locally compact Hausdorff**; give  $\mathcal{C}_0(X, \mathbb{R})$  the uniform topology. A subset  $\mathcal{F}$  of  $\mathcal{C}_0(X, \mathbb{R})$  has **compact closure if and only if it is pointwise bounded, equicontinuous, and vanishes uniformly at infinity**.

## 2.3 Pointwise and Compact Convergence

• **Remark (Useful Topologies on  $Y^X$ )**

1. **Uniform Topology**: generated by the **basis**

$$B_U(f, \epsilon) = \left\{ g \in Y^X : \sup_{x \in X} \bar{d}(f(x), g(x)) < \epsilon \right\}$$

It corresponds to **the uniform convergence** of  $f_n$  to  $f$  in  $Y^X$ .  $\mathcal{C}(X, Y)$  is **closed** in  $Y^X$  under the **uniform topology**, following the *Uniform Limit Theorem*.

2. **Topology of Pointwise Convergence**: generated by the **basis**

$$\begin{aligned} B_{U_1, \dots, U_n}(x_1, \dots, x_n, \epsilon) &= \bigcap_{i=1}^n S(x_i, U_i) \\ &= \{f \in Y^X : f(x_1) \in U_1, \dots, f(x_n) \in U_n\}, \quad 1 \leq n < \infty. \end{aligned}$$

It corresponds to **the pointwise convergence** of  $f_n$  to  $f$  in  $Y^X$ .  $\mathcal{C}(X, Y)$  is **not closed** in  $Y^X$  under the **topology of pointwise convergence**. Note that the **topology of pointwise convergence** is the **product topology** of  $Y^X$ .

3. **Topology of Compact Convergence**: generated by the **basis**

$$B_C(f, \epsilon) = \left\{ g \in Y^X : \sup_{x \in C} d(f(x), g(x)) < \epsilon \right\}, \quad C \text{ is compact set.}$$

It corresponds to **the uniform convergence** of  $f_n$  to  $f$  in  $Y^X$  for  $x \in C$ .  $\mathcal{C}(X, Y)$  is **closed** in  $Y^X$  under the **topology of compact convergence** **if  $X$  is compactly generated**.

On  $\mathcal{C}(X)$ , the topology of compact convergence is equal to the compact-open topology:

**Definition (Compact-Open Topology on Continuous Function Space)**

Let  $X$  and  $Y$  be topological spaces. If  $C$  is a **compact subspace** of  $X$  and  $U$  is an **open** subset of  $Y$ , define

$$S(C, U) = \{f \in \mathcal{C}(X, Y) : f(C) \subseteq U\}.$$

The sets  $S(C, U)$  form a **subbasis** for a topology on  $\mathcal{C}(X, Y)$  that is called **the compact-open topology**.

We see that the **uniform topology** is the **finest** among them all and the **topology of pointwise convergence** is the **coarest**.

$$(\text{uniform}) \supseteq (\text{compact convergence}) \supseteq (\text{pointwise convergence}).$$

- **Proposition 2.16** (*Topology of Uniform Convergence in Compact Sets*) [Munkres, 2000]

A sequence  $f_n : X \rightarrow Y$  of functions converges to the function  $f$  in the **topology of compact convergence** if and only if for **each compact subspace**  $C$  of  $X$ , the sequence  $f_n|_C$  converges **uniformly** to  $f|_C$ .

- **Definition** (*Compactly Generated Space*)

A space  $X$  is said to be **compactly generated** if it satisfies the following condition: A set  $A$  is **open** (or **closed**) in  $X$  if  $A \cap C$  is **open** (or **closed**) in  $C$  for each **compact subspace**  $C$  of  $X$ .

- **Lemma 2.17** [Munkres, 2000]

If  $X$  is **locally compact**, or if  $X$  satisfies the **first countability axiom**, then  $X$  is **compactly generated**.

- **Proposition 2.18** Let  $X$  and  $Y$  be spaces; give  $\mathcal{C}(X, Y)$  the **compact-open topology**. If  $f : X \times Z \rightarrow Y$  is **continuous**, then so is the induced function  $F : Z \rightarrow \mathcal{C}(X, Y)$ . The converse holds if  $X$  is **locally compact Hausdorff**.

- **Theorem 2.19** (*Ascoli's Theorem, General Version*). [Munkres, 2000]

Let  $X$  be a space and let  $(Y, d)$  be a **metric** space. Give  $\mathcal{C}(X, Y)$  the **topology of compact convergence**; let  $\mathcal{F}$  be a subset of  $\mathcal{C}(X, Y)$ .

1. If  $\mathcal{F}$  is **equicontinuous** under  $d$  and the set

$$F_a = \{f(a) : f \in \mathcal{F}\}$$

has **compact closure** for each  $a \in X$ , then  $\mathcal{F}$  is **contained in a compact subspace** of  $\mathcal{C}(X, Y)$ .

2. The converse holds if  $X$  is **locally compact Hausdorff**.

- **Proposition 2.20** (*Equicontinuity + Pointwise Convergence  $\Rightarrow$  Compact Convergence*) [Munkres, 2000]

Let  $(Y, d)$  be a metric space; let  $f_n : X \rightarrow Y$  be a sequence of **continuous** functions; let  $f : X \rightarrow Y$  be a function (not necessarily continuous). Suppose  $f_n$  converges to  $f$  in the **topology of pointwise convergence**. If  $\{f_n\}$  is **equicontinuous**, then  $f$  is **continuous** and  $f_n$  converges to  $f$  in the **topology of compact convergence**.

## 2.4 Subspaces of Continuous Functions

- **Definition** (*Subspace of Continuous Functions*)

Let  $\mathcal{C}(X) := \mathcal{C}(X, \mathbb{R})$  be the space of **continuous** real-valued functions on topological space  $X$  and  $\mathcal{B}(X) := \mathcal{B}(X, \mathbb{R})$  be the space of **bounded** real-valued functions on  $X$ .

1. The intersection of  $\mathcal{B}(X)$  and  $\mathcal{C}(X)$  is the space of all **bounded continuous** functions

$$\mathcal{BC}(X) := \mathcal{BC}(X, \mathbb{R}) = \mathcal{B}(X, \mathbb{R}) \cap \mathcal{C}(X, \mathbb{R})$$

Note that  $\mathcal{BC}(X) \subseteq \mathcal{B}(X)$  is a **closed subspace**.

2. Define the **support** of a function  $f$ ,  $\text{supp}(f)$  as the **smallest closed set** outside of which  $f$  vanishes. The subset  $\mathcal{C}_c(X) \subseteq \mathcal{C}(X)$  is the space of all **continuous functions**

with compact support

$$\mathcal{C}_c(X) = \{f \in \mathcal{C}(X, \mathbb{R}) : \text{supp } (f) \text{ is compact}\}.$$

Note that by *Tietze Extension Theorem*, the locally compact Hausdorff space  $X$  has a rich supply of continuous functions that vanishes outside a compact set.

3. Recall also that  $\mathcal{C}_0(X)$  is the space of *continuous functions* on  $X$  that vanishes at infinity, i.e. for all  $\epsilon > 0$ ,  $|f(x)| < \epsilon$  if  $x \in X \setminus C$  for some **compact subset**  $C \subseteq X$ .

$$\mathcal{C}_0(X) = \{f \in \mathcal{C}(X, \mathbb{R}) : f \text{ vanishes at infinity}\}.$$

Note that

$$\mathcal{C}_c(X) \subseteq \mathcal{C}_0(X) \subseteq \mathcal{BC}(X) \subseteq \mathcal{C}(X)$$

- The crucial fact about compactly generated spaces is the following:

**Lemma 2.21** (*Continuous Extension on Compact Generated Space*) [Munkres, 2000]  
If  $X$  is compactly generated, then a function  $f : X \rightarrow Y$  is **continuous** if for each **compact subspace**  $C$  of  $X$ , the restricted function  $f|_C$  is **continuous**.

- **Theorem 2.22** ( *$\mathcal{C}(X, Y)$  on Compact Generated Space*) [Munkres, 2000]  
Let  $X$  be a compactly generated space: let  $(Y, d)$  be a metric space. Then  $\mathcal{C}(X, Y)$  is **closed** in  $Y^X$  in the topology of compact convergence.

- Recall that

**Proposition 2.23** If  $X$  is a **locally compact Hausdorff** space,  $\mathcal{C}(X)$  is a **closed** subspace of  $\mathbb{R}^X$  in the **topology of compact convergence**.

- **Proposition 2.24** [Folland, 2013]  
If  $X$  is a topological space,  $\mathcal{BC}(X)$  is a **closed** subspace of  $\mathcal{B}(X)$  in the **uniform metric**; in particular,  $\mathcal{BC}(X)$  is **complete**.

- **Proposition 2.25** [Folland, 2013]  
If  $X$  is a **locally compact Hausdorff** space,  $\mathcal{C}_0(X)$  is a **closure** of  $\mathcal{C}_c(X)$  in the **uniform metric**.

- **Remark** Note that  $\mathcal{C}_0(X) = \overline{\mathcal{C}_c(X)}$  is the **completion** of  $\mathcal{C}_c(X)$  under uniform metric.

## 2.5 Baire Category

- **Remark** (*Empty Interior = Complement is Dense*)

Recall that if  $A$  is a subset of a space  $X$ , the **interior** of  $A$  is defined as the union of all open sets of  $X$  that are contained in  $A$ .

To say that  $A$  has empty interior is to say then that  $A$  contains no open set of  $X$  other than the empty set. **Equivalently**,  $A$  has **empty interior** if every point of  $A$  is a **limit point** of the **complement** of  $A$ , that is, if the complement of  $A$  is dense in  $X$ .

$$\overset{\circ}{A} = \emptyset \Leftrightarrow A^c \text{ is dense in } X$$



In [Reed and Simon, 1980], if a subset  $\bar{A}$  of  $X$  has *empty interior*,  $A$  is said to be *nowhere dense* in  $X$ .

- **Example** Some examples:

1. The set  $\mathbb{Q}$  of *rational*s has *empty interior* as a subset of  $\mathbb{R}$
2. The *interval*  $[0, 1]$  has *nonempty interior*.
3. The *interval*  $[0, 1] \times 0$  has *empty interior* as a *subset of the plane*  $\mathbb{R}^2$ , and so does the *subset*  $\mathbb{Q} \times \mathbb{R}$ .

- **Definition (*Baire Space*)**

A space  $X$  is said to be a *Baire space* if the following condition holds: Given *any countable* collection  $\{A_n\}$  of *closed* sets of  $X$  each of which has *empty interior* in  $X$ , their *union*  $\bigcup_{n=1}^{\infty} A_n$  also has *empty interior* in  $X$ .

- **Example** Some examples:

1. The space  $\mathbb{Q}$  of *rational*s is *not a Baire space*. For each one-point set in  $\mathbb{Q}$  is *closed* and has *empty interior* in  $\mathbb{Q}$ ; and  $\mathbb{Q}$  is the *countable union of its one-point subsets*.
2. The space  $\mathbb{Z}_+$ , on the other hand, does form a *Baire space*. Every subset of  $\mathbb{Z}_+$  is *open*, so that there exist *no subsets* of  $\mathbb{Z}_+$  having *empty interior*, except for the empty set. Therefore,  $\mathbb{Z}_+$  satisfies the Baire condition vacuously.
3. The *interval*  $[0, 1] \times 0$  has *empty interior* as a *subset of the plane*  $\mathbb{R}^2$ , and so does the *subset*  $\mathbb{Q} \times \mathbb{R}$ .

- **Definition (*Baire Category*)**

A subset  $A$  of a space  $X$  was said to be of *the first category in  $X$*  if it *was contained in the union of a countable collection of closed sets of  $X$  having empty interiors in  $X$* ; *otherwise*, it was said to be of *the second category in  $X$* .

- **Remark** A space  $X$  is a *Baire space* if and only if every *nonempty open* set in  $X$  is of *the second category*.

- **Lemma 2.26 (*Open Set Definition of Baire Space*)** [Munkres, 2000]

$X$  is a *Baire space* if and only if given any *countable* collection  $\{U_n\}$  of *open* sets in  $X$ , each of which is *dense* in  $X$ , their *intersection*  $\bigcap_{n=1}^{\infty} U_n$  is also *dense* in  $X$ .

- **Theorem 2.27 (*Baire Category Theorem*)**. [Munkres, 2000]

If  $X$  is a *compact Hausdorff* space or a *complete metric space*, then  $X$  is a *Baire space*.

- **Remark** In other word, neither *compact Hausdorff* space or a *complete metric space* is a *countable union of closed subsets with empty interior* (that are *nowhere dense*).

- **Lemma 2.28** [Munkres, 2000]

Let  $C_1 \supset C_2 \supset \dots$  be a *nested* sequence of *nonempty closed sets* in the *complete metric space*  $X$ . If  $\text{diam } C_n \rightarrow 0$ , then  $\bigcap_n C_n = \emptyset$ .

- **Lemma 2.29** [Munkres, 2000]

Any *open* subspace  $Y$  of a *Baire space*  $X$  is itself a *Baire space*.

## 3 Locally Convex Topological Space

### 3.1 Topological Vector Space

- **Definition** (*Topological Vector Space*)

A vector space  $X$  endowed with a topology  $\mathcal{T}$  is called a topological vector space, denoted as  $(X, \mathcal{T})$ , if the addition  $+: X \times X \rightarrow X$  and scale multiplication  $\cdot: \mathbb{R} \times X \rightarrow X$  are *continuous*.

- **Theorem 3.1** [Treves, 2016]

*Every locally compact Hausdorff topological vector space is finite-dimensional.*

### 3.2 Locally Convex Topological Vector Space

- **Definition** (*Locally Convex Space*)

A topological vector space  $X$  is a locally convex topological vector space (or just *locally convex space*), if  $V$  is open and  $x \in V$ , then one can find a *convex open set*  $U \subset X$  such that  $x \in U \subset V$ . That is, there exists a **base of convex sets**  $\mathcal{B}$  that **generates the topology**  $\mathcal{T}$ .

- **Remark** The most common way of defining locally convex topologies on vector spaces is in terms of *semi-norms*.

- **Definition** (*Semi-Norm*)

A **semi-norm** on a vector space  $X$  is a mapping  $q: X \rightarrow \mathbb{R}_+$  satisfying the following conditions:

1. *homogeneity*:  $q(\gamma x) = |\gamma| q(x)$ ;
2. the *triangle inequality*:  $q(x + y) \leq q(x) + q(y)$ .

If furthermore  $q(x) = 0 \Rightarrow x = 0$ , then  $q$  is a **norm**.

- **Remark** A **metric**  $d: X \times X \rightarrow \mathbb{R}_+$  that **induced** from a norm is given by  $d_\theta(x, y) = q_\theta(y - x)$ ,  $\forall x, y \in X$ .

- **Proposition 3.2** A normed space  $(X, \mathcal{T})$  induced by  $\{q_\theta, \theta \in \Theta\}$  is Hausdorff if and only if for any  $x \neq 0, x \in X$ ,  $\exists \theta \in \Theta$ , such that  $q_\theta(x) > 0$ .

- **Definition** (*Locally Convex Space generated by Semi-Norms*)

The **smallest topology**  $\mathcal{T}$  induced by the set of **semi-norms**  $\{q_\theta, \theta \in \Theta\}$  is generated by **the convex basis**  $U_{x,r,\theta} = \{y \in X \mid q_\theta(y - x) \leq r\} \in \mathcal{B}, x \in X, r > 0$ . The topological vector space  $(X, \mathcal{T})$  is thus locally convex space.

If  $\{q_\theta, \theta \in \Theta\}$  is a set of **norms**, then  $(X, \mathcal{T})$  is a **normed space**.

- **Remark** The most commonly seen *topological vector space* are **the normed linear space**. It is a vector space  $X$  equipped with norm  $\|\cdot\|$  and the topology generated by the norm induced metric  $d$ . It is denoted as  $(X, \|\cdot\|)$ .

The **locally convex space** is seen as a generalization of *normed vector space*.

- **Proposition 3.3** (*Continuous Linear Operator*) [Folland, 2013]

Suppose  $X$  and  $Y$  are vector spaces with topologies defined, respectively, by the families  $\{p_\alpha\}_{\alpha \in A}$  and  $\{q_\beta\}_{\beta \in B}$  of **semi-norms**, and  $T : X \rightarrow Y$  is a linear map. Then  $T$  is **continuous if and only if** for each  $\beta \in B$ , there exists  $\alpha_1, \dots, \alpha_k \in A$  and  $C > 0$  such that  $q_\beta(Tx) \leq C \sum_{i=1}^k p_{\alpha_i}(x)$ .

- **Remark** If the semi-norms are *norms*, then the condition above is *the bounded condition* for continuous linear operator.

- **Proposition 3.4** [Folland, 2013]

Let  $X$  be a vector space equipped with the topology defined by a family  $\{p_\alpha\}_{\alpha \in A}$  of **seminorms**.

1.  $X$  is **Hausdorff** if and only if for each  $x \neq 0$  there exists  $\alpha \in A$  such that  $p_\alpha(x) \neq 0$ .
2. If  $X$  is **Hausdorff** and  $A$  is **countable**, then  $X$  is **metrizable** with a **translation invariant metric** (i.e.,  $d(x, y) = d(x + z, y + z)$  for all  $x, y, z \in X$ ).

- **Definition (Fréchet Space)**

A **complete Hausdorff topological vector space**  $X$  whose topology is defined by a **countable** family of **seminorms**  $\{q_\theta, \theta \in \Theta\}$  is called a **Fréchet space**.

- **Example** 1. A **Fréchet space** is a **complete locally convex space**.

2. A **Banach space** is a **Fréchet space**.

- **Example (Locally Integrable Functions  $L^1_{loc}(X, \mu)$ )**

The space of all **locally integrable functions** on  $\mathbb{R}$ ,  $L^1_{loc}(\mathbb{R})$ , is a **Fréchet space** with the topology defined by the **semi-norms**

$$p_k(f) = \int_{|x| \leq k} |f(x)| dx.$$

Completeness follows easily from the completeness of  $L^1$ . An obvious *generalization* of this construction yields a **locally convex topological vector space**  $L^1_{loc}(X, \mu)$  where  $X$  is any **locally convex Hausdorff (LCH)** space and  $\mu$  is a *Borel measure* on  $X$  that is *finite on compact sets*.

## References

- Gerald B Folland. *Real analysis: modern techniques and their applications*. John Wiley & Sons, 2013.
- James R Munkres. *Topology: a first course*. Englewood Cliffs, New Jersey, 1975.
- James R Munkres. *Topology, 2nd*. Prentice Hall, 2000.
- Michael Reed and Barry Simon. *Methods of modern mathematical physics: Functional analysis*, volume 1. Gulf Professional Publishing, 1980.
- François Trèves. *Topological Vector Spaces, Distributions and Kernels: Pure and Applied Mathematics, Vol. 25*, volume 25. Elsevier, 2016.