# Book Reading Summary: The 5 Elements of Effective Thinking

## Tianpei Xie

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## 1 Summary of Advices

## 1.1 Understand deeply

- Don't face complex issues head-on; first understand *simple ideas deeply*.
  - What is deep understanding?

In everything you do, refine your skills and knowledge about fundamental concepts and simple cases. Once is never enough. As you revisit fundamentals, you will find new insights.

- A *commonsense* approach leads to the core.

To learn any subject well and to create ideas beyond those that have existed before, return to the basics repeatedly.

- When faced with a difficult challenge — don't do it!

When the going gets tough, creative problem solvers *create an easier*, *simpler problem* that they can solve.

Focus entirely on solving a subproblem that you know you can successfully resolve.

- Clear the clutter and expose what is really important.
  - Uncover the essence.

By systematically *ignoring* one distraction after another, you can turn your attention to more central (often initially invisible) themes.

- Be brutally honest about what you know and don't know.
  - acknowledging and then letting go of bias and prejudice can lead you to see what's truly there and (often more importantly) to discover what's missing.
  - What everybody believes is not always what's actually true.
  - How do you know?

Becoming aware of the basis of your opinions or beliefs is an important step toward a better understanding of yourself and your world.

What is the evidence that your understanding is based upon? Become aware of the sources of your opinions.

- Then see what's **missing**, identify the **gaps**, and fill them in.
  - One of the most profound ways to see the world more clearly is to look deliberately for the gaps -- the negative pace, as it is called in the art world; that is, the space surrounding the objects or issues of interest.
  - Add the adjective and uncover the gaps.
- Let go of bias, prejudice, and preconceived notions.
- There are *degrees* to understanding (it's not just a yes-or-no proposition) and you can always heighten yours.

• Rock-solid understanding is the foundation for success.

#### 1.2 Make mistakes

- Fail to succeed.
  - "Fail" is not an obscene word.
  - Any creative accomplishment evolves out of lessons learned from a long succession of missteps.
  - *Effective failure* is an important, positive (and, as in the case of Microsoft, lucrative) step toward success.
  - Viewing failure as an **opportunity** for learning requires a fresh mind-set.
  - failing productively involves two basic steps: creating the mistake and then exploiting the mistake.
  - Success is about *persisting* through the process of repeatedly failing and learning from failure.
  - Give credit to failure.
- Intentionally get it wrong to inevitably get it even more right.
  - A specific mistake is an excellent source of insight and direction.
  - You may not know how to do it right, but you can certainly do it wrong.

A good way to generate useful mistakes is simply to tackle the issue at hand by quickly constructing the best solution you can with little or no effort.

Don't stare at a blank screen.

- incremental approach: try something; see what's wrong; learn from the defect; try again.
- Going to the *extreme*.

Deliberately *exaggerating* or considering *extreme*, impractical scenarios often frees us to have an unforeseen insight.

- *Mistakes* are great teachers they *highlight unforeseen opportunities* and holes in your understanding.
  - Learning from other's missteps.
- They also show you which way to turn next, and they ignite your imagination.
  - Sometimes when your attempt fails to resolve one issue, you might discover that you have actually found an *imaginative answer* to a totally different question.
  - What separates the good from the great is how we react to that bad day.
- Failure and mistake has its own cost and its impact. If possible, making mistake and failure as early as possible when the cost is low.

### 1.3 Raise questions

- Constantly create *questions* to *clarify* and *extend* your understanding.
  - Wisdom just for the asking. Creating questions is as important as answering them.
  - Generating questions can help direct your next steps toward deeper understanding and creative problem solving.
  - Overcoming bias.
  - Take another look. Frame questions in different ways. Alternative perspectives lead to new sights and new insights.
  - Try to *bridge ideas* from one discipline or area to another.
  - A questionable habit. *force* yourself to ask questions.
  - Be *thought provoking*. Getting in the habit of asking questions will transform you into an *active listener*.

If you are constantly engaged in asking yourself questions about what you are hearing, you will find that even boring lecturers become a bit more interesting

- What's the *real* question? Working on the wrong questions can waste a lifetime.
  - Effective questions highlight hidden assumptions and indicate directions to take to make progress.
  - Effective questions lead to action and are not vague.
  - The *right* questions *clarify your understanding* and *focus* your attention on features that *matter*.
  - Effective questions expose the **real issue**.
  - Remember to always question the questions.
- Ideas are in the air the *right questions* will bring them out and help you see *connections* that otherwise would have been invisible.
- Asking questions about an assignment or project before beginning work in earnest will always lead to a stronger final product.

#### 1.4 Follow the flow of ideas

- Look back to see where ideas came from and then look ahead to discover where those ideas may lead.
  - To better master a subject, after you have been introduced to a new concept, *look beyond* the new concept and just guess what you think will come next.
  - Once you understand a more advanced topic, a look back makes earlier material easier.

The earlier material will become easier, clearer, and more meaningful because you will see its significance through the later work that came from it.

The more advanced work will also be easier since you will now see how it grew from the seeds that existed in the earlier work.

- All the new ideas we have are *only tiny variations* of what has been thought before. The difference between those who have great insights and those who don't is that the first group actually *take those baby steps*.
- A new idea is a **beginning**, not an end.
  - When you learn a new concept or master a skill, think about what *extensions*, *variations*, and *applications* are possible.
  - The *best* can get even *better*. Starting with what is currently the best is often the ideal place to expect great improvements.
  - Often the solution to a difficult problem comes from a *struggled focus* on the issue.
     You may have to struggle to finally master an idea or a skill. But after you have reached one level, that is where you start.
  - Once you have it, see if you can improve it.
- Ideas are rare milk them.
- Following the *consequences* of *small ideas* can result in *big payoffs*.
  - Making it practical. "What's next?" "If this, then that." "What now?" Explore the consequences several steps forward.
  - Following that flow can **highlight** some **fallacies** in seemingly sound schemes.

#### 1.5 Change

- The unchanging element is change by mastering the first four elements, you can change the way you think and learn. You can always improve, grow, and extract more out of your education, yourself, and the way you live your life.
  - Definition of Insanity: Doing the same thing and expecting a different outcome.
  - And now for something completely different.
    - people who perform better can be viewed as actually doing a different task, rather than doing the same task better.
  - Instead of thinking, "Do it better," think, "Do it differently."
  - Messing things up.
    - Often the most profound advances you can make in your life come through experiences that challenge the life you have.
  - You can turn doubt into a comfortable and insightful guide along the road to true change.
- Change is the universal constant that allows you to get the most out of living and learning.

## 2 Introduction – Elements of Effective Thinking, Learning and Creating

• The surprising fact is that just a few learnable strategies of thinking can make you more effective in the classroom, the boardroom, and the living room. You can personally *choose* to become more successful by adopting five learnable habits, which, in this book, we not only explain in detail but also make concrete and practical. Here in this section we briefly introduce those important habits to come.

### • Understand deeply:

- Don't face complex issues head-on; first understand *simple ideas deeply*.
- Clear the clutter and expose what is really *important*.
- Be brutally honest about what you know and don't know.
- Then see what's **missing**, identify the **gaps**, and fill them in.
- Let go of bias, prejudice, and preconceived notions.
- There are *degrees* to understanding (it's not just a yes-or-no proposition) and you can always heighten yours.
- Rock-solid understanding is the foundation for success.

#### • Make mistakes:

- Fail to succeed.
- Intentionally get it wrong to inevitably get it even more right.
- *Mistakes* are great teachers they *highlight unforeseen opportunities* and holes in your understanding.
- They also show you which way to *turn next*, and they ignite your *imagination*.

#### • Raise questions:

- Constantly create *questions* to *clarify* and *extend* your understanding.
- What's the *real* question? Working on the wrong questions can waste a lifetime.
- Ideas are in the air the *right questions* will bring them out and help you see *connections* that otherwise would have been invisible.

## • Follow the flow of ideas:

- Look back to see where ideas came from and then look ahead to discover where those ideas may lead.
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### • Change:

- The unchanging element is change by mastering the first four elements, you can change the way you think and learn. You can always improve, grow, and extract more out of your education, yourself, and the way you live your life.
- Change is the universal constant that allows you to get the most out of living and learning.

## 3 Ground Your Thinking – Understand Deeply

- Understanding is not a yes-or-no proposition; it's not an on-or-off switch.
- When you learn anything, go for depth and make it rock solid.
- You can understand anything better than you currently do. Setting a *higher standard* for yourself for *what you mean by understanding* can revolutionize how you perceive the world. The following steps illustrate why a deep understanding is essential to a solid foundation for future thinking and learning.

## 3.1 Understand simple things deeply

- The most fundamental ideas in any subject can be understood with ever-increasing depth.
- Successful students continue to improve their mastery of the concepts from previous chapters and courses as they move toward the more advanced material on the horizon; successful people regularly focus on the core purpose of their profession or life.
- True experts continually deepen their mastery of the basics.
- What is deep understanding?

How can you realize when you don't know something deeply? In everything you do, refine your skills and knowledge about fundamental concepts and simple cases. Once is never enough. As you revisit fundamentals, you will find new insights. It may appear that returning to basics is a step backward and requires additional time and effort; however, by building on firm foundations you will soon see your true abilities soar higher and faster.

• Exercise 3.1 (Master the Basics) Consider a skill you want to improve or a subject area that you wish to understand better. Spend five minutes writing down specific components of the skill or subject area that are basic to that theme. Your list will be a freeflowing stream of consciousness.

Now pick one of the items on your list, and spend thirty minutes actively improving your mastery of it. See how working deeply on the basics makes it possible for you to hone your skill or deepen your knowledge at the higher levels you are trying to attain. Apply this exercise to other things you think you know or would like to know.

• A commonsense approach leads to the core. Many of the most complicated, subtle, and profound ideas arise from looking unmercifully clearly at simple, everyday experiences.

To learn any subject well and to create ideas beyond those that have existed before, return to the basics repeatedly. When you look back after learning a complicated subject, the basics seem far simpler; however, those simple basics are a moving target. As you learn

more, the fundamentals become at once simpler but also subtler, deeper, more nuanced, and more meaningful.

• Exercise 3.2 (Ask: What do you know?) Do you or don't you truly know the basics? Consider a subject you think you know or a subject you are trying to master. Open up a blank document on your computer. Without referring to any outside sources, write a detailed outline of the fundamentals of the subject.

Can you write a **coherent**, **accurate**, and **comprehensive description** of the foundations of the subject, or does your knowledge have gaps? Do you struggle to think of **core examples**? Do you fail to see the **overall big picture** that puts the pieces together? Now compare your effort to external sources (texts, Internet, experts, your boss).

When you discover weaknesses in your own understanding of the basics, take action. Methodically learn the fundamentals. Thoroughly understand any gap you fill in as well as its surrounding territory. Make these new insights part of your base knowledge and connect them with the parts that you already understood.

Repeat this exercise regularly as you learn more advanced aspects of the subject (and save your earlier attempts so that you can look back and see how far you've traveled). Every return to the basics will deepen your understanding of the entire subject.

• When faced with a difficult challenge -don't do it!

Great scientists, creative thinkers, and problem solvers do not solve hard problems headon. When they are faced with a daunting question, they immediately and prudently admit defeat.

They realize that there is no sense in wasting energy vainly grappling with complexity when, instead, they can productively grapple with simpler cases that will teach them how to deal with the complexity to come.

"If you can't solve a problem, then there is an easier problem you can't solve: find it."

- George Polya.

- When the going gets tough, creative problem solvers *create an easier*, *simpler problem* that they can solve. They resolve that easier issue thoroughly and then *study that simple scenario with laser focus*. Those insights often point the way to a resolution of the original difficult problem.
- Apply this mind-set to your work: when faced with a difficult issue or challenge, do something else. Focus entirely on solving a subproblem that you know you can successfully resolve. Be completely confident that the extraordinarily thorough work that you invest on the subproblem will later be the guide that allows you to navigate through the complexities of the larger issue.

But don't jump to that more complex step while you're at work on the subissue.

• Exercise 3.3 (Sweat the small stuff) Consider some complex issue in your studies or life. Instead of tackling it in its entirety, find one small element of it and solve that part completely. Understand the subissue and its solution backwards and forwards. Understand all its connections and implications. Consider this small piece from many points of view and in great detail. Choose a subproblem small enough that you can give it this level of

attention. Only later should you consider how your efforts could help solve the larger issue.

#### 3.2 Clear the clutter – seak the essential

#### • Uncover the essence.

When faced with an issue that is complicated and multifaceted, attempt to *isolate the essential ingredients*. The essence is not the whole issue. There is a further step of understanding how the other features of the situation fit together; however, clearly identifying and isolating essential principles can guide you through the morass. The strategy of clearing the clutter and seeking the essential involves two steps:

- 1. Identify and ignore all distracting features to isolate the essential core.
- 2. Analyze that central issue and apply those insights to the larger whole.
- Many real questions are surrounded and obscured by history, context, and adornments. Within that cloud of vaguely related, interacting influences, you need to *pluck out the central themes*. Often you may be surprised that after you pare down a complex issue to its essentials, the essentials are much clearer and *easier to face*.

Ignoring things is difficult. Often the peripheral clutter is blinking and clanging and trying madly to draw your attention away from what is really going on. By **systematically ignoring** one **distraction** after another, you can turn your attention to more central (often initially invisible) themes.

After you *clear the clutter*, what remains will *clarify understanding* and open the door to *creating new ideas*. Remember, you may not be able to see everything, but you can certainly *ignore most things*.

• Exercise 3.4 (Uncover one essential) Consider a subject you wish to understand, and clear the clutter until you have isolated one essential ingredient. Each complicated issue has several possible core ideas. You are not seeking "the" essential idea; you are seeking just one – consider a subject and pare it down to one theme.

In fact, you might perform this exercise on yourself. What do you view as essential elements of you? Isolating those elements can give a great deal of focus to life decisions.

• Once you have isolated the essential, you have armed yourself with a **solid center** upon which to build and embellish. The core is not the whole issue, but it is a lodestar that can guide you through turbulent storms and complications.

What's *core*? What's fluff? Find what's *at the center* and *work out from there*. You can confidently *center yourself*.

### 3.3 See what's there

• Whenever you "see" an issue or "understand" a concept, be conscious of the lens through which you're viewing the subject. You should assume you're introducing bias. The challenge remains to identify and let go of that bias or the assumptions you bring, and actively work to see and understand the subject anew.

Whether it be physical characteristics of what you see, emotional aspects of what you feel,

or conceptual underpinnings of what you understand, acknowledging and then letting go of bias and prejudice can lead you to see what's truly there and (often more importantly) to discover what's missing.

• To better understand your world, consciously acknowledge what you actually see – no matter how mundane or obvious – rather than guess at what you think you are supposed to see. Saying what you actually see forces you to become conscious of what is there and also what is missing.

If you see it, then say it; if you don't see it, then don't claim to see it.

• Exercise 3.5 (Say it like you see it) Homework assignments, tests, and job-related assessments ask you what you know. Unfortunately, partial credit or social pressure often encourages you to pretend to know a bit more than you actually do.

So in the privacy of your own room look at assignments or possible test questions and write down the weaknesses as well as the strengths of what you know and don't know. Deliberately avoid glossing over any gaps or vagueness. Instead boldly assert what is tepid or missing in your understanding.

Now take the action of filling in the gaps. Identifying and admitting your own uncertainties is an enormous step toward solid understanding.

• If you are writing an essay, read literally what you have written – not what you intended to communicate. Pretend you don't know the argument you are making and read your actual words. What's confusing and what's missing? If you think you know an idea but can't express it clearly, then this process has identified a gap or vagueness in your understanding.

After you admit and address those weaknesses, your exposition will be clearer and more directed to the actual audience. When delivering an address or making a presentation, apply this same process of deliberately listening to the actual words you are speaking rather than what you imagine you are saying.

• What everybody believes is not always what's actually true.

Commonly held opinions are frequently just plain false. Often we are persuaded by authority and repetition rather than by evidence and reality. This tendency to accept what surrounds us makes it difficult to separate what we really know from what we just believe we know.

• Individuals tend to accept ideas if people they know or respect state or believe those ideas. You need to be very clear about the *foundations* of *your opinions*. If you believe something only because another person – even a professor – told you it was so, then you should not view your understanding as rock solid.

#### • How do you know?

Becoming aware of the basis of your opinions or beliefs is an important step toward a better understanding of yourself and your world. Regularly consider your opinions, beliefs, and knowledge, and subject them to the "How do I know?" test.

What is the evidence that your understanding is based upon? Become aware of the **sources** of **your opinions**. If your **sources** are shaky, then you might want to be more open-minded to the possibility that your opinion or knowledge might be incorrect. Regularly find **cases** in which you need to **rethink your views**.

• Opening our minds to counterintuitive ideas can be the key to discovering novel solutions and building deeper understanding, but how can we take advantage of those opportunities? Certainly we are not intentionally closed-minded.

So how can we break free of our unintended closed-mindedness and see the world with less bias? First, we can simply try out alternative ideas hypothetically and temporarily.

I'll **pretend** my opinions are the opposite of what I normally believe (even though I know it's nonsense), and see where those new beliefs take me. This strategy allows you to explore ideas without having to overcome deeply ingrained moral or institutional prejudices.

Even following ideas that you know are **wrong** can be illuminating. Because in following the consequences of those "wrong" ideas, you might be led to better understand why your original belief is *indeed correct*, or you might be led to new and unexpected insights that run counter to your original beliefs.

- Exercise 3.6 (Try on alternatives and size up the fit) Take some opinion that you hold that other people (those who clearly are wrong) do not hold.
  - Every other hour accept your own current opinion and think about its implications, and
  - on the alternate hours accept the alternative opinion and see where that leads. Try
    not to be judgmental. Don't resist the alternative views. You are not committing to
    any change.

This exercise has the goal of understanding alternatives more realistically. As a result, you might change an opinion, but more likely you will simply have a better understanding of why the alternative views make sense to others. If an hour is too long a time period, try the challenge in fifteen-minute intervals.

### 3.4 See what's missing

- Forcing yourself to see what's actually in front of you rather than what you believe you should see is a difficult task.
- However, an even greater challenge is to see what's missing. One of the most profound
  ways to see the world more clearly is to look deliberately for the gaps the negative
  space, as it is called in the art world; that is, the space surrounding the objects or issues of
  interest.

In our daily and intellectual experiences there are gaps of many sorts. If you're studying some body of material, ask yourself to identify those concepts that you truly do not fully understand. Those concepts may, in fact, be ideas that you were supposed to have mastered in an earlier class or at an earlier point in your life.

Don't despair. *Honestly admitting* those *gaps* in *knowledge* and *understanding* is the first *important step* in *attempting* to fill them.

- A harder question is this: How can you see what's truly invisible?
- Add the adjective and uncover the gaps. By just describing what was there, he was led to see the invisible.

- Exercise 3.7 (See the invisible) Select your own object, issue, or topic of study and
  - attach an adjective or descriptive phrase (such as "the First" before "World War")
    that points out some reality of the situation, ideally some feature that is limiting or taken
    for granted.
  - Then consider whether your **phrase suggests new possibilities or opportunities**. It might be helpful to think of this exercise as a word-association game. For example, if you are a student, you could consider a word such as "semester" and then list the first few **adjectives** that come to mind for example "busy," "boring," "tiring," "exciting," and the like.
  - Use your newfound adjectives to create interesting and provocative insights that might otherwise have gone unnoticed.

## 3.5 Final thoughts: Deeper is better

- Understanding simple things deeply means *mastering* the *fundamental* principles, ideas, and methods that then create a *solid foundation* on which you can build.
  - Seeking the essential creates the core or skeleton that supports your understanding.
  - Seeing what's actually there without prejudice lets you develop a less biased understanding of your world.
  - And seeing what's missing helps you to identify the *limits* of your knowledge, to reveal new possibilities, and to create new solutions to complex problems.

From the physical world to society, academics, personal relations, business, abstract ideas, and even sports, a deep examination of the simple and familiar is a *potent first step* for learning, thinking, creating, and problem solving.

- Earth is that which is under where we stand.
- Among the *goals* of this book are to describe *how you can construct original ideas*, to show *how you can solve old problems*, and to reveal *how you can create new worlds*.
- Here we are advocating a process that
  - starts with your **most comfortable surroundings**, your most familiar territory, the basics that you know best,
  - and encourages you to **search deeply** for features that you don't ordinarily perceive.
- The **familiar** is full of **unseen depth** and wonder. Clear away the distractions, see what's actually there, and make the invisible visible.

## 4 Igniting Insights through Mistakes – Fail to Succeed

• "Fail" is not an obscene word.

In our society "fail" is viewed as another offensive four-letter word beginning with "f." The typical attitude that mistakes should be avoided is patently wrong and has several detrimental consequences. The mind-set that mistakes are poisonous often freezes us into inaction.

If we have the healthier attitude that failure is a potent teacher and a scheduled stop along the road to success, then we find ourselves liberated to move forward sooner, because *mistakes* are actions we definitely can take at any time.

If you're stuck, a mistake can be just the thing to unstick you.

• Any creative accomplishment evolves out of lessons learned from a long succession of missteps. Failure is a critical element of effective learning, teaching, and creative problem solving. Mistakes direct our attention in productive ways by forcing us to focus on the specific task of determining why the attempt at hand failed.

Effective failure is an important, positive (and, as in the case of Microsoft, lucrative) step toward success.

- Viewing failure as an *opportunity* for learning requires a *fresh mind-set*.
  - Once you make the mistake, you can ask, "Why is that wrong?" Now you're back on track, tackling the original challenge.
- Students need to experience the arc of *starting with failure* and *ending with success*. Teachers need to embrace the power of failure by consciously inspiring students to learn the productive potential of making mistakes as important steps toward understanding.
- Exercise 4.1 (Fail nine times) The next time you face a daunting challenge, think to yourself, "In order for me to resolve this issue, I will have to fail nine times, but on the tenth attempt, I will be successful." This attitude frees you and allows you to think creatively without fear of failure, because you understand that learning from failure is a forward step toward success. Take a risk and when you fail, no longer think, "Oh, no, what a frustrating waste of time and effort," but instead extract a new insight from that misstep and correctly think, "Great: one down, nine to go I'm making forward progress!" And indeed you are.

After your first failure, think, "Terrific, I'm 10% done!" Mistakes, loss, and failure are all flashing lights clearly pointing the way to deeper understanding and creative solutions.

- The **moral** of this chapter's story is that **mistakes** are **positive** elements of quintessential thinking and **failure** is an important part of the **foundation** upon which to build success.
- Once you're open to the positive potential of failure, *failing productively* involves two basic steps:
  - creating the mistake
  - and then *exploiting the mistake*.
- In this chapter we encourage you to embrace several facets of failure that can lead to success.
  - One method is to try your best to get it right and, if and when you fail, isolate the specific failed features of that attempt.
  - Alternatively, *deliberately* try something that you know is *wrong* to identify and *clarify* specifically *where the defects lie*.
  - Analyze each specific mistake to understand the reason it's wrong, thus gaining new insights that may point you in the right direction.

- Finally, examine the mistakes to see whether the failed attempt might be a correct solution to a different problem.

## 4.1 Welcome accidental missteps – let your errors be your guide

• A specific mistake is an excellent source of insight and direction, because a mistake gives you something specific to think about: "This attempt is wrong because –." When you fill in the blank, you are forcing yourself to identify precisely what is wrong with your attempted solution.

This process *shifts* the activity from trying to *think of a correct solution*, which you *don't know at the moment*, to the activity of *correcting mistakes*, which is often *something you can do*.

• She could have used that exact same technique by simply giving herself the same prompts: make an attempt, find a flaw, fix it, make an attempt. . . She could have been her own teacher. Furthermore, she can apply that technique to anything she wishes.

Mary's story illustrates one specific, practical, broadly applicable strategy for effective thinking, learning, and creating. Successful students and famously successful people have used this strategy throughout history, and you can use it for your own benefit.

- First drafts are not just for writers. Thomas Edison was famous for his incremental approach to intentional invention:
  - try something;
  - see what's wrong;
  - learn from the defect;
  - try again.

When he said that invention is 1% inspiration and 99% perspiration, the perspiration was the process of incrementally making mistakes and learning from them to make the next attempts apt to be closer to right.

• Success is not about almost always succeeding.

Success is about **persisting** through the process of repeatedly failing and **learning from** failure.

- "The way to get good ideas is to *get lots of ideas* and *throw the bad ones away.*" Linus Pauling
- You may not know how to do it right, but you can certainly do it wrong.

A good way to generate useful mistakes is simply to tackle the issue at hand by quickly constructing the best solution you can with little or no effort.

- Exercise 4.2 (Don't stare at a blank screen) Take an issue or problem you are facing. For example, you may want to get organized or write a business plan or improve a course grade or write an essay or get more out of life.
  - Open up a blank document on your computer. Now just quickly type any ideas -

good, bad, inaccurate, or vague - that you have about the issue.

Don't hesitate to record ideas or phrases that you know are not quite right – no one (except you) is going to read what you write. Your ideas will be very bad in many ways. Congratulations—excellent start!

- You may not feel that writing down bad ideas is a worthwhile start, but one thing is certain: writing down bad ideas is something anyone can do. That is not a challenge. But it's also not the end of the story.
- Now **read what you wrote** and focus on two features: what's right and what's wrong. When you just write down ideas without worrying about correctness, structure, or elegance, your thoughts about the subject often flow out freely and clearly.

The ideas that you are trying to express are in you, so when you write without fretting about the mistakes, the surprising reality is that you will often say what you really want to say. You will include partial truths as well as some unexpected qems.

- Now you have something to do. You can tease out the good elements. Looking for good features in your bad first attempt is a great first step toward some creative, high-quality work.
- Next, see if you can **recognize** and **exploit what's wrong**. When something is bad, it's often easy to see what's wrong and **identify mistakes**.
- Now you have something to do: correct the errors you see.

You have traded in the impossible task of creating something that's perfect for the much easier task of mining gems and correcting errors.

You are now doing something different – you are not creating a work on a blank canvas but instead you are responding to a work already there.

Your responses, in turn, will lead to new good ideas that you could not have created before you made the requisite mistakes. In making this action item practical, you must be sure to give yourself enough time for the required iterations.

Thus you must commit to starting your effort (that is, creating a crummy draft or first attempt) far enough in advance to allow the necessary gestation and iteration that leads to a polished work of which you will be proud. So start early.

## • Give credit to failure.

Instructors need to celebrate students' useful missteps, because those failed attempts lead to important epiphanies at the end.

If at first you do succeed, try, try again (until you finally fail). If a student presents a correct solution, we will sometimes ask for another volunteer to present an *erroneous* solution to the same challenge, so the class can explore the reasons behind that defect. *Understanding* what doesn't work and why is valuable knowledge.

By not exploiting this great opportunity to learn from their mistakes, they're essentially throwing away – on average – 20% of their grade on their next exam before they've even taken it, and they're building future work on a cracked foundation.

## 4.2 Finding the right question to the wrong answer

• Sometimes when your attempt fails to resolve one issue, you might discover that you have actually found an *imaginative answer* to a totally different question.

That is, your bad solution to one problem might lead to a different project altogether – a project suggested by the accidental virtues of your mostly bad attempt.

- Two reactions to mistakes. So when you see or make a mistake, you have at least two actions to take:
  - (1) let the mistake lead you to a **better attempt**, and/or
  - (2) ask whether the mistake is a correct answer to a different question.

#### • Have a bad day

Bad days happen to good people. What separates the good from the great is how we react to that bad day. Bad days often include uncomfortably clear lessons about how to grow, learn, or reassess.

So the next time you're having a bad day, make the conscious effort to find and extract positive lessons from those not-so-positive experiences.

## 4.3 Failing by intent

### • Going to the extreme.

Now we take the act of failing to its extreme: One profound way to make new discoveries is to *intentionally fail* along the way. Deliberately exaggerating or considering extreme, impractical scenarios often frees us to have an unforeseen insight.

- Exercise 4.3 (Exaggerate to generate errors) Consider an issue or problem and
  - now exaggerate some feature of it to a ridiculous extreme. For example, take a political, personal, business, academic, or other issue and create an extremely exaggerated perspective on the subject.

If you are arguing one side of an issue (whether or not it is the side you truly believe), make the **argument** so **exaggerated** that you realize that it's way over the top.

- Now study your exaggerated description and discover some underlying defect.

Does that defect exist in your original, nonexaggerated perspective? You might apply this exercise to such things as organizational structures or sports or any other activity or belief. As if you were conducting a stress test, you might apply this exercise to something that works well and learn how it breaks down.

The strategy of exaggeration to extremes can be applied to any issue, from writing to marketing to product development to politics. You might perform this exercise physically or metaphorically, depending on the issue.

## • Learning from other's missteps.

Often we don't even have to be the ones to actually make the mistake. When we see an evil or inept person in action, or we see a good or competent person make a huge blunder, we find

it easy to recognize the pitfall and consciously turn that moment into a learning opportunity.

### 4.4 Final thoughts: A modified mind-set

- Mistakes and failure are not signs of weakness; instead they are opportunities for future success.
- Failure is a sign of a creative mind, of original thought and strength.
- A person who is willing to fail is someone who is willing to step outside the box. Being willing to fail is a liberating attribute of transformative thinking.
- Failing is **progress**; it's not losing ground. Often a mistake or the revelation of error is the most important step toward success.
- When you're stuck, and you don't know what to do, don't do nothing instead, fail.

Making a *specific mistake* puts you in a different and better position than you were in before you started. And it's a forward step you know you can actually take.

- Let's be honest: failure can be frightening and uncomfortable a true trial by fire.
- Problems that require truly *creative solutions* are problems that you simply *do not yet know how to solve*. This book is all about being successful even if and often because you *fail first*.
- Note that failure and mistake has its own cost and its impact. If possible, making mistake and failure as early as possible when the cost is low.

## 5 Creating Questions out of Thin Air – Be Your Own Socrates

- Questions can be an inspiring guide to insight and understanding. In fact, the very act of *creating questions*, for yourself, is a profound step toward *understanding* even if *the questions are neither asked nor answered*.
- You would certainly be astonishingly successful if you had your very own personal Socrates with you at all times, prodding you with *the right leading questions*.
- You can *generate* your own questions that *challenge* your own *assumptions* and lead to insights. You can become your own Socrates.

#### • Wisdom just for the asking.

Traditionally people believe that it's in the answering of questions that progress is made. In fact, creating questions is as important as answering them, if not more so, because framing good questions focuses your attention on the right issues.

Constantly formulating and raising questions is a **mind-opening habit** that **forces** you to have a **deeper engagement** with the world and a different inner experience.

Asking yourself challenging questions can help you

- reveal hidden assumptions,
- avoid bias.
- expose vagueness,

- identify errors,
- and consider alternatives.

Generating questions can help *direct your next steps* toward *deeper understanding* and *creative problem solving*.

### 5.1 How answers can lead to questions

- Even when you do know the answer, asking, "What if ...?" is a great way to see more and delve deeper.
- If you gained nothing else from your formal education but the mind-set of always asking, "What if ...?" then you would have benefited tremendously from your schooling.
- "What if ...?" questions invite you to see the world differently because those questions force you to challenge the status quo and to explore the limits of your understanding.
- A transformative but challenging personal policy is to never pretend to know more than you do.
- Don't build on *ambiguity* and *ignorance*. When you don't know something, admit it as quickly as possible and immediately take action ask a question.

#### • Overcoming bias.

- One profound habit of thinking individuals is to first *acknowledge their biases* and then *intentionally overcome* them.

Asking challenging questions can help. Passionately argue an issue from the opposite point of view, and ask probing and difficult questions that **challenge** your original stance.

- Be brutally **honest** and see what's actually there rather than what's expected.
  - Get in the habit of asking, "Do I really know?" and refuse to accept assertions blindly.
- Challenge everything and everyone including your teachers. Don't be intimidated.

You are the best *authority* on what you don't understand – *trust yourself*: don't be afraid to ask the questions you need to ask, and be brave enough to *change your thinking* when you *uncover a blind spot*.

#### • Take another look.

- Get in the habit of asking how the issue looks from various viewpoints. Frame questions in different ways. Alternative perspectives lead to new sights and new insights.
- Moreover, we can investigate issues from an evolutionary point of view and ask what
  is causing change; how those influences have caused change over time; and how they
  will cause change in the future.
- Try to *bridge ideas* from one discipline or area to another.

Ask whether the skills, attitudes, techniques from one subject might be applied to an-

other subject and to your work or life.

Everything fits together and interacts – take the transformative step of asking how.

- Exercise 5.1 (Teach to learn) Consider an idea or topic you are trying to better understand, and
  - create a list of **fundamental questions** that will guide you to a **complete explanation**, including motivation, examples, overview, and details, of that subject.
  - With those questions (and their corresponding answers) in hand, prepare a **minilecture** and consider **delivering** it to some **audience** family, friends, or even a teacher.
  - Ask them questions to measure how well you understood and articulated your message.

## • Is the standard preparation really preparing you?

When do we teach students how to perform well under such time pressure? The answer is never.

To prepare students effectively, instructors should teach students how to perform under the same conditions that they will face when the major assessment occurs.

## 5.2 Creating questions enlivens your curiosity

#### • A questionable habit.

If you want to get more out of what you hear or see, force yourself to ask questions
in a lecture, at a meeting, while listening to music, watching TV, or viewing art.

People who ask lots of **probing questions** outperform those who don't engage with the ideas.

Constantly generate questions and then ask them – that mind-set will lead to a richer appreciation of the issues.

- Instead of asking whether there are questions, tell your listeners that they are to create questions an important habit to develop for lifelong learning and curiosity.
- Whether or not you are asked to write down questions, constantly come up with questions on your own.
- Of course, actually asking the questions you create is also an excellent exercise it
  allows for further clarity, and it shows the presenter you're genuinely thinking about the
  material.

#### • Be thought provoking.

- Getting in the habit of asking questions will transform you into an active (rather than passive) listener. This practice forces you to have a different inner life experience, since you will, in fact, be listening more effectively.
- It's what goes on *inside your head* that makes all the difference in how well you will convert what you hear into something you learn. *Listening is not enough*.

If you are *constantly* engaged in asking yourself questions about what you are hearing, you will find that even boring lecturers become a bit more interesting, because much of the interest will be coming from what you are generating rather than what the lecturer is offering.

- When someone else speaks, you need to be *thought provoking*!

## 5.3 What's the real question?

- Sadly, many people spend their entire lives focusing on the wrong questions.
- So before you succumb to the temptation to immediately spring to work on the answer, always stop and first ask, "What's the real question here?"
- Effective questions turn your mind in directions that lead to new insights and solutions. They highlight hidden assumptions and indicate directions to take to make progress.
- Effective questions lead to action and are not vague.
- The right questions clarify your understanding and focus your attention on features that matter.
- Effective questions expose the real issue.
- Seeking the right question forces you to realize that there are at least two kinds of *ignorance*:
  - cases in which you know the right question but not the answer,
  - and cases in which you don't even know which question to ask.
- Exercise 5.2 (improve the question) From a student's point of view, the question "How can I get better grades?" is not the most effective route to higher grades. Questions such as "How can I learn to think better and understand more deeply?" "How can I learn to communicate better?" "How can I increase my curiosity?" are far more constructive.
  - For the questions below that are relevant to you, and more importantly for the ones you will create, **craft more focused questions** that might lead to a **productive conclusion**.
  - Try to create questions that
    - \* expose hidden assumptions,
    - \* clarify issues,
    - \* and lead to action.
  - Apply this exercise whenever you are confronted with a question in your own life that is, constantly question your own questions.
- The right questions in the classroom.

When a teacher gives an assignment, that instructor has the pedagogical responsibility to ask, "What beneficial change will this exercise help foster or develop in my students?"

Realize that every time you write anything, you can harness that moment as an opportunity to improve your communication and argumentation skills, which can help you literally every

day at home, at work, and in the world.

Teachers should craft assignments that promote long-term goals such as communicating and thinking more effectively. By asking questions about *goals*, you are better able to extract the *advantages* from assignments rather than mindlessly checking them off your to-do list.

Remember to always question the questions.

- Exercise 5.3 (Ask meta-questions) Whether in the classroom, the boardroom, or the living room,
  - asking questions about an assignment or project before beginning work in earnest will always lead to a stronger final product.
    - Ask, "What's the goal of this task?" and "What benefit flows from the task?"
  - Keep that benefit in mind as you move forward.

A by-product of this exercise is that it often saves time, because it **focuses** your attention on the **core** issues and allows you to **quickly** clear up the initial confusion that always is present at the start of any project or task.

## 5.4 Final thoughts: The art of creating questions and active listening

- The right questions can be incredibly powerful tools for understanding and learning.
- Great questions can lead to *insights* that will make a difference.
- You can *create great questions* using *concrete and straightforward techniques* questions that guide you and *arouse your curiosity*.
- Questions give us a breath of *inspiration* and *insight*; thus we associate the art of questioning with the element Air.
- Constantly thinking of questions is a mind-set with tremendous impact.
  - You become more alive and curious, because you are actively engaged while you are listening and living.
  - You become *more open to ideas*, because you are constantly discovering places where your assumptions are exposed.
  - You take more effective action, because you clarify what needs to be done.

## 6 Seeing the Flow of Ideas – Look Back, Look Forward

- New ideas today are built on the ideas of yesterday and illuminate the way to the brilliant ideas of tomorrow. Innovators recognize that each new idea *extends a line* that started in the past and travels through the present into the future.
- Successful and effective learners and innovators harness the power of the flow of ideas, which suggests the element Water.
- There's always more: every advance can be the launchpad to far greater advances yet to be discovered.

- Solutions to little problems generate solutions to great problems.
- Exercise 6.1 (Iterate ideas) You don't need an army of thousands of individuals to struggle a thousand years to address a challenge. The only person who needs to move forward little by little is you. Engineer your own evolution.
  - Take a homework assignment, essay, or project that you're facing and quickly just do it; that is, tackle the questions, draft the essay, or move forward on the project at a fast-forward speed that will surely generate a work that is, at best, subpar.
  - Now consider that poor effort as your starting point: react to that work and start to improve and iterate.

The flow of iteration will lead to a refined final product. Notice how this flowing mind-set perfectly coincides with the elements of failure we introduced earlier.

- To understand current ideas through flow,
  - first *find easier elements* that lead to what you want to understand, and
  - then **build bridges** from those easier elements to the ideas you wish to master.
- To generate new ideas through flow,
  - first modify an existing idea within its own context and
  - then apply that same idea in different settings.
  - Then you can construct *extensions*, *refinements*, and *variations*.

#### 6.1 Understanding current ideas through the flow of ideas

- To truly understand a concept, discover how it *naturally evolves from simpler thoughts*.

  Recognizing that the present reality is a moment in a *continuing evolution* makes your understanding fit into a more coherent structure.
- Every subject is an *ongoing journey of discovery and development*. It is not just a laundry list of disconnected topic, topic, topic, but *a flow of ideas* that build upon each other.
  - When we see and understand that *these ideas are connected*, they become more interesting, more memorable, and more meaningful.
- Exercise 6.2 (Think back) Whenever you face an issue whether an area of study or a decision about a future path consider what came before.
  - Wonder how the issue at hand landed in front of you.
    - Ask where and what it was yesterday, a month ago, a year ago, and so forth.

Everything, everyone has a **history** and **evolves**. Acknowledging that reality will allow you to generate new insights as well as create fruitful directions in which to move forward.

- Guessing what's next anchors what's there.
  - To better master a subject, after you have been introduced to a new concept, *look* beyond the new concept and just quess what you think will come next in a text

or in a lecture or in any presentation.

- Even if your conjecture is not right, it's still important.

Being wrong allows you to better realize **what is truly there**, and offers **insights** as to how the ideas might actually fit together.

- Even when our guesses were completely off, they still helped us to view the previous material more fully by thinking how the earlier material might have looked in the middle of a stream of progress rather than in isolation.

#### • A look back makes earlier material easier.

- Once you understand a more advanced topic, look back to see what brought you to
  where you are. That process will improve your understanding both of the earlier work
  and of the more advanced work.
- The earlier material will become easier, clearer, and more meaningful because you will see its significance through the later work that came from it.
- The more advanced work will also be easier since you will now see how it grew from the seeds that existed in the earlier work.

We have seen that the most successful people regularly undertake this important *reflective* exercise.

#### • One small step.

- One of the most heartening realities of human thought is that all the new ideas we have are, in fact, *only tiny variations* of what has been thought before.
- The difference between those who have great insights and those who don't is that the first group actually *take those baby steps*.

Students who embrace the mind-set that better ideas are literally right next door and that "one more small step will get me there" outperform those who believe that only the great minds make great progress.

#### 6.2 Creating new ideas from old ones

- When you learn a new concept or master a skill, think about what *extensions*, *variations*, and *applications* are possible.
- A new idea or solution should always be viewed as a beginning.

Effective students and creative innovators regularly strive to *uncover the unintended consequences* of a lesson learned or *a new idea*.

- "The time to work on a problem is after you've solved it." R. H. Bing
- Exercise 6.3 (Extend ideas) Take a good idea from any arena work, society, or personal life. It need not be an idea you yourself originated.
  - Now engage with that idea and
  - extend it.

The key is not to wonder whether the idea has extensions; it does. Your challenge is to find them.

### • The best can get even better.

- Just as our own understanding can be deeper and richer than it currently is no matter
  where it is in its evolution an important perspective of successful thinking is that the
  best can be improved.
- In fact, starting with **what is currently the best** is often the **ideal** place to expect great **improvements**. We limit ourselves when we think that success is an end.
- Sometimes getting to the current highest level of perfection was so difficult or so satisfying that we can't imagine further heights.

**The newcomer** did not experience the toil, did not live through the trials and failures and hard-won small steps. The young person or the person new to the field sees that issue in its solved condition as just the way the world is.

- Often the solution to a difficult problem comes from a *struggled focus* on the issue.
- We must get in the habit of seeing each advance as putting us on the lower slope of a much higher peak that has yet to be scaled.
- The same is true of learning new and increasingly difficult concepts or mastering skills at increasingly higher levels. You may have to struggle to finally master an idea or a skill. Having toiled to get that far, you may think that it would be impossible to go yet further, or you may just feel worn out. But after you have reached one level, that is where you start. That is the platform from which you can proceed even further—whether that starting point is a high grade, a professional accomplishment, or a profound insight; go for it!
- Exercise 6.4 (Once you have it, see if you can improve it) Take an essay you've written or a solution to an issue and create a different, better one.
  - Assume there is a mistake or omission or missed opportunity in your work there always is!
  - Now find it (yet another example of the insights we can gain by failing).

This activity is much more **challenging** than it might at first appear. We are biased and limited by what we already know – especially since we know it works.

However, moving beyond that bias can lead to new answers that, in turn, can lead to new insights and more effective solutions. People who make this evolutionary iteration a standard practice are far more successful in their education and in life than those who see an answer as an end.

#### • Making it practical.

- Human beings do not instantly see far. Our field of intellectual vision is *limited to a few* steps from where we are now. We must **acknowledge** that however far we do see, our vision extends merely to a horizon beyond which a far bigger world will become visible.
- How can we start the process of exploring where new ideas can lead us? Ask, "What's

#### next?"

- Explore the *connective*, "If this, then that." Follow the hypothetical results of the idea.
- And when you have arrived at the next step, let it settle as the new reality and only then think, "What now?"

To be sure, not every sequence of consequences that we imagine will actually come to pass or lead to fertile new ground, but *exploring those consequences several steps forward* can have great value.

Following that flow can **highlight** some **fallacies** in seemingly sound schemes.

- Any example of a practice that is *accepted* today but will be viewed as *immoral* in the future must be a *custom* that we now view as *perfectly fine*. Only in the future will that cultural norm be viewed from a different angle and deemed unacceptable.
- It is impossible to avoid bias it infuses itself through our upbringing, our values, our society, and our community. The first real action item for all of us is to acknowledge (unabashedly) that we are all prejudiced.

### 6.3 Final thoughts: "Under construction" is the norm

- Many people believe that the ideal state of the world is one in which everything is finished
  and perfect.
- It is more realistic and healthier to view our world as one in which construction is always under way *everything is a work-in-progress*.
- The right dream.

You may dream of creating that one new idea that will solve lots of problems (and lead to fame and fortune). But **the better dream** is to see yourself **standing** on what seems to be the **summit** and **climbing higher** by taking one small step after another.

That modest habit of effective thinking will help you accomplish things you never dreamed possible.

## 7 Engaging Change – Transform Yourself

- The fifth element of effective learning and thinking is the simplest and most difficult, the most important and most dispensable.
- Each of the preceding four techniques has the goal of changing you into someone who thinks and learns better.

**Change** is really the **goal** of the whole story.

- Of course, in reality, change seems hard not simple.
- However, like the way to happiness, the path to change is not through greater willpower and harder work, but rather through thinking differently.
- The first four elements of effective thinking do the heavy lifting.

- They invite you to understand fundamental ideas, to look for essential elements, and to extend what you already know.
- They suggest pointed questions for you to pose to yourself and others that cause you to think of new ideas, and
- they point out the value of failure and errors on the road to success.
- The fifth element is a meta-lesson. It recommends that you adopt the habit of constructive change.

Don't be afraid to change any part of yourself – you'll still be there, only better.

• The fifth element recommends that you actually do it. Just do it.

If the ability to change is part of who you are, then you are *liberated from worry about* weaknesses or defects, because you can **adapt** and **improve** whenever you like.

- Definition of insanity: Doing the same thing and expecting a different outcome.
- And now for something completely different.

There is a *subtle perspective* about *improvement* and about *better performance* that can alter how you approach the task of *changing yourself*. Namely, people who *perform better* can be viewed as actually doing a *different task*, rather than *doing the same task better*.

• Individuals who are more successful at anything are performing their task with their eyes open; that is, the activity they are doing is different from the activity that less successful people are undertaking.

Often people describe the distinction between the skilled practitioner and the less skilled practitioner by saying that the skilled person is better at the task. But a more useful and accurate perspective is that the skilled practitioner is doing a fundamentally different task—one that you could master as well.

• To become more skillful and successful, you might think in terms of *altering what you do*, rather than thinking in terms of how well you do it.

Instead of thinking, "Do it better," think, "Do it differently."

- If you want to learn a subject, instead of memorizing rules and facts, concentrate on truly *understanding the fundamentals deeply*.
- If you want to think of new ideas, don't sit and wait for inspiration. Instead, apply strategies of *transformative thinking* such as *making mistakes*, *asking questions*, and *following the flow of ideas*.
- Exercise 7.1 (Expert change) If you're learning something, solving a problem, or developing a skill,
  - imagine in detail what a more skilled practitioner does, or what added knowledge, understanding, and previous experience the expert would bring to the task.

In other words, describe the different task that an expert would be doing compared to what you are currently doing in undertaking your task.

- Instead of thinking that you are going to be doing something that is harder - requiring more concentration and more effort - **think** in terms of what kind of knowledge or skill or strategy would **make the task an easier one**.

#### • You can do it

For effective thinking, differences in native ability are dwarfed by habits and methods.

Those individuals who may appear to be the brightest in the sense of catching on to things immediately and being able to deal with complexity without getting confused are rarely the most *productive*, *imaginative*, or *effective*.

- Some very bright people can keep amazingly complicated things straight, but they may
  fail to try new perspectives and new ideas.
- Coming up with new ideas requires the habit of *employing* thinking techniques that generate new ideas.

Being imaginative is a *learnable* skill, not an inborn characteristic like having blue eyes.

The secret to solving problems and coming up with new ideas is not to find different parents, but to use different strategies of *transformative thinking*.

#### • Messing things up.

- Often the most profound advances you can make in your life come through experiences that challenge the life you have.
- The image of building a life from solid success to solid success is a wonderful dream, but it is only a fantasy. Instead, you must let old ideas crumble in the face of challenges in order to build yet better structures.
- Don't mute voices that challenge your beliefs. Listen for whispers of doubt and turn those doubts into helpful and positive tests of assumptions, ideas, and theories.
- Doubt can be unsettling, but it does not have to be. You can turn doubt into a comfortable and insightful guide along the road to true change.
- If you are open to new ideas, and you allow yourself to follow your changing opinions and passions, they will lead you in directions you did not originally expect to go.

### 7.1 Final thoughts: Becoming the quintessential you

• if you adopt the elements of thinking suggested in this book and make those habits part of who you are, you will develop mental strength and capacity, and you will become a more effective and creative thinker. Moreover, applying these elements to yourself leads you to clarify the core of your own self-definition—including your values, morals, ethics, and beliefs.