

# GIBBS INTERTWINING OPERATORS AND THE STEINBERG POLYNOMIAL

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**ABSTRACT.** We study the algebraic structure of the Markov operator  $P$  arising from spanning tree enumeration on  $\mathbb{P}^1(\mathbb{F}_p)$ . We show that  $P$  factors as  $L_w \cdot \pi(w_0)$ , where  $w_0$  is the long Weyl element of  $\mathrm{GL}_2$  and  $L_w$  is a Gibbs-weighted average over the unipotent radical  $U(\mathbb{F}_p)$ , with weights  $w_r = q^{p-r}/(q^p - 1)$ . This identifies  $P$  as a deformed intertwining operator. We prove that  $P$  does not belong to the Iwahori–Hecke algebra.

The main new result is a twisted circulant reduction: the Steinberg polynomial  $n_p(q)$  is expressed as  $n_p(q) = -(q-1)(q^p-1) \det(I-C)$ , where  $C$  is a  $(p-1) \times (p-1)$  matrix on  $\mathbb{F}_p^*$  whose  $(j, j')$ -entry  $w_{j' - j^{-1} \pmod p}$  mixes the additive structure of the Gibbs weights with the multiplicative structure of inversion in  $\mathbb{F}_p^*$ . The reduction proceeds via two identities: (i) the boundary state decouples from the determinant (Schur complement equals 1), and (ii) a rank-one correction from the  $\infty$ -state contributes a factor  $(1-q)$  governed by the identity  $\mathbf{w}^T(I-C)^{-1}\mathbf{1} = -q$ .

The resulting master formula  $n_p(q) = -(q-1)(q^p-1) \det(I-C)$  gives a structural explanation for the divisibility  $(q-1) \mid n_p(q)$ . We prove the  $-q$  identity in full generality: first for the untwisted convolution  $W$  using the spectral theory of the full circulant on  $\mathbb{F}_p$ , and then for  $C = QW$  via a telescoping argument that identifies the transpose resolvent  $(I-W^T)^{-1}\mathbf{w}$  as a delta function supported at the inversion-fixed point  $-1 \in \mathbb{F}_p^*$ . We show that  $C = Q \cdot W$  factors into the multiplicative involution  $Q: j \mapsto j^{-1}$  and an additive convolution operator  $W$ ; the spectral interaction of these two structures, mediated by Kloosterman sums, is the finite-field mechanism underlying the endoscopic decomposition.

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## 1. INTRODUCTION

Let  $p$  be an odd prime and  $q$  a prime power. In [6], the author introduced the Steinberg polynomial

$$n_p(q) = (q^p - 1) \det(I - P(q)|_{\text{St}_p}) \in \mathbb{Z}[q],$$

where  $P(q)$  is the transition matrix of a Markov chain on  $\mathbb{P}^1(\mathbb{F}_p)$  with weights  $w_r = q^{p-r}/(q^p - 1)$  and  $\text{St}_p$  is the  $p$ -dimensional Steinberg representation of  $\text{GL}_2(\mathbb{F}_p)$ . The polynomial  $n_p(q)$  was shown (computationally, for all primes  $p \leq 97$ ) to admit an endoscopic decomposition

$$(1) \quad n_p(q) = n_p^{\text{GL}_2}(q) - \left(\frac{-2}{p}\right) n_p^T(q),$$

where  $n_p^{\text{GL}_2}$  is palindromic,  $n_p^T$  is anti-palindromic, and  $\left(\frac{-2}{p}\right)$  is the Legendre symbol, together with a motivic factorization into CM abelian varieties over  $\mathbb{F}_2$  with CM by subfields of  $\mathbb{Q}(\sqrt{-2}, \zeta_p)$ .

The present paper addresses the question: what algebraic structure of  $P$  produces the endoscopic decomposition? We identify the correct algebraic framework in two stages. First (§2–§3), we prove that  $P$  factors as  $L_w \cdot \pi(w_0)$  but does not lie in the Iwahori–Hecke algebra. Second (§4), we establish the twisted circulant reduction that isolates the arithmetic content in a single  $(p-1) \times (p-1)$  matrix  $C$  on  $\mathbb{F}_p^*$  whose entries  $C[j, j'] = w_{j'-j-1}$  mix additive and multiplicative structures.

### 1.1. Main results.

**Theorem 1.1** (Factorization). *Let  $w_0 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  be the long Weyl element and  $U(r) = \begin{pmatrix} 1 & r \\ 0 & 1 \end{pmatrix}$  for  $r \in \mathbb{F}_p$ . Define the matrices  $S_r = w_0 \cdot U(r) = \begin{pmatrix} 0 & 1 \\ 1 & r \end{pmatrix}$ . Then*

$$P = \sum_{r=0}^{p-1} w_r \pi(S_r) = L_w \cdot \pi(w_0),$$

where  $L_w = \sum_{r=0}^{p-1} w_r \pi(U(r))$  is the Gibbs-weighted average over the unipotent radical acting on  $\mathbb{P}^1(\mathbb{F}_p)$ , and  $\pi$  denotes the natural permutation representation.

**Theorem 1.2** (Non-Hecke). *Let  $A$  denote the image of the standard Hecke operator (uniform transition on  $\mathbb{P}^1(\mathbb{F}_p)$ ) acting on  $\mathrm{St}_p$ . Then:*

- (1)  $P|_{\mathrm{St}_p}$  and  $A|_{\mathrm{St}_p}$  do not commute.
- (2)  $P|_{\mathrm{St}_p}$  is not a polynomial in  $A|_{\mathrm{St}_p}$ .
- (3)  $\dim \mathbb{Q}[A]|_{\mathrm{St}_p} = 2$  (minimal polynomial  $x(x+1/p)$ ), while  $\dim \mathbb{Q}[P]|_{\mathrm{St}_p} = p$  (all eigenvalues distinct).

In particular,  $P|_{\mathrm{St}_p}$  does not belong to the commutant of the Hecke algebra in  $\mathrm{End}(\mathrm{St}_p)$ .

**Theorem 1.3** (Twisted circulant reduction). *Define the  $(p-1) \times (p-1)$  matrix  $C$  on  $\mathbb{F}_p^*$  by*

$$C[j, j'] = w_{j'-j^{-1} \bmod p} = \frac{q^{p-(j'-j^{-1} \bmod p)}}{q^p - 1}, \quad j, j' \in \mathbb{F}_p^*.$$

Then  $n_p(q) = -(q-1)(q^p-1) \det(I-C)$ . More precisely, if  $P|_{\mathrm{St}_p}$  is written in the basis  $\{e_i - e_\infty\}_{i=0}^{p-1}$  and the block decomposition

$$I - P|_{\mathrm{St}_p} = \begin{pmatrix} A_{00} & A_{0B} \\ A_{B0} & A_{BB} \end{pmatrix}$$

separates the boundary state 0 from the bulk states  $\{1, \dots, p-1\}$ , then:

- (1) (Boundary decoupling) The Schur complement of  $A_{00}$  equals 1 exactly, so  $\det(I - P|_{\mathrm{St}_p}) = \det(A_{BB})$ .
- (2) (Rank-one correction) The bulk block decomposes as  $P_{BB} = C - R_\infty$  where  $R_\infty = \mathbf{1} \cdot \mathbf{w}^T$  is rank-one. The matrix determinant lemma gives  $\det(I - P_{BB}) = (1-q) \det(I - C)$ , equivalent to the identity  $\mathbf{w}^T(I - C)^{-1}\mathbf{1} = -q$ .

**Corollary 1.4.** *The polynomial  $n_p(q)$  is divisible by  $(q-1)$  for all odd primes  $p$ .*

**Theorem 1.5** (Lattice index). *For all primes  $p \leq 23$ , the Smith normal form of the integer matrix  $A_p = (2^p - 1)(I - P|_{\mathrm{St}_p})$  has elementary divisors with stripped product  $|n_p(2)|$ , and alien primes concentrate in the last elementary divisor.*

## 2. THE GIBBS INTERTWINING OPERATOR

**2.1. Definitions.** Let  $G = \mathrm{GL}_2(\mathbb{F}_p)$ ,  $B = \left\{ \begin{pmatrix} * & * \\ 0 & *\end{pmatrix} \right\}$  the upper Borel, and  $U = \left\{ \begin{pmatrix} 1 & r \\ 0 & 1 \end{pmatrix} : r \in \mathbb{F}_p \right\}$  its unipotent radical. The flag variety  $G/B \cong \mathbb{P}^1(\mathbb{F}_p)$  has  $p+1$  points. Let  $w_0 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  denote the representative of the nontrivial Weyl group element; it has  $\det(w_0) = -1$ .

**Definition 2.1.** Fix a parameter  $\beta \geq 0$  and a prime power  $q$ . The Gibbs intertwining operator is

$$M_\beta(w_0) = \frac{1}{q^{\beta p} - 1} \sum_{r=0}^{p-1} q^{\beta(p-r)} \pi(w_0 \cdot U(r)) = \frac{1}{q^{\beta p} - 1} \sum_{r=0}^{p-1} q^{\beta(p-r)} \pi(S_r),$$

acting on  $\mathbb{C}[\mathbb{P}^1(\mathbb{F}_p)]$  via the natural permutation representation  $\pi$ .

The operator  $P(q)$  from [6] is  $M_1(w_0)$ . The limiting case  $\beta \rightarrow 0$  gives the standard (uniform) intertwiner

$$M_0(w_0) = \frac{1}{p} \sum_{r=0}^{p-1} \pi(w_0 \cdot U(r)),$$

which is an element of the Hecke algebra  $\mathbb{C}[B \backslash G / B]$ .

*Remark 2.2.* In the classical theory of intertwining operators for  $\mathrm{GL}_2$  over a local field  $F$ , the standard intertwiner is the integral  $M(w_0, s) = \int_{U(F)} \pi_s(w_0 u) du$  against Haar measure on  $U$ . Our Gibbs intertwiner replaces Haar measure with the Gibbs measure  $d\mu_\beta(u) = q^{\beta \cdot \mathrm{ht}(u)} du$  for a height function  $\mathrm{ht}: U(\mathbb{F}_p) \rightarrow \mathbb{Z}$  defined by  $\mathrm{ht}(U(r)) = p - r$ . This height function depends on the identification  $U(\mathbb{F}_p) \cong \mathbb{F}_p$  via the Teichmüller representatives  $\{0, 1, \dots, p-1\}$ .

**2.2. Proof of Theorem 1.1.** The factorization  $S_r = w_0 \cdot U(r)$  is immediate:

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & r \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & r \end{pmatrix} = S_r.$$

Since  $\pi$  is a group homomorphism,  $\pi(S_r) = \pi(w_0) \cdot \pi(U(r))$ , so  $P = \pi(w_0) \cdot L_w$  (operator convention) or  $P = L_w \cdot \pi(w_0)$  (transition matrix convention).

**2.3. The unipotent action in coordinates.** In the reciprocal coordinate  $t = 1/j$  for  $j \in \mathbb{F}_p^*$ , the unipotent element  $U(r)$  acts by translation:  $t \mapsto t+r$ . Thus  $L_w$  restricts to a convolution operator on  $\mathbb{F}_p^*$  with Fourier eigenvalues

$$\hat{w}(a) = \sum_{r=0}^{p-1} w_r \zeta_p^{ar} = \frac{q}{q - \zeta_p^a} \quad (a = 1, \dots, p-1),$$

where  $\zeta_p = e^{2\pi i/p}$ . The boundary states  $\{0, \infty\}$  break the  $\mathbb{Z}/p\mathbb{Z}$  symmetry and are responsible for the deviation of the eigenvalues of  $P|_{\mathrm{St}_p}$  from the Fourier eigenvalues  $q/(q - \zeta_p^a)$ .

### 3. PROOF THAT $P$ IS NOT HECKE

#### 3.1. The Hecke operator on $\mathrm{St}_p$ .

**Proposition 3.1.**  $A|_{\mathrm{St}_p}$  has eigenvalue  $-1/p$  with multiplicity 1 and eigenvalue 0 with multiplicity  $p-1$ . In particular,  $\mathbb{Q}[A|_{\mathrm{St}_p}]$  is 2-dimensional.

In contrast,  $P|_{\mathrm{St}_p}$  has all  $p$  eigenvalues distinct (verified for  $p \leq 97$ ), so  $\mathbb{Q}[P|_{\mathrm{St}_p}]$  is  $p$ -dimensional.

**Proposition 3.2.** *For all primes  $5 \leq p \leq 97$ :  $[P|_{\text{St}_p}, A|_{\text{St}_p}] \neq 0$ , and  $\dim \mathbb{Q}[P, A]|_{\text{St}_p} = 2(p - 1)$ .*

#### 4. THE TWISTED CIRCULANT REDUCTION

This section contains the main new results. We show that the  $(p + 1)$ -dimensional computation of  $\det(I - P|_{\text{St}_p})$  reduces exactly to a  $(p - 1)$ -dimensional determinant involving a single matrix  $C$  on  $\mathbb{F}_p^*$  whose structure mixes the additive and multiplicative structures of the finite field.

**4.1. Block decomposition and boundary decoupling.** Write the  $p$ -dimensional Steinberg space in the basis  $f_i = e_i - e_\infty$  for  $i = 0, 1, \dots, p - 1$ , and separate state 0 (boundary) from  $\{1, \dots, p - 1\}$  (bulk). The matrix of  $I - P|_{\text{St}_p}$  in this basis has the block form

$$I - P|_{\text{St}_p} = \begin{pmatrix} A_{00} & A_{0B} \\ A_{B0} & A_{BB} \end{pmatrix}$$

where  $A_{00}$  is a scalar,  $A_{0B}$  is a row vector of length  $p - 1$ ,  $A_{B0}$  is a column vector, and  $A_{BB}$  is  $(p - 1) \times (p - 1)$ .

From the transition structure of  $P$ : every  $S_r$  sends  $0 \mapsto \infty$ , so  $P_{\text{full}}[0, j'] = 0$  for all  $j' \neq \infty$ . In the Steinberg basis, this gives  $P_{\text{St}}[0, j'] = -P_{\text{full}}[\infty, j'] = -w_{j'}$  for  $j' \in \{0, \dots, p - 1\}$ . In particular:

$$(2) \quad A_{00} = 1 + w_0 = 1 + \frac{q^p}{q^p - 1} = \frac{2q^p - 1}{q^p - 1},$$

$$(3) \quad A_{0B}[j'] = w_{j'} \quad (j' = 1, \dots, p - 1).$$

**Proposition 4.1** (Boundary decoupling). *The Schur complement of  $A_{00}$  in  $I - P|_{\text{St}_p}$  equals 1:*

$$A_{00} - A_{0B} A_{BB}^{-1} A_{B0} = 1.$$

Consequently,  $\det(I - P|_{\text{St}_p}) = \det(A_{BB})$ .

*Proof.* The operator  $P$  acts on  $\mathbb{C}[\mathbb{P}^1(\mathbb{F}_p)]$  with constant row sums  $\sum_{r=0}^{p-1} w_r = q/(q - 1)$ , so  $P \cdot \mathbf{1}_{\text{full}} = \frac{q}{q-1} \mathbf{1}_{\text{full}}$ . In the Steinberg basis  $\{f_i = e_i - e_\infty\}$ , the all-ones vector  $\mathbf{1}_{\text{St}} = (1, \dots, 1)^T$  satisfies

$$(I - P|_{\text{St}_p}) \cdot \mathbf{1}_{\text{St}} = (\alpha, 1, \dots, 1)^T, \quad \alpha = \frac{2q - 1}{q - 1},$$

since for  $i \geq 1$  the row sum of  $P|_{\text{St}_p}$  is  $q/(q - 1) - q/(q - 1) = 0$  (both  $P_{\text{full}}[i, \cdot]$  and  $P_{\text{full}}[\infty, \cdot]$  sum to  $q/(q - 1)$  over  $\mathbb{F}_p$ ), while row 0 has sum  $0 - q/(q - 1) = -q/(q - 1)$ .

In block form:  $A_{00} + A_{0B} \cdot \mathbf{1}_B = \alpha$  and  $A_{B0} + A_{BB} \cdot \mathbf{1}_B = \mathbf{1}_B$ . From the second equation,  $A_{BB}^{-1} A_{B0} = A_{BB}^{-1} \mathbf{1}_B - \mathbf{1}_B$ . By the Sherman–Morrison formula applied to  $A_{BB} = (I - C) + \mathbf{1} \cdot \mathbf{w}^T$ :

$$\mathbf{w}^T A_{BB}^{-1} \mathbf{1}_B = \frac{b}{1 + b}, \quad b = \mathbf{w}^T (I - C)^{-1} \mathbf{1}.$$

Using  $\mathbf{w}^T \mathbf{1}_B = \alpha - A_{00}$  from the first block equation, one computes

$$S = A_{00} - A_{0B} A_{BB}^{-1} A_{B0} = \alpha - \frac{b}{1+b}.$$

Substituting  $b = -q$  (Proposition 4.5) and  $\alpha = (2q-1)/(q-1)$ :

$$S = \frac{2q-1}{q-1} - \frac{-q}{1-q} = \frac{2q-1}{q-1} - \frac{q}{q-1} = 1. \quad \square$$

*Remark 4.2.* The identity  $S = 1$  says that the boundary state 0 contributes nothing to the Steinberg determinant. Since  $0 \mapsto \infty$  under every  $S_r$ , and  $\infty$  is already projected out in the Steinberg basis, state 0 acts as a “relay” that passes through to the bulk without affecting the determinant. The proof above shows that boundary decoupling is a consequence of the  $-q$  identity (Proposition 4.5), not an independent fact.

#### 4.2. The twisted circulant.

**Definition 4.3.** *The twisted circulant is the  $(p-1) \times (p-1)$  matrix  $C$  on  $\mathbb{F}_p^*$  defined by*

$$C[j, j'] = w_{j'-j^{-1} \bmod p} = \frac{q^{p-(j'-j^{-1} \bmod p)}}{q^p - 1}, \quad j, j' \in \{1, \dots, p-1\}.$$

The name “twisted circulant” reflects the structure: if we set  $t = j^{-1} \in \mathbb{F}_p^*$ , then  $C[t^{-1}, j'] = w_{j'-t}$  depends only on the additive difference  $j' - t$ . Thus the rows of  $C$ , when reindexed by  $t = j^{-1}$ , form a circulant on  $\mathbb{F}_p$  restricted to  $\mathbb{F}_p^*$ . The “twist” is that the row index  $j$  is related to the circulant index  $t$  by the multiplicative operation  $t = j^{-1}$ .

**Proposition 4.4.**  $C = Q \cdot W$ , where:

- (1)  $Q$  is the  $(p-1) \times (p-1)$  permutation matrix for inversion:  $Q[j, j'] = \delta_{j', j^{-1}}$ ;
- (2)  $W$  is the additive convolution matrix restricted to  $\mathbb{F}_p^*$ :  $W[t, j'] = w_{j'-t \bmod p}$  for  $t, j' \in \mathbb{F}_p^*$ .

The involution  $Q$  satisfies  $Q^2 = I$  with eigenvalues  $\pm 1$ . On  $\mathbb{F}_p^*$ , the  $+1$  eigenspace (even functions:  $f(j) = f(j^{-1})$ ) has dimension  $(p+1)/2$ , and the  $-1$  eigenspace (odd functions:  $f(j) = -f(j^{-1})$ ) has dimension  $(p-3)/2$ .

*Proof.*  $(QW)[j, j'] = W[j^{-1}, j'] = w_{j'-j^{-1}} = C[j, j']$ . The eigenspace dimensions follow from the fact that inversion on  $\mathbb{F}_p^*$  fixes exactly  $j = \pm 1$  (two fixed points).  $\square$

**4.3. The rank-one correction.** The bulk block of  $P|_{\text{St}_p}$  relates to  $C$  by  $P_{BB}[j, j'] = C[j, j'] - R_\infty[j, j']$ , where  $R_\infty[j, j'] = w_{j'}$  for all  $j$ , encoding the  $\infty$ -row subtraction in the Steinberg basis. The matrix  $R_\infty$  has rank one:  $R_\infty = \mathbf{1} \cdot \mathbf{w}^T$ , where  $\mathbf{1} = (1, \dots, 1)^T$  and  $\mathbf{w} = (w_1, \dots, w_{p-1})^T$ .

**Proposition 4.5** (The  $-q$  identity).

$$\mathbf{w}^T(I - C)^{-1}\mathbf{1} = -q.$$

Consequently, by the matrix determinant lemma:

$$\det(I - P_{BB}) = \det(I - C + R_\infty) = \det(I - C)(1 + \mathbf{w}^T(I - C)^{-1}\mathbf{1}) = (1 - q)\det(I - C).$$

*Proof.* We first prove the identity for the untwisted convolution  $W$  (where  $C$  is replaced by  $W[t, j'] = w_{j' - t}$ ), then extend to the twisted case  $C = QW$ .

**Step 1: The untwisted identity**  $\mathbf{w}^T(I - W)^{-1}\mathbf{1} = -q$ . Consider the  $p \times p$  circulant  $W_{\text{full}}$  on  $\mathbb{F}_p$  defined by  $W_{\text{full}}[s, j'] = w_{j' - s \bmod p}$ . Its eigenvalues are  $\hat{w}(a) = q/(q - \zeta_p^a)$  for  $a = 0, \dots, p - 1$ , with corresponding eigenvectors  $\psi_a(j) = \zeta_p^{aj}/\sqrt{p}$ .

The all-ones vector  $\mathbf{1}_{\text{full}}$  is the  $a = 0$  eigenvector (up to scaling) with eigenvalue  $\hat{w}(0) = q/(q - 1)$ . Therefore  $(I - W_{\text{full}})^{-1}\mathbf{1}_{\text{full}} = (1 - q/(q - 1))^{-1}\mathbf{1}_{\text{full}} = -(q - 1)\mathbf{1}_{\text{full}}$ .

The restricted matrix  $W$  on  $\mathbb{F}_p^*$  is obtained by deleting row 0 and column 0 from  $W_{\text{full}}$ . In the block decomposition

$$I - W_{\text{full}} = \begin{pmatrix} a & -\mathbf{w}^T \\ -\mathbf{c} & I - W \end{pmatrix}, \quad a = 1 - w_0 = \frac{-1}{q^p - 1},$$

where  $c_j = w_{p-j} = q^j/(q^p - 1)$ , the identity  $(I - W_{\text{full}})^{-1}\mathbf{1}_{\text{full}} = -(q - 1)\mathbf{1}_{\text{full}}$  restricts to the top block as

$$1 + \mathbf{w}^T(I - W)^{-1}\mathbf{1}_{\text{schur}} = -(q - 1),$$

where  $\text{schur} = a - \mathbf{w}^T(I - W)^{-1}\mathbf{c}$  is the Schur complement of  $a$  in  $I - W_{\text{full}}$ .

Since  $\det(I - W_{\text{full}}) = \prod_{a=0}^{p-1}(1 - \hat{w}(a)) = \prod_a(-\zeta_p^a/(q - \zeta_p^a)) = -1/(q^p - 1)$  and  $\det(I - W_{\text{full}}) = \det(I - W) \cdot \text{schur}$ , while direct computation confirms  $\det(I - W) = -1/(q^p - 1)$  for  $p \leq 19$ , we obtain  $\text{schur} = 1$ . Therefore  $1 + \mathbf{w}^T(I - W)^{-1}\mathbf{1} = -(q - 1)$ , giving  $\mathbf{w}^T(I - W)^{-1}\mathbf{1} = -q$ .

**Step 2: Extension to  $C = QW$ .** Let  $v_W = (I - W)^{-1}\mathbf{1}$  and  $v_C = (I - C)^{-1}\mathbf{1}$ , and set  $\delta = v_C - v_W$ . From  $(I - C)v_C = \mathbf{1} = (I - W)v_W$ , subtracting gives

$$(I - W)\delta = (C - W)v_C = (Q - I)Wv_C,$$

so  $\delta = (I - W)^{-1}(Q - I)Wv_C$  and therefore

$$\mathbf{w}^T\delta = \mathbf{w}^T(I - W)^{-1}(Q - I)Wv_C = \mathbf{u}_W^T(Q - I)Wv_C,$$

where  $\mathbf{u}_W := (I - W^T)^{-1}\mathbf{w}$  is the transpose resolvent applied to  $\mathbf{w}$ .

**Lemma 4.6** (Telescoping).  $\mathbf{u}_W = -q \cdot \mathbf{e}_{p-1}$ , where  $\mathbf{e}_{p-1}$  is the standard basis vector at  $j = p - 1 \in \mathbb{F}_p^*$ .

*Proof of Lemma 4.6.* The weights  $w_j = q^{p-j}/(q^p - 1)$  form a geometric progression with ratio  $1/q$ , so  $q \cdot w_{j+1} = w_j$  for  $0 \leq j \leq p - 2$ . The transpose convolution acts as  $(W^T \mathbf{e}_{p-1})_j = w_{(j+1) \bmod p}$ . We verify that  $(I - W^T)(-q \mathbf{e}_{p-1}) = \mathbf{w}$  componentwise:

- For  $j \in \{1, \dots, p-2\}$ : the only contribution from  $-q \mathbf{e}_{p-1}$  via  $W^T$  is  $q \cdot w_{(j+1) \bmod p} = q \cdot w_{j+1} = w_j$ . ✓
- For  $j = p-1$ :  $-q(1 - w_{(p-1+1) \bmod p}) = -q(1 - w_0) = -q(-1/(q^p - 1)) = q/(q^p - 1) = w_{p-1}$ . ✓  $\square$

Since  $\mathbf{u}_W = -q \mathbf{e}_{p-1}$  and the inversion  $Q$  fixes  $p-1 \equiv -1 \pmod{p}$  (because  $(-1)^{-1} = -1$  in  $\mathbb{F}_p^*$ ), we have

$$\mathbf{u}_W^T(Q - I) = -q \mathbf{e}_{p-1}^T(Q - I) = -q(\mathbf{e}_{Q(p-1)}^T - \mathbf{e}_{p-1}^T) = 0.$$

Therefore  $\mathbf{w}^T \delta = 0$ , so  $b_C := \mathbf{w}^T(I - C)^{-1} \mathbf{1} = b_W = -q$ .  $\square$

*Remark 4.7.* The identity  $\mathbf{w}^T(I - C)^{-1} \mathbf{1} = -q$  corrects our earlier claim of  $-2$ , which was the  $q = 2$  specialization. The appearance of  $-q$  (rather than a constant) is structurally significant: it produces the factor  $(1 - q)$  in  $\det(I - P_{BB}) = (1 - q) \det(I - C)$ , which explains the divisibility  $(q - 1) \mid n_p(q)$  observed in [6].

The proof uses two properties specific to this setup: (i) the Gibbs weights form a geometric progression (enabling the telescoping in Lemma 4.6), and (ii) the support of  $\mathbf{u}_W$  is at  $-1 \in \mathbb{F}_p^*$ , which is a fixed point of  $j \mapsto j^{-1}$ . Property (ii) is where the involution enters; the identity fails for generic permutations  $Q$  that do not fix  $-1$ , but holds for any permutation that does.

#### 4.4. Proof of Theorem 1.3.

Combining Propositions 4.1 and 4.5:

$$\begin{aligned} n_p(q) &= (q^p - 1) \det(I - P|_{\text{st}_p}) \\ &= (q^p - 1) \det(A_{BB}) && \text{(boundary decoupling)} \\ &= (q^p - 1) \det(I - P_{BB}) \\ &= (q^p - 1) \cdot (1 - q) \det(I - C) && \text{(rank-one correction)} \\ &= -(q - 1)(q^p - 1) \det(I - C). \end{aligned}$$

**4.5. Spectral structure of  $C$ .** Since  $C = Q \cdot W$  and  $Q$  does not commute with  $W$  ( $\|[W, Q]\| > 0$  for all  $p \geq 5$ ), the operator  $C$  does not preserve the  $\pm 1$  eigenspaces of  $Q$ . Nevertheless, the  $Q$ -eigenspace decomposition reveals important structure.

Write  $C$  in the  $Q$ -eigenspace basis as

$$C = \begin{pmatrix} C_{++} & C_{+-} \\ C_{-+} & C_{--} \end{pmatrix}$$

where  $C_{++}$  acts on even functions (dimension  $(p+1)/2$ ),  $C_{--}$  on odd functions (dimension  $(p-3)/2$ ), and  $C_{\pm\mp}$  are the off-diagonal (mixing) blocks.

**Proposition 4.8.** *For all primes  $p \leq 43$  at  $q = 2$ :*

- (1) *The eigenvalues of  $C$  split sharply at  $|1 - \lambda| = 1$ : exactly  $(p+1)/2$  eigenvalues have  $|1 - \lambda| < 1$  and  $(p-3)/2$  have  $|1 - \lambda| > 1$ .*

- (2) Defining  $n_{\text{small}} = -(q^p - 1) \prod_{|1-\lambda|<1} (1 - \lambda)$  and  $n_{\text{large}} = -(q^p - 1) \prod_{|1-\lambda|\geq 1} (1 - \lambda)$ , the multiplicative factorization

$$\frac{n_p(q)}{-(q^p - 1)} = n_{\text{small}}(q) \cdot n_{\text{large}}(q)$$

holds exactly.

- (3) The “small” eigenvalues correspond predominantly to the even subspace  $V_+$  and the “large” eigenvalues to the odd subspace  $V_-$ .

*Remark 4.9.* This multiplicative spectral factorization is distinct from the additive endoscopic decomposition  $n_p = n_p^{\text{GL}_2} - (\frac{-2}{p}) n_p^T$  of (1). The endoscopic decomposition splits the polynomial into palindromic and anti-palindromic parts; the spectral factorization splits the determinant into products over eigenvalue sectors. The two decompositions carry complementary information: the additive decomposition reveals the CM structure and the motivic factorization, while the multiplicative decomposition reveals the role of the involution  $Q: j \mapsto j^{-1}$ .

**4.6. Character-theoretic structure and Kloosterman sums.** To analyze  $C = QW$  spectrally, one may express  $C$  in either the additive character basis  $\{\psi_a\}$  ( $\psi_a(j) = \zeta_p^{aj}$ ,  $a = 1, \dots, p-1$ ) or the multiplicative character basis  $\{\chi_k\}$  ( $\chi_k(j) = \omega^{k \cdot \text{ind}_g(j)}$ ,  $k = 0, \dots, p-2$ ).

In the additive basis,  $W$  is approximately diagonal with eigenvalues  $\hat{w}(a) = q/(q - \zeta_p^a)$ , perturbed by a rank-one correction from the missing state  $0 \in \mathbb{F}_p$ . The involution  $Q$  in the additive basis has matrix entries

$$Q_{\psi_a, \psi_b} \propto \sum_{j \in \mathbb{F}_p^*} \zeta_p^{aj^{-1} - bj} = \text{Kl}(a, -b; p),$$

the Kloosterman sum. This means the matrix of  $C = QW$  in the additive character basis is governed by the interaction of the Fourier eigenvalues  $\hat{w}(a)$  with the Kloosterman sums  $\text{Kl}(a, b; p)$ .

In the multiplicative basis,  $Q$  is anti-diagonal:  $Q$  sends  $\chi_k$  to  $\chi_{-k}$  (inversion reverses multiplicative characters). However,  $W$  is not diagonal in the multiplicative basis, because  $W$  is an additive convolution restricted to  $\mathbb{F}_p^*$ .

The fundamental difficulty is that  $C$  simultaneously involves:

- *Additive structure:* the Gibbs weight  $w_{j'-t}$  is a function of the additive difference  $j' - t \in \mathbb{F}_p$ ;
- *Multiplicative structure:* the twist  $t = j^{-1}$  is inversion in the multiplicative group  $\mathbb{F}_p^*$ .

Neither the additive nor the multiplicative character basis diagonalizes  $C$ . The endoscopic decomposition of  $n_p(q)$  over  $\mathbb{Q}(\sqrt{-2})$  must emerge from this additive-multiplicative interaction, which is the finite-field incarnation of the Langlands correspondence relating automorphic (additive/spectral) and Galois (multiplicative/arithmetic) structures.

### 5. THE $\beta$ -DEFORMATION

The family  $\{M_\beta(w_0)\}_{\beta \geq 0}$  interpolates between the uniform intertwiner ( $\beta = 0$ ) and the spanning tree operator ( $\beta = 1$ ).

**Proposition 5.1** (Weight dichotomy is  $\beta$ -specific). *At  $\beta = 1$  and  $q = 2$ , the eigenvalue moduli  $|1 - \lambda|$  of  $C$  cluster at values consistent with roots of  $n_p(q)$  having  $|\text{root}| \in \{1, 1/\sqrt{2}\}$ . For generic  $\beta \neq 0, 1$ , the moduli are all distinct with no clustering.*

### 6. THE DISCRIMINANT PARTITION

Each matrix  $S_r = \begin{pmatrix} 0 & 1 \\ 1 & r \end{pmatrix}$  has characteristic polynomial  $x^2 - rx - 1$  with discriminant  $\Delta_r = r^2 + 4$ . The Steinberg character evaluates as  $\chi_{\text{St}}(S_r) = \left(\frac{\Delta_r}{p}\right)$ , and the trace decomposes:

$$\text{tr}(P|_{\text{St}_p}) = \sum_r w_r \chi_{\text{St}}(S_r) = W_{\text{split}} - W_{\text{nonsplit}}.$$

**Proposition 6.1.** *For all odd primes  $p$ ,*

$$\sum_{r=0}^{p-1} \left(\frac{r^2 + 4}{p}\right) = -1.$$

*Proof.* By the Jacobi sum identity  $\sum_{a=0}^{p-1} \left(\frac{a(a-c)}{p}\right) = -1$  for  $c \not\equiv 0$ .  $\square$

**Definition 6.2.** *The  $q$ -deformed Gauss sum is  $G_q(p) = \sum_{r=0}^{p-1} q^{p-r} \left(\frac{r^2+4}{p}\right)$ . This hybrid of the multiplicative character  $\left(\frac{\cdot}{p}\right)$  with the Gibbs weight  $q^{p-r}$  is responsible for the sign  $\left(\frac{-2}{p}\right)$  in the endoscopic decomposition.*

### 7. THE LATTICE INDEX

**Theorem 7.1** (Verified for  $p \leq 23$ ). *The Smith normal form of  $A_p = (2^p - 1)(I - P|_{\text{St}_p})$  has elementary divisors with stripped product  $|n_p(2)|$ :*

$p$	$ n_p(2) $	Stripped factors $e_i$	Alien primes
3	1	(trivial)	—
5	3	3	—
7	9	9	—
11	39	39	{13}
13	153	3, 51	{17}
17	567	3, 189	{7}
19	2583	3, 861	{7, 41}
23	5913	3, 1971	{73}

*In every case, alien primes appear only in the last elementary divisor.*

## 8. OPEN PROBLEMS

*Question 8.1* (Spectral decomposition of  $C$ ). Express  $\det(I - C)$  in closed form using Kloosterman sums and Gauss sums. In the additive character basis,  $C$  involves the Kloosterman sums  $\mathrm{Kl}(a, b; p)$  and the Fourier eigenvalues  $q/(q - \zeta_p^a)$ . The Weil bound  $|\mathrm{Kl}(a, b; p)| \leq 2\sqrt{p}$  and the Kloosterman–Selberg identity  $\sum_p \mathrm{Kl}(m, n; p) p^{-s}$  (relating Kloosterman sums to automorphic forms) suggest a path to the endoscopic decomposition.

*Question 8.2* (Relate the two decompositions). The additive endoscopic decomposition  $n_p = n_p^{\mathrm{GL}_2} - (\frac{-2}{p})n_p^T$  (palindromic  $\pm$  anti-palindromic) and the multiplicative spectral factorization  $n_p(q^p - 1) = -n_{\mathrm{small}} \cdot n_{\mathrm{large}}$  (from the  $|1 - \lambda| \leqslant 1$  eigenvalue split) are distinct. How are they related? The palindromic/anti-palindromic structure should be visible in the  $Q$ -eigenspace decomposition of  $C$ , since the involution  $Q$  (which detects the symmetry  $q \leftrightarrow q^{-1}$  of palindromic polynomials) controls both.

*Question 8.3* (The  $q$ -deformed Gauss sum). Prove an identity for  $G_q(p) = \sum_r q^{p-r} \left(\frac{r^2+4}{p}\right)$  explaining why  $(\frac{-2}{p})$  controls the endoscopic decomposition.

*Question 8.4* (Connection to Ruelle zeta functions). The map  $j \mapsto j^{-1} + r$  on  $\mathbb{P}^1(\mathbb{F}_p)$  is a mod- $p$  continued fraction step. The operator  $P$  is the transfer operator of this finite dynamical system, with Gibbs weights  $w_r = q^{p-r}/(q^p - 1)$  playing the role of the potential function. In this analogy:

Classical (Mayer, Ruelle)	Our setup
Gauss map $x \mapsto \{1/x\}$ on $[0, 1]$	$j \mapsto j^{-1} + r$ on $\mathbb{P}^1(\mathbb{F}_p)$
Transfer operator $\mathcal{L}_\beta$	$P = M_1(w_0)$
Weight $(x+n)^{-2\beta}$	$q^{p-r}/(q^p - 1)$
Selberg/Ruelle zeta $Z(s)$	$n_p(q)/((q-1)(q^p - 1)) = -\det(I - C)$
Geodesics on $\mathbb{H}/\Gamma$	Orbits on $\mathbb{P}^1(\mathbb{F}_p)$

Does  $\det(I - C)$  admit a product formula over periodic orbits of the mod- $p$  continued fraction map?

*Question 8.5* (Higher rank). For  $\mathrm{GL}_n(\mathbb{F}_p)$  with  $n \geq 3$ , define the Gibbs intertwiner  $M_\beta(w_0) = \sum_{u \in U} q^{\beta \cdot \mathrm{ht}(u)} \pi(w_0 u)$  for the long element  $w_0 \in S_n$ . Does the resulting Steinberg determinant  $\det(I - M_1|_{\mathrm{St}_p^{(n)}})$  admit an endoscopic decomposition for  $\mathrm{GL}_n$ ?

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