

Discrete Mathematics Recitation Class

Tianyu Qiu

University of Michigan - Shanghai Jiaotong University

Joint Institute

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Functions (Part IV)

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Principle of Induction (P120-P121)

Let $P(n)$ be a property, $n_0 \in \mathbb{N}$. we can show $P(n)$ holds for all $n \in \mathbb{N}(n \geq n_0, n \in \mathbb{N})$ using the following argument structure:

1. Show that $P(0)(P(n_0))$ holds.
2. Show that for arbitrary $n \in \mathbb{N}(n \geq n_0, n \in \mathbb{N})$,
 $P(n) \Rightarrow P(n+1)$.

It then follows, by the Principle of Induction, that for all $n \in \mathbb{N}(n \geq n_0, n \in \mathbb{N})$, $P(n)$ holds.

Link between induction and the well-orderedness of (\mathbb{N}, \leq) (P124)

Recall: Well-order guarantees the existence of least element (correspondingly, the initial value n_0 in induction) for every non-empty subsets in a linear order.

The Correctness of Principle of Induction (proof by contradiction)

1. Show that $P(n_0)$ holds.
2. Suppose that $\{n \in \mathbb{N} \mid n \geq n_0 \wedge \neg P(n)\}$ is non-empty and let n' be the least element of this set.
3. Let $m \geq n_0$ be such that $n' = m + 1$.
4. Show that the fact that $P(m)$ holds implies that $P(n')$ holds, thus obtaining a contradiction.



Examples of Induction (P125)

Theorem

Let (L, \preceq) be a lattice. If $X \subseteq L$ is finite with $|X| \geq 2$, then X has a least upper bound.

Proof.

$P(n)$: Every $X \subseteq L$ with $|X| = n$ has a l.u.b..

1. $P(2)$ holds by definition of lattice.
2. (Proof by Contradiction) Suppose that $m > 2$ is least such that there exists

$$X = \{x_1, x_2, \dots, x_m\} \subseteq L$$

and X does not have a l.u.b. Since m is least, $X' = \{x_1, x_2, \dots, x_{m-1}\}$ has a l.u.b. y . And since (L, \preceq) is a lattice, $y \vee x_m$ exists. Moreover, $y \vee x_m$ is the l.u.b. for X , which is a contradiction.

Strong Induction (P126)

An argument by strong induction that shows that a property $A(n)$ holds for all $n \in \mathbb{N}$ with $n \geq n_0$ proceeds as follows:

1. Show that $A(n_0)$ holds.
2. Show that for all $n \geq n_0$, if for all $n_0 \leq k \leq n$, $A(k)$ holds, then $A(n+1)$ holds
3. Conclude that for all $n \in \mathbb{N}$ with $n \geq n_0$, $A(n)$ holds.

Theorem

For all $n \in \mathbb{N}$ with $n \geq 2$, n is either prime or the product of primes.



Recursive Definitions (P128)

A definition in the following form is called a recursive definition:

Define a function $f : \mathbb{N} \rightarrow \mathbb{N}$ by specifying:

1. The value of $f(0)$ and maybe some other initial values of f such as $f(1)$.
2. A rule that allows us to obtain the value of $f(n+1)$ from the values of $f(n), f(n-1), \dots$.

e.g.

- ▶ The factorial function
- ▶ The Fibonacci sequence



Recursive Defined Functions (P129)

$f : \mathbb{N}$ is defined by $f(0) = n_0$ and $(n + 1, f(n + 1)) = G(n, (f(n))$

$$(n, f(n)) \xrightarrow{G(\cdot, \cdot)} (n + 1, f(n + 1))$$

G can be regarded as a function $G : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N} \times \mathbb{N}$.

1. Let $X = \{R \in \mathcal{P}(\mathbb{N} \times \mathbb{N}) \mid (0, n_0) \in R\}$.
2. (X, \subseteq) is a complete lattice(why?).
3. Define $F : X \rightarrow X$ by $F(R) = R \cup G''R$
4. $F : (X, \subseteq) \rightarrow (X, \subseteq)$ is order-preserving. By TK Theorem, F has a least fixed point (a \subseteq -least f in X such that $F(f) = f$).
5. This f is the function given by the recursive definition.



General Recursive Definitions (P130-P131)

You have:

- ▶ Initial Set B with initial objects (values, pairs, \dots).
- ▶ Construction Rules C_1, C_2, \dots, C_n .

You get:

- ▶ The resulting set A by recursively applying construction rules to the initial set B .
- ▶ A is the least fixed point of an order-preserving function on a complete lattice.



Structural Induction (P132)

Let B be a set and let C_1, C_2, \dots, C_n be construction rules. Let A be recursively defined to be the \subseteq -least set such that $B \subseteq A$ and A is closed under the rules C_1, \dots, C_n . Let $P(x)$ be a property. If

1. For all $b \in B$, $P(b)$ holds.
2. For all a_1, \dots, a_m and $1 \leq i \leq n$, if $P(a_1), \dots, P(a_m)$ all hold and c is obtained from a_1, \dots, a_m by a single application of the rule C_i , then $P(c)$ holds.

Then $P(x)$ holds for every elements of A .



Examples of Recursive Definitions

- Construction rule: $a_{n+1} = 2a_n$

1. $B_1 = \{2\}$

2. $B_2 = \{2, 3\}$

For B_1 , what about $A' = \{2, 3, 4, 8, 16, \dots\}$?

- Let $S \subseteq \mathbb{N}$ be the \subseteq -least set such that $3 \in S$ and if $x, y \in S$, then $x + y \in S$. Then $S = \{n \in \mathbb{N} \mid 3 \mid n\}$ (P133).

Proof.

Let $X = \{n \in \mathbb{N} \mid 3 \mid n\}$. Since S is the \subseteq -least set. $S \subseteq X$. By induction, $X \subseteq S$. Now $3 \in S$ and if $3k \in S$, then $3(k+1) = 3k + 3 \in S$. □



Subsets of size k (P136)

Definitions

Given A a finite set, $0 \leq k \leq |A|$, $k \in \mathbb{N}$, $n \in \mathbb{N} \setminus \{0\}$

- ▶ $\mathcal{P}_k(A) = \{x \in \mathcal{P}(A) \mid |x| = k\}$ The collection of subsets (whose cardinality is k) of A .
- ▶ $[n] = \{0, 1, \dots, n-1\}$, $[0] = \emptyset$
- ▶ $\binom{n}{k}$ is the cardinality of the set $\mathcal{P}_k([n])$



Pascal's Triangle (P138)

Lemma

For all $n \in \mathbb{N}$ and for all $0 \leq k \leq n$,
$$\binom{n}{k} = \binom{n}{n-k}$$

Proof.

The function $F : \mathcal{P}_k([n]) \rightarrow \mathcal{P}_{n-k}([n])$ defined by: for all $x \in \mathcal{P}_k([n])$, $F(x) = [n] \setminus x$ is a bijection. (recall the definition of equal cardinality). □



Pascal's Triangle (P138-P139)

Theorem

For all $n \in \mathbb{N}$ with $n \geq 1$ and for all $0 < k \leq n$,

$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$

You are selecting k distinct objects from $n+1$ distinct objects.

You have two choices:

1. Directly select k distinct objects from n distinct objects.

$$A = \{x \cup \{n\} \mid x \in \mathcal{P}_{k-1}([n])\}$$

2. Select $k-1$ distinct objects from n distinct objects, and then select the remaining $n+1$'s object.

$$B = \mathcal{P}_k([n])$$

Note that $A \cap B = \emptyset$ since n is not a member of any element of B .

Thus $|A \cup B| = |A| + |B|$ (recall addition(+) defined in \mathbb{N}_{def}).



Pascal's Triangle (P139)

This theorem gives a recursive definition of $\binom{n}{k}$ with initial values

$$\binom{n}{0} = \binom{n}{n} = 1 \text{ and the construction rule}$$

$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$

Pascal Triangle:

$n=0$							1					
$n=1$						1		1				
$n=2$				1		2		1				
$n=3$		1		3		3		1				
$n=4$	1		4		6		4		1			



Binomial Theorem (P140)

Theorem

For all $n \in \mathbb{N}$ with $n \geq 1$ and for all numbers x and y ,

$$(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$$

Proof.

By induction

$$P(n) : (x + y)^n = \sum_{k=0}^n x^{n-k} y^k$$

1. $P(1)$ holds as $(x + y)^1 = x + y = \binom{1}{0} x + \binom{1}{1} y$.



Binomial Theorem (P141)

Proof.

Assume that $P(n)$ holds. For $n + 1$

$$(x + y)^{n+1} = (x + y)(x + y)^n = (x + y) \left(\sum_{k=0}^n \binom{n}{k} x^{n-k} y^k \right)$$

Coefficient for x^{n+1} , y^{n+1} is $\binom{n+1}{0} = 1$ and $\binom{n+1}{n+1} = 1$ The coefficient of the term $x^{n+1-k} y^k$ is

$$\binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}$$

We focus on the power of y , it is either originally equal to k , or originally equal to $k - 1$, but multiplied by the extra y .



Binomial Theorem (P142)

Proof.

Thus we obtain for $n + 1$,

$$(x + y)^{n+1} = \sum_{k=0}^{n+1} \binom{n+1}{k} x^{n+1-k} y^k$$

which means $P(n + 1)$ holds, $P(n)$ holds for all $n \in \mathbb{N} \setminus \{0\}$. □

Corollary

► When $x = 1$,

$$(1 + y)^n = \sum_{k=0}^n \binom{n}{k} y^k$$

► When $x = y = 1$,

$$2^n = \sum_{k=0}^n \binom{n}{k}$$



Other Finite Sets (P143)

Theorem

$$|\mathcal{P}_n([2n])| = \sum_{k=0}^n \binom{n}{k}^2$$

Proof.

Since $(1+x)^n(1+x)^n = (1+x)^{2n}$, we have

$$\left(\sum_{k=0}^n \binom{n}{k} x^k \right) \left(\sum_{k=0}^n \binom{n}{k} x^k \right) = \sum_{k=0}^{2n} \binom{2n}{k} x^k$$

$$\text{Coefficient of } x^n = \sum_{k=0}^n \binom{n}{k} \binom{n}{n-k} = \sum_{k=0}^n \binom{n}{k}^2 = \binom{2n}{n}$$





Other Finite Sets (P144)

Theorem

$$|\mathcal{P}([n])| = 2^n$$

Proof.

$$\mathcal{P}([n]) = \bigcup_{k=0}^n \mathcal{P}_k([n])$$

Since for all $0 \leq i < j \leq n$, $\mathcal{P}_i([n]) \cap \mathcal{P}_j([n]) = \emptyset$. It follows that

$$|\mathcal{P}([n])| = \sum_{k=0}^n |\mathcal{P}_k([n])| = \sum_{k=0}^n \binom{n}{k} = 2^n$$





Counting (P146)

Theorem

Let $n, r \in \mathbb{N}$. The number of solutions to the equation $x_1 + x_2 + \cdots + x_n = r$ with $x_1, x_2, \cdots, x_n \in \mathbb{N}$ is

$$\binom{n+r-1}{r}$$

Proof.

Let $A = \{(x_1, \cdots, x_n) \in \mathbb{N}^n \mid x_1 + \cdots + x_n = r\}$. We need to show that $|A| = |\mathcal{P}_r([n+r-1])| = |\mathcal{P}_{n-1}([n+r-1])|$. Since $|\mathcal{P}_r([n+r-1])| = |\mathcal{P}_{n-1}([n+r-1])|$. Define $F : A \longrightarrow \mathcal{P}_{n-1}([n+r-1])$ by

$$F(x_1, \dots, x_n) = \left\{ x_1, x_1 + x_2 + 1, \dots, n - 2 + \sum_{i=1}^{n-1} x_i \right\}$$



Counting (P147-P148)

Proof(continue).

If $x_1, \dots, x_n \in \mathbb{N}$ is such that $x_1 + \dots + x_n = r$, then

$$0 \leq x_1 < x_1 + x_2 + 1 < \dots < x_1 + \dots + x_{n-1} + n - 2 < n + r - 2$$

and so each element of A is mapped to an element of

$\mathcal{P}_{n-1}([n + r - 1])$. The function F is clearly injective. If

$\{y_1 < \dots < y_{n-1}\} \in \mathcal{P}_{n-1}([n + r - 1])$, then by letting $x_1 = y_1$,
 $x_2 = y_2 - (x_1 + 1), \dots, x_{n-1} = y_{n-1} - (x_1 + \dots + x_{n-2} + n - 2)$
and $x_n = r - (x_1 + \dots + x_{n-1})$ we get an element

$$(x_1, \dots, x_n) \in A \text{ with } F(x_1, \dots, x_n) = \{y_1 < \dots < y_{n-1}\}$$

This shows that F is surjective and completes the proof. □



Counting (P148)

Theorem

The number of ways of selecting r objects from n objects when the order does not matter and repetitions are allowed is

$$\binom{n+r-1}{r}$$

Proof.

The number of ways of selecting r objects from n objects when the order does not matter and repetitions are allowed is the number of solutions to the e.q. $x_1 + \cdots + x_n = r$ where $x_1, \dots, x_n \in \mathbb{N}$. \square

Theorem

The number of bijections from $[n]$ to $[n]$ is $n!$. I.e.

$$|\{f \mid f : [n] \longrightarrow [n] \text{ is a bijection} \}| = n!$$



Counting (P149)

Proof.

We prove this result by induction. Note that the number of bijections from $[1]$ to $[1]$ (and $[0]$ to $[0]!$) is 1. Assume that the number of bijections from $[n]$ to $[n]$ is $n!$. Let

$A = \{f \mid f : [n+1] \rightarrow [n+1] \text{ is a bijection}\}$. For all $k \in [n+1]$, let $A_k = \{f \in A \mid f(0) = k\}$. It is clear that, by the induction hypothesis, $|A_k| = n!$. Moreover, for all $0 \leq i < j < n+1$, $A_i \cap A_j = \emptyset$. Therefore, $|A| = (n+1)n! = (n+1)!$. □



Counting (P149)

Theorem

Let $n \in \mathbb{N}$ and let $0 \leq k \leq n$. The number of ordered k -tuples of distinct elements of $[n]$ is

$$\binom{n}{k} k!$$

i.e. $|\{(x_1, \dots, x_k) \in [n]^k \mid \text{for all } 0 \leq i < j \leq k, x_i \neq x_j\}| = \binom{n}{k} k!$

Proof.

An ordered k -tuple of distinct things from $[n]$ is just an $A \in \mathcal{P}_k([n])$ coupled with a bijection $f : A \rightarrow [k]$. □



Permutation & Combination

Definitions

Given $n \in \mathbb{N} \setminus \{0\}$, $r \in \mathbb{N}$, $r \leq n$:

- ▶ $0! = 1$.
- ▶ Permutation with repetition not allowed. (DMA408)

$$P_n^r = P(n, r) = n(n-1)(n-2) \cdots (n-r+1) = \frac{n!}{(n-r)!}$$

- ▶ Combination with repetition not allowed. (DMA410)

$$C_n^r = C(n, r) = \frac{P(n, r)}{P(r, r)} = \frac{n!}{(n-r)!r!} = C(n, n-r)$$



Permutation & Combination

Definitions

Given $n \in \mathbb{N} \setminus \{0\}$, $r \in \mathbb{N}$:

- ▶ Permutation with repetition allowed. (DMA423)

$$\# = n^r$$

- ▶ Combination with repetition allowed. (DMA425)

$$\# = \binom{n+r-1}{r}$$



Permutation & Combination

Summary (DMA427)

TABLE 1 Combinations and Permutations With and Without Repetition.

<i>Type</i>	<i>Repetition Allowed?</i>	<i>Formula</i>
r -permutations	No	$\frac{n!}{(n-r)!}$
r -combinations	No	$\frac{n!}{r!(n-r)!}$
r -permutations	Yes	n^r
r -combinations	Yes	$\frac{(n+r-1)!}{r!(n-1)!}$



Permutations with Indistinguishable Objects (DMA428)

Theorem

The number of different permutations of n objects, where there are n_1 indistinguishable objects of type 1, n_2 indistinguishable objects of type 2, \dots , and n_k indistinguishable objects of type k , is

$$\begin{aligned} & C_n^{n_1} \cdot C_{n-n_1}^{n_2} \cdot \dots \cdot C_{n_k}^{n_k} \\ &= \frac{n!}{n_1! n_2! \dots n_k!} \\ &= \frac{P_n^n}{P_{n_1}^{n_1} \cdot P_{n_2}^{n_2} \cdot \dots \cdot P_{n_k}^{n_k}} \end{aligned}$$

Distributing Objects into Boxes (DMA429)

► Distinguishable Objects & Distinguishable Boxes:

Theorem

The number of ways to distribute n distinguishable objects into k distinguishable boxes so that n_i objects are placed into box i , $i = 1, 2, \dots, k$, equals

$$\frac{n!}{n_1! n_2! \cdots n_k!} = \frac{P_n^n}{P_{n_1}^{n_1} \cdot P_{n_2}^{n_2} \cdot \dots \cdot P_{n_k}^{n_k}}$$



Distributing Objects into Boxes (DMA430-DMA431)

- ▶ Indistinguishable Objects & Distinguishable Boxes:
Distribute n indistinguishable objects into k distinguishable boxes: $\# = \binom{n+k-1}{k-1}$
e.g. How many ways are there to place 10 indistinguishable balls into eight distinguishable bins?
- ▶ Distinguishable Objects & Indistinguishable Boxes:
Distribute n distinguishable objects into k indistinguishable boxes: $\# = \sum_{j=1}^k S(n, j)$ Stirling Numbers of the second kind (will not be talked about here, you can refer to DMA)
- ▶ Indistinguishable Objects & Indistinguishable Boxes:
Enumeration



Binomial Theorem (DMA416-DMA417)

Theorem

For all $n \in \mathbb{N}$ and for all numbers x and y ,

$$(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$$

e.g.

$$\sum_{k=0}^n \binom{n}{k} = 2^n \qquad \sum_{\substack{k=0 \\ k \text{ is even}}}^n \binom{n}{k} = \sum_{\substack{k=1 \\ k \text{ is odd}}}^n \binom{n}{k} = 2^{n-1}.$$



Important Identities

- ▶ Pascal's Identity (DMA418)

$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$

- ▶ Vandermonde's Identity (DMA420)

Let m , n , and r be nonnegative integers with r not exceeding either m or n . Then

$$\binom{m+n}{r} = \sum_{k=0}^r \binom{m}{r-k} \binom{n}{k}$$

- ▶ (DMA421) Let n and r be nonnegative integers with $r \leq n$. Then

$$\binom{n+1}{r+1} = \sum_{j=r}^n \binom{j}{r}$$