# 1 Finite state machine minimization

- Algorithm: Finite state machine minimization (algo. 1)
- Input: A finite state machine
- *Complexity:*  $O(|\Sigma| \cdot |F|)$
- Data structure compatibility: Finite state machine (Deterministic finite automaton)
- Common applications: compilers, network protocols, theory of computation

### Finite state machine minimization

Given a finite state machine, minimize the states.

# Description

#### 1. Definition of FSM

The formal definition of a finite state machine (deterministic finite automaton) is

$$M: (Q, \Sigma, \delta, q_0, F)$$

- (a) Q: finite set of states
- (b)  $\Sigma$ : finite set of input symbols
- (c)  $\delta: Q \times \Sigma \to Q$ : transition function
- (d)  $q_0 \in Q$ : initial state
- (e)  $F \subseteq Q$ : accept state

The automaton will accept a string w if it starts at start state  $q_0$ , and given each character in w, the transition rule will transit state to state according to  $\delta$ , and the final state shall halt at F states.

#### 2. Input

The input of the algorithm shall be a well-defined finite state machine. Any illegal input should not be considered.

### 3. Complexity

The time complexity of this algorithm is defined by the cost of each iteration and iteration time. For the outer iteration, it will need at most  $|\Sigma|$  iterations, and for the inner iteration, it will need around |F| operations. Thus we will need  $O(|\Sigma| \cdot |F|)$  complexity.

## 4. Application

In computer science field, finite state machine can be used to treat string. It can set up several states to check whether to accept an arrival of strings or not.

In digital circuits field, finite state machine can be used to depict the behavior of a certain circuit, and it could link the combinatoric circuits with a desired function.

Finite State Machine can also be used to depict a lot of behaviors in natural science or social science. For example, it could be applied to analyze the relation in different social characters, and to analyze how an social event is carried out.

## 5. Detailed Algorithm

This algorithm is introduced by Hopcroft. The idea behind is partition refinement, which means partition a large set into several small sets by their behavior.

At the very beginning, the are partitioned into two different groups, that is  $\{F\}$  and  $\{Q \setminus F\}$ . These two groups are accepting states and rejecting states, obviously they are inequivalent.

Then it comes with the magic of this algorithm. That it separates  $\{A\}$  with  $\{W \setminus A\}$ , where W initially to be F.

## **Algorithm 1:** FSM minimization

```
Input: A formally defined FSM
   Output: Minimized FSM
 1 Function MinimizeFSM(M: (Q, \Sigma, \delta, q_0, F)):
        set P \leftarrow \{F, Q \setminus F\};
        set W \leftarrow \{F\};
 3
        while W \neq \emptyset do
4
             Choose A \in W:
            W \leftarrow W \backslash A;
 6
            for s in \Sigma do
 7
                 X \leftarrow \{X : \delta(X \times c) \rightarrow A\}; /* In natural language, it means that X is a state
 8
                  that: the transition rule takes c in the state X will go to a state in
                   set A */
                 for Y \subset P s.t. X \cap Y \neq \emptyset and Y \setminus X \neq \emptyset do
9
                     if |X \cap Y| < |Y \setminus X| then
10
                         W \leftarrow W \cup (X \cap Y);
11
12
                     end if
                      else
13
                          W \leftarrow W \cup (Y \backslash X);
14
                     end if
15
                 end for
16
            end for
17
        end while
19 end
20 return
```

## References

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- Reference some books, or published articles
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