

# LECTURE 4

## UML STATE MACHINES

# SUBJECTS

**States**

**Transitions**

**Guards**

**Effects**

**State actions**

**Decisions**

**Compound states**

**Alternative entry and exit**

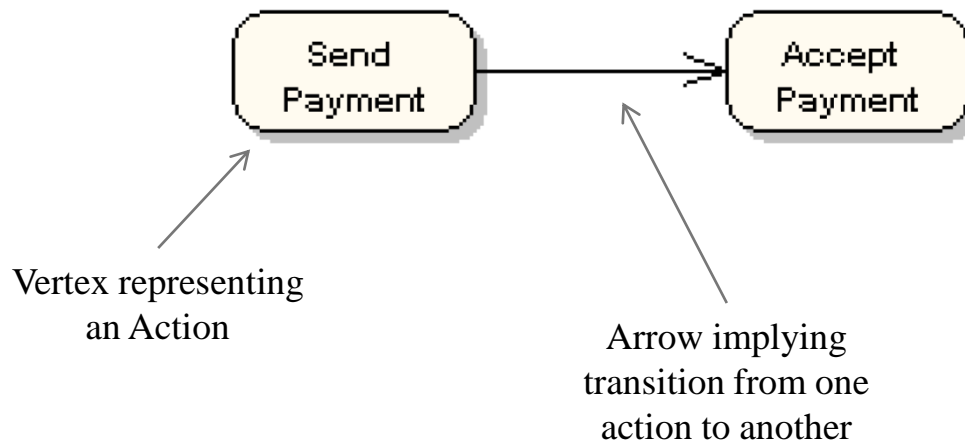
**History states**

**Case study**

# ACTIVITY DIAGRAMS VS STATE MACHINES

## In Activity Diagrams

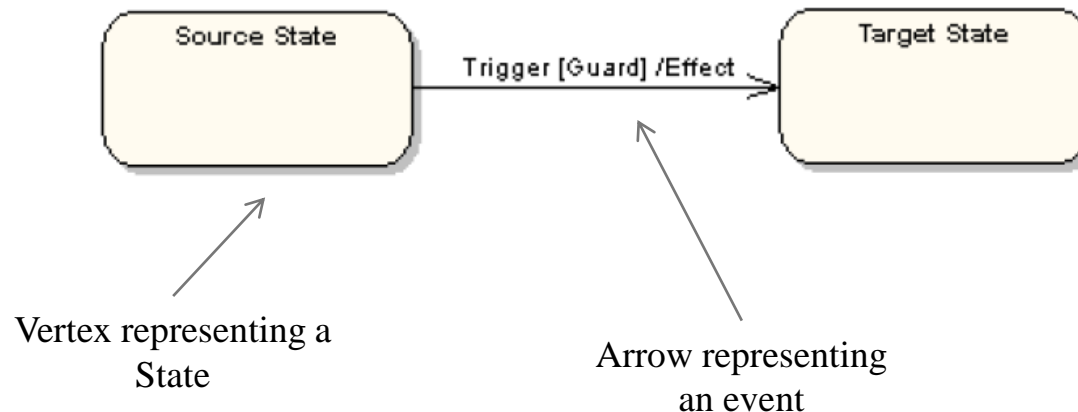
- Vertices represent Actions
- Edges (arrows) represent transition that occurs at the completion of one action and before the start of another one (control flow)



# ACTIVITY DIAGRAMS VS STATE MACHINES

## In State Machines

- Vertices represent states of a process
- Edges (arrows) represent occurrences of events



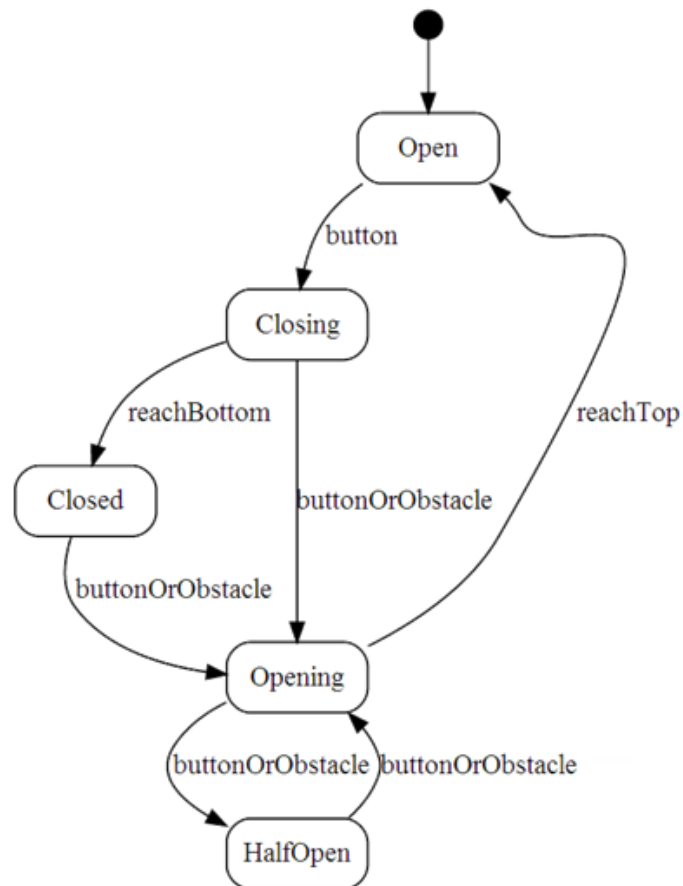
# UML STATE MACHINES

## Used to model the dynamic behaviour of a process

- Can be used to model a high level behaviour of an entire system
- Can be used to model the detailed behaviour of a single object
- All other possible levels of detail in between these extremes are also possible

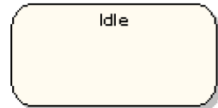
# UML STATE MACHINE EXAMPLE

## Example of a garage door state machine



# STATES

## Symbol for a state



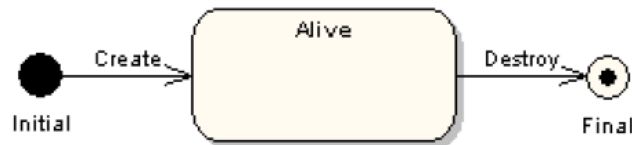
**A system in a state will remain in it until the occurrence of an event that will cause it to transition to another one**

- Being in a state means that a system will behave in a predetermined way in response to a given event

## Names for states are usually chosen as:

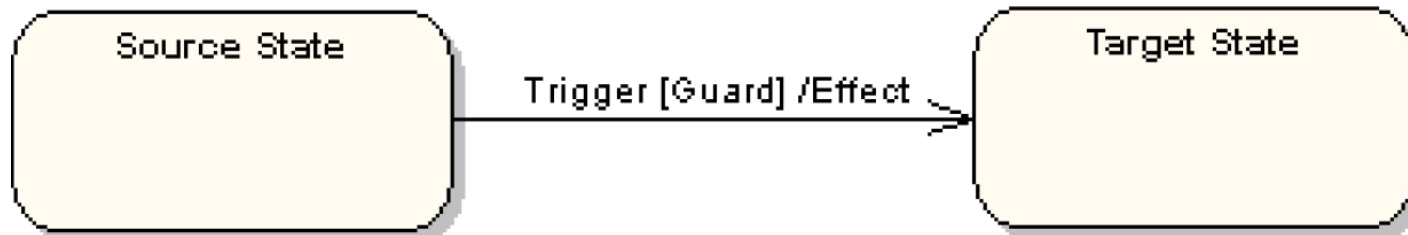
- Adjectives: open, closed, ready...
- Present continuous verbs: opening, closing, waiting...

## Symbols for the initial and final states



# TRANSITIONS

Transitions are represented with arrows





# TRANSITIONS

**Transitions represent a change in a state in response to an event**

- Theoretically, it is supposed to occur in a instantaneous manner (it does not take time to execute)

**A transition can have:**

- **Trigger:** causes the transition; can be any type of event
- **Guard:** a condition that must evaluate to true for the transition to occur
- **Effect:** an action that will be invoked directly on the system
  - If we are modeling an object, the effect would correspond to a specific method call

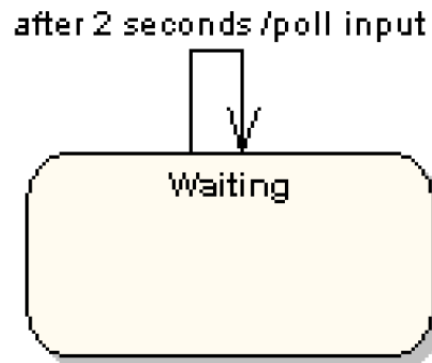
# SELF TRANSITION

## State can also have self transitions

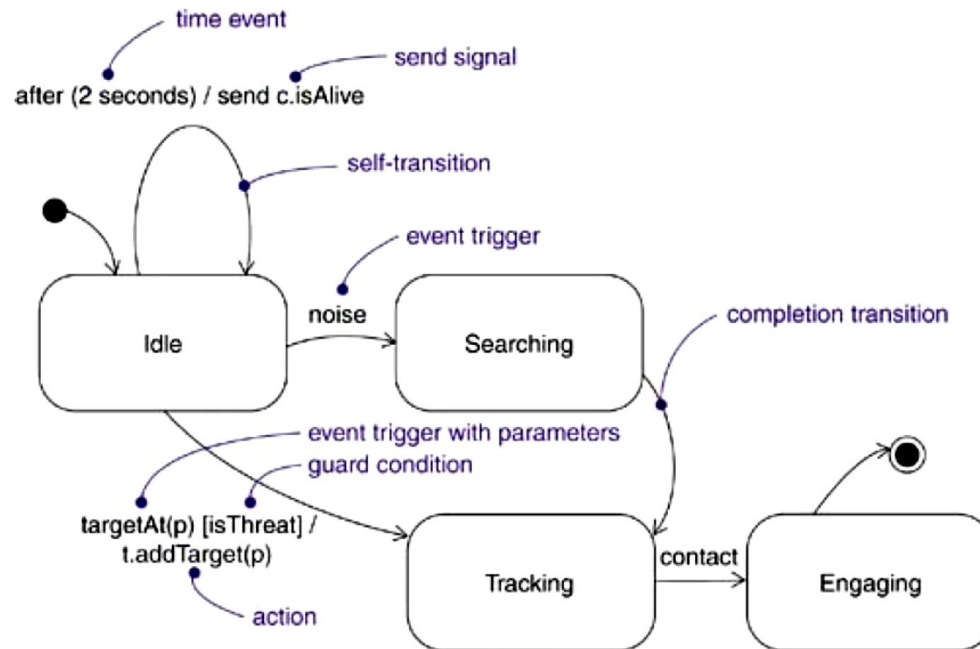
- These self transition are more useful when they have an effect associated with them

## Timer events are usually popular with self transitions

Below is a typical example:



# COMPLETION TRANSITIONS



**Completion transition:** transition with no trigger that is initiated implicitly when its source state has completed its behavior, if any

# GUARD CONDITION

**A guard condition is a Boolean expression enclosed in square brackets and placed after the trigger event**

**The guard condition is only evaluated after the trigger event for its transition occurs**

- It is possible to have multiple transitions from the same source state and with the same event trigger, as long as the guard conditions do not overlap

# EFFECT

**An effect is a behavior that is executed when a transition fires**

**Effects may include**

- Inline computation
- Operation calls
- Creation and destruction of another object
- Sending a signal to an object

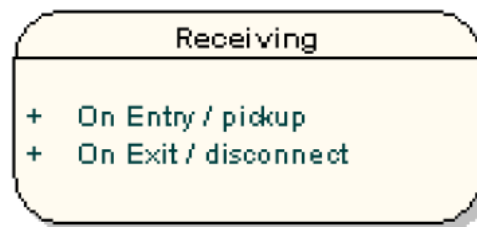
**To indicate sending of a signal, you can prefix the signal name with the keyword *send***

# STATE ACTIONS

An effect can also be associated with a state

If a destination state is associated with numerous incident transitions (*transitions arriving a that state*), and every transition defines the same effect:

- The effect can therefore be associated with the state instead of the transitions (avoid duplications)
- This can be achieved using an “On Entry” effect (we can have multiple entry effects)
- We can also add one or more “On Exit” effect



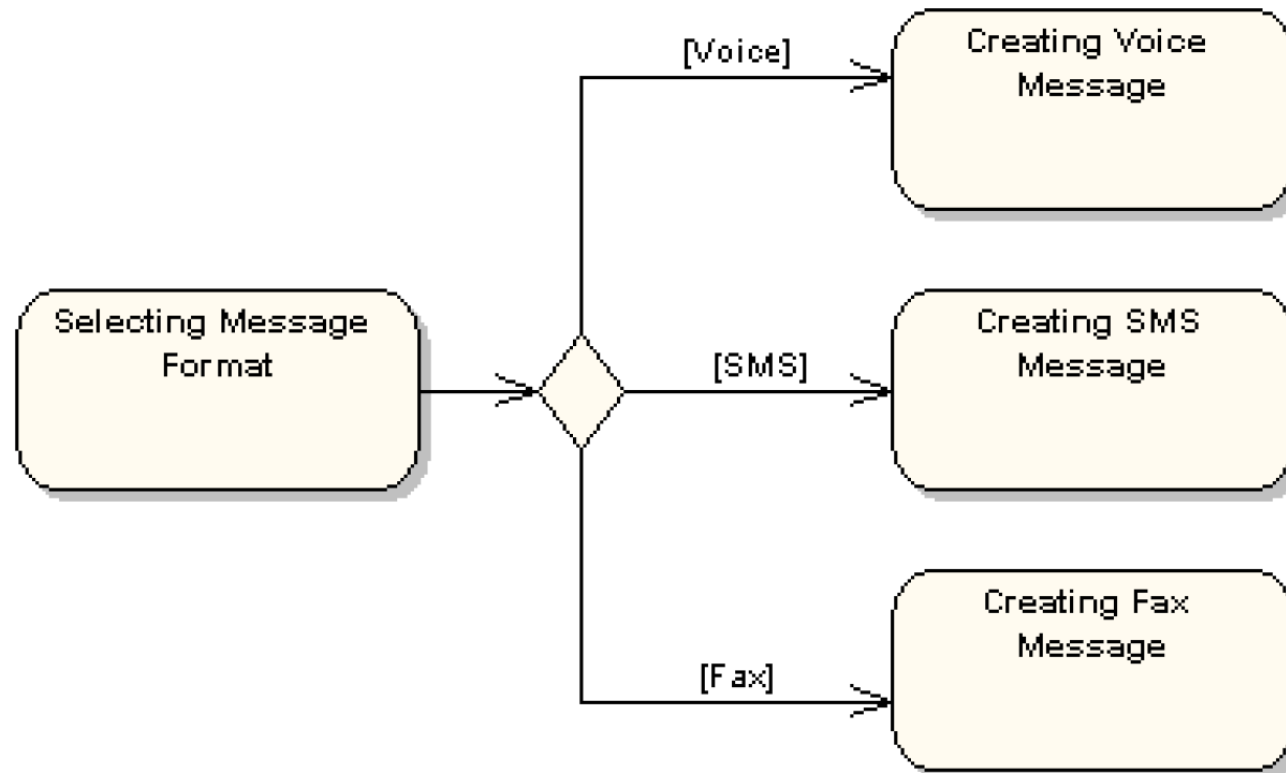
# DECISIONS

**Just like activity diagrams, we can use decisions nodes (although we usually call them decision pseudo-states)**

**Decision pseudo-states are represented with a diamond**

- We always have one input transition and multiple outputs
- The branch of execution is decided by the guards associated with the transitions coming out of the decision pseudo-state

# DECISIONS

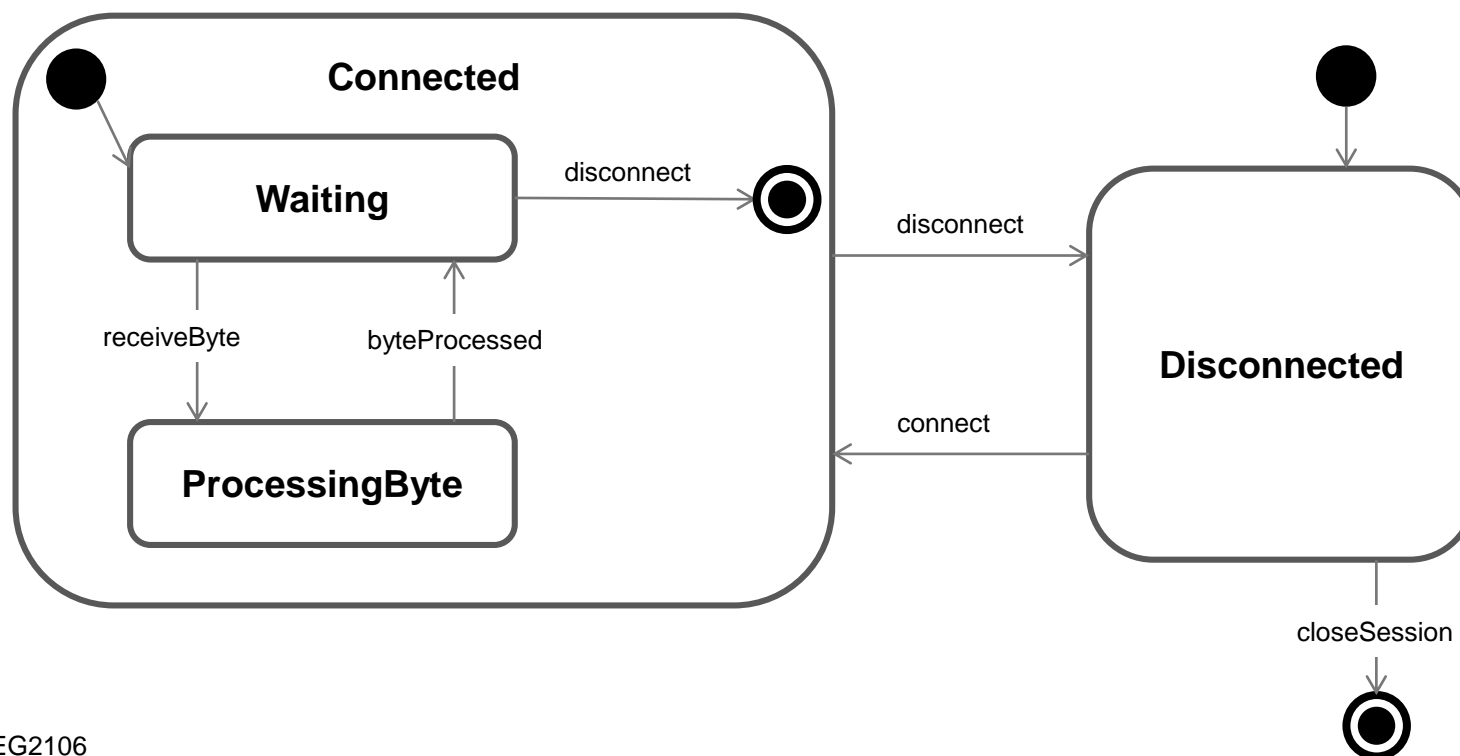




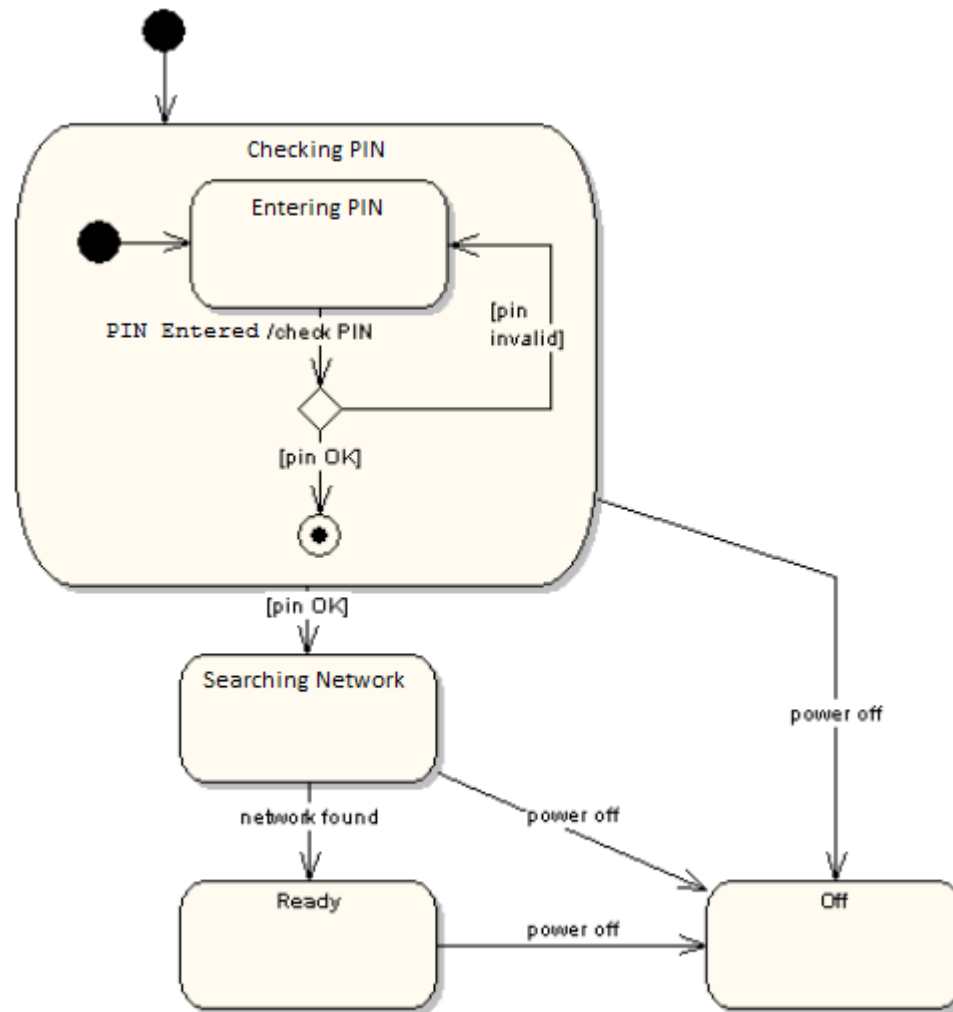
# COMPOUND STATES

A state machine can include several sub-machines

Below is an example of a sub-machine included in the compound state “Connected”



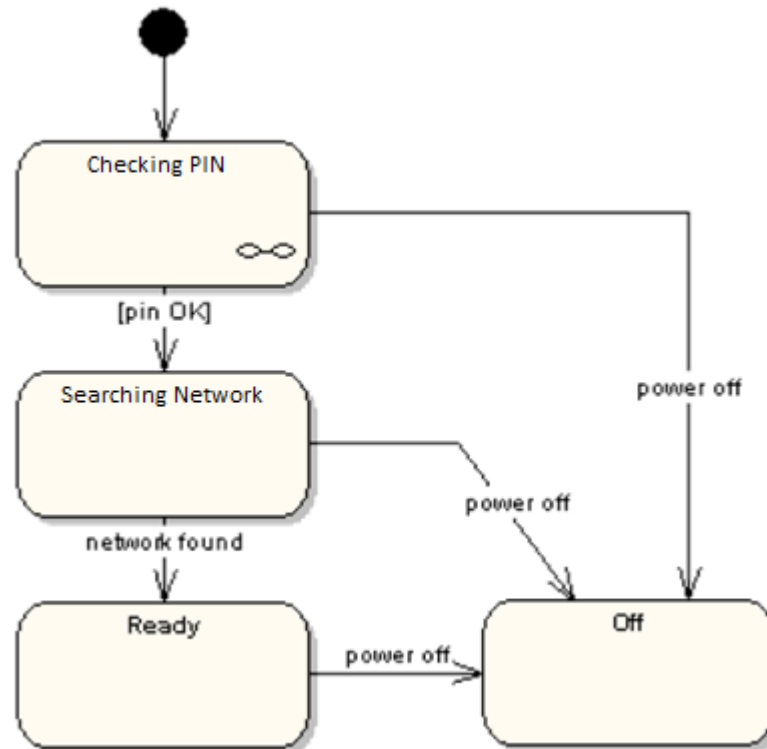
# COMPOUND STATES EXAMPLE



# COMPOUND STATES EXAMPLE

## Same example, with an alternative notation

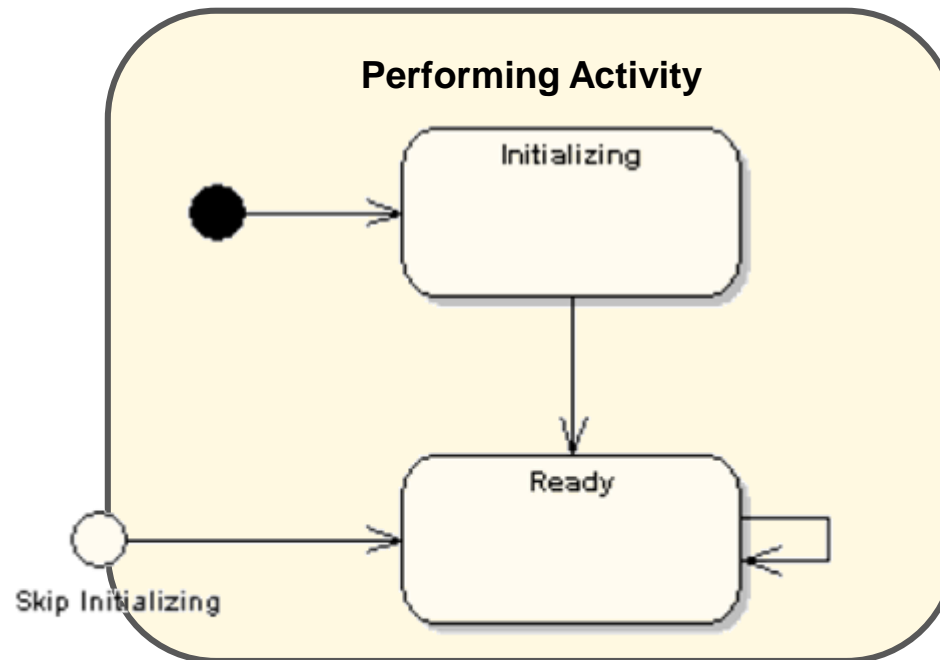
- The link symbol in the “Check Pin” state indicates that the details of the sub-machine associated with “Check Pin” are specified in another state machine



# ALTERNATIVE ENTRY POINTS

Sometimes, in a sub-machine, we do not want to start the execution from the initial state

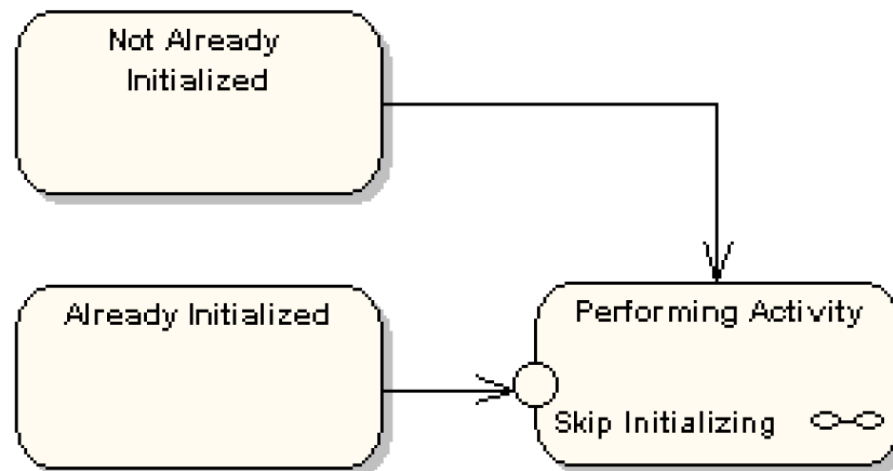
- We want to start the execution from a “name alternative entry point”



# ALTERNATIVE ENTRY POINTS

Here's the same system, from a higher level

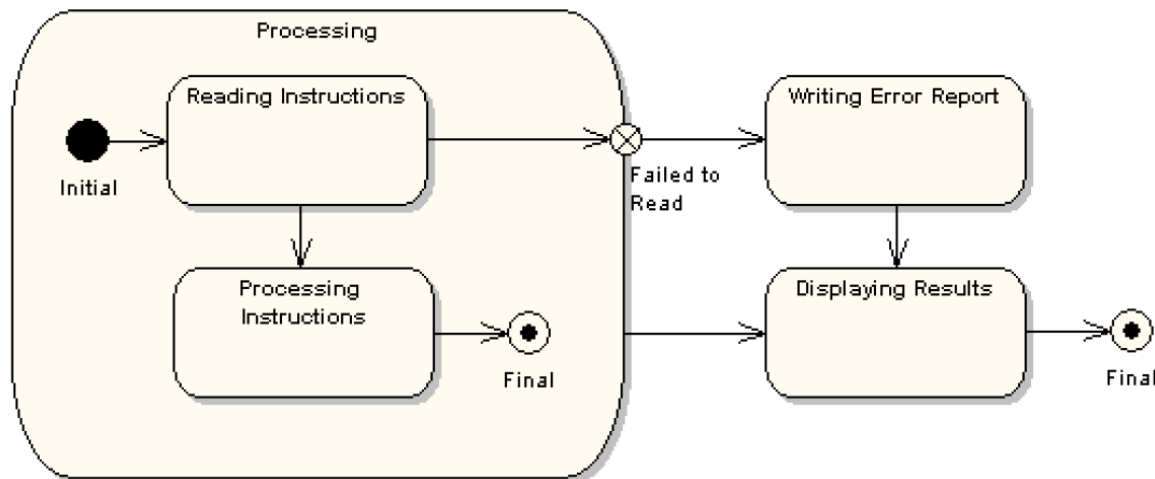
- Transition from the “No Already Initialized” state leads to the standard initial state in the sub-machine
- Transition from the “Already Initialized” state is connected to the named alternative entry point “Skip Initializing”



# ALTERNATIVE EXIT POINTS

It is also possible to have alternative exit points for a compound state

- Transition from “Processing Instructions” state takes the regular exit
- Transition from the “Reading Instructions” state takes an "alternative named exit point"



# HISTORY STATES

**A state machine describes the dynamic aspects of a process whose current behavior depends on its past**

**A state machine in effect specifies the legal ordering of states a process may go through during its lifetime**

**When a transition enters a compound state, the action of the nested state machine starts over again at its initial state**

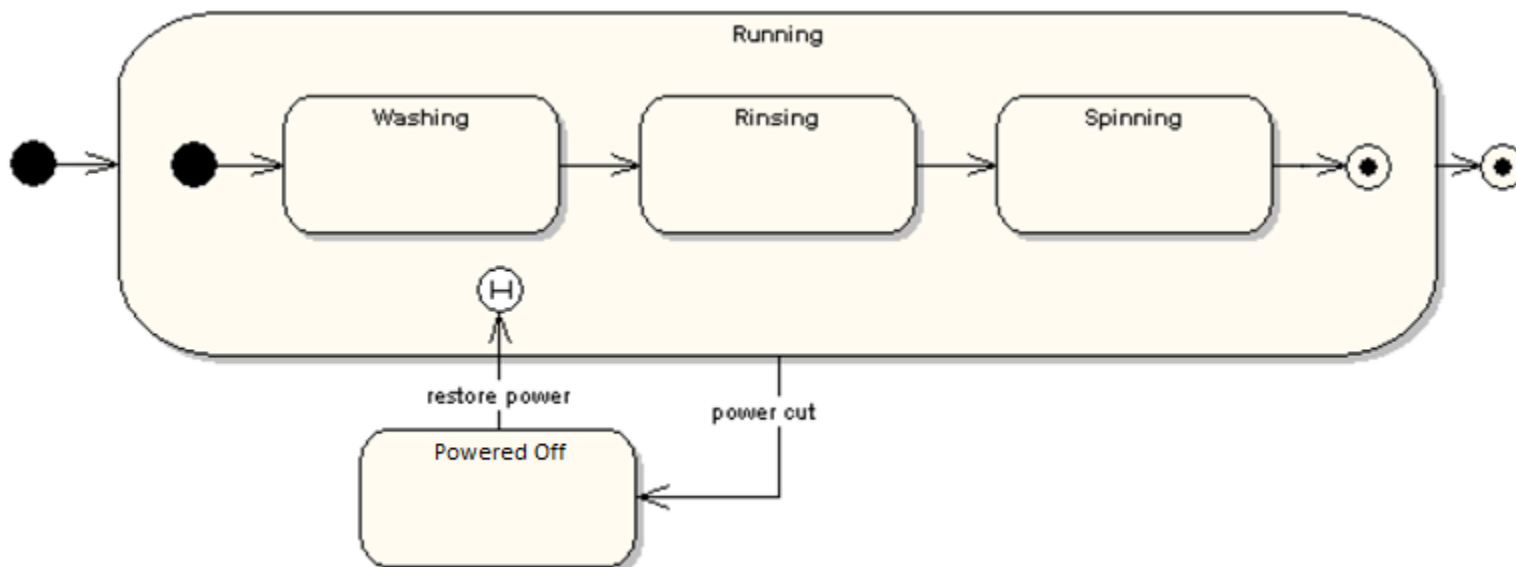
- Unless an alternative entry point is specified

**There are times you'd like to model a process so that it remembers the last substate that was active prior to leaving the compound state**

# HISTORY STATES

## Simple washing machine state diagram:

- Power Cut event: transition to the “Power Off” state
- Restore Power event: transition to the active state before the power was cut off to proceed in the cycle



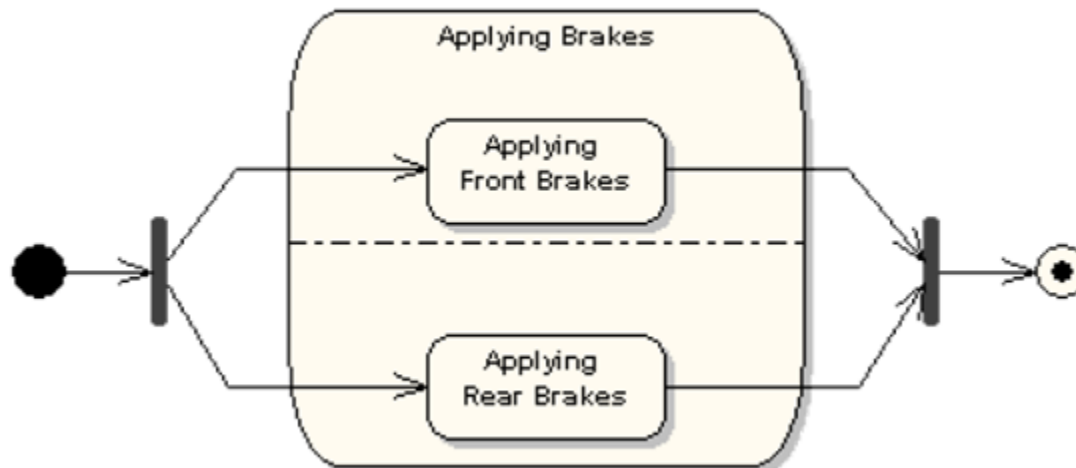


# CONCURRENT REGIONS

**Sequential sub state machines are the most common kind of sub machines**

- In certain modeling situations, concurrent sub machines might be needed (two or more sub state machines executing in parallel)

**Brakes example:**



# CONCURRENT REGIONS

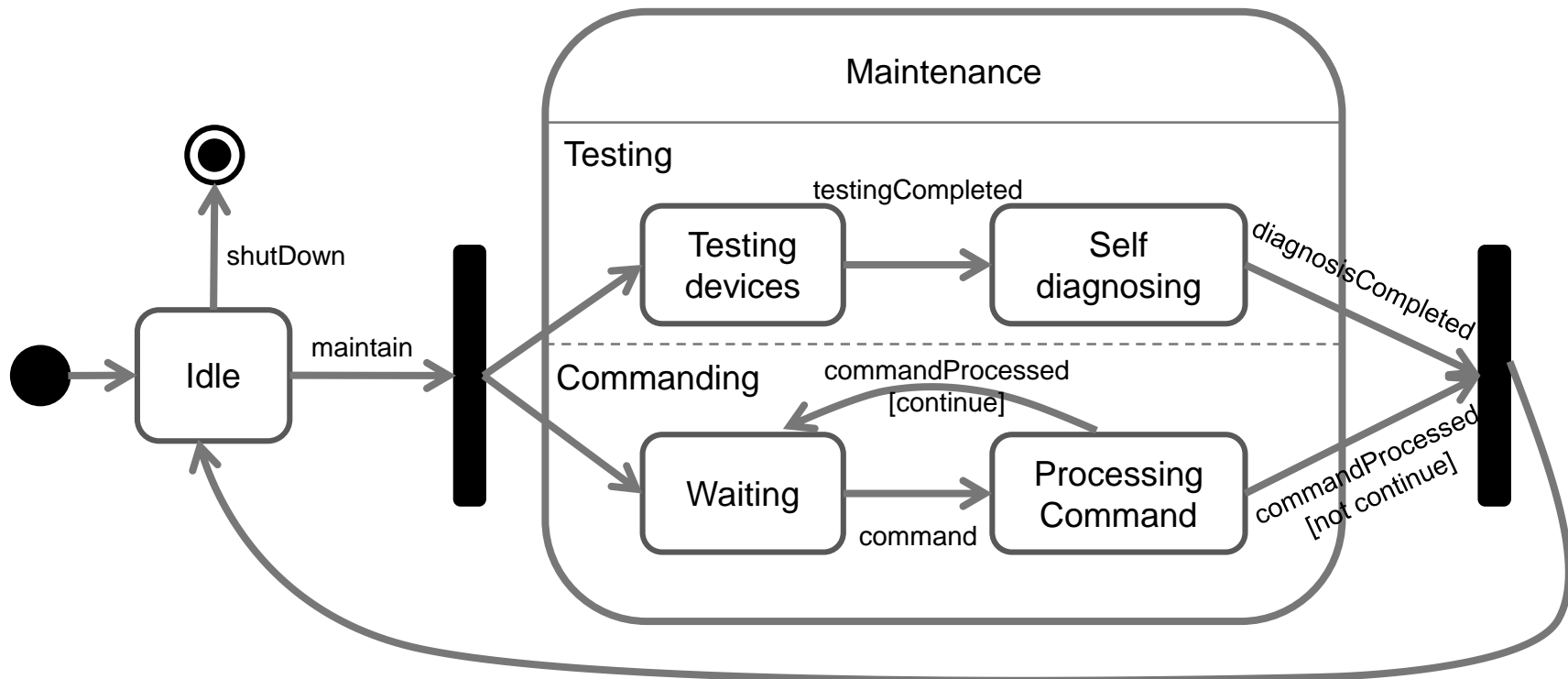
**Concurrent Regions are also called Orthogonal Regions**

**These regions allow us to model a relationship of “And” between states (as opposed to the default “or” relationship)**

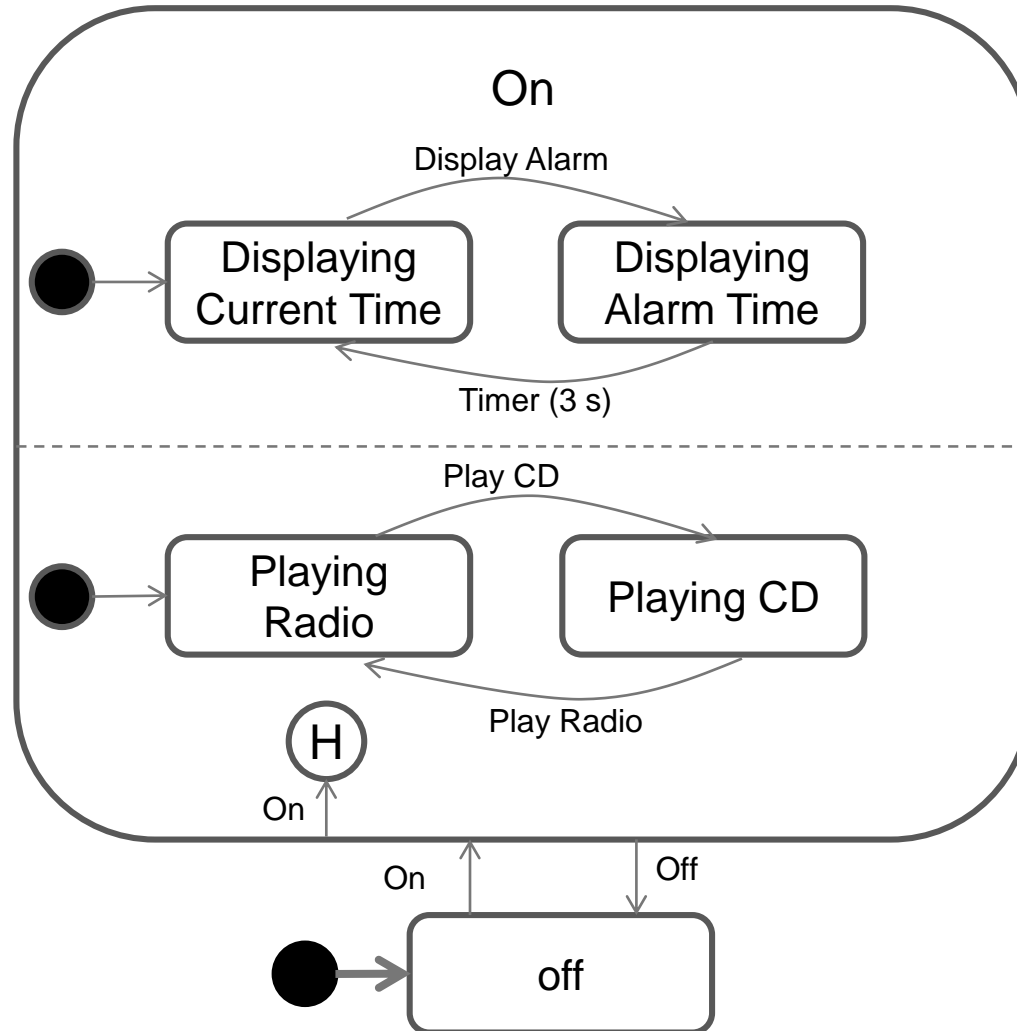
- This means that in a sub state machine, the system can be in several states simultaneously

# CONCURRENT REGIONS

Example of modeling system maintenance using concurrent regions



# EXAMPLE OF A CD PLAYER WITH A RADIO



# GARAGE DOOR – CASE STUDY

## Background

- Company DOORS inc. manufactures garage door components
- Nonetheless, they have been struggling with the embedded software running on their automated garage opener Motor Unit that they developed in house
  - This is causing them to lose business
- They decided to scrap the existing software and hire a professional software company to deliver “bug free” software

# CLIENT REQUIREMENTS

## Client (informal) requirements:

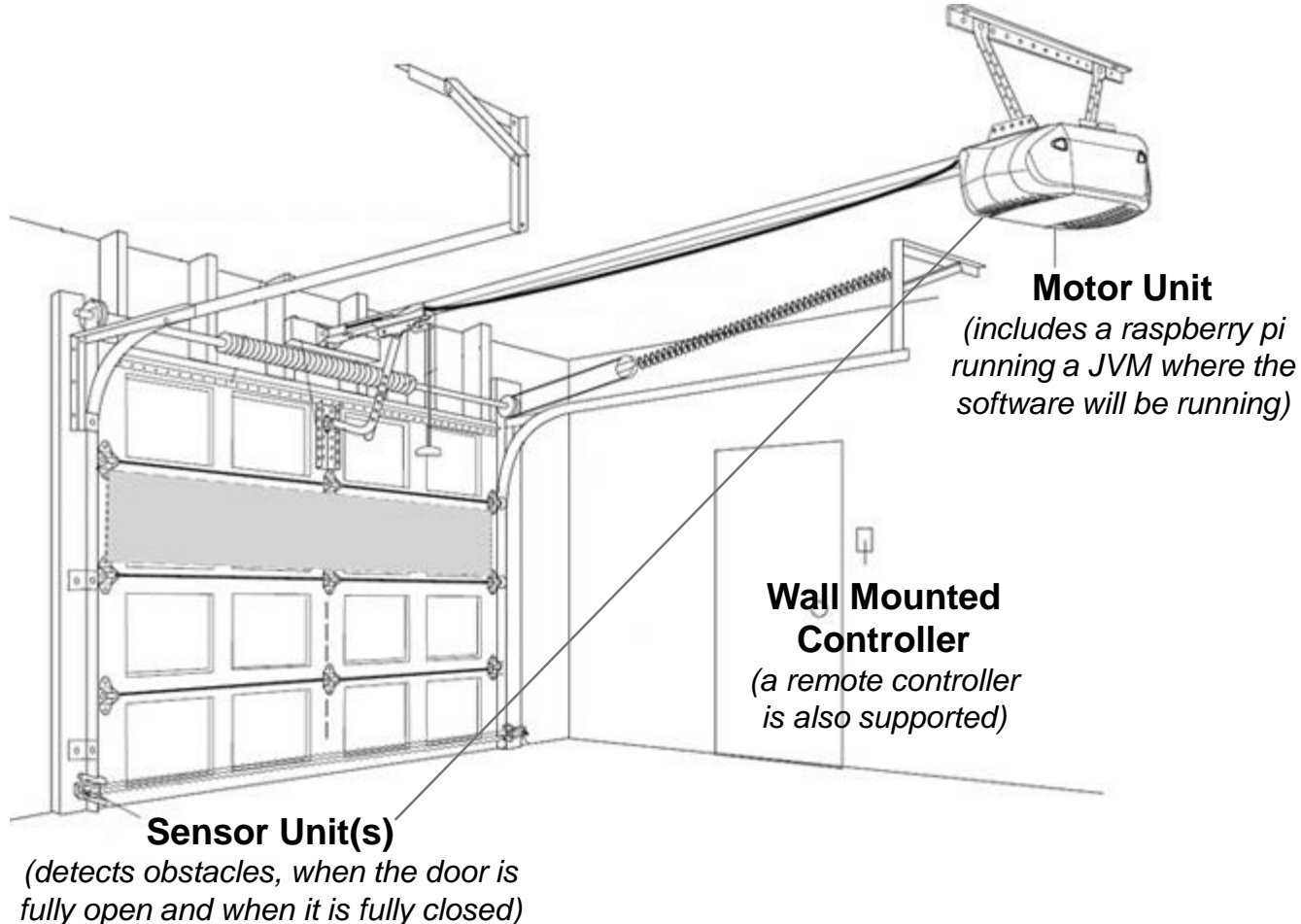
- **Requirement 1:** When the garage door is closed, it must open whenever the user presses on the button of the wall mounted door control or the remote control
- **Requirement 2:** When the garage door is open, it must close whenever the user presses on the button of the wall mounted door control or the remote control
- **Requirement 3:** The garage door should not close on an obstacle
- **Requirement 4:** There should be a way to leave the garage door half open
- **Requirement 5:** System should run a self diagnosis test before performing any command (open or close) to make sure all components are functional



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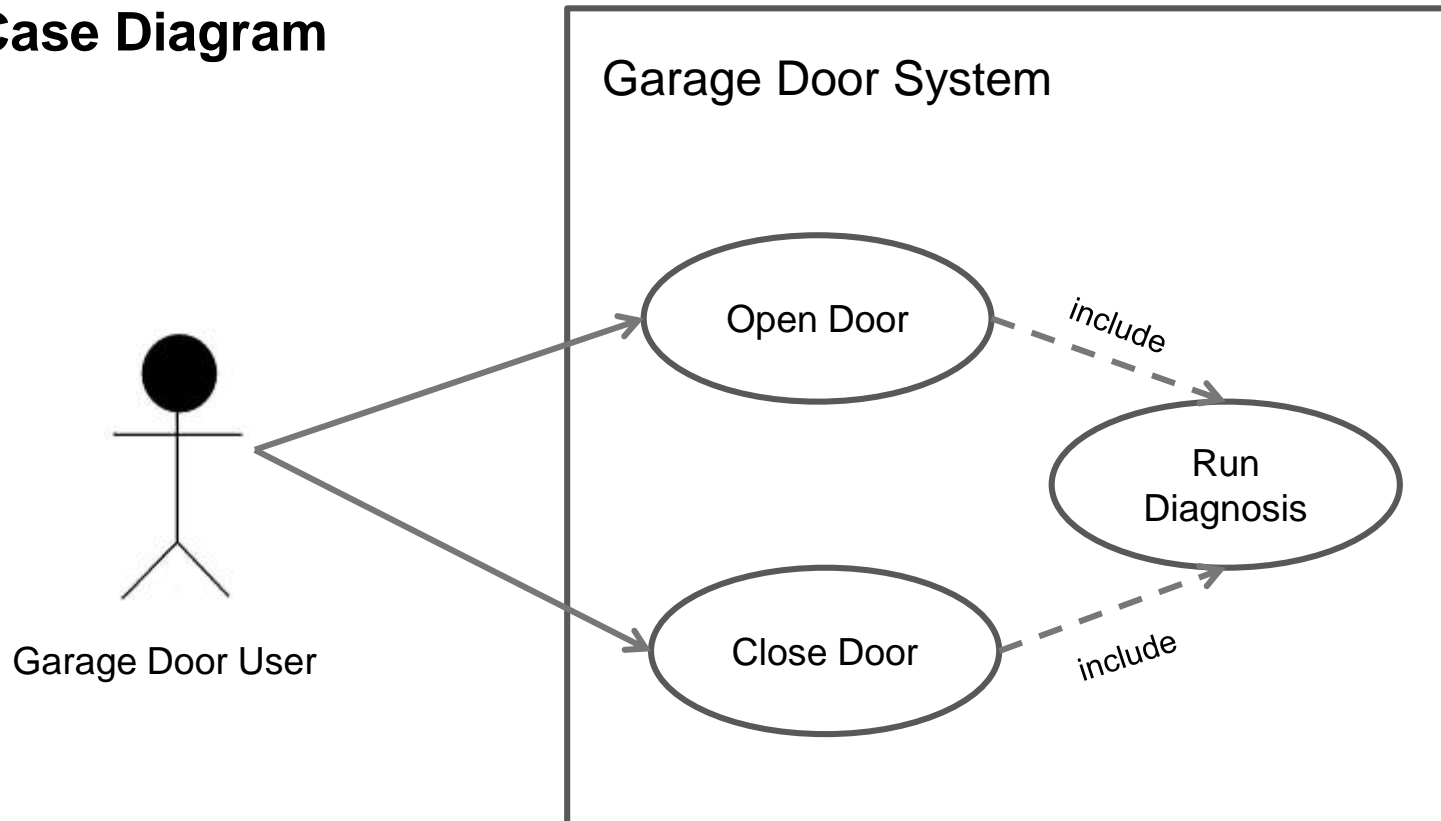
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# CLIENT REQUIREMENTS



# USE CASE DIAGRAM

## Use Case Diagram





# RUN DIAGNOSIS USE CASE

**Use Case Name:** Run Diagnosis

**Summary:** The system runs a self diagnosis procedure

**Actor:** Garage door user

**Pre-Condition:** User has pressed the remote or wall mounted control button

**Sequence:**

1. Check if the sensors are operating correctly
2. Check if the motor unit is operating correctly
3. If all checks are successful, system authorizes the command to be executed

**Alternative Sequence:**

**Step 3:** One of the checks fails and the system waits for 3 minutes and initiates a check again

**Postcondition:** Self diagnosis ensured that the system is operational

# OPEN DOOR USE CASE

**Use Case Name:** Open Door

**Summary:** Open the garage the door

**Actor:** Garage door user

**Dependency:** Include Run Diagnosis use case

**Pre-Condition:** Garage door system is operational and ready to take a command

**Sequence:**

1. User presses the remote or wall mounted control button
2. Include Run Diagnosis use case
3. If the door is currently closing or is already closed, system opens the door

**Alternative Sequence:**

**Step 3:** If the door is open, system closes door

**Step 3:** If the door is currently opening, system stops the door (leaving it half open)

**Postcondition:** Garage door is open

# CLOSE DOOR USE CASE

**Use Case Name:** Close Door

**Summary:** Close the garage the door

**Actor:** Garage door user

**Dependency:** Include Run Diagnosis use case

**Pre-Condition:** Garage door system is operational and ready to take a command

**Sequence:**

1. User presses the remote or wall mounted control button
2. Include Run Diagnosis use case
3. If the door is currently open, system closes the door

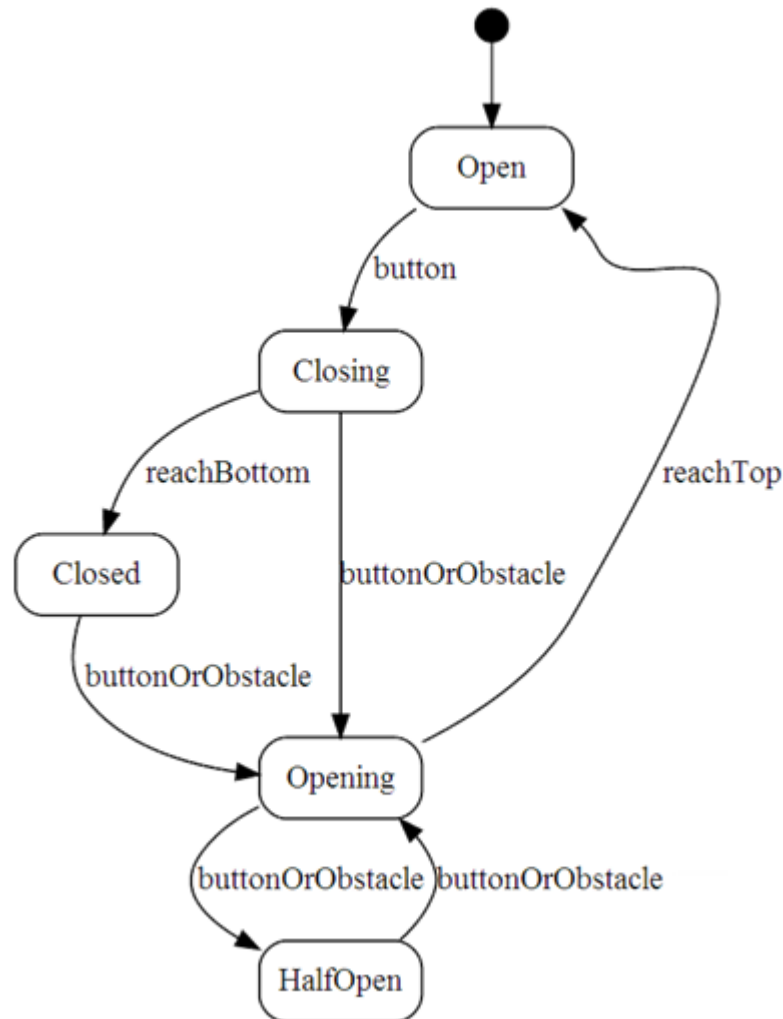
**Alternative Sequence:**

**Step 3:** If the door is currently closing or is already closed, system opens the door

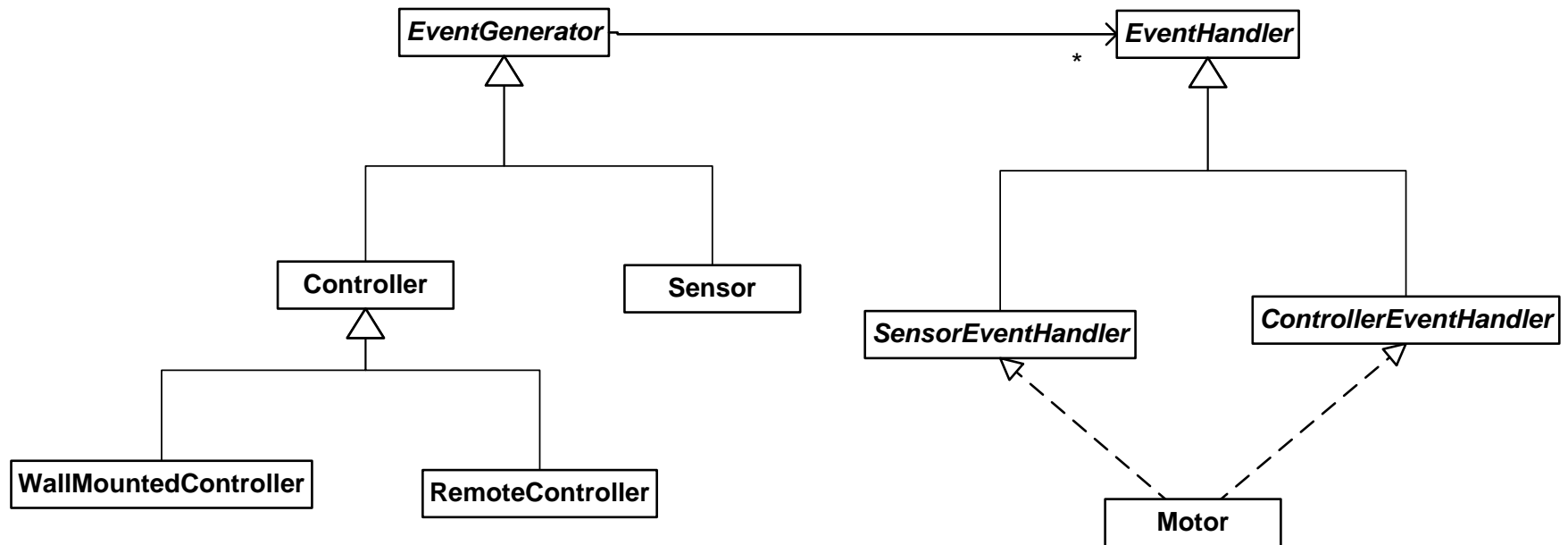
**Step 3:** If the door is currently opening, system stops the door (leaving it half open)

**Postcondition:** Garage door is closed

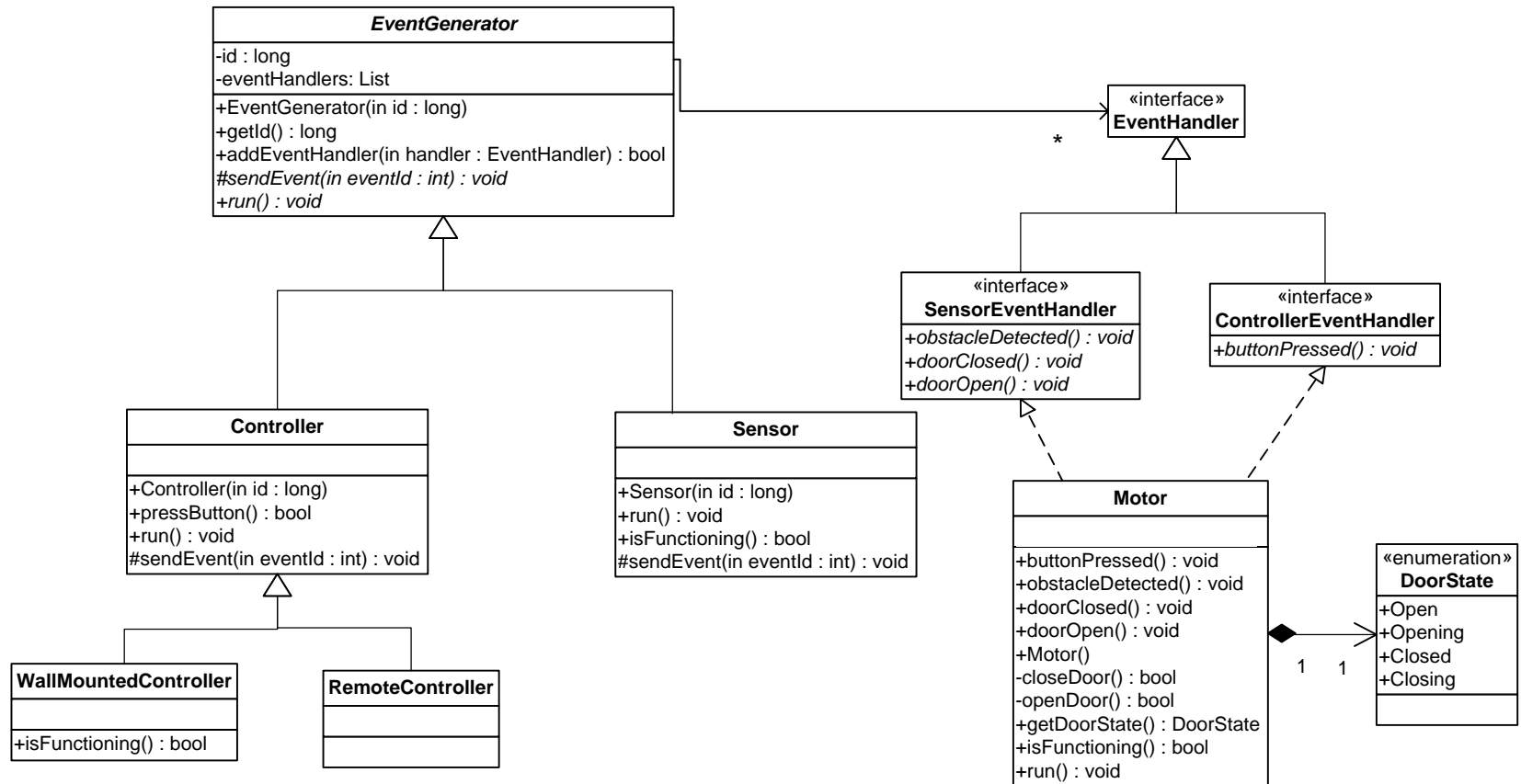
# HIGH LEVEL BEHAVIORAL MODELING



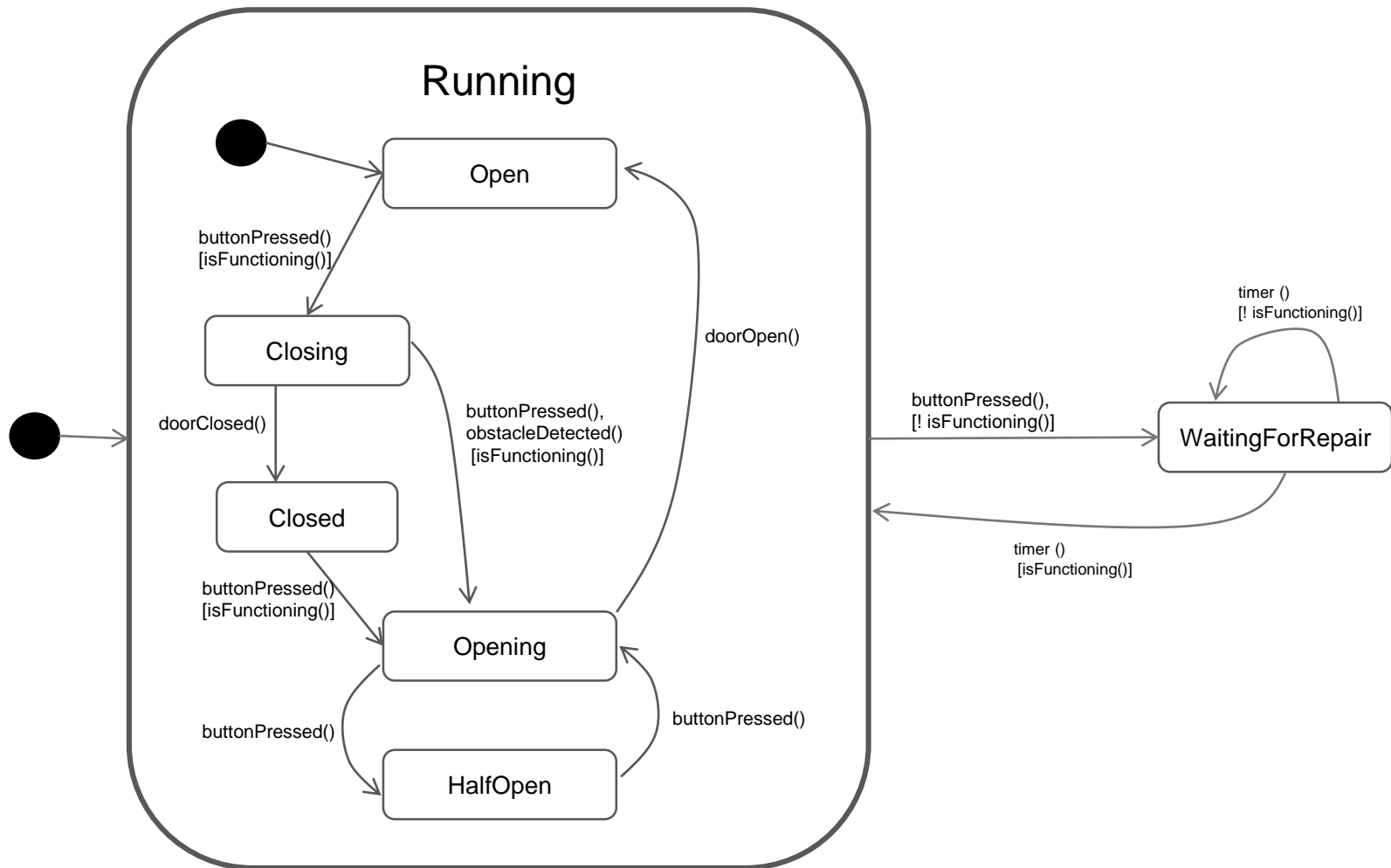
# HIGH LEVEL STRUCTURAL MODEL



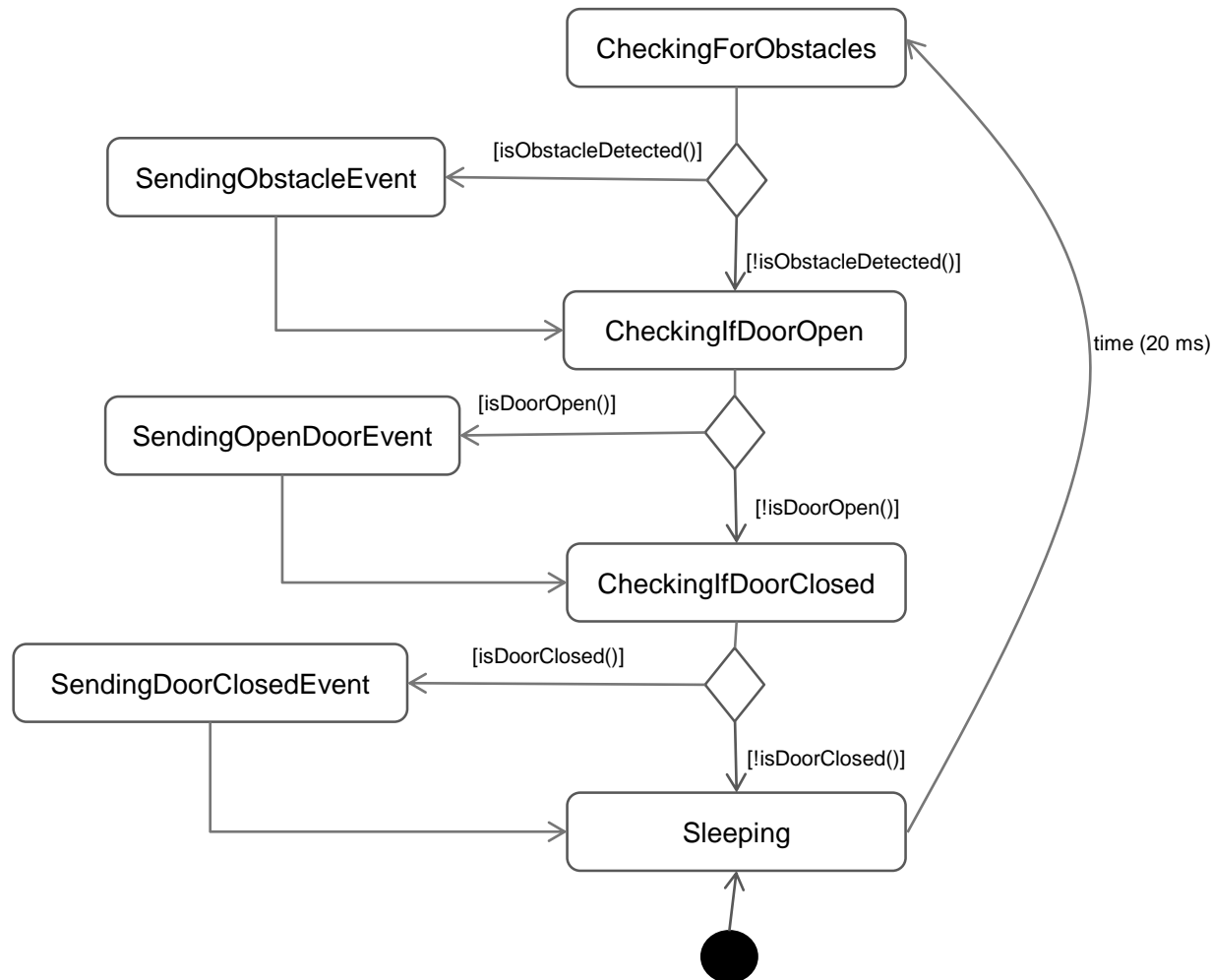
# REFINED STRUCTURAL MODEL



# REFINE BEHAVIORAL MODEL – MOTOR UNIT



# REFINE BEHAVIORAL MODEL – SENSOR UNIT





# CODING

**Whenever we are satisfied with the level of detail in our behavioral models, we can proceed to coding**

**Some of the code can be generated directly by tools from the behavioral model**

- Some tweaking might be necessary (although usually discouraged by tool providers)



# EVENT GENERATOR CLASS

```
public abstract class EventGenerator {

    // Declaring some constants
    protected static final int EVENT_HANDLERS_LIMIT = 100;
    protected static final int BUTTON_EVENT_ID = 1;
    protected static final int DOOR_CLOSED_EVENT_ID = 2;
    protected static final int DOOR_OPEN_EVENT_ID = 3;
    protected static final int OBSTACLE_EVENT_ID = 4;

    protected long id;
    protected List<EventHandler> eventHandlers;

    public EventGenerator (long id){
        this.id = id;

        eventHandlers = new LinkedList<EventHandler>();
    }

    public long getId(){
        return id;
    }

    public boolean addEventHandler(EventHandler handler){
        if (eventHandlers.size() < EVENT_HANDLERS_LIMIT){
            eventHandlers.add(handler);
            return true;
        }
        else {
            return false;
        }
    }

    protected abstract void sendEvent(int eventId);

    public abstract void run();
}
```



# SENSOR CLASS

```
public class Sensor extends EventGenerator implements Runnable{
    private static final int POLLING_INTERVAL = 20; // 20 milliseconds
    private boolean running;
    private Thread pollingThread;

    public Sensor(long id){
        super (id);
        running = true;

        pollingThread = new Thread(this);

        pollingThread.start();
    }

    @Override
    protected void sendEvent(int eventId) {
        for (EventHandler handler: eventHandlers){

            if (handler instanceof SensorEventHandler){
                SensorEventHandler sensorHandler = (SensorEventHandler)handler;

                if (eventId == OBSTACLE_EVENT_ID){
                    sensorHandler.obstacleDetected();
                }
                else if (eventId == DOOR_CLOSED_EVENT_ID){
                    sensorHandler.doorClosed();
                }
                else if (eventId == DOOR_OPEN_EVENT_ID){
                    sensorHandler.doorOpen();
                }
            }
        }
    }
}
```



# SENSOR CLASS

```
@Override
public void run() {
    // poll sensor hardware continuously in order to check
    // whether there is an obstacle, the door has reached the top (is open)
    // or the door has reached the bottom (is closed)
    while (running) {
        try {
            Thread.sleep(POLLING_INTERVAL);
            // Check for an obstacle
            boolean obstacleDetected = HardwareSensorInterface.isObstacleDetected();
            if (obstacleDetected) {
                sendEvent(OBSTACLE_EVENT_ID);
            }
            // Check if door is open
            //(this call returns true only the first time it is called after the door is open)
            boolean doorOpen = HardwareSensorInterface.isDoorOpen();
            if (doorOpen) {
                sendEvent(DOOR_OPEN_EVENT_ID);
            }
            // Check if door is closed
            //(this call returns true only the first time it is called after the door is closed)
            boolean doorClosed = HardwareSensorInterface.isDoorClosed();

            if (doorClosed) {
                sendEvent(DOOR_CLOSED_EVENT_ID);
            }

        } catch (InterruptedException e) {
            e.printStackTrace();
        }
    }
}
```

Sensor  
State machine  
Implementation

# UMPLE ONLINE DEMO

**UMPLE is a modeling tool to enable what we call Model-Oriented Programming**

**You can use it to create class diagrams (structural models) and state machines (behavioral models)**

**The tool was developed at the university of Ottawa**

- Online version can be found at:  
<http://cruise.eecs.uottawa.ca/umpleonline/>
- There's also an eclipse plugin for the tool

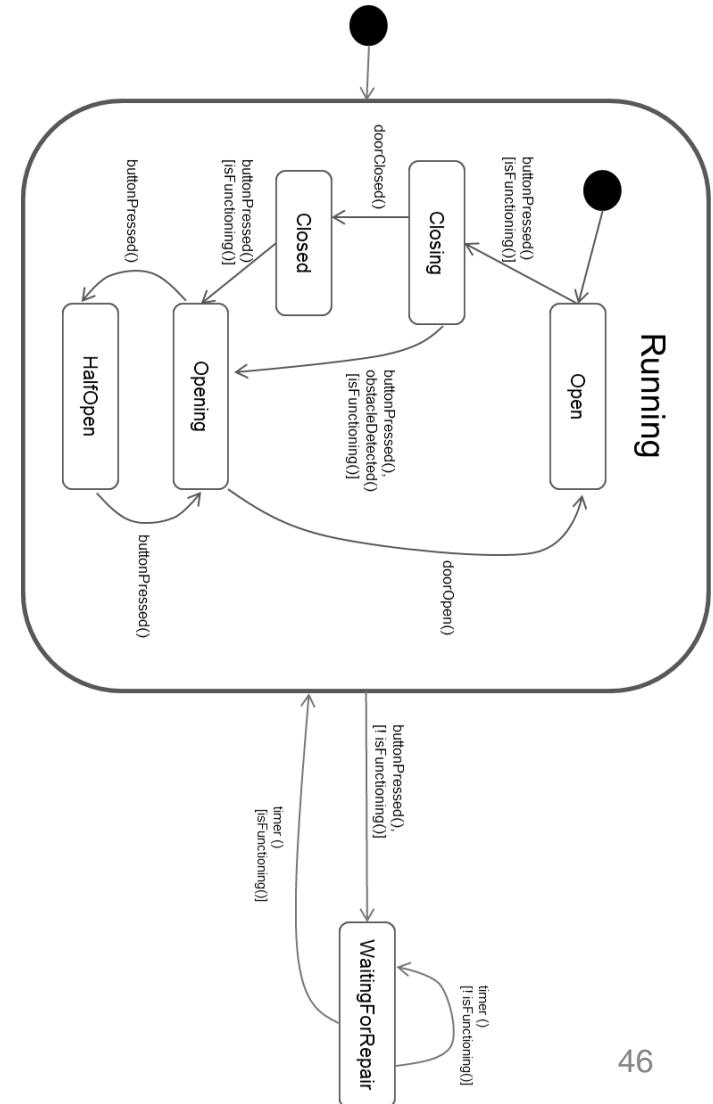
# UMPLE CODE FOR MOTOR UNIT STATE MACHINE

```
class Motor {
  status {
    Running {
      Open {buttonPressed[isFunctioning()]->Closing; }
      Closing { buttonPressed()[isFunctioning()]->Opening;
                ObstacleDetected()[isFunctioning()]->Opening;
                doorClosed()->Closed;}
      Closed { buttonPressed()[isFunctioning()]->Opening; }
      Opening { buttonPressed()->HalfOpen;
                doorOpen()->Open; }
      HalfOpen{buttonPressed()->Opening;}

      buttonPressed()[!isFunctioning()]->WaitingForRepair;

    }

    WaitingForRepair{
      timer()[isFunctioning()]->WaitingForRepair;
      timer()[isFunctioning()]->Running;}
    }
  }
}
```



# MOTOR CLASS SNIPPETS



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```
public boolean buttonPressed(){
    boolean wasEventProcessed = false;
    Status aStatus = status;
    StatusRunning aStatusRunning = statusRunning;
    switch (aStatus){
        case Running:
            if (!isFunctioning()){
                exitStatus();
                setStatus(Status.WaitingForRepair);
                wasEventProcessed = true;
                break;
            }
            break;
        default: //Other states do respond to this event
    }
    switch (aStatusRunning){
        case Open:
            if (isFunctioning()){
                {
                    setStatusRunning(StatusRunning.Closing);
                    wasEventProcessed = true;
                    break;
                }
            }
            break;
        case Closing:
            if (isFunctioning()){
                setStatusRunning(StatusRunning.Opening);
                wasEventProcessed = true;
                break;
            }
            break;
        case Closed:
            if (isFunctioning()){
                setStatusRunning(StatusRunning.Opening);
                wasEventProcessed = true;
                break;
            }
            break;
        case Opening:
            setStatusRunning(StatusRunning.HalfOpen);
            wasEventProcessed = true;
            break;
        case HalfOpen:
            setStatusRunning(StatusRunning.Opening);
            wasEventProcessed = true;
            break;
        default:// Other states do respond to this event
    }
    return wasEventProcessed;
}
```

Switching between  
high level states

Switching between  
nest states inside  
the Running  
compound state

# THANK YOU!

## QUESTIONS?