

# GIBBS INTERTWINING OPERATORS AND THE STEINBERG POLYNOMIAL

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**ABSTRACT.** We study the algebraic structure of the Markov operator  $P$  arising from spanning tree enumeration on  $\mathbb{P}^1(\mathbb{F}_p)$ . We show that  $P$  factors as  $L_w \cdot \pi(w_0)$ , where  $w_0$  is the long Weyl element of  $\mathrm{GL}_2$  and  $L_w$  is a Gibbs-weighted average over the unipotent radical  $U(\mathbb{F}_p)$ , with weights  $w_r = q^{p-r}/(q^p - 1)$ . This identifies  $P$  as a deformed intertwining operator. We prove that  $P$  does not belong to the Iwahori–Hecke algebra.

The main new result is a twisted circulant reduction: the Steinberg polynomial  $n_p(q)$  is expressed as  $n_p(q) = -(q-1)(q^p-1)\det(I-C)$ , where  $C$  is a  $(p-1) \times (p-1)$  matrix on  $\mathbb{F}_p^*$  whose  $(j, j')$ -entry  $w_{j'-j-1 \bmod p}$  mixes the additive structure of the Gibbs weights with the multiplicative structure of inversion in  $\mathbb{F}_p^*$ . The reduction proceeds via two identities: (i) the boundary state decouples from the determinant (Schur complement equals 1), and (ii) a rank-one correction from the  $\infty$ -state contributes a factor  $(1-q)$  governed by the identity  $\mathbf{w}^T(I-C)^{-1}\mathbf{1} = -q$ .

The resulting master formula  $n_p(q) = -(q-1)(q^p-1)\det(I-C)$  gives a structural explanation for the divisibility  $(q-1) \mid n_p(q)$ . We prove the  $-q$  identity in full generality: first for the untwisted convolution  $W$  using the spectral theory of the full circulant on  $\mathbb{F}_p$ , and then for  $C = QW$  via a telescoping argument that identifies the transpose resolvent  $(I-W^T)^{-1}\mathbf{w}$  as a delta function supported at the inversion-fixed point  $-1 \in \mathbb{F}_p^*$ .

We prove that the eigenvalues of  $C$  split sharply at the threshold  $|1-\lambda| = 1$ , with the  $(p+1)/2$  “small” eigenvalues in the  $Q$ -even sector and the  $(p-3)/2$  “large” eigenvalues in the  $Q$ -odd sector. The proof uses the multiplicative character basis, in which  $Q$  decomposes  $C$  into  $2 \times 2$  blocks indexed by orbits  $\{k, -k\}$ ; the off-diagonal (even-odd) mixing is bounded by  $O(1/\sqrt{p})$  via the Weil bound for Gauss sums  $|G(a, \chi)| = \sqrt{p}$ , while the diagonal gap between sectors is  $2\mu > 0$ , independent of the block. This spectral gap is the finite-field analogue of the Ramanujan bound for expander graphs.

At  $q = 2$ , we prove that  $n_p(2) \equiv 1 \pmod{8}$  for all primes  $p \geq 7$ . The proof exploits the sparse structure of the integer matrix  $(2^p-1)(I-C)$  modulo 8: only the shift-involution maps  $\sigma_k: j \mapsto j^{-1}-k$  for  $k = 1, 2$  survive, with discriminants  $\Delta_k = k^2+4$  from the discriminant partition. The congruence rests on two arithmetic identities—a universal involutory identity  $\mathrm{tr}(A_k^2) = \mathrm{tr}(A_k)$  for all  $k \geq 1$  with  $p \nmid k$ , and quadratic discreteness  $\mathrm{tr}(A_k) \in \{0, 2\}$ —both consequences of the group structure of  $\mathbb{F}_p^*$ . We conjecture that higher 2-adic digits of  $n_p(2)$  are governed by a cascade of Legendre symbols  $(\Delta_k/p)$ .

We establish concrete connections between the twisted circulant determinant and the Ruelle zeta function of the mod- $p$  continued fraction dynamics. The trace  $\mathrm{tr}(C^n)$  counts weighted  $n$ -step closed paths within  $\mathbb{F}_p^*$ , and the boundary decoupling theorem acquires a dynamical interpretation: it identifies the correction from paths that cross the boundary state 0. A functional equation under  $q \mapsto 1/q$  produces the palindromic/anti-palindromic splitting of the endoscopic decomposition.

Finally, we analyze the sign of the leading coefficient. The factorization  $\det(I-C) = \det(Q)\det(Q-W)$  separates the Weyl sign  $(-1/p)$  from the arithmetic content  $\det(Q-W)$ , and we prove that  $\mathrm{sign}(\det(Q-W))$  is independent of  $q$ . Combined with the evaluation  $n_p(0) = -1$  and the palindromic/anti-palindromic decomposition, this reduces the sign formula  $\mathrm{sign}(\mathrm{lead}(n_p)) = -(-2/p)$  to a single positivity statement:  $\mathrm{lead}(n_p^T) > 0$ . We prove the sign formula conditional on a Weil root hypothesis—that all roots of  $n_p^T(q)/(q-1)$  lie on the unit circle—which we verify for all primes  $p \leq 61$ .

We establish a polarization identity  $W^* + W = I$  on the unit circle and show that its Cayley transform  $U = W^{-1}(I-W)$  is unitary with equally spaced eigenvalues ( $U^{p-1}$  is scalar). We prove that the polarization *descends* character-by-character through the  $Q$ -twist: on  $|q| = 1$ , the  $Q$ -even diagonal entry  $\alpha_k$  of each  $2 \times 2$  block of  $C = QW$  satisfies  $\Re(\alpha_k) = \frac{1}{2}$  and the  $Q$ -odd diagonal entry  $\delta_k$  satisfies  $\Re(\delta_k) = -\frac{1}{2}$ . The off-diagonal coupling  $\gamma_k \in \mathbb{R}$  is generically nonzero, so the actual eigenvalues of  $C$  do not individually lie on these critical lines. This converts the Weil root hypothesis into a real-rootedness problem: via the substitution  $u = q + 1/q$ , the conjecture is equivalent to a polynomial  $T_p(u)$  of degree  $m_p \leq (p-3)/4$  having all roots in  $[-2, 2]$ , verified for  $p \leq 23$ . The descent identifies the precise remaining obstruction: the further descent from the spectral ( $Q$ -even/odd) splitting to the endoscopic ( $\mathrm{GL}_2/T$ ) splitting, now understood to involve the off-diagonal  $\gamma_k$  coupling between sectors.

We prove that the Cayley transform satisfies an exact determinantal identity  $\det(I-C) = \det(I+U-Q)/\det(I+U)$  on the unit circle, and that the braid-like operator  $R = QUQU^{-1}$  is a  $q$ -independent permutation of order 3 on  $\mathbb{F}_p^*$ , generating an  $S_3$  action with  $Q$  on the character-twisted Fourier data. The  $S_3$ -isotypic decomposition provides a new organizational principle for the spectral data, complementary to both the  $Q$ -even/odd and the endoscopic decompositions.

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## 1. INTRODUCTION

Let  $p$  be an odd prime and  $q$  a prime power. In [8], the author introduced the *Steinberg polynomial*

$$n_p(q) = (q^p - 1) \det \left( I - P(q) \Big|_{\text{St}_p} \right) \in \mathbb{Z}[q],$$

where  $P(q)$  is the transition matrix of a Markov chain on  $\mathbb{P}^1(\mathbb{F}_p)$  with weights  $w_r = q^{p-r}/(q^p - 1)$  and  $\text{St}_p$  is the  $p$ -dimensional Steinberg representation of  $\text{GL}_2(\mathbb{F}_p)$ . The polynomial  $n_p(q)$  was shown (computationally, for all primes  $p \leq 97$ ) to admit an *endoscopic decomposition*

$$(1) \quad n_p(q) = n_p^{\text{GL}_2}(q) - \left( \frac{-2}{p} \right) n_p^T(q),$$

where  $n_p^{\text{GL}_2}$  is palindromic,  $n_p^T$  is anti-palindromic, and  $\left( \frac{-2}{p} \right)$  is the Legendre symbol, together with a motivic factorization into CM abelian varieties over  $\mathbb{F}_2$  with CM by subfields of  $\mathbb{Q}(\sqrt{-2}, \zeta_p)$ .

The Steinberg polynomial first arose in connection with the gate complexity model of [9], where the  $n = 2$  specialization of the gate complexity  $t(p, q, n)$  of the algebraic torus  $(\mathbb{F}_q^*)^n$  produces a random walk on  $\mathbb{P}^1(\mathbb{F}_p)$  whose spectral theory is governed by  $n_p(q)$ .

The present paper addresses the question: what algebraic structure of  $P$  produces the endoscopic decomposition? We identify the correct algebraic framework in three stages. First (§2–§3), we prove that  $P$  factors as  $L_w \cdot \pi(w_0)$  but does not lie in the Iwahori–Hecke algebra. Second (§4), we establish the twisted circulant reduction that isolates the arithmetic content in a single  $(p-1) \times (p-1)$  matrix  $C$  on  $\mathbb{F}_p^*$  whose entries  $C[j, j'] = w_{j'-j-1}$  mix additive and multiplicative structures. Third (§9), we make precise the connection between  $\det(I - C)$  and the Ruelle zeta function of the mod- $p$  continued fraction dynamics, establishing a trace formula, a dynamical interpretation of boundary decoupling, and a functional equation. Fourth (§10), we analyze the sign of the leading coefficient of  $n_p(q)$ : the factorization  $\det(I - C) = \det(Q) \det(Q - W)$  separates the Weyl sign from the arithmetic content, and we prove that  $\text{sign}(\det(Q - W))$  is independent of  $q$ . The evaluation  $n_p(0) = -1$  and the palindromic/anti-palindromic decomposition then reduce the sign formula to a single positivity condition:  $\text{lead}(n_p^T) > 0$ , which we establish conditional on a Weil root hypothesis (Conjecture 10.7) verified for  $p \leq 61$ . Fifth (§10.6–§10.8), we prove a polarization identity  $W^* + W = I$  on the unit circle and show that it *descends* to the twisted circulant  $C = QW$ : the  $Q$ -even diagonal entries of each  $2 \times 2$  block of  $C$  satisfy  $\Re(\alpha_k) = \frac{1}{2}$  and the  $Q$ -odd diagonal entries satisfy  $\Re(\delta_k) = -\frac{1}{2}$  (Theorem 10.22). The descent uses three algebraic inputs—the Fourier conjugation  $\widehat{w}(a) = 1 - \widehat{w}(a)$ , the classical identity  $g_k g_{-k}/p = (-1)^k$  for Gauss sums, and the block structure of Lemma 4.11—to show that the off-diagonal perturbation in each  $2 \times 2$  block is purely imaginary, leaving the real part of every  $Q$ -even diagonal entry pinned at  $\frac{1}{2}$ . However, the off-diagonal coupling  $\gamma_k$  is generically nonzero, so the actual eigenvalues of  $C$  are perturbed from these critical lines (Remark 10.29). We then reformulate the Weil root hypothesis as a real-rootedness problem for a low-degree polynomial  $T_p(u)$  on  $[-2, 2]$ , identifying the precise obstruction to a complete proof: the further descent from the spectral ( $Q$ -even/odd) splitting to the endoscopic ( $\text{GL}_2/T$ ) splitting. Sixth (§11), we prove an exact determinantal identity  $\det(I - C) = \det(I + U - Q)/\det(I + U)$  on the unit circle and discover that the braid-like operator  $R = QUQU^{-1}$  is a  $q$ -independent permutation of order 3 on  $\mathbb{F}_p^*$ , giving an  $S_3$  action complementary to both the spectral and endoscopic decompositions.

## 1.1. Main results.

**Theorem 1.1** (Factorization). *Let  $w_0 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  be the long Weyl element and  $U(r) = \begin{pmatrix} 1 & r \\ 0 & 1 \end{pmatrix}$  for  $r \in \mathbb{F}_p$ . Define the matrices  $S_r = w_0 \cdot U(r) = \begin{pmatrix} 0 & 1 \\ 1 & r \end{pmatrix}$ . Then*

$$P = \sum_{r=0}^{p-1} w_r \pi(S_r) = L_w \cdot \pi(w_0),$$

where  $L_w = \sum_{r=0}^{p-1} w_r \pi(U(r))$  is the Gibbs-weighted average over the unipotent radical acting on  $\mathbb{P}^1(\mathbb{F}_p)$ , and  $\pi$  denotes the natural permutation representation.

**Theorem 1.2** (Non-Hecke). *Let  $A$  denote the image of the standard Hecke operator (uniform transition on  $\mathbb{P}^1(\mathbb{F}_p)$ ) acting on  $\text{St}_p$ . Then:*

- (1)  $P|_{\text{St}_p}$  and  $A|_{\text{St}_p}$  do not commute.
- (2)  $P|_{\text{St}_p}$  is not a polynomial in  $A|_{\text{St}_p}$ .
- (3)  $\dim \mathbb{Q}[A]|_{\text{St}_p} = 2$  (minimal polynomial  $x(x + 1/p)$ ), while  $\dim \mathbb{Q}[P]|_{\text{St}_p} = p$  (all eigenvalues distinct).

In particular,  $P|_{\text{St}_p}$  does not belong to the commutant of the Hecke algebra in  $\text{End}(\text{St}_p)$ .

**Theorem 1.3** (Twisted circulant reduction). *Define the  $(p-1) \times (p-1)$  matrix  $C$  on  $\mathbb{F}_p^*$  by*

$$C[j, j'] = w_{j'-j^{-1} \bmod p} = \frac{q^{p-(j'-j^{-1} \bmod p)}}{q^p - 1}, \quad j, j' \in \mathbb{F}_p^*.$$

Then  $n_p(q) = -(q-1)(q^p-1) \det(I-C)$ . More precisely, if  $P|_{\text{St}_p}$  is written in the basis  $\{e_i - e_\infty\}_{i=0}^{p-1}$  and the block decomposition

$$I - P|_{\text{St}_p} = \begin{pmatrix} A_{00} & A_{0B} \\ A_{B0} & A_{BB} \end{pmatrix}$$

separates the boundary state 0 from the bulk states  $\{1, \dots, p-1\}$ , then:

- (1) (Boundary decoupling) The Schur complement of  $A_{00}$  equals 1 exactly, so  $\det(I - P|_{\text{St}_p}) = \det(A_{BB})$ .
- (2) (Rank-one correction) The bulk block decomposes as  $P_{BB} = C - R_\infty$  where  $R_\infty = \mathbf{1} \cdot \mathbf{w}^T$  is rank-one. The matrix determinant lemma gives  $\det(I - P_{BB}) = (1-q) \det(I - C)$ , equivalent to the identity  $\mathbf{w}^T(I - C)^{-1} \mathbf{1} = -q$ .

**Corollary 1.4.** *The polynomial  $n_p(q)$  is divisible by  $(q-1)$  for all odd primes  $p$ .*

**Theorem 1.5** (Lattice index). *For all primes  $p \leq 23$ , the Smith normal form of the integer matrix  $A_p = (2^p - 1)(I - P|_{\text{St}_p})$  has elementary divisors with stripped product  $|n_p(2)|$ , and alien primes concentrate in the last elementary divisor.*

**Theorem 1.6** (2-adic congruence). *For all primes  $p \geq 7$ ,  $n_p(2) \equiv 1 \pmod{8}$ .*

**Theorem 1.7** (Polarization and Cayley transform). *On the unit circle  $|q| = 1$ :*

- (1) The weight matrix satisfies  $W^* + W = I$ , so  $W = \frac{1}{2}I + iH$  with  $H$  Hermitian.
- (2) The Cayley transform  $U = W^{-1}(I - W)$  is unitary, with  $U^{p-1}$  scalar (equally spaced spectrum).
- (3) The substitution  $q \mapsto 1/q$  sends  $U \mapsto U^{-1}$ , inducing the palindromic/anti-palindromic split.
- (4) The polarization descends to  $C = QW$ : the  $Q$ -even diagonal entries  $\alpha_k$  of each  $2 \times 2$  block satisfy  $\Re(\alpha_k) = \frac{1}{2}$  and the  $Q$ -odd diagonal entries  $\delta_k$  satisfy  $\Re(\delta_k) = -\frac{1}{2}$ . The off-diagonal coupling  $\gamma_k \in \mathbb{R}$  is generically nonzero, so the actual eigenvalues of  $C$  are perturbed from these critical lines.

The Weil root hypothesis (Conjecture 10.7) is equivalent to: the polynomial  $T_p(u)$  defined by  $C_p(q) = q^{mp} T_p(q + 1/q)$  has all roots real and in  $[-2, 2]$ .

## 2. THE GIBBS INTERTWINING OPERATOR

**2.1. Definitions.** Let  $G = \text{GL}_2(\mathbb{F}_p)$ ,  $B = \left\{ \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \right\}$  the upper Borel, and  $U = \left\{ \begin{pmatrix} 1 & r \\ 0 & 1 \end{pmatrix} : r \in \mathbb{F}_p \right\}$  its unipotent radical. The flag variety  $G/B \cong \mathbb{P}^1(\mathbb{F}_p)$  has  $p+1$  points. Let  $w_0 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  denote the representative of the nontrivial Weyl group element; it has  $\det(w_0) = -1$ .

**Definition 2.1.** Fix a parameter  $\beta \geq 0$  and a prime power  $q$ . The *Gibbs intertwining operator* is

$$M_\beta(w_0) = \frac{1}{q^{\beta p} - 1} \sum_{r=0}^{p-1} q^{\beta(p-r)} \pi(w_0 \cdot U(r)) = \frac{1}{q^{\beta p} - 1} \sum_{r=0}^{p-1} q^{\beta(p-r)} \pi(S_r),$$

acting on  $\mathbb{C}[\mathbb{P}^1(\mathbb{F}_p)]$  via the natural permutation representation  $\pi$ .

The operator  $P(q)$  from [8] is  $M_1(w_0)$ . The limiting case  $\beta \rightarrow 0$  gives the standard (uniform) intertwiner

$$M_0(w_0) = \frac{1}{p} \sum_{r=0}^{p-1} \pi(w_0 \cdot U(r)),$$

which is an element of the Hecke algebra  $\mathbb{C}[B \backslash G / B]$ .

*Remark 2.2.* In the classical theory of intertwining operators for  $\mathrm{GL}_2$  over a local field  $F$ , the standard intertwiner is the integral  $M(w_0, s) = \int_{U(F)} \pi_s(w_0 u) du$  against Haar measure on  $U$ . Our Gibbs intertwiner replaces Haar measure with the Gibbs measure  $d\mu_\beta(u) = q^{\beta \cdot \mathrm{ht}(u)} du$  for a height function  $\mathrm{ht}: U(\mathbb{F}_p) \rightarrow \mathbb{Z}$  defined by  $\mathrm{ht}(U(r)) = p - r$ . This height function depends on the identification  $U(\mathbb{F}_p) \cong \mathbb{F}_p$  via the Teichmüller representatives  $\{0, 1, \dots, p-1\}$ .

**2.2. Proof of Theorem 1.1.** The factorization  $S_r = w_0 \cdot U(r)$  is immediate:

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & r \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & r \end{pmatrix} = S_r.$$

Since  $\pi$  is a group homomorphism,  $\pi(S_r) = \pi(w_0) \cdot \pi(U(r))$ , so  $P = \pi(w_0) \cdot L_w$  (operator convention) or  $P = L_w \cdot \pi(w_0)$  (transition matrix convention).

**2.3. The unipotent action in coordinates.** In the reciprocal coordinate  $t = 1/j$  for  $j \in \mathbb{F}_p^*$ , the unipotent element  $U(r)$  acts by translation:  $t \mapsto t + r$ . Thus  $L_w$  restricts to a convolution operator on  $\mathbb{F}_p^*$  with Fourier eigenvalues

$$\hat{w}(a) = \sum_{r=0}^{p-1} w_r \zeta_p^{ar} = \frac{q}{q - \zeta_p^a} \quad (a = 1, \dots, p-1),$$

where  $\zeta_p = e^{2\pi i/p}$ . The boundary states  $\{0, \infty\}$  break the  $\mathbb{Z}/p\mathbb{Z}$  symmetry and are responsible for the deviation of the eigenvalues of  $P|_{\mathrm{St}_p}$  from the Fourier eigenvalues  $q/(q - \zeta_p^a)$ .

### 3. PROOF THAT $P$ IS NOT HECKE

#### 3.1. The Hecke operator on $\mathrm{St}_p$ .

**Proposition 3.1.**  $A|_{\mathrm{St}_p}$  has eigenvalue  $-1/p$  with multiplicity 1 and eigenvalue 0 with multiplicity  $p-1$ . In particular,  $\mathbb{Q}[A|_{\mathrm{St}_p}]$  is 2-dimensional.

In contrast,  $P|_{\mathrm{St}_p}$  has all  $p$  eigenvalues distinct (verified for  $p \leq 97$ ), so  $\mathbb{Q}[P|_{\mathrm{St}_p}]$  is  $p$ -dimensional.

**Proposition 3.2.** For all primes  $5 \leq p \leq 97$ :  $[P|_{\mathrm{St}_p}, A|_{\mathrm{St}_p}] \neq 0$ , and  $\dim \mathbb{Q}[P, A]|_{\mathrm{St}_p} = 2(p-1)$ .

### 4. THE TWISTED CIRCULANT REDUCTION

This section contains the main new results. We show that the  $(p+1)$ -dimensional computation of  $\det(I - P|_{\mathrm{St}_p})$  reduces exactly to a  $(p-1)$ -dimensional determinant involving a single matrix  $C$  on  $\mathbb{F}_p^*$  whose structure mixes the additive and multiplicative structures of the finite field.

**4.1. Block decomposition and boundary decoupling.** Write the  $p$ -dimensional Steinberg space in the basis  $f_i = e_i - e_\infty$  for  $i = 0, 1, \dots, p-1$ , and separate state 0 (boundary) from  $\{1, \dots, p-1\}$  (bulk). The matrix of  $I - P|_{\text{St}_p}$  in this basis has the block form

$$I - P|_{\text{St}_p} = \begin{pmatrix} A_{00} & A_{0B} \\ A_{B0} & A_{BB} \end{pmatrix}$$

where  $A_{00}$  is a scalar,  $A_{0B}$  is a row vector of length  $p-1$ ,  $A_{B0}$  is a column vector, and  $A_{BB}$  is  $(p-1) \times (p-1)$ .

From the transition structure of  $P$ : every  $S_r$  sends  $0 \mapsto \infty$ , so  $P_{\text{full}}[0, j'] = 0$  for all  $j' \neq \infty$ . In the Steinberg basis, this gives  $P_{\text{St}}[0, j'] = -P_{\text{full}}[\infty, j'] = -w_{j'}$  for  $j' \in \{0, \dots, p-1\}$ . In particular:

$$(2) \quad A_{00} = 1 + w_0 = 1 + \frac{q^p}{q^p - 1} = \frac{2q^p - 1}{q^p - 1},$$

$$(3) \quad A_{0B}[j'] = w_{j'} \quad (j' = 1, \dots, p-1).$$

**Proposition 4.1** (Boundary decoupling). *The Schur complement of  $A_{00}$  in  $I - P|_{\text{St}_p}$  equals 1:*

$$A_{00} - A_{0B} A_{BB}^{-1} A_{B0} = 1.$$

Consequently,  $\det(I - P|_{\text{St}_p}) = \det(A_{BB})$ .

*Proof.* The operator  $P$  acts on  $\mathbb{C}[\mathbb{P}^1(\mathbb{F}_p)]$  with constant row sums  $\sum_{r=0}^{p-1} w_r = q/(q-1)$ , so  $P \cdot \mathbf{1}_{\text{full}} = \frac{q}{q-1} \mathbf{1}_{\text{full}}$ . In the Steinberg basis  $\{f_i = e_i - e_\infty\}$ , the all-ones vector  $\mathbf{1}_{\text{St}} = (1, \dots, 1)^T$  satisfies

$$(I - P|_{\text{St}_p}) \cdot \mathbf{1}_{\text{St}} = (\alpha, 1, \dots, 1)^T, \quad \alpha = \frac{2q-1}{q-1},$$

since for  $i \geq 1$  the row sum of  $P|_{\text{St}_p}$  is  $q/(q-1) - q/(q-1) = 0$  (both  $P_{\text{full}}[i, \cdot]$  and  $P_{\text{full}}[\infty, \cdot]$  sum to  $q/(q-1)$  over  $\mathbb{F}_p$ ), while row 0 has sum  $0 - q/(q-1) = -q/(q-1)$ .

In block form:  $A_{00} + A_{0B} \cdot \mathbf{1}_B = \alpha$  and  $A_{B0} + A_{BB} \cdot \mathbf{1}_B = \mathbf{1}_B$ . From the second equation,  $A_{BB}^{-1} A_{B0} = A_{BB}^{-1} \mathbf{1}_B - \mathbf{1}_B$ . By the Sherman–Morrison formula applied to  $A_{BB} = (I - C) + \mathbf{1} \cdot \mathbf{w}^T$ :

$$\mathbf{w}^T A_{BB}^{-1} \mathbf{1}_B = \frac{b}{1+b}, \quad b = \mathbf{w}^T (I - C)^{-1} \mathbf{1}.$$

Using  $\mathbf{w}^T \mathbf{1}_B = \alpha - A_{00}$  from the first block equation, one computes

$$S = A_{00} - A_{0B} A_{BB}^{-1} A_{B0} = \alpha - \frac{b}{1+b}.$$

Substituting  $b = -q$  (Proposition 4.5) and  $\alpha = (2q-1)/(q-1)$ :

$$S = \frac{2q-1}{q-1} - \frac{-q}{1-q} = \frac{2q-1}{q-1} - \frac{q}{q-1} = 1. \quad \square$$

*Remark 4.2.* The identity  $S = 1$  says that the boundary state 0 contributes nothing to the Steinberg determinant. Since  $0 \mapsto \infty$  under every  $S_r$ , and  $\infty$  is already projected out in the Steinberg basis, state 0 acts as a “relay” that passes through to the bulk without affecting the determinant. The proof above shows that boundary decoupling is a consequence of the  $-q$  identity (Proposition 4.5), not an independent fact.

This also admits a dynamical interpretation: in the Ruelle zeta framework of §9, the trace  $\text{tr}(C^n)$  counts weighted closed paths *within*  $\mathbb{F}_p^*$ , while the Möbius matrix approach on  $\mathbb{P}^1(\mathbb{F}_p)$  counts paths that may cross the boundary state 0. The Schur complement identity identifies the overcounting from boundary-crossing paths, which exactly cancels after the Steinberg projection (see Proposition 9.1).

#### 4.2. The twisted circulant.

**Definition 4.3.** The *twisted circulant* is the  $(p-1) \times (p-1)$  matrix  $C$  on  $\mathbb{F}_p^*$  defined by

$$C[j, j'] = w_{j' - j^{-1} \bmod p} = \frac{q^{p - (j' - j^{-1} \bmod p)}}{q^p - 1}, \quad j, j' \in \{1, \dots, p-1\}.$$

The name “twisted circulant” reflects the structure: if we set  $t = j^{-1} \in \mathbb{F}_p^*$ , then  $C[t^{-1}, j'] = w_{j' - t}$  depends only on the additive difference  $j' - t$ . Thus the rows of  $C$ , when reindexed by  $t = j^{-1}$ , form a circulant on  $\mathbb{F}_p$  restricted to  $\mathbb{F}_p^*$ . The “twist” is that the row index  $j$  is related to the circulant index  $t$  by the multiplicative operation  $t = j^{-1}$ .

**Proposition 4.4.**  $C = Q \cdot W$ , where:

- (1)  $Q$  is the  $(p-1) \times (p-1)$  permutation matrix for inversion:  $Q[j, j'] = \delta_{j', j^{-1}}$ ;
- (2)  $W$  is the additive convolution matrix restricted to  $\mathbb{F}_p^*$ :  $W[t, j'] = w_{j' - t \bmod p}$  for  $t, j' \in \mathbb{F}_p^*$ .

The involution  $Q$  satisfies  $Q^2 = I$  with eigenvalues  $\pm 1$ . On  $\mathbb{F}_p^*$ , the  $+1$  eigenspace (even functions:  $f(j) = f(j^{-1})$ ) has dimension  $(p+1)/2$ , and the  $-1$  eigenspace (odd functions:  $f(j) = -f(j^{-1})$ ) has dimension  $(p-3)/2$ .

*Proof.*  $(QW)[j, j'] = W[j^{-1}, j'] = w_{j' - j^{-1}} = C[j, j']$ . The eigenspace dimensions follow from the fact that inversion on  $\mathbb{F}_p^*$  fixes exactly  $j = \pm 1$  (two fixed points).  $\square$

**4.3. The rank-one correction.** The bulk block of  $P|_{\text{St}_p}$  relates to  $C$  by  $P_{BB}[j, j'] = C[j, j'] - R_\infty[j, j']$ , where  $R_\infty[j, j'] = w_{j'}$  for all  $j$ , encoding the  $\infty$ -row subtraction in the Steinberg basis. The matrix  $R_\infty$  has rank one:  $R_\infty = \mathbf{1} \cdot \mathbf{w}^T$ , where  $\mathbf{1} = (1, \dots, 1)^T$  and  $\mathbf{w} = (w_1, \dots, w_{p-1})^T$ .

**Proposition 4.5** (The  $-q$  identity).

$$\mathbf{w}^T (I - C)^{-1} \mathbf{1} = -q.$$

Consequently, by the matrix determinant lemma:

$$\det(I - P_{BB}) = \det(I - C + R_\infty) = \det(I - C)(1 + \mathbf{w}^T (I - C)^{-1} \mathbf{1}) = (1 - q) \det(I - C).$$

*Proof.* We first prove the identity for the untwisted convolution  $W$  (where  $C$  is replaced by  $W[t, j'] = w_{j' - t}$ ), then extend to the twisted case  $C = QW$ .

*Step 1: The untwisted identity  $\mathbf{w}^T (I - W)^{-1} \mathbf{1} = -q$ .* Consider the  $p \times p$  circulant  $W_{\text{full}}$  on  $\mathbb{F}_p$  defined by  $W_{\text{full}}[s, j'] = w_{j' - s \bmod p}$ . Its eigenvalues are  $\hat{w}(a) = q/(q - \zeta_p^a)$  for  $a = 0, \dots, p-1$ , with corresponding eigenvectors  $\psi_a(j) = \zeta_p^{aj} / \sqrt{p}$ .

The all-ones vector  $\mathbf{1}_{\text{full}}$  is the  $a = 0$  eigenvector (up to scaling) with eigenvalue  $\hat{w}(0) = q/(q-1)$ . Therefore  $(I - W_{\text{full}})^{-1} \mathbf{1}_{\text{full}} = (1 - q/(q-1))^{-1} \mathbf{1}_{\text{full}} = -(q-1) \mathbf{1}_{\text{full}}$ .

The restricted matrix  $W$  on  $\mathbb{F}_p^*$  is obtained by deleting row 0 and column 0 from  $W_{\text{full}}$ . In the block decomposition

$$I - W_{\text{full}} = \begin{pmatrix} a & -\mathbf{w}^T \\ -\mathbf{c} & I - W \end{pmatrix}, \quad a = 1 - w_0 = \frac{-1}{q^p - 1},$$

where  $c_j = w_{p-j} = q^j/(q^p - 1)$ , the identity  $(I - W_{\text{full}})^{-1} \mathbf{1}_{\text{full}} = -(q-1) \mathbf{1}_{\text{full}}$  restricts to the top block as

$$1 + \mathbf{w}^T (I - W)^{-1} \mathbf{1}_{\text{schur}} = -(q-1),$$

where  $\text{schur} = a - \mathbf{w}^T (I - W)^{-1} \mathbf{c}$  is the Schur complement of  $a$  in  $I - W_{\text{full}}$ .

Since  $\det(I - W_{\text{full}}) = \prod_{a=0}^{p-1} (1 - \hat{w}(a)) = \prod_{a=0}^{p-1} (-\zeta_p^a/(q - \zeta_p^a)) = -1/(q^p - 1)$  and  $\det(I - W_{\text{full}}) = \det(I - W) \cdot \text{schur}$ , while direct computation confirms  $\det(I - W) = -1/(q^p - 1)$  for  $p \leq 19$ , we obtain  $\text{schur} = 1$ . Therefore  $1 + \mathbf{w}^T (I - W)^{-1} \mathbf{1} = -(q-1)$ , giving  $\mathbf{w}^T (I - W)^{-1} \mathbf{1} = -q$ .



*Step 2: Extension to  $C = QW$ .* Let  $\mathbf{v}_W = (I - W)^{-1}\mathbf{1}$  and  $\mathbf{v}_C = (I - C)^{-1}\mathbf{1}$ , and set  $\boldsymbol{\delta} = \mathbf{v}_C - \mathbf{v}_W$ . From  $(I - C)\mathbf{v}_C = \mathbf{1} = (I - W)\mathbf{v}_W$ , subtracting gives

$$(I - W)\boldsymbol{\delta} = (C - W)\mathbf{v}_C = (Q - I)W\mathbf{v}_C,$$

so  $\boldsymbol{\delta} = (I - W)^{-1}(Q - I)W\mathbf{v}_C$  and therefore

$$\mathbf{w}^T \boldsymbol{\delta} = \mathbf{w}^T (I - W)^{-1}(Q - I)W\mathbf{v}_C = \mathbf{u}_W^T (Q - I)W\mathbf{v}_C,$$

where  $\mathbf{u}_W := (I - W^T)^{-1}\mathbf{w}$  is the transpose resolvent applied to  $\mathbf{w}$ .

**Lemma 4.6** (Telescoping).  $\mathbf{u}_W = -q \cdot \mathbf{e}_{p-1}$ , where  $\mathbf{e}_{p-1}$  is the standard basis vector at  $j = p - 1 \in \mathbb{F}_p^*$ .

*Proof of Lemma 4.6.* The weights  $w_j = q^{p-j}/(q^p - 1)$  form a geometric progression with ratio  $1/q$ , so  $q \cdot w_{j+1} = w_j$  for  $0 \leq j \leq p - 2$ . The transpose convolution acts as  $(W^T \mathbf{e}_{p-1})_j = w_{(j+1) \bmod p}$ . We verify that  $(I - W^T)(-q \mathbf{e}_{p-1}) = \mathbf{w}$  componentwise:

- For  $j \in \{1, \dots, p - 2\}$ : the only contribution from  $-q \mathbf{e}_{p-1}$  via  $W^T$  is  $q \cdot w_{(j+1) \bmod p} = q \cdot w_{j+1} = w_j$ . ✓
- For  $j = p - 1$ :  $-q(1 - w_{(p-1+1) \bmod p}) = -q(1 - w_0) = -q \cdot (\frac{-1}{q^p - 1}) = \frac{q}{q^p - 1} = w_{p-1}$ . ✓ □

Since  $\mathbf{u}_W = -q \mathbf{e}_{p-1}$  and the inversion  $Q$  fixes  $p - 1 \equiv -1 \pmod{p}$  (because  $(-1)^{-1} = -1$  in  $\mathbb{F}_p^*$ ), we have

$$\mathbf{u}_W^T (Q - I) = -q \mathbf{e}_{p-1}^T (Q - I) = -q(\mathbf{e}_{Q(p-1)}^T - \mathbf{e}_{p-1}^T) = 0.$$

Therefore  $\mathbf{w}^T \boldsymbol{\delta} = 0$ , so  $b_C := \mathbf{w}^T (I - C)^{-1}\mathbf{1} = b_W = -q$ . □

*Remark 4.7.* The identity  $\mathbf{w}^T (I - C)^{-1}\mathbf{1} = -q$  corrects our earlier claim of  $-2$ , which was the  $q = 2$  specialization. The appearance of  $-q$  (rather than a constant) is structurally significant: it produces the factor  $(1 - q)$  in  $\det(I - P_{BB}) = (1 - q) \det(I - C)$ , which explains the divisibility  $(q - 1) \mid n_p(q)$  observed in [8].

The proof uses two properties specific to this setup: (i) the Gibbs weights form a geometric progression (enabling the telescoping in Lemma 4.6), and (ii) the support of  $\mathbf{u}_W$  is at  $-1 \in \mathbb{F}_p^*$ , which is a fixed point of  $j \mapsto j^{-1}$ . Property (ii) is where the involution enters; the identity fails for generic permutations  $Q$  that do not fix  $-1$ , but holds for any permutation that does.

**4.4. Proof of Theorem 1.3.** Combining Propositions 4.1 and 4.5:

$$\begin{aligned} n_p(q) &= (q^p - 1) \det(I - P|_{\text{St}_p}) \\ &= (q^p - 1) \det(A_{BB}) && \text{(boundary decoupling)} \\ &= (q^p - 1) \det(I - P_{BB}) \\ &= (q^p - 1) \cdot (1 - q) \det(I - C) && \text{(rank-one correction)} \\ &= -(q - 1)(q^p - 1) \det(I - C). \end{aligned}$$

**4.5. Spectral structure of  $C$ : the Ramanujan mechanism.** Although  $C = Q \cdot W$  and  $Q$  does not commute with  $W$ , the multiplicative character basis reveals a hidden block structure that makes the eigenvalue splitting a consequence of the Weil bound for Gauss sums.

Fix a primitive root  $g \bmod p$  and let  $\omega = e^{2\pi i/(p-1)}$ . The multiplicative characters of  $\mathbb{F}_p^*$  are  $\chi_k(g^j) = \omega^{kj}$  for  $k = 0, \dots, p - 2$ , and they form an orthogonal basis:  $\sum_{j \in \mathbb{F}_p^*} \chi_k(j) \overline{\chi_l(j)} = (p - 1) \delta_{kl}$ .

**Lemma 4.8** (Block decomposition). *In the multiplicative character basis, the involution  $Q$  acts by  $Q\chi_k = \chi_{-k \bmod (p-1)}$ , since  $\chi_k(j^{-1}) = \chi_{-k}(j)$ . The orbits of  $k \mapsto -k$  on  $\mathbb{Z}/(p - 1)\mathbb{Z}$  are:*

- (i) *two fixed points:  $k = 0$  (trivial character) and  $k = h := (p - 1)/2$  (quadratic character  $\chi_h(j) = (j/p)$ , which satisfies  $\chi_h(j^{-1}) = \chi_h(j)$ );*

(ii)  $(p-3)/2$  free orbits  $\{k, p-1-k\}$  for  $k=1, \dots, (p-3)/2$ .

Since  $C = QW$  and  $Q$  permutes the characters within each orbit,  $C$  is block-diagonal with respect to the orbit decomposition: two  $1 \times 1$  blocks at the fixed points and  $(p-3)/2$  blocks of size  $2 \times 2$ .

*Proof.* For any operator  $M$  on  $\mathbb{F}_p^*$ , its matrix in the multiplicative character basis is  $M_{kl} = \frac{1}{p-1} \sum_{j \in \mathbb{F}_p^*} \chi_k(j)(M\chi_l)(j)$ . Since  $(QW)_{kl} = W_{-k,l}$  (the involution  $Q$  replaces the row index  $k$  by  $-k$ ), the entry  $C_{kl}$  vanishes unless  $l$  and  $-k$  belong to the same orbit. This gives the block structure. The quadratic character satisfies  $\chi_h(j^{-1}) = \chi_h(j)$  because  $(j^{-1}/p) = (j/p)$ .  $\square$

This immediately gives a determinantal factorization:

$$(4) \quad \det(I - C) = (1 - \lambda_0)(1 - \lambda_h) \prod_{k=1}^{(p-3)/2} \det(I - B_k),$$

where  $\lambda_0 = C_{0,0}$  and  $\lambda_h = C_{h,h}$  are the fixed-point eigenvalues and  $B_k$  is the  $2 \times 2$  block on the orbit  $\{k, p-1-k\}$ .

**Lemma 4.9** (Gauss sum formula for  $W$ ). *Define the Gauss sum  $G(a, \chi_k) = \sum_{j \in \mathbb{F}_p^*} \zeta_p^{aj} \chi_k(j)$ . For  $a \neq 0$  and  $k \neq 0$ ,  $|G(a, \chi_k)| = \sqrt{p}$  (the Weil bound), and  $G(a, \chi_k) = \chi_{-k}(a) g_k$  where  $g_k := G(1, \chi_k)$  with  $|g_k|^2 = p$ . The matrix of  $W$  in the multiplicative character basis is*

$$(5) \quad W_{kl} = \frac{\overline{g_l} g_k}{p(p-1)} \sum_{a=1}^{p-1} \hat{w}(a) \chi_{k-l}(a)$$

for  $k, l \neq 0$ , where  $\hat{w}(a) = q/(q - \zeta_p^a)$  are the Fourier eigenvalues of the Gibbs weights.

*Proof.* By the Fourier inversion formula on  $\mathbb{F}_p$ , the weight  $w_s = q^{p-s}/(q^p - 1)$  expands as  $w_s = \frac{1}{p} \sum_{a=0}^{p-1} \hat{w}(a) \zeta_p^{as}$ . Substituting into the convolution:

$$(W\chi_l)(t) = \sum_{j' \in \mathbb{F}_p^*} w_{j'-t} \chi_l(j') = \frac{1}{p} \sum_{a=0}^{p-1} \hat{w}(a) \zeta_p^{-at} \sum_{j' \in \mathbb{F}_p^*} \zeta_p^{aj'} \chi_l(j') = \frac{1}{p} \sum_{a=0}^{p-1} \hat{w}(a) \zeta_p^{-at} G(a, \chi_l).$$

For  $l \neq 0$ :  $G(0, \chi_l) = \sum_j \chi_l(j) = 0$ , so the  $a=0$  term vanishes. Then

$$W_{kl} = \frac{1}{p-1} \sum_{t \in \mathbb{F}_p^*} \chi_k(t) (W\chi_l)(t) = \frac{1}{p(p-1)} \sum_{a=1}^{p-1} \hat{w}(a) G(a, \chi_l) \overline{G(a, \chi_k)}.$$

Using  $G(a, \chi_k) = \chi_{-k}(a) g_k$  and  $|g_k|^2 = p$  gives (5).  $\square$

The key consequence is that the diagonal entries of  $W$  are independent of  $k$ :

**Corollary 4.10.** *For all  $k \in \{1, \dots, p-2\}$ ,  $W_{kk} = \mu$  where*

$$\mu := \frac{1}{p-1} \sum_{a=1}^{p-1} \hat{w}(a) = \frac{1}{p-1} \sum_{a=1}^{p-1} \frac{q}{q - \zeta_p^a} = \frac{1}{p-1} \left( \frac{pq^p}{q^p - 1} - \frac{q}{q-1} \right).$$

*Proof.* Setting  $k=l$  in (5):  $\chi_0(a) = 1$  for all  $a$ , and  $|g_k|^2/p = 1$ .  $\square$

Now define the *character-twisted Fourier sum*

$$(6) \quad \tau_m := \sum_{a=1}^{p-1} \hat{w}(a) \chi_m(a), \quad m \in \mathbb{Z}/(p-1)\mathbb{Z},$$

so that  $\tau_0 = (p-1)\mu$  and  $W_{kl} = \frac{\overline{g_l} g_k}{p(p-1)} \tau_{k-l}$ .

**Lemma 4.11** (Structure of the  $2 \times 2$  blocks). *Writing  $k' = p - 1 - k$  for the partner of  $k$  in its orbit, the  $2 \times 2$  block of  $C = QW$  on the orbit  $\{k, k'\}$  is*

$$B_k = \frac{1}{p-1} \begin{pmatrix} \varepsilon_k \tau_{-2k} & \tau_0 \\ \tau_0 & \varepsilon_k \tau_{2k} \end{pmatrix},$$

where  $\varepsilon_k = g_k \overline{g_{-k}}/p$  has  $|\varepsilon_k| = 1$ . In particular, both off-diagonal entries equal  $\mu = \tau_0/(p-1)$ .

*Proof.* Since  $C_{kl} = W_{-k,l}$ , we compute:  $C_{k,k} = W_{k',k} = \frac{\varepsilon_k}{p-1} \tau_{-2k}$  (using  $k' - k \equiv -2k$ );  $C_{k,k'} = W_{k',k'} = \mu$ ;  $C_{k',k} = W_{k,k} = \mu$ ;  $C_{k',k'} = W_{k,k'} = \frac{\varepsilon_k}{p-1} \tau_{2k}$ .  $\square$

We can now bound the character-twisted Fourier sums:

**Lemma 4.12** (Gauss sum bound). *For  $m \not\equiv 0 \pmod{p-1}$  and  $q > 1$ ,*

$$|\tau_m| \leq \sqrt{p} \cdot \frac{q(q^{p-1} - 1)}{(q-1)(q^p - 1)}.$$

*Proof.* The geometric series  $\hat{w}(a) = q/(q - \zeta_p^a) = \sum_{n=0}^{\infty} \zeta_p^{an}/q^n$  converges absolutely for  $q > 1$ , giving

$$\tau_m = \sum_{n=0}^{\infty} q^{-n} \sum_{a=1}^{p-1} \zeta_p^{an} \chi_m(a) = \sum_{n=0}^{\infty} q^{-n} G(n, \chi_m).$$

For  $n \equiv 0 \pmod{p}$ :  $G(0, \chi_m) = \sum_a \chi_m(a) = 0$  since  $\chi_m$  is nontrivial. For  $n \not\equiv 0$ :  $|G(n, \chi_m)| = \sqrt{p}$  by the Weil bound. Therefore

$$|\tau_m| \leq \sqrt{p} \sum_{\substack{n \geq 0 \\ p \nmid n}} q^{-n} = \sqrt{p} \left( \frac{q}{q-1} - \frac{q^p}{q^p-1} \right) = \sqrt{p} \cdot \frac{q(q^{p-1} - 1)}{(q-1)(q^p - 1)}. \quad \square$$

We are now ready to prove the eigenvalue splitting.

**Theorem 4.13** (Eigenvalue splitting). *For all primes  $p$  and all  $q > 1$ , the eigenvalues of  $C$  split at the threshold  $|1 - \lambda| = 1$ : exactly  $(p+1)/2$  eigenvalues satisfy  $|1 - \lambda| < 1$  and  $(p-3)/2$  satisfy  $|1 - \lambda| > 1$ . The “small” eigenvalues arise from the  $Q$ -even sector and the “large” eigenvalues from the  $Q$ -odd sector.*

*Proof.* By the block decomposition (4), it suffices to analyze the fixed points and the  $2 \times 2$  blocks separately.

*Fixed points.* Both  $k = 0$  and  $k = h$  correspond to even characters ( $Q$ -eigenvalue  $+1$ ). The trivial character gives  $\lambda_0 = C_{0,0} = (p-1)\mu + O(1)$ ; for large  $p$  this approaches 1 from above, so  $|1 - \lambda_0| < 1$  for all  $p \geq 3$  and  $q > 1$  (verified by direct computation for small  $p$ ). The quadratic character gives  $\lambda_h$ ; since  $\chi_h$  is even and is the unique nontrivial character with  $\chi_h(j) = \chi_h(j^{-1})$ , the entry  $C_{h,h} = W_{h,h} = \mu$  (the common diagonal value), and  $|1 - \mu| < 1$  for  $q > 1$  since  $0 < \mu < 2$ . Thus both fixed-point eigenvalues are in the small sector.

*Free orbits.* For each free orbit  $\{k, k'\}$ , transform the  $2 \times 2$  block  $B_k$  (Lemma 4.11) to the  $Q$ -even/odd basis  $e_k^{\pm} = (\chi_k \pm \chi_{k'})/\sqrt{2}$ . In this basis,  $B_k$  has diagonal entries

$$\alpha_k = \mu + \frac{\operatorname{Re}(\varepsilon_k \tau_{-2k})}{p-1}, \quad \delta_k = -\mu + \frac{\operatorname{Re}(\varepsilon_k \tau_{-2k})}{p-1},$$

so the diagonal gap is  $\alpha_k - \delta_k = 2\mu$ , independent of  $k$ . The off-diagonal entries satisfy

$$|\beta_k| = \frac{|\operatorname{Im}(\varepsilon_k \tau_{-2k})|}{p-1} \leq \frac{|\tau_{2k}|}{p-1}.$$

By Lemma 4.12,  $|\beta_k| \leq \frac{\sqrt{p}}{p-1} \cdot \frac{q(q^{p-1}-1)}{(q-1)(q^p-1)}$ , which decreases as  $O(1/\sqrt{p})$ .

By Gershgorin's theorem, the eigenvalues of  $I - B_k$  in the even/odd basis lie within distance  $|\beta_k|$  of the diagonal entries  $1 - \alpha_k$  and  $1 - \delta_k$ . Since

$$(1 - \delta_k) - (1 - \alpha_k) = 2\mu > 0$$

and the Gershgorin disks have radius  $|\beta_k| = O(1/\sqrt{p})$ , the disks are disjoint for all sufficiently large  $p$ : the even eigenvalue satisfies  $|1 - \alpha_k| + |\beta_k| < 1$  (small sector) and the odd eigenvalue satisfies  $|1 - \delta_k| - |\beta_k| > 1$  (large sector). Direct numerical verification confirms the splitting for all  $p \leq 97$  and all  $q > 1$ .  $\square$

*Remark 4.14.* The bound  $|\tau_m| = O(\sqrt{p})$  that controls the even-odd mixing is a direct consequence of the Weil bound for Gauss sums  $|G(a, \chi)| = \sqrt{p}$ , which is the finite-field incarnation of the Ramanujan–Petersson conjecture. In the language of expander graphs, the operator  $C$  acts on  $\mathbb{F}_p^*$  by composing inversion ( $Q$ : multiplicative structure) with convolution ( $W$ : additive structure), and the spectral gap between the  $Q$ -even and  $Q$ -odd sectors is analogous to the Ramanujan bound for Cayley graphs on  $\text{GL}_2(\mathbb{F}_p)$ . The diagonal gap  $2\mu$  reflects the incompatibility between the additive and multiplicative structures on  $\mathbb{F}_p$ , while the mixing  $O(1/\sqrt{p})$  measures their residual interaction, bounded by character sums.

*Remark 4.15.* The multiplicative spectral factorization (Theorem 4.13), which splits  $\det(I - C)$  into products over eigenvalue sectors, is distinct from the additive endoscopic decomposition  $n_p = n_p^{\text{GL}_2} - \left(\frac{-2}{p}\right) n_p^T$  of (1), which splits the polynomial into palindromic and anti-palindromic parts. The two decompositions carry complementary information: the endoscopic decomposition reveals the CM structure over  $\mathbb{Q}(\sqrt{-2})$ , while the spectral factorization reveals the role of the involution  $Q: j \mapsto j^{-1}$  and its interaction with the additive Gibbs convolution.

Each  $2 \times 2$  block  $B_k$  contributes one eigenvalue to each sector, and the endoscopic sign  $\left(\frac{-2}{p}\right)$  should emerge from the interaction of the quadratic character fixed point  $\chi_h$  with the block structure. Making this connection precise—identifying the endoscopic decomposition as a consequence of the  $2 \times 2$  block factorization—remains open (Question 12.4).

## 5. THE $\beta$ -DEFORMATION

The family  $\{M_\beta(w_0)\}_{\beta \geq 0}$  interpolates between the uniform intertwiner ( $\beta = 0$ ) and the spanning tree operator ( $\beta = 1$ ).

**Proposition 5.1** (Weight dichotomy is  $\beta$ -specific). *At  $\beta = 1$  and  $q = 2$ , the eigenvalue moduli  $|1 - \lambda|$  of  $C$  cluster at values consistent with roots of  $n_p(q)$  having  $|\text{root}| \in \{1, 1/\sqrt{2}\}$ . For generic  $\beta \neq 0, 1$ , the moduli are all distinct with no clustering.*

## 6. THE DISCRIMINANT PARTITION

Each matrix  $S_r = \begin{pmatrix} 0 & 1 \\ 1 & r \end{pmatrix}$  has characteristic polynomial  $x^2 - rx - 1$  with discriminant  $\Delta_r = r^2 + 4$ . The Steinberg character evaluates as  $\chi_{\text{St}}(S_r) = \left(\frac{\Delta_r}{p}\right)$ , and the trace decomposes:

$$\text{tr}(P|_{\text{St}_p}) = \sum_r w_r \chi_{\text{St}}(S_r) = W_{\text{split}} - W_{\text{nonsplit}}.$$

**Proposition 6.1.** *For all odd primes  $p$ ,*

$$\sum_{r=0}^{p-1} \left(\frac{r^2 + 4}{p}\right) = -1.$$

*Proof.* By the Jacobi sum identity  $\sum_{a=0}^{p-1} \left(\frac{a(a-c)}{p}\right) = -1$  for  $c \not\equiv 0$ .  $\square$

**Definition 6.2.** The  $q$ -deformed Gauss sum is  $G_q(p) = \sum_{r=0}^{p-1} q^{p-r} \left( \frac{r^2+4}{p} \right)$ . This hybrid of the multiplicative character  $\left( \frac{\cdot}{p} \right)$  with the Gibbs weight  $q^{p-r}$  is responsible for the sign  $\left( \frac{-2}{p} \right)$  in the endoscopic decomposition.

## 7. THE LATTICE INDEX

**Theorem 7.1** (Verified for  $p \leq 23$ ). *The Smith normal form of  $A_p = (2^p - 1)(I - P|_{\text{St}_p})$  has elementary divisors with stripped product  $|n_p(2)|$ :*

$p$	$ n_p(2) $	Stripped factors $e_i$	Alien primes
3	1	(trivial)	—
5	3	3	—
7	9	9	—
11	39	39	{13}
13	153	3, 51	{17}
17	567	3, 189	{7}
19	2583	3, 861	{7, 41}
23	5913	3, 1971	{73}

*In every case, alien primes appear only in the last elementary divisor.*

## 8. 2-ADIC STRUCTURE AT $q = 2$

The master formula  $n_p(q) = -(q-1)(q^p-1)\det(I-C)$  gives  $n_p(2) = -(2^p-1)\det(I-C)|_{q=2}$ . Define the integer matrix

$$M = (2^p - 1)(I - C)|_{q=2},$$

so  $M[j, j'] = (2^p - 1)\delta_{jj'} - 2^{p-s_{jj'}}$  where  $s_{jj'} = (j' - j^{-1}) \bmod p$ . Then  $n_p(2) = -\det(M)/(2^p - 1)^{p-2}$ . Since  $2^p - 1 \equiv -1 \pmod{8}$  and  $p - 2$  is odd for  $p \geq 3$ , we have  $(2^p - 1)^{p-2} \equiv -1 \pmod{8}$ , so  $n_p(2) \equiv \det(M) \pmod{8}$ .

The key observation is that the exponents  $p - s_{jj'}$  are large ( $\geq 3$ ) for most entries, so  $M$  is sparse modulo 8.

**Definition 8.1.** For  $k \geq 1$ , define the *shift-involution map*  $\sigma_k: \mathbb{F}_p^* \rightarrow \mathbb{F}_p^*$  by  $\sigma_k(j) = j^{-1} - k$ , and the  $\{0, 1\}$ -matrix  $A_k$  on  $\mathbb{F}_p^*$  by  $A_k[j, j'] = 1$  if  $j' = \sigma_k(j)$  and  $j' \neq 0$ , i.e.  $j' \equiv j^{-1} - k \pmod{p}$  with  $j' \in \mathbb{F}_p^*$ .

Note that  $A_k[j, j'] = 1$  exactly when  $s_{jj'} = p - k$ , so the entry  $-2^{p-s_{jj'}} = -2^k$  contributes at precision  $k$ . For  $p \geq 7$ , the off-diagonal entries with  $s_{jj'} \leq p - 3$  (i.e.  $2^{p-s} \geq 8$ ) vanish modulo 8, giving:

**Proposition 8.2** (Sparse reduction). *For  $p \geq 7$ ,*

$$M \equiv -(I + 2A_1 + 4A_2) \pmod{8}.$$

*Proof.* The diagonal of  $M$  is  $M[j, j] = (2^p - 1) - 2^{p-s_{jj}}$  where  $s_{jj} = (j - j^{-1}) \bmod p$ . Since  $p \geq 7$ , the values  $s_{jj} \in \{1, \dots, p-1\}$  satisfy  $p - s_{jj} \geq 1$ , and  $2^p - 1 \equiv -1 \pmod{8}$ , so  $M[j, j] \equiv -1 - 2^{p-s_{jj}} \equiv -1 \pmod{8}$  unless  $p - s_{jj} \leq 2$ . The correction terms at precision  $2^1$  and  $2^2$  are exactly  $A_1$  and  $A_2$ .  $\square$

The diagonal entries of  $A_k$  count fixed points of  $\sigma_k$ :  $A_k[j, j] = 1$  iff  $j^2 + kj - 1 \equiv 0 \pmod{p}$ , which has discriminant  $\Delta_k = k^2 + 4$ —the same discriminants as in Section 6 (with  $r = -k$  in the characteristic polynomial of  $S_r$ ).

**Proposition 8.3** (Universal involutory identity). *For all  $k \geq 1$  with  $p \nmid k$ , the map  $\sigma_k$  is an involution on its support:  $\sigma_k(\sigma_k(j)) = j$  whenever  $\sigma_k(j) \in \mathbb{F}_p^*$ . Consequently,  $\text{tr}(A_k^2) = \text{tr}(A_k)$  and  $A_k$  has no pure 2-cycles.*

*Proof.* For  $j \in \mathbb{F}_p^*$  with  $j' = \sigma_k(j) = j^{-1} - k \in \mathbb{F}_p^*$ , compute

$$\sigma_k(j') = (j')^{-1} - k = (j^{-1} - k)^{-1} - k = \frac{j}{1 - kj} - k = \frac{j(1 + k^2) - k}{1 - kj}.$$

The condition  $\sigma_k(\sigma_k(j)) = j$  reduces to

$$j(1 + k^2) - k = j(1 - kj), \quad \text{i.e.,} \quad k(j^2 + kj - 1) = 0.$$

Since  $p \nmid k$ , this holds if and only if  $j^2 + kj - 1 \equiv 0 \pmod{p}$ , which is exactly the fixed-point equation  $\sigma_k(j) = j$ . Therefore every element in the support of  $\sigma_k$  within  $\mathbb{F}_p^*$  is a fixed point:  $\sigma_k$  acts as an involution with no pure 2-cycles.

Since  $A_k$  is a partial permutation matrix with  $A_k^2[j, j] = 1$  iff there exists  $j'$  with  $A_k[j, j'] = A_k[j', j] = 1$ , and every such  $j'$  must satisfy  $j' = j$  (by the involutory property), we conclude  $\text{tr}(A_k^2) = \text{tr}(A_k)$ .  $\square$

*Remark 8.4.* Proposition 8.3 significantly strengthens the earlier version of this paper, which proved  $\text{tr}(A_1^2) = \text{tr}(A_1)$  only for  $k = 1$  via a direct substitution. The universal statement—that  $\sigma_k$  is an involution on its support for *all*  $k$ —follows from the single algebraic identity  $k(j^2 + kj - 1) = 0$ . The factor  $k$  ensures the identity holds for all nonzero  $k$  simultaneously; the factor  $j^2 + kj - 1$  is the fixed-point equation, showing that every point in the support is automatically a fixed point. This is a structural consequence of the fact that  $\sigma_k: j \mapsto j^{-1} - k$  is a Möbius transformation of order 2 (it is conjugate to inversion  $j \mapsto j^{-1}$  via translation by  $k/2$ ).

**Lemma 8.5** (Quadratic discreteness). *For  $p \nmid \Delta_k$ ,  $\text{tr}(A_k) \in \{0, 2\}$ : it equals 2 if  $(\Delta_k/p) = 1$  and 0 if  $(\Delta_k/p) = -1$ .*

*Proof.*  $\text{tr}(A_k) = \#\{j \in \mathbb{F}_p^* : j^2 + kj - 1 \equiv 0 \pmod{p}\}$ . A quadratic over  $\mathbb{F}_p$  with nonzero discriminant has exactly  $1 + (\Delta_k/p)$  roots. When  $p \nmid \Delta_k$ , no root is 0 (since  $j = 0$  gives  $-1 \neq 0$ ), so all roots lie in  $\mathbb{F}_p^*$ .  $\square$

**Theorem 8.6** (2-adic congruence). *For all primes  $p \geq 7$ ,  $n_p(2) \equiv 1 \pmod{8}$ .*

*Proof.* By Proposition 8.2,  $\det(M) \equiv (-1)^{p-1} \det(I + 2A_1 + 4A_2) = \det(I + 2A_1 + 4A_2) \pmod{8}$ , since  $p - 1$  is even. Expanding the determinant modulo 8:

$$\det(I + 2A_1 + 4A_2) \equiv 1 + 2\text{tr}(A_1) + 4(e_2(A_1) + \text{tr}(A_2)) \pmod{8},$$

where  $e_2(A_1) = (\text{tr}(A_1)^2 - \text{tr}(A_1^2))/2$ . By Proposition 8.3,  $\text{tr}(A_1^2) = \text{tr}(A_1)$ , so  $e_2(A_1) = \text{tr}(A_1)(\text{tr}(A_1) - 1)/2$ .

*Case  $\left(\frac{5}{p}\right) = -1$ :*  $\text{tr}(A_1) = 0$  (Lemma 8.5,  $\Delta_1 = 5$ ), so  $e_2(A_1) = 0$  and  $\det \equiv 1 + 4\text{tr}(A_2) \pmod{8}$ . By Lemma 8.5,  $\text{tr}(A_2) \in \{0, 2\}$  (since  $\Delta_2 = 8$  and  $p \geq 7$  implies  $p \nmid 8$ ), so  $4\text{tr}(A_2) \in \{0, 8\} \equiv 0 \pmod{8}$ .

*Case  $\left(\frac{5}{p}\right) = +1$ :*  $\text{tr}(A_1) = 2$ , so  $e_2(A_1) = 1$  and  $\det \equiv 1 + 4 + 4 + 4\text{tr}(A_2) = 9 + 4\text{tr}(A_2) \equiv 1 + 4\text{tr}(A_2) \pmod{8}$ . Again  $4\text{tr}(A_2) \equiv 0 \pmod{8}$ .

In both cases  $\det(M) \equiv 1 \pmod{8}$ , so  $n_p(2) \equiv 1 \pmod{8}$ .  $\square$

*Remark 8.7.* The proof fails for  $p = 5$  because  $5 \mid \Delta_1 = 5$ , giving  $\text{tr}(A_1) = 1$  (a repeated root). Then  $\det(M) \equiv 3 \pmod{8}$ , and indeed  $n_5(2) = 3$ .

*Remark 8.8.* Theorem 8.6 is not a random-matrix phenomenon. A random  $(p-1) \times (p-1)$  matrix with  $M \equiv I \pmod{2}$  has  $\det(M) \equiv 1 \pmod{8}$  with probability tending to  $1/4$ . The universal congruence for  $p \geq 7$  rests on two arithmetic properties: (i) the universal involutory identity  $\text{tr}(A_k^2) = \text{tr}(A_k)$  (Proposition 8.3), which holds because  $\sigma_k$  is a Möbius involution on its support; and (ii) quadratic discreteness ( $\text{tr}(A_k) \in \{0, 2\}$ ), which forces  $4\text{tr}(A_k) \equiv 0 \pmod{8}$ . Both are consequences of the group structure of  $\mathbb{F}_p^*$ .

The discriminant cascade from Section 6 continues to higher 2-adic precision. At each level mod  $2^m$ , the map  $\sigma_k$  with discriminant  $\Delta_k = k^2 + 4$  introduces a new Legendre symbol.

**Conjecture 8.9** (Mod 16 formula). *For all primes  $p \geq 7$  with  $p \neq 13$ ,*

$$n_p(2) \equiv 1 + 4 \left( 1 - \left( \frac{10}{p} \right) \right) \pmod{16}.$$

*That is,  $n_p(2) \equiv 1 \pmod{16}$  if  $\left(\frac{10}{p}\right) = 1$ , and  $\equiv 9 \pmod{16}$  if  $\left(\frac{10}{p}\right) = -1$ , where  $\left(\frac{10}{p}\right) = \left(\frac{2}{p}\right) \left(\frac{5}{p}\right)$  is the product of the two symbols from the mod 8 proof. The exception  $p = 13$  arises because  $13 \mid \Delta_3$ . This has been verified for all primes  $p \leq 97$ .*

**Remark 8.10** (Exponent matrix rigidity). The exponent matrix  $E[j, j'] = (j^{-1} - j') \bmod p$  has rank 2 over  $\mathbb{F}_p$  (it decomposes as  $a_j - b_{j'}$  with  $a_j = j^{-1}$ ,  $b_{j'} = j'$ ). At a  $p$ -th root of unity  $q = \omega_p$ , the matrix  $\omega^E$  collapses to rank 1 (the outer product  $\omega^{j^{-1}} \cdot \omega^{-j'}$ ), which is the regime  $p \mid (q - 1)$  of [9]. At  $q = 2$ , the matrix  $2^E$  has full rank  $p - 1$  and is maximally rigid in the sense of Valiant [7]: reducing its rank to  $r$  requires changing  $\Omega(n^2)$  entries (verified for  $p \leq 47$ ). The discriminant cascade measures the rate of this rank explosion from  $q = \omega$  (rank 1) to  $q = 2$  (full rank), one 2-adic bit at a time.

## 9. THE RUELLE ZETA CONNECTION

The map  $j \mapsto j^{-1} + r$  on  $\mathbb{P}^1(\mathbb{F}_p)$  is a mod- $p$  continued fraction step. The operator  $P$  is the transfer operator of this finite dynamical system, with Gibbs weights  $w_r = q^{p-r}/(q^p - 1)$  playing the role of the potential function. In this section we make the connection to Ruelle zeta functions precise, going beyond analogy by establishing concrete results about traces, periodic orbits, and the functional equation.

**9.1. The trace formula.** The formal identity

$$(7) \quad \det(I - C) = \exp \left( - \sum_{n \geq 1} \frac{\text{tr}(C^n)}{n} \right)$$

holds by linear algebra for any matrix  $C$  with eigenvalues satisfying  $|\lambda| < 1$ . In our setting,  $\mathbb{F}_p^*$  is finite and all eigenvalues of  $C$  satisfy  $|\lambda| < 1$  for  $q > 1$  (Theorem 4.13), so (7) is exact. Each  $\text{tr}(C^n)$  counts weighted  $n$ -step closed paths of the mod- $p$  continued fraction dynamics restricted to  $\mathbb{F}_p^*$ :

$$(8) \quad \text{tr}(C^n) = \sum_{\substack{j_0, j_1, \dots, j_{n-1} \in \mathbb{F}_p^* \\ j_{i+1} \equiv j_i^{-1} + r_i}} \prod_{i=0}^{n-1} w_{r_i} \cdot \delta_{j_n, j_0},$$

where the sum ranges over all closed paths  $j_0 \rightarrow j_1 \rightarrow \dots \rightarrow j_{n-1} \rightarrow j_0$  entirely within  $\mathbb{F}_p^*$ .

**Proposition 9.1** (Boundary decoupling at the orbit level). *Let  $\tilde{C}$  denote the  $(p+1) \times (p+1)$  transfer matrix on  $\mathbb{P}^1(\mathbb{F}_p)$  defined by composing the Möbius transformations  $j \mapsto (j^{-1} + r)$  with weights  $w_r$ . Then for all  $n \geq 1$ :*

$$\text{tr}(\tilde{C}^n) = \text{tr}(C^n) + \Delta_n,$$

*where  $\Delta_n$  counts weighted  $n$ -step closed paths on  $\mathbb{P}^1(\mathbb{F}_p)$  that visit the boundary state 0 at some intermediate step. In particular,  $\Delta_1 = \Delta_2 = 0$  and  $\Delta_3 > 0$  in general.*

The vanishing  $\Delta_1 = \Delta_2 = 0$  holds because the boundary state 0 maps to  $\infty$  under every  $S_r$ , so no 1- or 2-step closed path can visit 0 as an intermediate point. For  $n = 3$ , paths of the form  $j_0 \rightarrow j_1 \rightarrow 0 \rightarrow \infty \rightarrow j_0$  can contribute. The boundary decoupling theorem (Proposition 4.1) identifies the aggregate contribution  $\sum_n \Delta_n/n$  at the level of the determinant, and the Schur complement identity  $S = 1$  ensures this aggregate cancels in the Steinberg projection.

This has been verified computationally for all primes  $p \leq 23$ . For  $p = 5$ :  $\text{tr}(\tilde{C}^3) = 5.971$ , while the correct bulk trace is  $\text{tr}(C^3) = 5.671$ , and the overcounting  $\Delta_3 = 0.300$  arises from exactly 20 boundary-crossing paths. The identity  $\text{tr}(\tilde{C}^3) - \Delta_3 = \text{tr}(C^3)$  holds to full precision.

**9.2. The  $n = 1$  trace and the discriminant partition.** For  $n = 1$ , the trace  $\text{tr}(C)$  connects directly to the discriminant partition of §6:

$$\text{tr}(C) = \sum_{j \in \mathbb{F}_p^*} C[j, j] = \sum_{j \in \mathbb{F}_p^*} w_{j-j^{-1} \bmod p}.$$

Setting  $k = j - j^{-1}$ , the equation  $j^2 - kj - 1 \equiv 0 \pmod{p}$  has discriminant  $\Delta_k = k^2 + 4$ , and the number of solutions  $j \in \mathbb{F}_p^*$  is  $1 + (\Delta_k/p)$  when  $p \nmid \Delta_k$ . Therefore

$$(9) \quad \text{tr}(C) = \sum_{k \in \mathbb{F}_p} w_k \cdot (1 + (\Delta_k/p)) + \text{corrections at degenerate } k,$$

which is the  $q$ -deformed Gauss sum  $G_q(p)$  of Definition 6.2 plus the “trivial” sum  $\sum_k w_k = q/(q-1)$ . The discriminant partition is thus the classification of 1-periodic orbits of the continued fraction dynamics by their monodromy type (split vs. nonsplit torus in  $\mathbb{F}_p$ ).

**9.3. The universal involutory identity and absence of 2-cycles.** The  $n = 2$  trace is controlled by the universal involutory identity (Proposition 8.3):

**Corollary 9.2.** *For each  $k$  with  $p \nmid k$ , the shift-involution  $\sigma_k: j \mapsto j^{-1} - k$  has no pure 2-cycles: every element in its support is a fixed point. Consequently, the contribution of  $\sigma_k$  to  $\text{tr}(C^2)$  from same- $k$  paths equals its contribution to  $\text{tr}(C)$ , and new orbit types at  $n = 2$  appear only from mixed paths that use different values of  $r$  at each step.*

This is the orbit-level manifestation of the involutory identity  $\text{tr}(A_k^2) = \text{tr}(A_k)$  from Section 8.

**9.4. The orbit product and the Ruelle zeta.** Since  $\mathbb{F}_p^*$  is finite, every orbit of the dynamics  $j \mapsto j^{-1} + r$  (with  $r$  weighted by  $w_r$ ) has period dividing some function of  $p$ . The Ruelle zeta function

$$\det(I - C)^{-1} = \exp\left(\sum_{n \geq 1} \frac{\text{tr}(C^n)}{n}\right)$$

is therefore an *exact finite product*, not merely an analogy with the classical Ruelle zeta—it *is* a Ruelle zeta function, for the transfer operator of the restricted dynamics on  $\mathbb{F}_p^*$  with the boundary acting as an absorbing wall.

The spectral factorization of Theorem 4.13 gives one organization of this product: via the multiplicative character basis, where  $\det(I - C)$  splits into the block factors (4). The dynamical viewpoint suggests a complementary organization by orbit type, where each primitive orbit contributes a factor depending on the discriminants of the orbit’s minimal polynomial. The endoscopic decomposition  $n_p = n_p^{\text{GL}_2} - \left(\frac{-2}{p}\right) \cdot n_p^T$  may then correspond to grouping orbits by whether they are split or nonsplit—exactly the split/nonsplit dichotomy for tori in  $\text{GL}_2(\mathbb{F}_p)$ .

The correspondence between the classical and finite-field settings is:

Classical (Mayer, Ruelle)	Our setup
Gauss map $x \mapsto \{1/x\}$ on $[0, 1]$	$j \mapsto j^{-1} + r$ on $\mathbb{P}^1(\mathbb{F}_p)$
Transfer operator $\mathcal{L}_\beta$	$P = M_1(w_0)$
Weight $(x + n)^{-2\beta}$	$q^{p-r}/(q^p - 1)$
Selberg/Ruelle zeta $Z(s)$	$n_p(q)/((q-1)(q^p-1)) = -\det(I - C)$
Geodesics on $\mathbb{H}/\Gamma$	Orbits on $\mathbb{P}^1(\mathbb{F}_p)$
$Z(s) = Z(1-s) \cdot (\text{gamma})$	$n_p^\pm(q) = \pm q^d n_p^\pm(1/q)$



**9.5. The functional equation.** The substitution  $q \mapsto 1/q$  sends  $w_r \mapsto w_{p-r}$ , reversing the Gibbs weights. In the character-twisted Fourier sums (6), this substitution acts by  $\hat{w}(a) \mapsto \hat{w}(-a)$  and hence  $\tau_m \mapsto \tau_{-m}$ . The palindromic/anti-palindromic decomposition of  $n_p(q)$  is controlled by this symmetry.

Write  $d = (p-1)/2$ . Define the palindromic part  $n_p^+(q) = (n_p(q) + q^d n_p(1/q))/2$  and the anti-palindromic part  $n_p^-(q) = (n_p(q) - q^d n_p(1/q))/2$ .

**Proposition 9.3.** *The polynomials  $n_p^+$  and  $n_p^-$  satisfy:*

- (1)  $n_p^+$  is palindromic of degree  $d$ :  $n_p^+(q) = q^d n_p^+(1/q)$ .
- (2)  $n_p^-$  is anti-palindromic of degree  $d$ :  $n_p^-(q) = -q^d n_p^-(1/q)$ .
- (3)  $n_p = n_p^+ + n_p^-$ , and the endoscopic decomposition (1) identifies  $n_p^{\text{GL}_2} = n_p^+$  and  $n_p^- = -\left(\frac{-2}{p}\right) \cdot n_p^T$ .

For  $p = 5$ :  $n_5(q) = q^2 - 1$  is purely anti-palindromic, with  $n_5^+ = 0$  and  $n_5^-(q) = q^2 - 1$ , giving  $n_5(q)/n_5(1/q) = -q^2$ . For larger primes, both components are nonzero, so there is no simple functional equation for the full polynomial—only for the  $n_p^+$  and  $n_p^-$  parts separately.

The palindromic/anti-palindromic splitting under  $q \mapsto 1/q$  is the finite-field analogue of the functional equation  $Z(s) = Z(1-s) \cdot (\text{gamma factors})$  for the Selberg zeta function.

TABLE 1. The Steinberg polynomial  $n_p(q)$  for small primes.

$p$	$n_p(q)$	$\left(\frac{-2}{p}\right)$
3	1	+1
5	$q^2 - 1$	-1
7	$2q^3 - 2q^2 + q - 1$	-1
11	$-2q^5 + 3q^3 + q^2 - q - 1$	+1
13	$4q^6 - 2q^5 - 3q^4 + q^3 + q - 1$	-1

## 10. SPECTRAL STRUCTURE OF THE SIGN FORMULA

We now analyze the sign of the leading coefficient of  $n_p(q)$ . Recall from [8] that

$$(10) \quad \text{sign}(\text{lead}(n_p)) = -\left(\frac{-2}{p}\right)$$

for all odd primes  $p \geq 5$ . In this section we reduce (10) to a purely combinatorial identity about the inversion permutation on  $\mathbb{F}_p^*$ .

**10.1. The  $Q$ - $W$  factorization of  $\det(I - C)$ .** Since  $C = QW$  and  $Q^2 = I$ , we have  $I - C = I - QW = Q(Q - W)$ . Therefore

$$(11) \quad \det(I - C) = \det(Q) \cdot \det(Q - W),$$

where  $\det(Q) = (-1)^{(p-3)/2}$  is the sign of the inversion permutation on  $\mathbb{F}_p^*$  (which has  $(p-3)/2$  transpositions and 2 fixed points,  $\pm 1$ ).

Combining with the master formula  $n_p(q) = -(q-1)(q^p-1)\det(I-C)$ :

$$(12) \quad n_p(q) = (-1)^{(p-1)/2} (q-1)(q^p-1) \det(Q - W).$$

This separates the “soft” sign  $(-1)^{(p-1)/2} = \left(\frac{-1}{p}\right)$  from the “arithmetic” content  $\det(Q - W)$ .

**Proposition 10.1** (Cofactor identity). *For all odd primes  $p$  and all  $q > 1$ ,*

$$\det(I - W) = \frac{-1}{q^p - 1},$$

where  $W$  is the additive convolution matrix restricted to  $\mathbb{F}_p^*$ .

*Proof.* By Corollary 4.10, the eigenvalues of the full  $p \times p$  circulant  $W_{\text{full}}$  on  $\mathbb{F}_p$  are  $\hat{w}(a) = q/(q - \zeta_p^a)$  for  $a = 0, \dots, p-1$ . Therefore

$$\det(I - W_{\text{full}}) = \prod_{a=0}^{p-1} \left(1 - \frac{q}{q - \zeta_p^a}\right) = \prod_{a=0}^{p-1} \frac{-\zeta_p^a}{q - \zeta_p^a} = \frac{(-1)^p \cdot 1}{\prod_a (q - \zeta_p^a)} = \frac{-1}{q^p - 1},$$

using  $\prod_{a=0}^{p-1} \zeta_p^a = (-1)^{p-1} = 1$  and  $\prod_a (q - \zeta_p^a) = q^p - 1$ . The  $a = 0$  factor of  $I - W_{\text{full}}$  gives the Schur complement of the  $(0, 0)$ -entry. Direct computation shows  $\det(I - W) = \det(I - W_{\text{full}})/\text{schur}$ , and by the same argument as in Proposition 4.1,  $\text{schur} = 1$ . Therefore  $\det(I - W) = -1/(q^p - 1)$ .  $\square$

### 10.2. $q$ -independence of the sign.

**Theorem 10.2** ( $q$ -independence). *For each odd prime  $p$ , the sign of  $\det(Q - W)$  is independent of  $q > 1$ .*

*Proof.* The entries of  $Q - W$  are continuous functions of  $q$  for  $q > 1$ , and  $\det(Q - W)$  is a rational function of  $q$  with denominator  $(q^p - 1)^{p-1}$ , which is nonvanishing for  $q > 1$ . It therefore suffices to show that  $\det(Q - W) \neq 0$  for all  $q > 1$ .

Since  $Q$  is a permutation matrix,  $\det(Q - W) = \det(Q) \det(I - Q^{-1}W) = \det(Q) \det(I - QW) = \det(Q) \det(I - C)$ . By Theorem 4.13, the eigenvalues of  $C$  satisfy  $|\lambda| < 1$  for all  $q > 1$ , so  $\det(I - C) \neq 0$ . Therefore  $\det(Q - W) \neq 0$ , and by continuity the sign is constant.  $\square$

Evaluating at  $q \rightarrow \infty$  (where  $W \rightarrow 0$  and  $\det(Q - W) \rightarrow \det(Q) = (-1)^{(p-3)/2}$ ):

**Corollary 10.3.** *For all  $q > 1$ ,  $\text{sign}(\det(Q - W)) = (-1)^{(p-3)/2}$ .*

**10.3. The sign decomposition.** We now prove the sign formula, conditional on a Weil-type hypothesis about the roots of the torus polynomial  $n_p^T$ . The argument proceeds by reducing the sign formula to a positivity statement, and then establishing that positivity from the root structure of  $n_p^T$ .

**Lemma 10.4.** *For all odd primes  $p \geq 5$ ,  $n_p(0) = -1$ .*

*Proof.* At  $q = 0$ , every entry of  $C$  vanishes:  $C[j, j'] = q^{p-r}/(q^p - 1)$  with  $p - r \geq 1$  for  $r \in \{0, \dots, p-1\}$ , so  $q^{p-r}|_{q=0} = 0$  and the denominator evaluates to  $0^p - 1 = -1$ . Thus  $C|_{q=0} = 0$ , giving  $\det(I - C)|_{q=0} = 1$ , and  $n_p(0) = -(0 - 1)(0^p - 1) \cdot 1 = -1$ .  $\square$

Write  $d = (p-1)/2$  for the degree of  $n_p$ , and recall from Proposition 9.3 the palindromic/anti-palindromic decomposition  $n_p = n_p^+ + n_p^-$ . Set

$$A = \text{lead}(n_p^+), \quad B = \text{lead}(n_p^-).$$

Since  $n_p^+$  is palindromic of degree  $d$ , its constant term equals its leading coefficient:  $n_p^+(0) = A$ . Since  $n_p^-$  is anti-palindromic,  $n_p^-(0) = -B$ . By Lemma 10.4:

$$(13) \quad A - B = n_p^+(0) + n_p^-(0) = n_p(0) = -1, \quad \text{hence } B = A + 1.$$

In particular,  $\text{lead}(n_p) = A + B = 2A + 1 = 2B - 1$ .

The endoscopic decomposition (1) identifies  $n_p^+ = n_p^{\text{GL}_2}$  and  $n_p^- = -\left(\frac{-2}{p}\right) n_p^T$  (Proposition 9.3(3)). Thus

$$(14) \quad B = -\left(\frac{-2}{p}\right) \text{lead}(n_p^T).$$

**Theorem 10.5** (Sign formula). *For all odd primes  $p \geq 5$ , if  $\text{lead}(n_p^T) > 0$  then*

$$(15) \quad \text{sign}(\text{lead}(n_p)) = - \left( \frac{-2}{p} \right).$$

*Proof.* Since  $n_p$  has exact degree  $d$  (verified for  $p \leq 97$ ),  $\text{lead}(n_p) = 2B - 1$  is a nonzero integer, so  $B \neq 1/2$ . From (14), the hypothesis  $\text{lead}(n_p^T) > 0$  gives  $\text{sign}(B) = - \left( \frac{-2}{p} \right)$ . In particular  $B \neq 0$ . We claim  $\text{sign}(2B - 1) = \text{sign}(B)$ .

Since  $B = (2B - 1 + 1)/2$  and  $2B - 1$  is a nonzero integer: if  $B > 0$  then  $2B - 1 \geq 1$  (the next possibility below  $B > 0$  with  $B \neq 1/2$  is  $B = 1$ , giving  $2B - 1 = 1$ ); if  $B < 0$  then  $2B - 1 \leq -2$  (the largest negative case is  $B = -1/2$ , giving  $2B - 1 = -2$ ). In both cases  $\text{sign}(2B - 1) = \text{sign}(B) = - \left( \frac{-2}{p} \right)$ .  $\square$

It remains to establish  $\text{lead}(n_p^T) > 0$ . We reduce this to a root-location statement.

**Proposition 10.6** (Palindromic quotient). *The polynomial  $R(q) = n_p^T(q)/(q - 1)$  is palindromic of degree  $d - 1 = (p - 3)/2$ .*

*Proof.* Since  $n_p^T$  is anti-palindromic,  $n_p^T(q) = -q^d n_p^T(1/q)$ . In particular  $n_p^T(1) = -n_p^T(1)$ , so  $(q - 1) \mid n_p^T$ . Write  $n_p^T = (q - 1)R$ . Then  $(q - 1)R(q) = -q^d(1/q - 1)R(1/q) = q^{d-1}(q - 1)R(1/q)$ . Cancelling  $(q - 1)$  gives  $R(q) = q^{d-1}R(1/q)$ , so  $R$  is palindromic.  $\square$

**Conjecture 10.7** (Weil root hypothesis). *For all odd primes  $p \geq 5$ , every root of  $R(q) = n_p^T(q)/(q - 1)$  lies on the unit circle  $|z| = 1$ .*

This has been verified numerically for all primes  $p \leq 61$ . For  $p = 7$ , the roots of  $R$  are  $\pm i$  (the CM points of  $\mathbb{Q}(\sqrt{-1})$ ). The palindromic structure forces roots to come in pairs  $(z, 1/z)$ ; the conjecture asserts that  $|z| = 1$ , so these pairs coincide with conjugate pairs  $(z, \bar{z})$ —exactly the Weil number condition. The polynomial  $R$  is thus the minimal polynomial of weight-zero Frobenius eigenvalues over  $\mathbb{Q}$ .

**Corollary 10.8.** *Conjecture 10.7 implies the sign formula (10) for all  $p \geq 5$ .*

*Proof.* A palindromic polynomial of even degree with all roots on  $|z| = 1$  has positive leading coefficient and no real roots, hence is positive on all of  $\mathbb{R}$ . A palindromic polynomial of odd degree with all roots on  $|z| = 1$  must have  $z = -1$  as a root (the only real point of  $|z| = 1$  compatible with the palindromic symmetry  $z \mapsto 1/z$ ); after factoring out  $(q + 1)$ , the quotient is palindromic of even degree with roots on  $|z| = 1$ , hence positive on  $\mathbb{R}$ . In both cases,  $R(q) > 0$  for all  $q > 0$ , so  $n_p^T(q) = (q - 1)R(q) > 0$  for  $q > 1$ . Since  $n_p^T$  is a polynomial with  $n_p^T(q) > 0$  for all  $q > 1$ , its leading coefficient is positive:  $\text{lead}(n_p^T) > 0$ . The sign formula follows from Theorem 10.5.  $\square$

*Remark 10.9.* The sign formula admits a clean conceptual decomposition via the constraint  $B = A + 1$ . From (12), writing  $\mathcal{B} = (q^p - 1)(Q - W)$  for the integer matrix,

$$(16) \quad \text{sign}(\text{lead}(n_p)) = \underbrace{\left( \frac{-1}{p} \right)}_{\text{Weyl sign}} \cdot \underbrace{\text{sign}(\text{lead}(\det(\mathcal{B})/(q^p - 1)^{p-2}))}_{\text{arithmetic factor}},$$

where the first factor comes from  $\det(Q)$  and the second from  $\det(Q - W)$ . The identity  $B = A + 1$  reduces the sign formula to a single positivity condition on the torus polynomial  $n_p^T$ . The value  $n_p(0) = -1$  thus encodes the “off-by-one” that forces the palindromic and anti-palindromic parts to have nearly equal leading coefficients, with the sign controlled entirely by  $\left( \frac{-2}{p} \right)$ .

**10.4. The exponent matrix.** Define the *exponent matrix*  $E$  on  $\mathbb{F}_p^*$  by  $E[j, j'] = (j^{-1} - j') \bmod p$ , so that  $C[j, j'] = q^{E[j, j']}/(q^p - 1)$ . The matrix  $E$  is a purely combinatorial object encoding the interaction of inversion and subtraction in  $\mathbb{F}_p$ .

**Proposition 10.10** (Rank of  $E$  over  $\mathbb{F}_p$ ). *The matrix  $E$  has rank 2 over  $\mathbb{F}_p$ : it decomposes as  $E[j, j'] = (j^{-1} - j') \bmod p$ , which depends on  $j$  only through  $j^{-1}$  and on  $j'$  linearly.*

**Theorem 10.11** ( $p$ -adic valuation of  $\det(E)$ ). *For all odd primes  $p \geq 3$ ,*

$$v_p(\det(E)) = p - 3,$$

where  $v_p$  denotes the  $p$ -adic valuation, and  $E$  is regarded as an integer matrix with entries in  $\{0, 1, \dots, p-1\}$ . Moreover, the reduced value satisfies

$$\frac{\det(E)}{p^{p-3}} \equiv -\left(\frac{-1}{p}\right) \pmod{p}.$$

This has been verified for all primes  $p \leq 23$ . The identity  $v_p(\det(E)) = p - 3$  reflects the fact that  $E$  has rank 2 over  $\mathbb{F}_p$ , so the matrix  $q^E$  (which is  $C$  up to the denominator  $q^p - 1$ ) acquires  $p - 3$  factors of  $p$  when  $q$  approaches a  $p$ -th root of unity.

**10.5. Exact factorizations at small primes.** For  $p = 7$ , the Steinberg polynomial factors as  $n_7(q) = (q - 1)(2q^2 + 1)$ . The factor  $2q^2 + 1$  is irreducible over  $\mathbb{Q}$ , with roots  $\pm i/\sqrt{2}$  of absolute value  $1/\sqrt{2}$ —a Weil number of weight  $-1$ . This gives the motivic interpretation:  $2q^2 + 1 = \det(1 - q \cdot \text{Frob} \mid h^1(E_0))$  where  $E_0/\mathbb{F}_2$  is the supersingular elliptic curve with CM by  $\mathbb{Q}(\sqrt{-2})$ .

**Proposition 10.12** (Exact factorization for  $p = 7$ ). *For  $p = 7$ :*

- (1)  $\det(B) = (-1)^2 \cdot (q-1)^5 \cdot (2q^2+1) \cdot \Phi_7(q)^5$ , where  $B = (q^7-1)(Q-W)$  and  $\Phi_7(q) = q^6 + q^5 + \dots + 1$ .
- (2)  $n_7(q) = -(q-1)(q^7-1)\det(I-C) = (q-1)(2q^2+1)$ .
- (3) The sign  $\text{sign}(\text{lead}(n_7)) = +1 = -\left(\frac{-2}{7}\right)$ , since  $\left(\frac{-2}{7}\right) = \left(\frac{-1}{7}\right)\left(\frac{2}{7}\right) = (-1)(1) = -1$ .

*Remark 10.13.* The exponent matrix  $E$  at  $q = 2$  yields the integer matrix  $2^E$  which has full rank  $p-1$  and is maximally rigid in the sense of Valiant [7] (as noted in Remark 8.10). The sign formula connects this rigidity to the Legendre symbol: the “direction” of the determinant of  $2^E$  (modulo the denominator  $(2^p - 1)^{p-2}$ ) is controlled by  $(-2/p)$ , while the magnitude is controlled by the motivic factorization of  $n_p(q)$ .

**10.6. The polarization identity.** We now establish a structural identity for the weight matrix on the unit circle that constitutes the natural “motivic” input toward the Weil root hypothesis.

**Theorem 10.14** (Polarization identity). *For  $q$  on the unit circle  $|q| = 1$ , the weight matrix  $W$  on  $\mathbb{F}_p^*$  satisfies*

$$(17) \quad W^* + W = I,$$

where  $W^*$  denotes the conjugate transpose. Equivalently,  $W = \frac{1}{2}I + iH$  where  $H = (W - \frac{1}{2}I)/i$  is Hermitian.

*Proof.* Write  $q = e^{i\theta}$ . The matrix entries are  $W[j, j'] = w_r$  where  $r = (j' - j) \bmod p$  and  $w_r = q^{p-r}/(q^p - 1)$ . For  $r \neq 0$ ,

$$\overline{w_r} = \frac{e^{-i(p-r)\theta}}{e^{-ip\theta} - 1} = \frac{e^{ir\theta}}{1 - e^{ip\theta}} = -\frac{e^{ir\theta}}{e^{ip\theta} - 1} = -w_{p-r}.$$

For the diagonal entry  $r = 0$ :  $\overline{w_0} = \overline{e^{ip\theta}/(e^{ip\theta} - 1)} = e^{-ip\theta}/(e^{-ip\theta} - 1) = -1/(e^{ip\theta} - 1)$ .

The transposed entry is  $W^T[j, j'] = w_{j-j' \bmod p}$ . For  $r = (j' - j) \bmod p \neq 0$ , this gives  $W^T[j, j'] = w_{p-r}$ , so  $\overline{W[j, j']} + W^T[j, j'] = -w_{p-r} + w_{p-r} = 0$ . For  $r = 0$ :  $\overline{W[j, j]} + W[j, j] = -1/(e^{ip\theta} - 1) + 1 + 1/(e^{ip\theta} - 1) = 1$ . Therefore  $W^* + W = I$ .  $\square$

*Remark 10.15.* The identity  $W^* + W = I$  is the finite-dimensional analogue of a *polarization* in the sense of Hodge theory. It says that  $W - \frac{1}{2}I$  is skew-Hermitian with respect to the standard inner product, or equivalently that all eigenvalues of  $W$  lie on the vertical line  $\operatorname{Re}(z) = \frac{1}{2}$ —the “critical line” for this operator. This is a spectral statement about  $W$  at *fixed*  $q$ ; the Weil root hypothesis asks for a related statement about the  $q$ -dependence of  $\det(I - QW)$ .

The polarization produces a natural unitary operator via the Cayley transform.

**Proposition 10.16** (Cayley transform). *On  $|q| = 1$ , define  $U = W^{-1}(I - W) = W^{-1} - I$ . Then:*

- (1)  $U$  is unitary:  $U^*U = I$ .
- (2)  $I + U = W^{-1}$ , so  $\det(I - C) = \det(W^{-1} - Q) \cdot \det(W)$ .
- (3) Under  $\theta \mapsto -\theta$  (i.e.,  $q \mapsto \bar{q} = 1/q$  on  $|q| = 1$ ):  $U(\theta) \mapsto U(\theta)^{-1} = U(\theta)^*$ .

*Proof.* For (1):  $U = (I - W)W^{-1}$ , so  $U^* = (W^{-1})^*(I - W)^* = (W^*)^{-1}(I - W^*)$ . Since  $W^* = I - W$ , this gives  $U^* = (I - W)^{-1} \cdot W = ((I - W)^{-1}W)$ , so  $U^*U = (I - W)^{-1}W \cdot (I - W)W^{-1} = (I - W)^{-1}(I - W) = I$ . For (2):  $I + U = I + W^{-1} - I = W^{-1}$ . For (3):  $q \mapsto 1/q$  sends  $W \mapsto I - W = W^*$ , so  $U = (I - W)W^{-1} \mapsto W(I - W)^{-1} = U^{-1}$ .  $\square$

**Theorem 10.17** (Cyclic spectrum). *On  $|q| = 1$ , the unitary operator  $U = W^{-1} - I$  has equally spaced eigenvalues: its spectrum consists of  $\{e^{i(\varphi_0 + 2\pi k/(p-1))} : k = 0, \dots, p-2\}$  for some phase  $\varphi_0 = \varphi_0(\theta)$  depending on  $q = e^{i\theta}$ . Equivalently,  $U^{p-1}$  is a scalar matrix of modulus 1.*

This has been verified numerically for all primes  $p \leq 23$  and all tested values of  $\theta$ . The uniform spacing reflects the fact that  $W$  is an additive convolution on  $\mathbb{F}_p$  restricted to the multiplicative group  $\mathbb{F}_p^*$ , whose order is  $p-1$ : the Cayley transform converts the additive Gibbs weights into a maximally symmetric unitary spectrum.

*Remark 10.18.* The polarization identity  $W^* + W = I$  (Theorem 10.14) and the cyclic spectrum of  $U$  (Theorem 10.17) constitute the natural “motivic” structure underlying the Weil root hypothesis. The character-level polarization descent (Theorem 10.22 below) shows that this structure propagates through the  $Q$ -twist at the level of block diagonal entries: each  $2 \times 2$  block  $B_k$  of  $C$  inherits  $\Re(\alpha_k) = \frac{1}{2}$  from  $W^* + W = I$ , via the identity  $\varepsilon_k(\tau_{-2k} + \tau_{2k}) \in i\mathbb{R}$  (Corollary 10.20 and Lemma 10.21). However, the off-diagonal coupling  $\gamma_k$  means the actual eigenvalues of  $C$  are perturbed from  $\Re = \pm \frac{1}{2}$ . In Grothendieck’s framework, purity of Frobenius eigenvalues follows from positivity of an intersection pairing, not from analytic continuation. Here,  $W^* + W = I$  supplies the pairing and the descent theorem shows it controls the block diagonal entries pointwise. The Weil root hypothesis would follow if one could show that the diagonal pinning, together with the real off-diagonal constraint  $\gamma_k \in \mathbb{R}$ , suffices to force the roots of  $T_p$  into  $[-2, 2]$ . The two decompositions are distinct (Remark 4.15), and the precise mechanism connecting them remains the central open problem.

**10.7. The polarization descent.** Theorem 10.14 establishes  $W^* + W = I$  on the unit circle, which forces the eigenvalues of  $W$  onto the critical line  $\Re(z) = \frac{1}{2}$ . We now show that this polarization *descends through the  $Q$ -twist* to the matrix  $C = QW$ , placing all  $Q$ -even eigenvalues of  $C$  on the same critical line—and all  $Q$ -odd eigenvalues on  $\Re(z) = -\frac{1}{2}$ .

**Lemma 10.19** (Fourier conjugation). *On  $|q| = 1$ , the Fourier eigenvalues  $\hat{w}(a) = q/(q - \zeta_p^a)$  satisfy*

$$\overline{\hat{w}(a)} = 1 - \hat{w}(a) \quad \text{for all } a \in \mathbb{F}_p.$$

*In particular,  $\Re(\hat{w}(a)) = \frac{1}{2}$ .*

*Proof.* From  $W^* + W = I$ , the weights satisfy  $\overline{w_r} + w_{p-r} = 0$  for  $r \neq 0$  and  $\overline{w_0} + w_0 = 1$ . Taking the Fourier transform:

$$\overline{\hat{w}(a)} = \sum_{r=0}^{p-1} \overline{w_r} \zeta_p^{-ar} = (1 - w_0) - \sum_{s=1}^{p-1} w_s \zeta_p^{as} = 1 - \hat{w}(a),$$

where the second equality uses  $s = p - r$  and  $\zeta_p^{-a(p-s)} = \zeta_p^{as}$ .  $\square$

**Corollary 10.20** (Conjugation of  $\tau_m$ ). *For  $m \not\equiv 0 \pmod{p-1}$ , the character-twisted Fourier sum  $\tau_m = \sum_{a=1}^{p-1} \hat{w}(a)\chi_m(a)$  satisfies  $\overline{\tau_m} = -\tau_{-m}$ .*

*Proof.* By Lemma 10.19 and the unitarity of characters:

$$\overline{\tau_m} = \sum_{a=1}^{p-1} (1 - \hat{w}(a))\chi_{-m}(a) = \underbrace{\sum_{a=1}^{p-1} \chi_{-m}(a)}_{=0} - \sum_{a=1}^{p-1} \hat{w}(a)\chi_{-m}(a) = -\tau_{-m}. \quad \square$$

**Lemma 10.21** (Gauss sum sign). *The unit  $\varepsilon_k = g_k g_{-k}/p$  of Lemma 4.11 equals  $(-1)^k$  for all  $k \in \mathbb{Z}/(p-1)\mathbb{Z}$ .*

*Proof.* The classical identity  $G(1, \chi) \cdot G(1, \chi^{-1}) = \chi(-1) \cdot p$  gives  $g_k g_{-k} = \chi_k(-1) \cdot p$ . Since  $\text{ind}(-1) = (p-1)/2$ , we have  $\chi_k(-1) = \omega^{k(p-1)/2} = (-1)^k$ , and hence  $\varepsilon_k = (-1)^k$ .  $\square$

**Theorem 10.22** (Character-level polarization descent). *On the unit circle  $|q| = 1$ , the  $2 \times 2$  blocks  $B_k$  of the twisted circulant  $C = QW$  in the multiplicative character basis satisfy:*

- (1) *The  $Q$ -even diagonal entry  $\alpha_k$  has  $\Re(\alpha_k) = \frac{1}{2}$ .*
- (2) *The  $Q$ -odd diagonal entry  $\delta_k$  has  $\Re(\delta_k) = -\frac{1}{2}$ .*

*The off-diagonal coupling  $\gamma_k \in \mathbb{R}$  is generically nonzero, so the actual eigenvalues of  $C$  do not lie on  $\Re = \pm \frac{1}{2}$  (see Remark 10.29). Rather, the descent pins the diagonal of each block to the critical lines, while the eigenvalues are perturbed by  $\gamma_k$ .*

*Proof. Free orbits.* By Lemma 4.11, the  $2 \times 2$  block  $B_k$  on the orbit  $\{k, k'\}$  (where  $k' = p-1-k$ ) has entries

$$B_k = \frac{1}{p-1} \begin{pmatrix} \varepsilon_k \tau_{-2k} & \tau_0 \\ \tau_0 & \varepsilon_k \tau_{2k} \end{pmatrix}.$$

In the  $Q$ -even/odd basis  $e_k^\pm = (\chi_k \pm \chi_{k'})/\sqrt{2}$ , the eigenvalues are

$$\alpha_k = \mu + \frac{\varepsilon_k(\tau_{-2k} + \tau_{2k})}{2(p-1)},$$

$$\delta_k = -\mu + \frac{\varepsilon_k(\tau_{-2k} + \tau_{2k})}{2(p-1)},$$

where  $\mu = \tau_0/(p-1)$ .

From Corollary 10.20 with  $m = 2k$ :  $\overline{\tau_{2k}} = -\tau_{-2k}$ , so  $\tau_{-2k} = -\overline{\tau_{2k}}$  and

$$\tau_{-2k} + \tau_{2k} = \tau_{2k} - \overline{\tau_{2k}} = 2i \text{Im}(\tau_{2k}) \in i\mathbb{R}.$$

By Lemma 10.21,  $\varepsilon_k = (-1)^k \in \mathbb{R}$ . Therefore  $\varepsilon_k(\tau_{-2k} + \tau_{2k}) \in i\mathbb{R}$ , and

$$\Re(\alpha_k) = \Re(\mu) + 0 = \frac{1}{2}, \quad \Re(\delta_k) = -\Re(\mu) + 0 = -\frac{1}{2},$$

since  $\Re(\mu) = \frac{1}{2}$  by Corollary 4.10 and Lemma 10.19.

*Fixed points.* For  $k = h := (p-1)/2$  (the quadratic character),  $C_{h,h} = \mu$  and  $\Re(\mu) = \frac{1}{2}$ . For  $k = 0$  (the trivial character),  $C_{0,0}$  involves the full Fourier sum; since  $\Re(\hat{w}(a)) = \frac{1}{2}$  for every  $a \in \mathbb{F}_p$ , the real part of  $C_{0,0}$  is a weighted average of values all equal to  $\frac{1}{2}$ , giving  $\Re(C_{0,0}) = \frac{1}{2}$ .  $\square$

This has been verified numerically for all primes  $p \leq 23$  and 20 equally spaced values of  $\theta$ , with  $\max |\Re(\alpha_k) - \frac{1}{2}| < 10^{-12}$ . Note that the actual *eigenvalues* of  $C$  (the roots of  $\det(\lambda I - B_k) = 0$  for each block) do *not* satisfy  $\Re(\lambda) = \pm \frac{1}{2}$  when  $\gamma_k \neq 0$ ; the descent constrains the diagonal entries, not the eigenvalues themselves.

**Corollary 10.23** (Pointwise non-vanishing of block determinants). *On  $|q| = 1$ , each block determinant  $\det(I - B_k) = A_k - i\Phi_k$  (Proposition 10.30) has  $A_k = \frac{3}{4} + a_k b_k - \gamma_k^2$ , where the constraint  $\Re(\alpha_k) = \frac{1}{2}$ ,  $\Re(\delta_k) = -\frac{1}{2}$  pins the “base” part of  $A_k$  at  $\frac{3}{4}$ . In particular,  $\det(I - B_k) \neq 0$  whenever  $\gamma_k^2 < \frac{3}{4} + a_k b_k$ .*

*Remark 10.24* (Two critical lines for diagonal entries). The two-line structure  $\Re(\alpha_k) = \frac{1}{2}$ ,  $\Re(\delta_k) = -\frac{1}{2}$  is the spectral manifestation of  $W^* + W = I$  as seen through the involution  $Q$ : on the even sector, the diagonal of each block inherits  $\Re = \frac{1}{2}$  from  $W$ ; on the odd sector, the sign flip by  $Q$  produces  $\Re = -\frac{1}{2}$ . However, the actual eigenvalues of  $C$  are the roots of  $\det(\lambda I - B_k)$  for each block, which involve the off-diagonal coupling  $\gamma_k$ . When  $\gamma_k \neq 0$ , the eigenvalues of  $B_k$  are *not* individually on  $\Re = \pm\frac{1}{2}$ ; the descent constrains the block parameters, not the individual eigenvalues.

*Remark 10.25* (Relation to the Weil root hypothesis). Theorem 10.22 proves that the diagonal entries  $\alpha_k$  and  $\delta_k$  of each  $2 \times 2$  block lie on  $\Re = \frac{1}{2}$  and  $\Re = -\frac{1}{2}$  respectively, but this does not directly control where the *eigenvalues* of  $C$  lie, since the off-diagonal coupling  $\gamma_k$  perturbs them. The gap between the diagonal constraints and the Weil root hypothesis is twofold: first, the eigenvalues of each block are not individually on  $\Re = \pm\frac{1}{2}$ ; second, the endoscopic decomposition mixes the blocks in a way controlled by the character-twisted Fourier sums  $\tau_m$ . The block determinant  $\det(I - B_k) = A_k - i\Phi_k$  captures the joint effect of  $\alpha_k$ ,  $\delta_k$ , and  $\gamma_k$  (Proposition 10.30), and the product over all blocks exhibits the massive cancellation that produces  $n_p$  of degree  $(p-1)/2$ .

**10.8. Reformulation as real-rootedness.** The palindromic structure of  $R(q)$  admits a classical reformulation that converts the Weil root hypothesis into a statement in real algebraic geometry.

**Proposition 10.26** (Real-rootedness reformulation). *Write  $R(q) = R_{\pm 1}(q) \cdot C_p(q)$ , where  $R_{\pm 1}$  collects all roots of  $R$  at  $q = \pm 1$  and  $C_p$  is the complementary factor (the “core”). Then  $C_p$  is palindromic of even degree  $2m_p$ , and the substitution  $u = q + 1/q$  defines a polynomial  $T_p(u)$  of degree  $m_p$  via*

$$(18) \quad C_p(q) = q^{m_p} T_p(q + 1/q).$$

*Conjecture 10.7 is equivalent to:  $T_p(u)$  has all roots real and in the interval  $[-2, 2]$ .*

*Proof.* The map  $q \mapsto u = q + 1/q$  sends the unit circle  $|q| = 1$  to the interval  $[-2, 2]$  (via  $u = 2 \cos \theta$  for  $q = e^{i\theta}$ ) and sends  $\mathbb{R} \setminus [-1, 1]$  to  $(-\infty, -2] \cup [2, \infty)$ . For a palindromic polynomial  $C_p$  of even degree  $2m$ , the substitution  $C_p(q) = q^m T_p(q + 1/q)$  is standard: the palindromic symmetry  $C_p(q) = q^{2m} C_p(1/q)$  ensures that  $T_p$  is a polynomial (not a Laurent polynomial). Then the roots of  $C_p$  lie on  $|z| = 1$  if and only if the roots of  $T_p$  lie in  $[-2, 2]$ .  $\square$

The polynomials  $T_p$  have been computed for small primes by extracting the core of  $R$  and performing the substitution:

TABLE 2. The real-rootedness reformulation. Here  $a_p, b_p$  denote the multiplicities of roots of  $R$  at  $q = 1$  and  $q = -1$ , respectively.

$p$	$a_p$	$b_p$	$m_p$	$T_p(u)$	roots of $T_p$
7	0	0	1	$3u$	0
11	2	2	0	1	(none)
13	0	1	2	$5u^2 - 3u - 8$	$-1, 8/5$
17	2	3	1	$3u - 1$	$1/3$
19	2	2	2	$7u^2 + 6u - 5$	$(-3 \pm 2\sqrt{11})/7$
23	2	2	3	$9u^3 - 15u$	$0, \pm\sqrt{5/3}$

In every case, all roots of  $T_p$  are real and lie in  $(-2, 2)$ , confirming the Weil root hypothesis. This reformulation reduces the conjecture from a complex-analytic statement (roots on  $|z| = 1$ ) to a real-algebraic one (roots in  $[-2, 2]$ ), amenable to tools such as discriminant analysis, Sturm sequences, or the construction of a real symmetric matrix  $M_p$  with  $T_p(u) \propto \det(uI - M_p)$  and spectral radius at most 2.

*Remark 10.27.* Three broad families of routes toward the Weil root hypothesis are visible; see Remark 10.39 for a more detailed catalog of eight specific strategies:

- (A) *Geometric (Deligne-style).* Identify a smooth projective variety  $X_p/\mathbb{F}_2$  whose Frobenius eigenvalues on some cohomology group are the roots of  $C_p$ . The Weil–Riemann hypothesis for  $X_p$  would imply  $|z| = 1$ . This is blocked: the roots are weight-zero at irrational angles, and no candidate variety is known.
- (B) *Motivic (Grothendieck-style).* The polarization  $W^* + W = I$  (Theorem 10.14) provides a positive-definite Hermitian form on the centered operator  $W - \frac{1}{2}I$ . If this form descends to a definite form on the space where  $R_p$  acts as characteristic polynomial, purity follows from positivity without identifying a variety. The corrected Theorem 10.22 shows the descent pins diagonal entries (not eigenvalues) of each block to the critical lines; the off-diagonal coupling  $\gamma_k$  remains as an obstruction.
- (C) *Algebraic (direct).* Prove  $T_p(u)$  is real-rooted on  $[-2, 2]$  by constructing a real symmetric matrix  $M_p$  with  $T_p \propto \det(uI - M_p)$  and  $\|M_p\| \leq 2$ , or by establishing sign alternation of  $T_p$  at sufficiently many points in  $[-2, 2]$ , or by an interlacing argument. This requires no geometric or cohomological input but does not explain *why* the real-rootedness holds.

**10.9. The block Hermitian structure.** The polarization descent (Theorem 10.22) constrains the *diagonal* entries of each  $2 \times 2$  block  $B_k$  in the  $Q$ -even/odd basis, but does *not* diagonalize  $B_k$ . The off-diagonal coupling is the key to the endoscopic decomposition.

**Proposition 10.28** (Block form in the  $Q$ -basis). *In the  $Q$ -even/odd basis  $e_k^\pm = (\chi_k \pm \chi_{k'})/\sqrt{2}$  of each free orbit  $\{k, k'\}$ , the block  $B_k$  has the form*

$$(19) \quad B_k = \begin{pmatrix} \alpha_k & \gamma_k \\ \gamma_k & \delta_k \end{pmatrix},$$

where

$$\begin{aligned} \alpha_k &= \mu + \frac{\varepsilon_k(\tau_{-2k} + \tau_{2k})}{2(p-1)}, & \Re(\alpha_k) &= \frac{1}{2}, \\ \delta_k &= -\mu + \frac{\varepsilon_k(\tau_{-2k} + \tau_{2k})}{2(p-1)}, & \Re(\delta_k) &= -\frac{1}{2}, \\ \gamma_k &= \frac{\varepsilon_k(\tau_{-2k} - \tau_{2k})}{2(p-1)}, & \gamma_k &\in \mathbb{R} \text{ on } |q| = 1. \end{aligned}$$

The real parts follow from Theorem 10.22, and  $\gamma_k$  is real because  $\tau_{-2k} - \tau_{2k} = -2\Re(\tau_{2k})$  on  $|q| = 1$  (Corollary 10.20).

*Proof.* The entries follow from the change of basis  $e_k^\pm = (\chi_k \pm \chi_{k'})/\sqrt{2}$  applied to the block of Lemma 4.11. Write  $\tau_{2k} = x + iy$  with  $x, y \in \mathbb{R}$ . By Corollary 10.20,  $\overline{\tau_{2k}} = -\tau_{-2k}$ , so  $\tau_{-2k} = -x + iy$ . Then  $\tau_{-2k} + \tau_{2k} = 2iy \in i\mathbb{R}$  and  $\tau_{-2k} - \tau_{2k} = -2x \in \mathbb{R}$ . Since  $\varepsilon_k = (-1)^k \in \mathbb{R}$  (Lemma 10.21), we get  $\gamma_k = (-1)^k \cdot (-2x)/(2(p-1)) \in \mathbb{R}$ .  $\square$

*Remark 10.29* (Non-vanishing of  $\gamma_k$ ). The mixing coefficient  $\gamma_k$  is *generically* nonzero on  $|q| = 1$ : it vanishes only at the isolated angles where  $\Re(\tau_{2k}(e^{i\theta})) = 0$ . In particular,  $\alpha_k$  and  $\delta_k$  are *not*



eigenvalues of  $C$ ; the actual eigenvalues of the block (19) are

$$\lambda_k^\pm = \frac{\alpha_k + \delta_k}{2} \pm \sqrt{\left(\frac{\alpha_k - \delta_k}{2}\right)^2 + \gamma_k^2},$$

which have  $\Re(\lambda_k^\pm) \neq \pm \frac{1}{2}$  when  $\gamma_k \neq 0$ .

**Proposition 10.30** (Block determinant on  $|q| = 1$ ). *On  $|q| = 1$  with  $q = e^{i\theta}$ , write  $a_k = \text{Im}(\alpha_k)$  and  $b_k = \text{Im}(\delta_k)$ . Then the block determinant decomposes as*

$$(20) \quad \det(I - B_k) = A_k(\theta) - i \Phi_k(\theta),$$

where

$$(21) \quad A_k = \frac{3}{4} + a_k b_k - \gamma_k^2,$$

$$(22) \quad \Phi_k = \frac{3}{2} a_k + \frac{1}{2} b_k.$$

Both  $A_k$  and  $\Phi_k$  are real-valued functions of  $\theta$ .

*Proof.* Since  $\Re(\alpha_k) = \frac{1}{2}$  and  $\Re(\delta_k) = -\frac{1}{2}$ :

$$\begin{aligned} (1 - \alpha_k)(1 - \delta_k) &= \left(\frac{1}{2} - ia_k\right)\left(\frac{3}{2} - ib_k\right) \\ &= \left(\frac{3}{4} + a_k b_k\right) - i\left(\frac{3}{2} a_k + \frac{1}{2} b_k\right). \end{aligned}$$

Subtracting  $\gamma_k^2 \in \mathbb{R}$  gives (20). □

The decomposition (20) has a crucial symmetry under  $\theta \mapsto -\theta$ .

**Proposition 10.31** (Block functional equation). *Under  $\theta \mapsto -\theta$  (i.e.,  $q \mapsto 1/q$  on  $|q| = 1$ ):*

$$A_k(-\theta) = A_k(\theta), \quad \Phi_k(-\theta) = -\Phi_k(\theta).$$

*In particular,  $\det(I - B_k(1/q)) = \overline{\det(I - B_k(q))}$  on  $|q| = 1$ .*

*Proof.* The algebraic functional equation  $\hat{w}(a; 1/q) = 1 - \hat{w}(-a; q)$  (valid for all  $q$ ) implies  $\tau_m(1/q) = (-1)^{m+1} \tau_m(q)$  and  $\mu(1/q) = 1 - \mu(q)$ . On  $|q| = 1$  where  $1/q = e^{-i\theta}$ , this gives  $a_k(-\theta) = -a_k(\theta)$ ,  $b_k(-\theta) = -b_k(\theta)$ , and  $\gamma_k(-\theta) = -\gamma_k(\theta)$ . Therefore  $A_k = \frac{3}{4} + a_k b_k - \gamma_k^2$  is even in  $\theta$ , and  $\Phi_k = \frac{3}{2} a_k + \frac{1}{2} b_k$  is odd. □

**10.10. The Schur complement and endoscopic content.** In the  $Q$ -basis, the matrix  $I - C$  has the block form

$$I - C = \begin{pmatrix} I - C_{ee} & -C_{eo} \\ -C_{oe} & I - C_{oo} \end{pmatrix},$$

where  $C_{ee}$  is the restriction to the  $Q$ -even subspace (dimension  $(p+1)/2$ ),  $C_{oo}$  to the  $Q$ -odd subspace (dimension  $(p-3)/2$ ), and  $C_{eo}$  encodes the mixing through  $\gamma_k$ . By the Schur complement formula:

$$(23) \quad \det(I - C) = \det(I - C_{oo}) \cdot \det(I - C_{ee} - C_{eo}(I - C_{oo})^{-1}C_{oe}).$$

Define the *Schur correction*

$$(24) \quad S_p(q) = \frac{\det(I - C)}{\det(I - C_{ee}) \cdot \det(I - C_{oo})}.$$

**Theorem 10.32** (Endoscopic content in the Schur complement). *For primes  $p = 7, 11, 13, 17$  (verified by exact symbolic computation):*

- (1)  $\det(I - C_{ee})$  is a ratio of powers of  $(q - 1)$  and the  $p$ -th cyclotomic polynomial—it contains no non-cyclotomic (“CM”) factors.
- (2) Every non-cyclotomic irreducible factor of  $n_p(q)$  divides the numerator of  $S_p(q)$ .

*In other words: all CM/endoscopic content of  $n_p$  resides in the Schur correction, i.e., in the coupling between  $\Re = \frac{1}{2}$  and  $\Re = -\frac{1}{2}$ .*

**Example 10.33.** For  $p = 7$ :  $n_7 = (q - 1)(2q^2 + 1)$ , and  $S_7 = (2q^2 + 1) \cdot \Phi_7(q)/D(q)$  where  $\Phi_7 = q^6 + \dots + q + 1$  is the 7th cyclotomic polynomial and  $D$  is a degree-6 polynomial with no CM factors. For  $p = 17$ :  $n_{17} = -(q - 1)^2(q + 1)^2(2q^2 + 1)(2q^2 - q + 1)$ , and both  $(2q^2 + 1)$  and  $(2q^2 - q + 1)$  appear in  $S_{17}$ .

**10.11. Phase analysis and the argument-winding approach.** The palindromic/anti-palindromic decomposition of  $n_p$  has a clean interpretation via the phase of  $n_p(e^{i\theta})$ .

**Proposition 10.34** (Anti-palindromic zeros as phase zeros). *Write  $d = (p - 1)/2 = \deg(n_p)$ . Define*

$$(25) \quad h(\theta) = \text{Im}(e^{-id\theta/2} n_p(e^{i\theta})).$$

*Then:*

- (a)  $h$  is a smooth, real-valued function on  $(0, \pi)$ , with  $h(-\theta) = -h(\theta)$ ;
- (b) the zeros of  $h$  in  $(0, \pi)$  correspond, via  $u = 2 \cos \theta$ , to the roots of  $T_p(u)$  in  $(-2, 2)$ ;
- (c) the Weil root hypothesis holds if and only if  $h(\theta)$  has exactly  $m_p$  sign changes in  $(0, \pi)$ .

*Proof.* For a polynomial  $f$  of degree  $d$  with real coefficients,  $e^{-id\theta/2} f^+(e^{i\theta}) \in \mathbb{R}$  and  $e^{-id\theta/2} f^-(e^{i\theta}) \in i\mathbb{R}$ , where  $f^+, f^-$  are the palindromic and anti-palindromic projections. Thus  $h = \text{Im}(e^{-id\theta/2} n_p)$  captures exactly the anti-palindromic part of  $n_p$ . Since  $n_p^T = -\left(\frac{-2}{p}\right) n_p^-$ , the roots of  $n_p^T$  on  $|q| = 1$  are the zeros of  $h$ . The substitution  $u = 2 \cos \theta$  identifies these with roots of  $T_p$ .  $\square$

*Remark 10.35* (Phase decomposition by blocks). On  $|q| = 1$ , we have  $n_p(e^{i\theta}) = -(e^{i\theta} - 1)(e^{ip\theta} - 1) \prod_{k \in \mathcal{O}} (A_k - i\Phi_k)$  where the product is over orbit representatives. The zeros of  $h$  occur when the total phase (including the prefactor and all block contributions) equals  $d\theta/2$  modulo  $\pi$ , i.e., when

$$(26) \quad \arg(-(e^{i\theta} - 1)(e^{ip\theta} - 1)) + \sum_{k \in \mathcal{O}} \arg(A_k - i\Phi_k) \equiv \frac{d\theta}{2} \pmod{\pi}.$$

The descent constraints control each  $\arg(A_k - i\Phi_k)$  individually; the gap is in controlling the *sum*.

*Remark 10.36* (Numerical evidence for the winding count). For all primes  $p \leq 23$ , the number of sign changes of  $h$  in  $(0, \pi)$  equals  $m_p = \deg T_p$  exactly:

$p$	$d$	$m_p$	sign changes	$u = 2 \cos \theta$ at crossings
7	3	1	1	0
11	5	0	0	(none)
13	6	2	2	1.6, -1.0
17	8	1	1	0.333
23	11	3	3	1.291, 0, -1.291

All crossing values agree with the roots of  $T_p$  in Table 2.

**10.12. The remaining gap.** We collect the results of §§10.7–10.11 into a summary, and state precisely what remains.

**Theorem 10.37** (Summary of the spectral approach). *For any odd prime  $p$ :*

- (1) (Polarization.)  $W^* + W = I$  on  $|q| = 1$  (Theorem 10.14).
- (2) (Spectral descent.) The  $Q$ -even diagonal entries  $\alpha_k$  have  $\Re(\alpha_k) = \frac{1}{2}$ , and the  $Q$ -odd diagonal entries  $\delta_k$  have  $\Re(\delta_k) = -\frac{1}{2}$ . The off-diagonal coupling  $\gamma_k \in \mathbb{R}$  is generically nonzero, so the actual eigenvalues of  $C$  are perturbed from these lines (Theorem 10.22, Remark 10.29).
- (3) (Block structure.) The blocks  $B_k$  in the  $Q$ -basis have the form (19) with  $\gamma_k \in \mathbb{R}$ , and  $\det(I - B_k) = A_k - i\Phi_k$  with  $A_k$  even,  $\Phi_k$  odd in  $\theta$  (Propositions 10.28, 10.30, 10.31).
- (4) (Schur localization.) All CM content of  $n_p$  resides in the Schur correction  $S_p$  (Theorem 10.32).

- (5) (Phase reduction.) *The Weil root hypothesis  $\Leftrightarrow h(\theta)$  has exactly  $m_p$  sign changes in  $(0, \pi)$  (Proposition 10.34).*

*Remark 10.38* (The gap). The gap between (1)–(4) and (5) is:

*The constraints  $\Re(\alpha_k) = \frac{1}{2}$ ,  $\Re(\delta_k) = -\frac{1}{2}$ ,  $\gamma_k \in \mathbb{R}$  control the block parameters  $(A_k, \Phi_k)$  pointwise in  $\theta$ . But the correct zero count  $m_p$  for  $h(\theta)$  involves the **global** behavior of a sum of phases as  $\theta$  traverses  $(0, \pi)$ . Moreover,  $\alpha_k$  and  $\delta_k$  are the diagonal entries of  $B_k$ , not eigenvalues of  $C$ : the off-diagonal coupling  $\gamma_k$  perturbs the eigenvalues away from the critical lines, and the endoscopic content resides precisely in this perturbation (Theorem 10.32).*

Three features make this global count delicate:

- (i) **Massive cancellation.** The product  $\prod_k \det(I - B_k)$  has total degree  $\sim p$  in  $q$ , but  $n_p$  has degree only  $(p-1)/2$ .
- (ii) **Dimensional gap.**  $\dim(Q\text{-even}) = (p+1)/2 \gg m_p \leq (p-3)/4$ . Most blocks contribute to the palindromic part  $n_p^{\text{GL}_2}$ , not to the anti-palindromic  $n_p^T$ .
- (iii) **Transversality.** The spectral splitting ( $Q$ -even/odd) and the endoscopic splitting (palindromic/anti-palindromic) are neither refinements nor coarsenings of each other; they intersect transversally, with the Schur complement as bridge.

*Remark 10.39* (Approaches to closing the gap).

- (A) *Argument principle / phase monotonicity.* If the total phase in (26) has controlled monotonicity as a function of  $\theta$ , the winding count follows. Status: the individual block phases  $\arg(A_k - i\Phi_k)$  are complicated functions of  $\theta$ ; no clean monotonicity argument has been found.
- (B) *Interlacing.* If the palindromic and anti-palindromic parts of  $n_p$  interlace on the unit circle, the Weil root hypothesis follows from the intermediate value theorem. Numerically plausible for small  $p$ , but no structural reason forcing interlacing is known.
- (C) *Filtered  $\varphi$ -module /  $p$ -adic Hodge theory.* The triple  $(V, C, Q)$  carries the structure of a filtered  $\varphi$ -module:  $C$  as Frobenius,  $Q$ -even/odd as Hodge filtration,  $W^* + W = I$  as polarization. If weak admissibility holds, the Colmez–Fontaine theorem yields purity. This is the most developed theoretical route, but the corrected understanding of Theorem 10.22 (diagonal entries, not eigenvalues, pinned to  $\Re = \pm \frac{1}{2}$ ) weakens the “Hodge side”: the Hodge numbers are not simply read off from eigenvalue multiplicities on the critical lines.
- (D) *Symmetric matrix realization.* Construct  $M_p \in \mathbb{R}^{m_p \times m_p}$  with  $\det(uI - M_p) \propto T_p(u)$  and  $\|M_p\| \leq 2$ . The entries should be expressible in terms of the block invariants  $\{A_k, \Phi_k, \gamma_k\}$ . Requires no geometric input but does not explain *why* real-rootedness holds.
- (E) *Askey–Wilson algebra / tridiagonal pairs (Terwilliger).* The pair  $(Q, H)$  where  $H = (W - \frac{1}{2}I)/i$  should form something like a tridiagonal pair. If classified by the Ito–Tanabe–Terwilliger theorem, the eigenvalue spectrum would be constrained to Askey–Wilson type, giving roots in  $[-2, 2]$ . The block structure means  $H$  is block-diagonal in the  $Q$ -eigenbasis (not tridiagonal), so the pair is “degenerate.”
- (F) *Quantum group realization.* Realize  $\ell^2(\mathbb{F}_p^*)$  as a  $U_q(\mathfrak{sl}_2)$  module with  $K = Q$ ,  $E + F$  conjugate to  $W - \frac{1}{2}I$ . Then Casimir eigenvalues lie in  $[-2, 2]$  automatically. The  $S_3$  structure  $R = QUQU^{-1}$  (§11) is suggestive of a braid relation but does not directly match  $U_q(\mathfrak{sl}_2)$ .
- (G) *Find the variety  $X_p$  (geometric realization).* If  $n_p(q) = |X_p(\mathbb{F}_q)|$  for a smooth projective variety, the Weil–Riemann hypothesis for  $X_p$  would imply  $|z| = 1$ . Blocked: the roots are weight-zero at irrational angles, and no candidate variety is known (see [8], Open Problem 7).
- (H) *Monodromy filtration / degeneration at  $q \rightarrow 1$ .* The endoscopic decomposition as graded pieces of a monodromy filtration associated to the degeneration  $q \rightarrow 1$ , analogous to Grothendieck’s nearby cycles. Would explain the palindromic/anti-palindromic splitting as coming from monodromy. Speculative.

The strongest surviving structural facts are: (i)  $W^* + W = I$  (exact); (ii)  $\det(I - C) = \det(I + U - Q)/\det(I + U)$  on  $|q| = 1$  (§11); (iii)  $R = QUQU^{-1}$  is a  $q$ -independent order-3 permutation. Routes (C) and (E) are weakened by the corrected Theorem 10.22; routes (A), (D), (F), and (H) remain viable.

## 11. THE CAYLEY DETERMINANTAL IDENTITY AND $S_3$ STRUCTURE

On the unit circle  $|q| = 1$ , the Cayley transform  $U = W^{-1}(I - W)$  (Proposition 10.16) and the involution  $Q$  interact in a surprisingly rigid way. We establish two new structural results: an exact determinantal identity expressing  $\det(I - C)$  in terms of  $U$  and  $Q$ , and the discovery that  $R = QUQU^{-1}$  is a  $q$ -independent permutation of order 3.

### 11.1. The determinantal identity.

**Theorem 11.1** (Cayley determinantal identity). *On  $|q| = 1$ ,*

$$(27) \quad \det(I - C) = \frac{\det(I + U - Q)}{\det(I + U)}.$$

*Proof.* Since  $C = QW$  and  $W = (I + U)^{-1}$  (from  $U = W^{-1} - I$ ):

$$I - C = I - Q(I + U)^{-1} = (I + U)^{-1}((I + U) - Q) = (I + U)^{-1}(I + U - Q).$$

Taking determinants gives (27). □

The identity (27) reformulates the Steinberg polynomial in terms of two “simpler” objects:  $U$  is unitary with equally spaced eigenvalues (Theorem 10.17), and  $Q$  is an involution. The numerator  $\det(I + U - Q)$  mixes them, and the denominator  $\det(I + U)$  is essentially a Chebyshev-type product determined by the phase offset  $\varphi_0(\theta)$ .

This has been verified numerically for all primes  $p \leq 23$  and 20 values of  $\theta \in (0, \pi)$ , with agreement to machine precision ( $< 10^{-12}$ ).

### 11.2. The $S_3$ structure.

**Theorem 11.2** (Braid relation). *Define  $R = QUQU^{-1}$  on  $\mathbb{F}_p^*$ . Then:*

- (1)  $R$  is a permutation matrix, independent of  $q$  (and  $\theta$ ).
- (2)  $R^3 = I$ , and  $R \neq I$  for  $p \geq 5$ .
- (3)  $Q^2 = I$  and  $(QR)^2 = I$ , so  $\langle Q, R \rangle \cong S_3$ .

The  $q$ -independence of  $R = QUQU^{-1}$  is remarkable:  $Q$  is a fixed permutation (inversion on  $\mathbb{F}_p^*$ ), while  $U$  depends on  $q = e^{i\theta}$  as a unitary matrix with  $\theta$ -dependent phases. Yet the product  $QUQU^{-1}$  annihilates the  $\theta$ -dependence completely, producing an arithmetic permutation that depends only on  $p$ .

This has been verified numerically for all primes  $p \leq 23$ . The permutation  $R$  acts on  $\mathbb{F}_p^*$  by the rule  $R(j) = \sigma(j^{-1})^{-1}$  for a specific involution  $\sigma$  determined by the additive structure, and the  $S_3 = \langle Q, R \rangle$  orbits on multiplicative characters provide a decomposition of the spectral data into trivial, sign, and standard isotypic components of dimensions approximately  $(p - 1)/3$ ,  $(p - 1)/3$ , and  $2(p - 1)/3$  respectively.

*Remark 11.3* ( $S_3$  versus endoscopic decomposition). The  $S_3$ -isotypic decomposition does *not* coincide with the endoscopic decomposition: the ratio  $n_p^T / \det_{\text{std}}$  (restricted to the standard representation component) is not constant in  $\theta$ . The  $S_3$  action provides a complementary organizational principle—it controls the “unitary” structure of the spectral data, while the endoscopic decomposition controls the “arithmetic” structure (palindromic/anti-palindromic, related to  $q \mapsto 1/q$ ). The two interact through the block determinants  $\det(I - B_k)$ , but the interaction is not yet understood.

*Remark 11.4* (Modular group). The structure  $Q^2 = I$ ,  $R^3 = I$  is reminiscent of the modular group  $\mathrm{PSL}_2(\mathbb{Z}) = \langle S, T \mid S^2 = (ST)^3 = 1 \rangle$  with  $S \leftrightarrow Q$  and  $ST \leftrightarrow QR$ . The fact that  $R$  is an arithmetic permutation on  $\mathbb{F}_p^*$  (not just any order-3 element) is the crucial constraint. Whether  $\ell^2(\mathbb{F}_p^*)$  carries a quotient action of  $\mathrm{PSL}_2(\mathbb{Z})$  compatible with the Gibbs structure remains an open question.

## 12. OPEN PROBLEMS

**Question 12.1** (Weil root hypothesis for the torus polynomial). Prove Conjecture 10.7: every root of  $R(q) = n_p^T(q)/(q-1)$  lies on the unit circle  $|z| = 1$ . By Proposition 10.26, this is equivalent to real-rootedness of  $T_p(u)$  on  $[-2, 2]$ . By Corollary 10.8, this would complete the proof of the sign formula. The polarization identity  $W^* + W = I$  (Theorem 10.14) provides the natural Hermitian structure, and the character-level descent (Theorem 10.22) shows it pins the diagonal entries  $\alpha_k, \delta_k$  to  $\Re = \pm \frac{1}{2}$ , but the off-diagonal coupling  $\gamma_k$  perturbs the actual eigenvalues of  $C$  away from these lines. The missing ingredient is to show that the combined constraints ( $\Re(\alpha_k) = \frac{1}{2}$ ,  $\Re(\delta_k) = -\frac{1}{2}$ ,  $\gamma_k \in \mathbb{R}$ ) suffice to force the roots of  $T_p$  into  $[-2, 2]$ . See Remark 10.39 for a catalog of eight approaches.

**Question 12.2** (From spectral descent to endoscopic descent). Theorem 10.22 shows that the diagonal entries of each  $2 \times 2$  block satisfy  $\Re(\alpha_k) = \frac{1}{2}$ ,  $\Re(\delta_k) = -\frac{1}{2}$ , with off-diagonal coupling  $\gamma_k \in \mathbb{R}$ . The actual eigenvalues of  $C$  are perturbed from these lines by  $\gamma_k$ . Can the endoscopic decomposition be derived from the block structure? Concretely: identify how the block parameters  $(\alpha_k, \delta_k, \gamma_k)$  combine (via the block determinants  $\det(I - B_k) = A_k - i\Phi_k$ ) to produce the roots of  $T_p(u)$ , and show that the constraints  $\Re(\alpha_k) = \frac{1}{2}$ ,  $\Re(\delta_k) = -\frac{1}{2}$ ,  $\gamma_k \in \mathbb{R}$  force these roots into  $[-2, 2]$ . The new Cayley determinantal identity  $\det(I - C) = \det(I + U - Q)/\det(I + U)$  (§11) may provide a more natural starting point.

**Question 12.3** (Endoscopic decomposition from the block structure). Theorem 4.13 expresses  $\det(I - C)$  as a product of  $(p+1)/2$  factors (4), one per orbit of the involution  $k \mapsto -k$  on multiplicative characters. Each  $2 \times 2$  block  $B_k$  is determined by the character-twisted Fourier sums  $\tau_m = \sum_a \hat{w}(a) \chi_m(a)$ . Can the endoscopic decomposition  $n_p = n_p^{\mathrm{GL}_2} - \left(\frac{-2}{p}\right) n_p^T$  be derived directly from this block factorization? The individual block determinants are complicated rational functions of  $q$  whose product exhibits massive cancellation (the degree of  $n_p$  is  $(p-1)/2$ , far below the sum of individual block degrees). Understanding this cancellation is likely equivalent to the endoscopic decomposition.

**Question 12.4** (Relate the three decompositions). Three decompositions of the spectral data are now available: (i) the additive endoscopic decomposition  $n_p = n_p^{\mathrm{GL}_2} - \left(\frac{-2}{p}\right) n_p^T$  (palindromic  $\pm$  anti-palindromic, from  $q \mapsto 1/q$ ); (ii) the multiplicative spectral factorization of Theorem 4.13 (from the  $|1 - \lambda| \leq 1$  eigenvalue split via multiplicative characters); (iii) the  $S_3$ -isotypic decomposition of §11 (from  $\langle Q, R \rangle$  where  $R = QUQU^{-1}$ ). How are they related? The  $S_3$  decomposition does not match the endoscopic one dimensionally (Remark 11.3), and the spectral/endoscopic decompositions intersect transversally (Remark 10.38). The Schur complement (Theorem 10.32) serves as one bridge; identifying others is the key to closing the gap.

**Question 12.5** (The  $q$ -deformed Gauss sum). Prove an identity for  $G_q(p) = \sum_r q^{p-r} \left(\frac{r^2+4}{p}\right)$  explaining why  $\left(\frac{-2}{p}\right)$  controls the endoscopic decomposition.

**Question 12.6** (Orbit product and endoscopy). Since  $\mathbb{F}_p^*$  is finite, every orbit of the dynamics  $j \mapsto j^{-1} + r$  has finite period. Can  $\det(I - C)$  be written as a product indexed by primitive orbits, with each factor depending on the discriminant of the orbit's minimal polynomial? If so, does the

endoscopic decomposition  $n_p = n_p^{\text{GL}_2} - \left(\frac{-2}{p}\right) \cdot n_p^T$  correspond to grouping orbits by whether they are split or nonsplit—the split/nonsplit dichotomy for tori in  $\text{GL}_2(\mathbb{F}_p)$ ?

**Question 12.7** (Higher rank). For  $\text{GL}_n(\mathbb{F}_p)$  with  $n \geq 3$ , define the Gibbs intertwiner  $M_\beta(w_0) = \sum_{u \in U} q^{\beta \cdot \text{ht}(u)} \pi(w_0 u)$  for the long element  $w_0 \in S_n$ . Does the resulting Steinberg determinant  $\det(I - M_1|_{\text{St}_p^{(n)}})$  admit an endoscopic decomposition for  $\text{GL}_n$ ? In the gate complexity framework [9], the projective space  $\mathbb{P}^{n-1}(\mathbb{F}_q)$  and the torus quotient  $\mathbb{G}_m^{n-1}$  control the gate count for the algebraic torus; the higher-rank Steinberg determinant should encode the analogous spectral data for  $\text{GL}_n$ .

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