## Determinacy in and out second order arithmetic An introduction to the proof theoretic strength of the determinacy scale

Thibaut Kouptchinsky

**Proof Theory Conference UCLouvain** 

December 20, 2022

## Program

- 1 Who am I?
- 2 Introduction
- 3 Inside second order arithmetic

- 4 Back in ZFC set theory
- 5 Perspectives and Material

Who am I?

■ Master student at Catholic University of Louvain-la-Neuve (Belgium).

Who am I?

- Master student at Catholic University of Louvain-la-Neuve (Belgium).
- Exchange program in Japan at Tohoku University.

### Academic me

Who am I?

- Master student at Catholic University of Louvain-la-Neuve (Belgium).
- Exchange program in Japan at Tohoku University.

Inside second order arithmetic

COLABS program with Professor Takeshi Yamazaki.



### Academic me

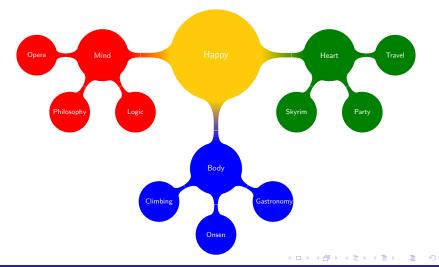
- Master student at Catholic University of Louvain-la-Neuve (Belgium).
- Exchange program in Japan at Tohoku University.
- COLABS program with Professor Takeshi Yamazaki.
- Master Thesis with Profs. J.P. Aguilera (TU Wien) and T. Van der Linden (UCLouvain).

Who am I?

- Master student at Catholic University of Louvain-la-Neuve (Belgium).
- Exchange program in Japan at Tohoku University.

- COLABS program with Professor Takeshi Yamazaki.
- Master Thesis with Profs. J.P. Aguilera (TU Wien) and T. Van der Linden (UCLouvain).
- $\blacksquare$  Foundations of mathematics, reverse mathematics,  $\beta$ -models, determinacy, model theory, set theory, surreal numbers, topos theory etc.

### Hobbies



## What is determinacy?

Consider a set A and a payoff set  $X \subseteq A^{\omega}$ .

Inside second order arithmetic

I: 
$$a_0$$
  $a_2$   $a_{2n}$   $\cdots$   $(a_i)_{i<\omega} \stackrel{?}{\in} X$ 
II:  $a_1$   $a_3$   $a_{2n+1}$ 

Player I wins if yes. Otherwise player II wins.

Axiom of determinacy (AD): "All these games are determined". (False in ZF + C.)

Theorem (Mycielski-Swierczkowski; Mazur, Banach; Davis)

ZF + AD proves that every set of real numbers is Lebesgue measurable (M1), has the Baire property (M2), and has the perfect set property (M3).

### Theorem (Mycielski-Swierczkowski; Mazur, Banach; Davis)

Inside second order arithmetic

ZF + AD proves that every set of real numbers is Lebesgue measurable (M1), has the Baire property (M2), and has the perfect set property (M3).

 $\blacksquare$  Study these properties for projective  $\Sigma_n^1$  sets in  $\omega^{\omega}$  (Blackwell, 1967).

### Theorem (Mycielski-Swierczkowski; Mazur, Banach; Davis)

Inside second order arithmetic

ZF + AD proves that every set of real numbers is Lebesgue measurable (M1), has the Baire property (M2), and has the perfect set property (M3).

- $\blacksquare$  Study these properties for projective  $\Sigma_n^1$  sets in  $\omega^\omega$  (Blackwell, 1967).
- $\blacksquare$  Are  $\Sigma_2^1$ ,  $\Sigma_3^1$ , etc sets Lebesgue measurable?

### Theorem (Mycielski-Swierczkowski; Mazur, Banach; Davis)

Inside second order arithmetic

ZF + AD proves that every set of real numbers is Lebesgue measurable (M1), has the Baire property (M2), and has the perfect set property (M3).

- $\blacksquare$  Study these properties for projective  $\Sigma_n^1$  sets in  $\omega^{\omega}$  (Blackwell, 1967).
- $\blacksquare$  Are  $\Sigma_2^1$ ,  $\Sigma_3^1$ , etc sets Lebesgue measurable?
- Applications in measure theory, descriptive set theory, harmonic analysis, ergodic theory, dynamical systems etc.

## **Borel Determinacy**

■ First best result (1964):  $Det(\Sigma_3^0)$  by Davis.

## Borel Determinacy

■ First best result (1964):  $Det(\Sigma_3^0)$  by Davis.

Inside second order arithmetic

The proof can be carried out in  $ZC^- + \Sigma_1$ Replacement (Martin).

■ First best result (1964):  $Det(\Sigma_3^0)$  by Davis.

- The proof can be carried out in  $ZC^- + \Sigma_1$ Replacement (Martin).
- Friedman (1968): Borel determinacy requires existence of  $V_{\omega_1}$ .

## Borel Determinacy

■ First best result (1964):  $Det(\Sigma_3^0)$  by Davis.

Inside second order arithmetic

- The proof can be carried out in  $ZC^- + \Sigma_1$ Replacement (Martin).
- Friedman (1968): Borel determinacy requires existence of  $V_{\omega_1}$ .

### Theorem (Martin, ZFC)

All Borel games are determined.

#### Theorem

 $\mathsf{ZFC}^-$  is a  $\Pi^1_4$  conservative extension of  $\mathsf{Z}_2$ .

#### Theorem

 $\mathsf{ZFC}^-$  is a  $\Pi^1_4$  conservative extension of  $\mathsf{Z}_2$ .

 $\blacksquare$  For any  $X \subset \mathbb{N}$ , we can construct L(X) in  $\mathbb{Z}_2$ ;

#### Theorem

 $\mathsf{ZFC}^-$  is a  $\Pi^1_4$  conservative extension of  $\mathsf{Z}_2$ .

- $\blacksquare$  For any  $X \subset \mathbb{N}$ , we can construct L(X) in  $\mathbb{Z}_2$ ;
- We can show in  $Z_2$  that  $L(X) \models ZFC^-$  (Simpson);

#### $\mathsf{Theorem}$

 $\mathsf{ZFC}^-$  is a  $\Pi^1_4$  conservative extension of  $\mathsf{Z}_2$ .

 $\blacksquare$  For any  $X \subset \mathbb{N}$ , we can construct L(X) in  $\mathbb{Z}_2$ ;

- We can show in  $Z_2$  that  $L(X) \models ZFC^-$  (Simpson);
- We use Shoenfield Absoluteness theorem  $(\Pi_1^1 CA_0)$ .

## Some right axioms systems

### Theorem (Steel, Simpson)

Over RCA<sub>0</sub>, Det( $\Sigma_1^0$ ) is equivalent to ATR<sub>0</sub>.

### Theorem (Tanaka)

 $\operatorname{Det}(\Sigma_2^0)$  is equivalent to  $\Sigma_1^1 - \operatorname{MI}$ .

## How much determinacy can we prove in $\mathbb{Z}_2$ ?

### Theorem (Montalbán and Shore)

$$\forall n \in \omega$$
,  $\Pi_{n+2}^1$ -CA<sub>0</sub>  $\vdash$  Det $(n - \Pi_3^0)$  but  $\Delta_{n+2}^1$ -CA<sub>0</sub>  $\not\vdash$  Det $(n - \Pi_3^0)$ .

## How much determinacy can we prove in $\mathbb{Z}_2$ ?

#### Theorem (Montalbán and Shore)

$$\forall n \in \omega \text{, } \Pi^1_{n+2}\text{-}\mathsf{CA}_0 \vdash \mathsf{Det}(n\text{-}\Pi^0_3) \text{ } \textit{but } \Delta^1_{n+2}\text{-}\mathsf{CA}_0 \not\vdash \mathsf{Det}(n\text{-}\Pi^0_3).$$

However,  $\Pi_{n+2}^1$ -CA<sub>0</sub> is not the right set of axioms for Det $(n-\Pi_3^0)$ .

## How much determinacy can we prove in $Z_2$ ?

#### Theorem (Montalbán and Shore)

$$\forall n \in \omega \text{, } \Pi^1_{n+2}\text{-}\mathsf{CA}_0 \vdash \mathsf{Det}(n\text{-}\Pi^0_3) \text{ } \textit{but } \Delta^1_{n+2}\text{-}\mathsf{CA}_0 \not\vdash \mathsf{Det}(n\text{-}\Pi^0_3).$$

However,  $\Pi^1_{n+2}$ -CA $_0$  is not the right set of axioms for  $\mathrm{Det}(n\text{-}\Pi^0_3)$ .

#### Theorem (MedSalem and Tanaka)

Borel determinacy does not imply  $\Delta_2^1$ -CA<sub>0</sub>.

### Theorem (Hachtman)

Over  $\Pi_1^1$ -CA<sub>0</sub>, Det( $\Sigma_3^0$ ) (lightface) is equivalent to the existence of a  $\beta$ -model satisfying  $\Pi_2^1$  – MI.

### Reversals

### Theorem (Hachtman)

Over  $\Pi_1^1$ -CA<sub>0</sub>, Det( $\Sigma_3^0$ ) (lightface) is equivalent to the existence of a  $\beta$ -model satisfying  $\Pi_2^1$  – MI.

#### Theorem (Aguilera and Welch)

Over  $\Pi_1^1$ -CA<sub>0</sub>, for each  $m \in \mathbb{N}$  we have an equivalence between

- **1**  $Det(m-\Pi_3^0)$ ,
- **2** Every real belongs to a  $\beta$ -model of  $\Pi^1_{m+1}$ -MI.

# Game encoding models

Theorem (Friedman < Martin < M. and S.)

We cannot prove  $\operatorname{Det}(\Sigma_5^0) < \operatorname{Det}(\Sigma_4^0) < \operatorname{Det}(\omega - \Pi_3^0)$  in  $\operatorname{ZFC}^-$ 

## Game encoding models

### Theorem (Friedman < Martin < M. and S.)

We cannot prove  $\operatorname{Det}(\Sigma_5^0) < \operatorname{Det}(\Sigma_4^0) < \operatorname{Det}(\omega - \Pi_3^0)$  in  $\operatorname{ZFC}^-$ 

■ Same technique as for the limitative result of Friedman for Borel determinacy.



# Game encoding models

### Theorem (Friedman < Martin < M. and S.)

We cannot prove  $\mathsf{Det}(\Sigma^0_5) < \mathsf{Det}(\Sigma^0_4) < \mathsf{Det}(\omega - \Pi^0_3)$  in  $\mathsf{ZFC}^-$ 

- Same technique as for the limitative result of Friedman for Borel determinacy.
- The games are deemed to encode fragments of set theory using Gödel numbering.

# Ingretients of the proof for $Det(\Sigma_0^4)$

 $\blacksquare$  I and II are playing  $S_I$  and  $S_{II}$  with

$$S_{I,II} \vdash \mathsf{ZFC}^- + "V = L_{\beta_0}";$$

- Fact:  $M_{I,II}$  well founded iff  $M_{I,II} \cong L_{\beta_0}$ ;
- $\blacksquare$  I wins if she plays  $L_{\beta_0}$  but looses if he doesn't byt II does;
- The models are countable and characterised by the subsets of  $\omega$  they contain;
- Gödel-Tarski undefinability of truth.



## Measurability properties

### Theorem (Kechris, Martin)

In ZF + AC $_{\omega}(\omega^{\omega})$ , Det $(\Pi_{n}^{1})$  proves that every  $\Sigma_{n+1}^{1}$  sets of reals satisfies M1. M2 and M3.

Inside second order arithmetic

#### Theorem (Shelah-Woodin)

Given  $n \in \omega$ , if there are n Woodin cardinals with a measurable cardinal above them, then every  $\sum_{n=2}^{1}$  sets of reals satisfies M1, M2 and M3.

## Determinacy and high cardinal hypotheses

#### $\mathsf{Theorem}$

Given  $n \in \omega$ , if there are n Woodin cardinals with a measurable cardinal above them, then  $Det(\Pi_{n+1}^1)$ .

#### Remark

This is a corollary from a theorem of Martin-Steel, which is out of the scope of the present talk.

■ What happens in higher order arithmetic?

- What happens in higher order arithmetic?
- Set theoretic reversals?

- What happens in higher order arithmetic?
- Set theoretic reversals?
- Set Theory (Jech), Descriptive Set Theory (Kechris, Moschovakis);

■ What happens in higher order arithmetic?

- Set theoretic reversals?
- Set Theory (Jech), Descriptive Set Theory (Kechris, Moschovakis);
- Determinacy of Infinitely Long Games (Martin), The Higher Infinite (Martin);

- What happens in higher order arithmetic?

- Set theoretic reversals?
- Set Theory (Jech), Descriptive Set Theory (Kechris, Moschovakis);
- Determinacy of Infinitely Long Games (Martin), The Higher Infinite (Martin);
- SoSOA (Simpson);

- What happens in higher order arithmetic?
- Set theoretic reversals?
- Set Theory (Jech), Descriptive Set Theory (Kechris, Moschovakis);
- Determinacy of Infinitely Long Games (Martin), The Higher Infinite (Martin);
- SoSOA (Simpson);
- The limits of determinacy in second-order arithmetic (MS).