# Language

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### Outline

- Motivation
- Embeddings
- Language modeling
- Conditional modeling
- Translation
- Text classification
- Summarization
- Part of speech tagging
- Question answering
- Dialogue agents
- Image captioning
- References

2 nice sites with links to state of the art techniques for many language topics

Tracking progress in natural language processing

- http://ruder.io/tracking-progress-nlp/
- https://github.com/sebastianruder/NLP-progress

# Motivation

# Why This Abbreviated Choice Of Topics

- Embeddings allow characters, words and larger units to be worked with conveniently from a mathematical perspective and are needed for most all language processing tasks
  - It's a foundational component that affects the accuracy of all subsequent language processing
- Language modeling was previously used to improve speech to text accuracy and it's used here to improve language translation
  - Since it comes up in so many applications it's worthwhile to look at it in some detail
  - As with embeddings, efficient language modeling impacts all downstream language applications
- Language translation builds on the sequence to sequence models previously used for speech and is an excellent success story within deep learning
  - Seeing similar models in different settings helps with the understanding
  - Language translation can be used with previous speech applications to build larger composite applications (more on this in a few slides)

### Disclaimer

- There's a lot of language related stuff not included here
  - Different methods within the categories of problems included here
  - Problems that are not included here
- Possibly some of this will be addressed in future versions of the slides
- Regardless of whether it is or not, hopefully these slides provide enough of a base from which to branch off and learn more on your own

# Embeddings

# Character Embedding

- From a mathematical perspective it's cumbersome to directly work with characters
- So instead assign a vector to each character (embed a character into a vector)
- For languages with a small number of characters, a 1 hot encoding is commonly used
  - We've already seen this in the speech slides
- Example (additional elements can be added for numbers, punctuation, ... depending on the application of interest)
  - A = [1, 0, 0, ..., 0, 0]
  - B = [0, 1, 0, ..., 0, 0]
  - ...
  - Z = [0, 0, 0, ..., 0, 1]

# Word Embedding

- From a mathematical perspective it's cumbersome to directly work with words
- So instead assign a vector to each word or word piece (embed a word or word piece into a vector)
  - Not practical to use a 1 hot encoding because there are so many words and the vector would be too long (~ 13M for English)
  - Instead use a length 100 1000 vector of dense real values (discuss: why not use just a single real? hint: number of neighbors)
  - Past some length there are diminishing returns in accuracy improvements in the applications that use them
- It's useful if the word to vector assignment exhibits some language understanding
  - E.g., the cosine of the angle between vectors corresponding to similar words should be close to 1
- Why?
  - Subsequent processing on the vectors is typically going to do feature extraction, classification and generation
  - Consider feature extraction: it makes sense that it's easier to extract features if the feature extractor only needs to learn meaning (or whatever the task) vs learning both meaning and a random mapping
  - Consider generation: it makes sense that if the generator is off by a little and generates a synonym to the target word vs a word with no relationship

# The Distributional Hypothesis

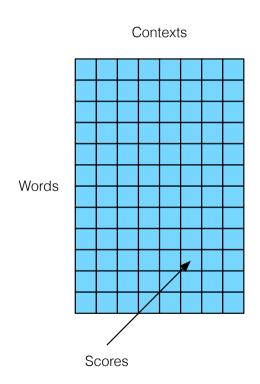
- The methods used to embed words in dense vectors in this section all rely on the distributional hypothesis
  - Hypothesis: words that are used in the same context tend to have similar meanings
  - A word is described by the company it keeps (perhaps also applicable to humans)
  - Per the previous slide this meaning should be accounted for in the assignment of vectors
- This leads to a typical set of parameters, the choice of which helps determine the assignment
  - Context type and window
  - Frequency weighting, dimensionality reduction and similarity measure
- The choice of the embedding determines how easy or difficult it is for the extrinsic / downstream task to extract the information that it needs from the embedding

## History

- For a nice history of word embeddings see
  - An overview of word embeddings and their connection to distributional semantic models
  - http://blog.aylien.com/overview-word-embeddings-history-word2vec-cbow-glove/
- 2 basic categories
  - Count based methods
  - Predictive methods

# SVD Based Word Embedding

- Idea
  - Use the context of words around a word to describe a word
  - Ideally have a large text with billions of phrases and sentences to learn this from
- Parse the text into pairs
  - Words (W unique)
  - Contexts (C unique)
    - Ex: context can be the proceeding word (bigram)
    - Ex: context can be the whole document (latent semantic analysis)
- Form a matrix X
  - Unique words as rows
  - Unique contexts as cols
  - Entries are counts, probabilities, normalized probabilities, positive point wise mutual information (a normalized measure of the word context pair likelihood); sometimes these are raised to a power like 3/4 to introduce some smoothing

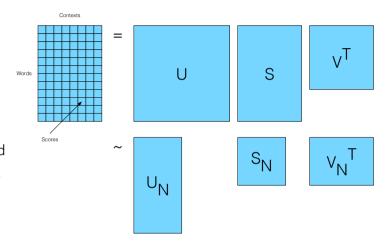


## **SVD Based Word Embedding**

- Take the SVD of X and keep the cols of U, rows and cols of  $\Sigma$  and rows of V corresponding to the N largest singular values
  - $X = U \Sigma V^T \approx U_N \Sigma_N V_N^T$
- Embedding
  - Each row of  $U_N \Sigma_N$  is a length N embedding for the corresponding word
  - Each col of  $V_N^T$  is a length N embedding for the corresponding context

#### Comments

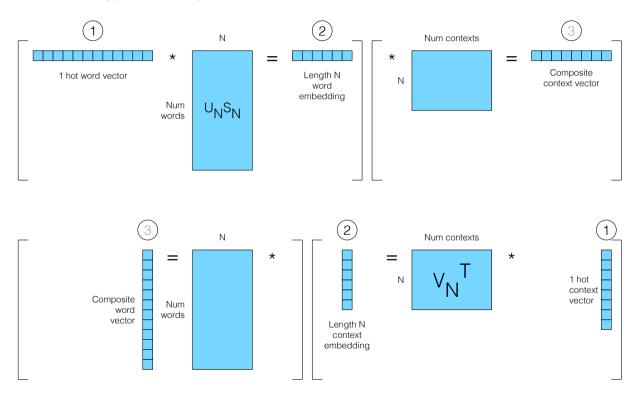
- The linear map from 1 hot input word vector to length N word embedding can implement all mappings
  - Just make the row the desired mapping for each word
  - So the question really is what it the best linear mapping?
- The linear mapping is a result of 2 choices
  - The matrix representing words and context likelihoods
  - The choice of the factorization method applied to this matrix to create word mapping and context mapping matrices



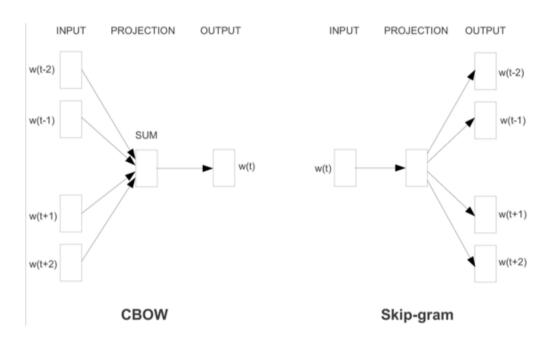
Note the asymmetry of the word vs context embedding; sometimes this is modified such that  $\Sigma_N$  is distributed partially to both the word and context embedding as  $\Sigma_N^{p}$  and  $\Sigma_N^{1-p}$ 

# **SVD Based Word Embedding**

Step 3 is not part of the embedding, just interesting to think about



- Word to vector mapping strategy
  - Assign a vector to a word that's a function of the words likely to be around it
  - Don't have to explicitly know the meaning of the word just "the company that it keeps"
  - Learn an embedding matrix and a bias (which could later be combined into the embedding matrix)
- 2 methods for creating the mapping
  - Continuous bag of words
  - Skip gram (more popular)



#### • Continuous bag of words

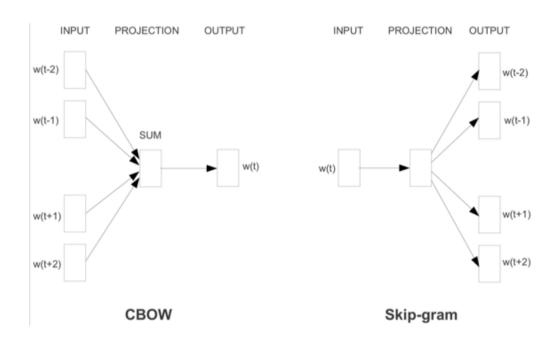
- Model predicts the current word from surrounding context of words
- Ordering of context words is not considered
- Slightly better with small data sets
- Slightly worse with large data sets
- Context window size of ~ 5

#### • 2 layer standard neural network

- Input: vector with 1s in 1 hot word locations
- 1: embedding length x num words NN layer
- 2: num words x embedding length NN layer
- Output: vector with 1 in 1 hot word location

#### Objective

- Training minimizes the negative log likelihood of the current word given the context
- $J = -\log p(w_t \mid w_{t+n}, ..., w_{t+1}, w_{t-1}, ..., w_{t-n})$



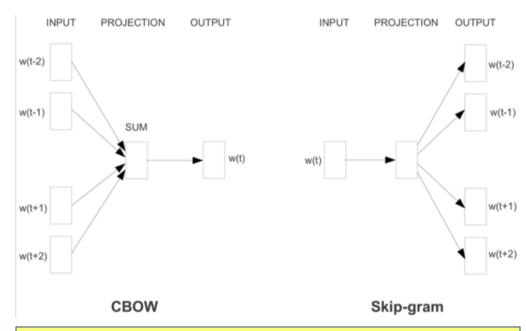
After training, the linear transformation from layer 1 is used for the word embedding for both the CBOW and skip-gram models

#### • Skip gram

- Model predicts surrounding context of words from the current word
- Near words are weighted more heavily than far words based on context window range selection during training
- Does a better job with infrequent words, slightly worse with small data sets, slightly better with large data sets
- Maximum context window size of ~ 10
- Same 2 layer standard NN structure
  - Just with a single 1 hot encoded input

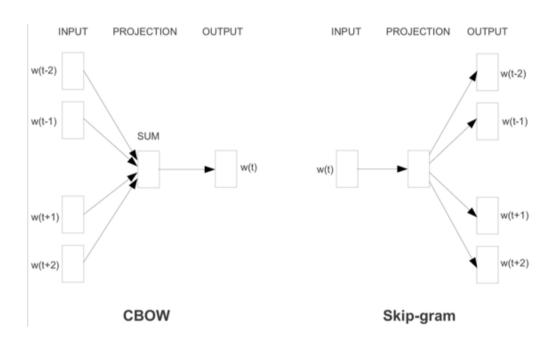
#### Objective

- Training minimizes the negative log likelihood of the context given the current word
- $J = -\sum_{-n \le j \le n, \neq 0} log p(w_{t+j} \mid w_t)$

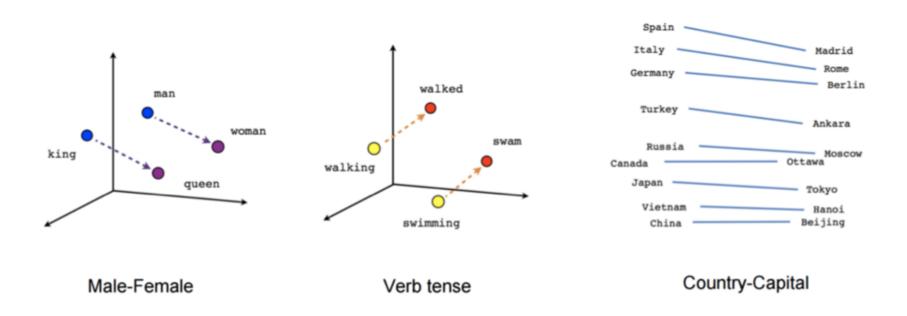


Using 1 input word to predict multiple context words feels imbalanced from a mapping perspective (it is); however, this is only needed during training and it's handled by turning the 1 to many grouping into multiple 1 to 1 pairs / training samples (potentially each for use with more negative pairs depending on the specific training strategy selected)

- Various methods for reducing training complexity are used
  - Hierarchical softmax
  - Negative sampling (more common); this only updates the network corresponding to a few (e.g., 2 – 20) of the negative elements in the output vector each sample instead of updating all of them all of them
- Various methods for improving accuracy are used
  - Sub sampling high frequency words by increasing the likelihood of skipping a training sample based on the frequency of it's occurrence (e.g., skip "the" training samples a lot)
- It turns out that the training method has a relatively large impact on performance



Simple vector addition on the resulting word embeddings leads to many reasonable results (implying some basic language understanding)



### Glove

- Consider the 2 approaches we've seen so far
  - SVD based methods use full data statistics but tend to do poorly on word analogies
  - CBOW and skip gram methods do well on word analogies but poorly use full data statistics
- Global vectors for word embedding
  - Purpose is to combine statistical benefits of global matrix factorization methods with analogy benefits of context window based methods
- Objective
  - Let X be a word / word (context) count matrix
  - Let wi and bi be the word vector and bias of the ith word
  - Let wj and bj be the word vector and bias of the jth word
  - Let f() be a weighting function that doesn't overweight rare or frequent word occurrences
  - $J = \sum_{i,j} f(X_{ij}) (w_i^T w_j + b_i + b_j log(X_{ij}))^2$

### Visualization Via t-SNE

- Word embeddings can be vectors of ~ 1000 dimensions
  - So how were they plotted in 2 or 3 dimensions a few slides prior to this?
  - t-SNE is a common method for mapping high dimension vectors to 2 or 3 dimensions for plotting
- Compute probabilities for high dimension vectors that are 

  to the similarities of pairs of objects
  - Let x<sub>i</sub> be a vector in the high dimension input space
  - $p_{i|i} = \exp(-||x_i x_i||^2/(2\sigma_i^2)) / \sum_{k \neq i} \exp(-||x_i x_k||^2/(2\sigma_i^2))$
  - $p_{ij} = (p_{j|i} + p_{i|j}) / (2N)$
- Compute similarities for low dimension vectors
  - Let y<sub>i</sub> be the vector in the low dimension output space
  - $q_{ij} = \exp(1 + ||y_i y_j||^2)^{-1} / \sum_{k \neq l} \exp(1 + ||y_i y_l||^2)^{-1}$
- Adapt y<sub>i</sub> to minimize the KL divergence using gradient descent
  - $KL(P | Q) = \sum_{i \neq j} p_{ij} \log (p_{ij} / q_{ij})$

### **Evaluation**

- All the embeddings discussed in this section start with a word and end up with a dense vector
  - So which is better?
- Some intrinsic metrics used for comparisons based on the original intent of capturing language meaning
  - Word similarity
    - Data is composed of pairs of words with similarity scores assigned by humans, goal is be to match score with something like cosine between vectors
    - Ex: SimLex999, MEN, WordSimilarity353 and RareWords
  - Word analogy
    - Data is composed of quadruples generated by humans such as king queen man woman, goal is be to determine 1 from the other 3
    - Ex: WordRep
  - Sentence
    - Data is composed of sentences with scores assigned by humans, goal is to match score
    - Ex: Stanford Sentiment Tree-bank and News20
  - Single word
    - Data is composed of single words with classes assigned by humans, goal is to match class
    - Ex tasks: POS tagging, sentiment, color, WordNet synset
- Word embedding benchmarks
  - https://github.com/kudkudak/word-embeddings-benchmarks

### **Evaluation**

- But really the main thing that matters is extrinsic to the embedding
  - Does the subsequent downstream language task perform better or worse with 1 mapping or another
- This highlights that the cost function optimized to find the mapping is not the final cost function that matters

# Task Specific Optimization

- The output of the previously discussed word embedding methods is a matrix that maps very large 1 hot vectors to a much smaller length  $\sim 100-1000$  dense vectors
- This matrix is typically generated via an exceedingly large offline text and is well optimized for that text
- However, this mapping choice is not necessarily optimal for the subsequent downstream application
  - 1 of the keys to xNN success in many applications that we've looked at is end to end training
- Task specific optimization seeks to refine an existing mapping or optimize a new mapping from scratch by incorporating the mapping as a trainable component in the task specific network structure

# Language Modeling

# Word Based Language Modeling

- Assign a probability to a sequence of words
  - $P(w_{n-1}, w_{n-2}, ..., w_1, w_0) = P(w_{n-1} \mid w_{n-2}, ..., w_1, w_0) ... P(w_1 \mid w_0) P(w_0)$ 
    - Remember the chain rule of conditional probability from the probability lecture
  - So we can create a language model from learning to predict the next word for all different length sequences (this is important)
    - $P(w_{n-1} \mid w_{n-2}, ..., w_1, w_0) = P(w_{n-1}, w_{n-2}, ..., w_1, w_0) / P(w_{n-2}, ..., w_1, w_0)$
- Next word prediction is a fundamental component of other language tasks
  - We'll see it in text to text translation
  - We previously saw it in speech to text transduction

### Data

- Penn Treebank
- Billion word corpus
- WikiText

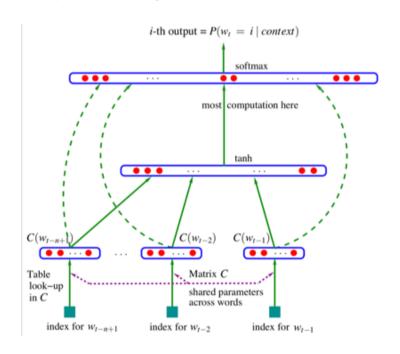
### Count Based N Gram Models

- Predict the Nth word in a N word sequence from the previous N-1 words
- Strategy: estimate probabilities from counting in text and building up recursively
  - $P(W_{n-1} \mid W_{n-2}, ..., W_1, W_0) = P(W_{n-1}, W_{n-2}, ..., W_1, W_0) / P(W_{n-2}, ..., W_1, W_0)$
- Problem: what if a word doesn't occur in the training text
  - Solution: smoothing
  - Assign a small non 0 probability to all words
- Problem: what if the N-1 sequence never occurs
  - Solution: back off
  - Use N-2 sequence instead or more generally interpolate all different lengths
  - $P(W_{n-1} \mid W_{n-2}, ..., W_1, W_0) = C_0 P(W_{n-1} \mid W_{n-2}, ..., W_1, W_0) + C_1 P(W_{n-1} \mid W_{n-2}, ..., W_1) + ... + C_{n-1} P(W_{n-1})$
  - Where  $c_0 + c_1 + ... + c_{n-1} = 1$

# Neural Language Models

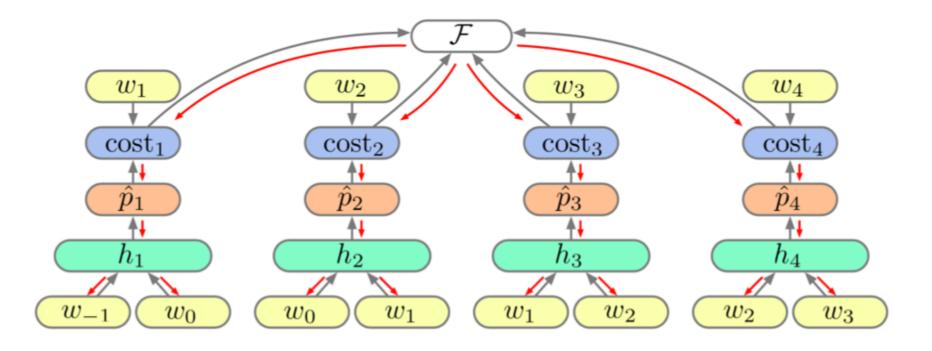
Green arrows represent matrix vector multiplication + bias, dotted are optional, first is table lookup word embedding

- Why use something other than a N gram language model?
  - N gram models are very large, sometimes implementation considerations prevent their use
  - xNNs are good at predicting stuff, perhaps we can find a more compact representation that also allows for larger values of N
- Can think of at least 3 types of neural language models
  - NN to embed N length history into a continuous space
  - RNN to embed the full history
  - CNNs to embed a window
- Basic idea for NN based method
  - Individually encode each of N-1 words into vectors via an embedding layer
  - Concatenate the vectors and (potentially) have multiple intermediate layers
  - Classifier head to predict a pdf of the Nth word (this softmax complexity is large)



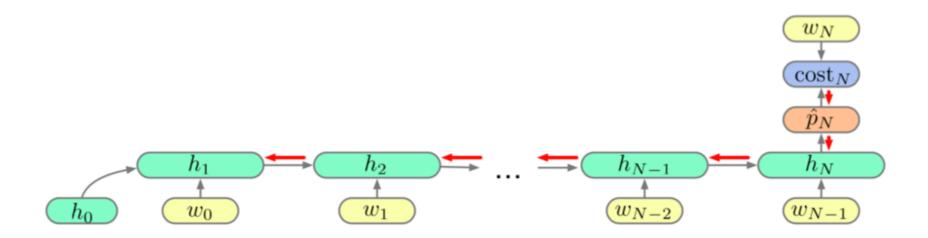
# NN Language Model Example

Example of standard neural network language model training predicting the next word from the previous 2 words

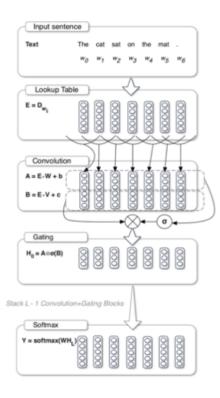


# RNN Language Model Example

Example of recurrent neural network language model training predicting the next word from the previous history of words



# CNN Language Model Example



### Evaluation

- Want a measure of how well the language model predicts a sample
  - Remember from info theory that cross entropy  $H(p, q) = -\Sigma_x p(x) \log_2(q(x))$  fell out of Kullback–Leibler divergence as a method of comparing pmfs
  - Let p(x) be the true distribution of words and q(x) be the distribution predicted by the model
  - Unfortunately, the true distribution p(x) is unknown so cross entropy is estimated as H(training data, q) =  $-\Sigma_{xi}$  (1/N)  $\log_2(q(x_i))$  where N is the size of the testing data
- Perplexity is defined as 2<sup>H(training data, q)</sup>
  - Interpretation of how many different equally probable words can follow as the next word
  - A low perplexity is the goal (implying a low cross entropy, requires a good pmf match)
- However, just like for the case of word embeddings, the better evaluation of a language model is how well it helps with the subsequent downstream task
  - How much does it improve speech to text transduction accuracy?
  - How much does it improve text to text translation accuracy?

# Character Based Language Modeling

- A challenge of language modelling is the size of the vocabulary (lots of words)
- Instead of building a word based language model, it's possible to create a character (or other smaller element) based language model
  - Potentially a much much smaller model (number of characters is << number of words)</li>
  - Able to capture sub word relationships
  - But perhaps not as good at word relationships as a word optimized model
  - Note: this was used in the RNN transformer for speech to text
- Just something to consider if you're thinking about highly resource constrained applications

#### A Little Bit Of Fun

- You can train a language model using specific text to learn to predict words in the style
  of that text
- You can then use a language model to generate new text via feeding in it's previous outputs
  - For a N gram language model, use the Nth predicted word output as the most recent input to predict the N+1 th word (similar strategy for a RNN language model)
- Things tend to go off the rails after a bit but it's interesting to think about and leads to the view on the next slide

# **Embeddings From Language Models**

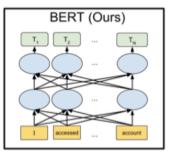
 This is an active area of current research, pre training on a language modeling task to create embeddings (features) that can be used with simple decoders via fine tuning for many additional language tasks

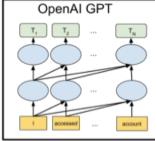
#### Basic idea

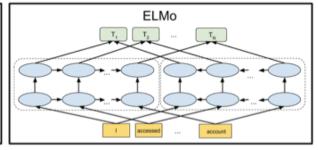
- Input sentence with words mapped to vectors via a common word to vector model
- Encoder, typically Transformer based (larger == better)
- Final output features viewed as an input embedding
- Decoder for an unsupervised task (predict the next word, predict a masked word, predict if a sentence is the next sentence)
- Unsupervised training on a dataset (larger == better)
- Remove the unsupervised task decoder
- Add a new decoder for new task and fine tune on the new task

# **Embeddings From Language Models**

- A few examples
  - Semi-supervised sequence learning
  - Generative pretraining I and II (GPT)
  - Embeddings from language models (ELMo)
  - Universal language model fine tuning (ULMFit)
  - Bi directional encoder representation from transformers (BERT)

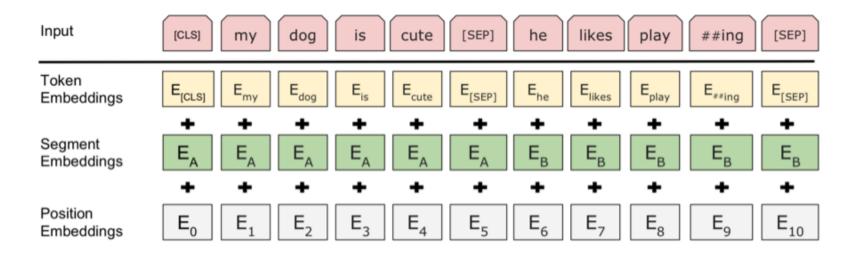






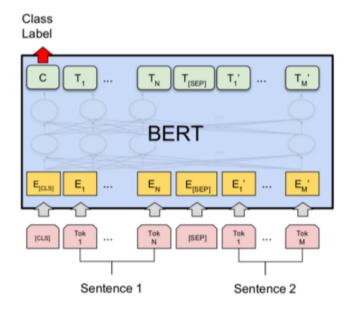
## Example: BERT

The input embedding consists of word piece embeddings, segment (A / B) allowing 2 sentences and absolute position; masked word prediction and is a sentence the next sentence tasks are used unsupervised training

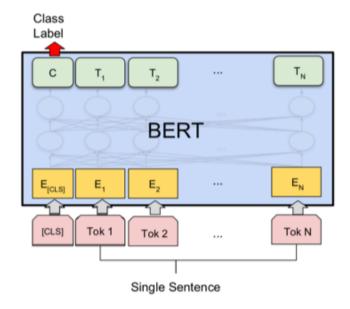


## Example: BERT

The resulting embedded inputs are used for multiple language tasks



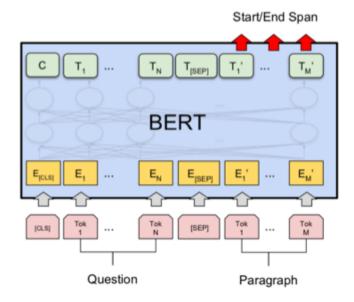
(a) Sentence Pair Classification Tasks: MNLI, QQP, QNLI, STS-B, MRPC, RTE, SWAG



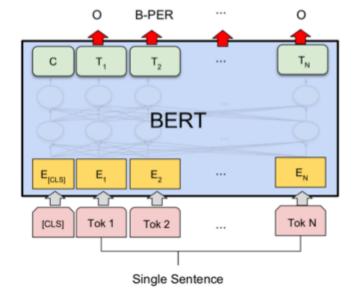
(b) Single Sentence Classification Tasks: SST-2, CoLA

## Example: BERT

The resulting embedded inputs are used for multiple language tasks



(c) Question Answering Tasks: SQuAD v1.1



(d) Single Sentence Tagging Tasks: CoNLL-2003 NER

# Conditional Modeling

### Model → Conditional Model

A single framework for thinking about speech to text, text to speech, language translation and other problems with an input and feedback in the prediction

- Model
  - Assigns probabilities to a sequence of elements y<sub>i</sub> (words, audio samples, ...)
    - $P(y_{n-1}, y_{n-2}, ..., y_1, y_0) = P(y_{n-1} \mid y_{n-2}, ..., y_1, y_0) ... P(y_1 \mid y_0) P(y_0)$
  - The key to creating a model is next element prediction (next word, next audio sample, ...) given previous elements
    - $P(y_{n-1} \mid y_{n-2}, ..., y_1, y_0) = P(y_{n-1}, y_{n-2}, ..., y_1, y_0) / P(y_{n-2}, ..., y_1, y_0)$
- It's possible to cast a large number of problems as learning a model using output data (next element prediction) then focusing / biasing the prediction by conditioning the model on input data  $\mathbf{x}$ 
  - $P(y_{n-1} | y_{n-2}, ..., y_1, y_0, \mathbf{x})$
  - Effectively, conditioning on the input data makes the next element prediction less uniform / more spikey (ideally 1 hot like)
  - Reduces the entropy of the conditional pmf
  - Needs input output data pairs for training
- During testing previous true outputs  $y_{n-2}$ , ...,  $y_1$ ,  $y_0$  are replaced with previous predicted outputs
  - $P(y_{n-1} | y_{n-2}^{hat}, ..., y_1^{hat}, y_0^{hat}, \mathbf{x})$
  - Use beam search or some similar variant to reduce error feedback
  - Even better if **x** contributes strongly to the prediction as that helps prevent error feedback effects

## Conditional Model For Speech To Text

This was covered in the precious lecture

- Learn a model for text that can predict the next phoneme, grapheme / character, word piece or word given previous phonemes, graphemes / characters, word pieces or words
  - This model can be optimized for specific or general types of text by training on that type of text
- Then focus / bias the text predictions via conditioning on features generated from a specific speech signal
  - This creates a conditional model that produces text corresponding to the given speech signal
- In equations (the network approximates this pmf)

• Notation: y<sub>i</sub> is the text

**x** is the speech signal

• Text model:  $P(y_{n-1} \mid y_{n-2}, ..., y_1, y_0)$ 

• Conditioned on features from speech:  $P(y_{n-1} \mid y_{n-2}, ..., y_1, y_0, \mathbf{x})$ 

• Using previous predicted outputs:  $P(y_{n-1} \mid y_{n-2}^{hat}, ..., y_1^{hat}, y_0^{hat}, \mathbf{x})$ 

### Conditional Model For Text To Audio

This was covered in the precious lecture

- Learn a model for audio that can predict the next audio sample given previous audio samples
  - This model can be optimized for specific or general types of audio by training on that type of audio
  - Ex: human speech, 1980s hairspray metal, ...
- Then focus / bias the audio sample predictions via conditioning on features generated from a specific text
  - This creates a conditional model that produces audio (speech, music) corresponding to the given text (words, instruments)
  - Note that it's possible to condition on more than 1 thing (e.g., words + voice characteristics from a specific speaker to create a conditional model that produces speech corresponding to the specific words in the voice of the specific speaker)
- In equations (the network approximates this pmf)

• Notation:  $y_i$  is the audio samples

**x** is the text signal

• Audio model:  $P(y_{n-1} | y_{n-2}, ..., y_1, y_0)$ 

• Conditioned on features from text:  $P(y_{n-1} \mid y_{n-2}, ..., y_1, y_0, \mathbf{x})$ 

• Using previous predicted outputs:  $P(y_{n-1} \mid y_{n-2}^{hat}, ..., y_1^{hat}, y_0^{hat}, \mathbf{x})$ 

## Conditional Model For Language Translation

This is covered in the next section

- Learn a model for language 2 that can predict the next word in language 2 given previous words in language 2
  - This model can be optimized for specific or general text from language 2 by training on that type of text from language 2
- Then focus / bias the language 2 predictions via conditioning on features generated from specific text of language 1
  - This creates a conditional model that produces text of language 2 corresponding to the given text of language 1
- In equations (the network approximates this pmf)

• Notation: y<sub>i</sub> are the words in language 2

**x** are the text features in language 1

• Language 2 model:  $P(y_{n-1} | y_{n-2}, ..., y_1, y_0)$ 

• Conditioned on features from language 1:  $P(y_{n-1} \mid y_{n-2}, ..., y_1, y_0, \mathbf{x})$ 

• Using previous predicted outputs:  $P(y_{n-1} \mid y_{n-2}^{hat}, ..., y_1^{hat}, y_0^{hat}, \mathbf{x})$ 

# Translation

### Goal

- Translate a sentence from 1 language to another
- Specifically: find best sentence y given sentence x (x in language 0, y in language 1)
  - y\* = arg max\_y P(y | x)
  - The previous section considered language models
  - Translation can be viewed as a conditional language model
    - Predicts the next word given the previous words
    - Conditioned on the input sentence
- An incomplete history
  - The Rosetta stone
  - Bilingual dictionary
  - ...



## Complications

- A laundry list
  - Input output sentence alignment
  - 1 to many mapping of an input sentence to a valid translation
  - How to evaluate the translation
  - Out of vocabulary words
  - Train set vs test set domain mismatch
  - Maintaining context over a long piece of text
  - Low resource language pairs

#### Encoder Decoder Architecture

The architecture type considered here for translation (note that we've seen this general strategy in vision and speech too)

- How does an encoder decoder architecture translate sentences?
  - You design a network to accomplish a goal
  - So it's useful to think about what the goal of the encoder and decoder is
- The encoder transforms dense word vectors into a vector (seq2seq) or matrix (others) that represents the meaning of the original sentence including a positional word dependence
  - You need to look across the words of a sentence to derive the meaning of the sentence as a human
  - Networks are no different, so the encoder needs to looks across words
- In the seq2seq encoder decoder arch the meaning for the whole sentence is encoded as a single vector
  - That's a lot to ask of a vector (remember we encode words into vectors of similar length)
  - Implies that the sentence vector length should be >> than the individual word vector lengths but I don't think they are in practice
- In the other encoder decoder architectures the meaning for the whole sentence is encoded as a matrix
  - This gives more flexibility for encoding meaning with positional word dependence
  - The goal is to encode the meaning from the source language in a way that it can be easily decoded into the target language

### Encoder Decoder Architecture

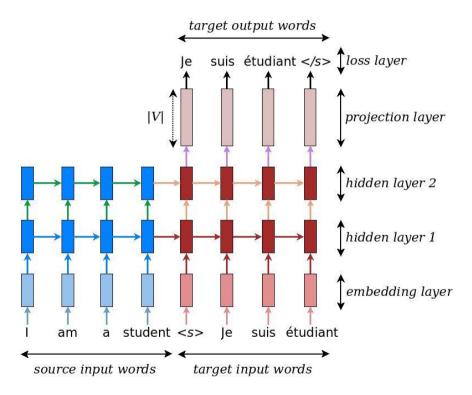
The architecture type considered here for translation (note that we've seen this general strategy in vision and speech too)

- The decoder transforms the encoded meaning with positional word dependence of the source sentence into words of the target language (a pmf over all possible words)
- Note that in practice the encoder and decoder can share some of the tasks in the middle that blur the lines between encoding / decoding meaning and words
  - This is a function of network structure and training
- Attention is frequently included in the encoder, between the encoder and decoder, and in the decoder
  - Self attention at the encoder decides how to look across words or transformations of words to encode words into meaning with a positional dependence
  - Attention between the encoder and decoder decides how to look across the encoded words with positional dependence to generate specific decoder outputs
  - Self attention at the decoder decides how look across encoded meaning with positional word dependence or transformations thereof to generate target words

### 3 Encoder Decoder Model Types Included Here

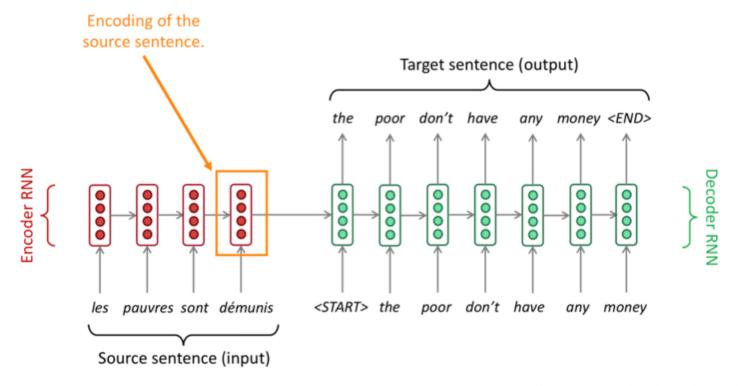
- Sequence to sequence
  - Source words mapped to vectors
  - Encoded uses a RNN
  - Decoder uses a RNN initialized by the final hidden state of the encoder to recursively generate target word vectors
  - End of sentence output token allows learning variable length translations
- Recurrent neural machine translation with attention
  - Decoder uses attention with the encoder hidden states
  - Combines with the hidden state of the decoder RNN and previous output word vector to predict the next output word vector
- Transformer neural machine translation with attention and self attention
  - Organized as a stack of encoders / decoders
  - Uses self attention to compute the encoder and decoder embeddings
  - Includes a positional encoding to track the order of the input and output sequences (since no recurrence)

## Sequence 2 Sequence



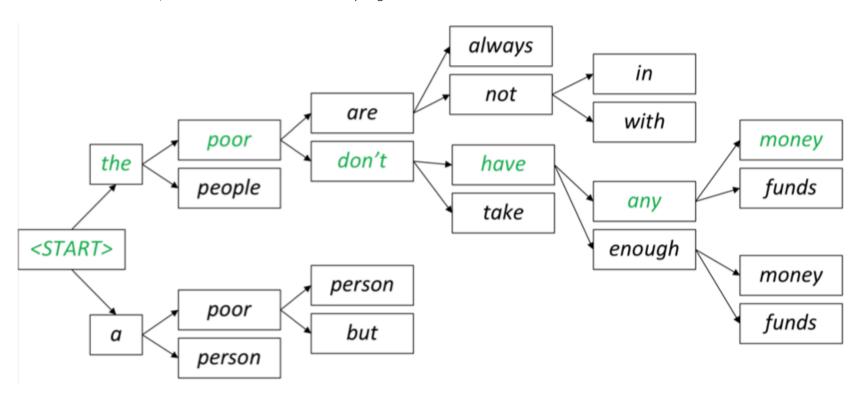
## Sequence 2 Sequence

During training the shifted by 1 target sentence is used as the decoder input, during testing the previous decoder output is used as the input; in the paper a 4 layer LSTM with length 1000 vectors was used for the encoder and decoder RNN and the input word order was reversed, 80k / 160k output / input words



## Greedy And Beam Search Decoding

Beam search works well; recommendation: don't use overly large beams



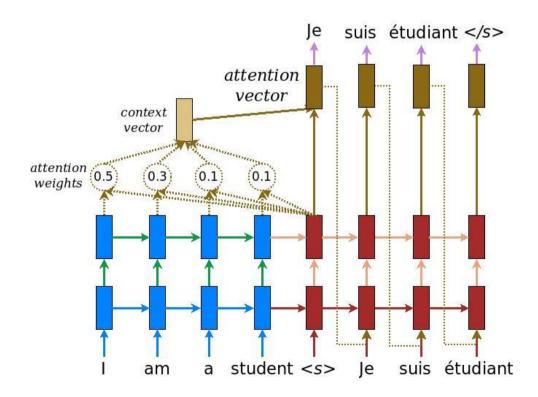
#### Structured Prediction

- Note that test time operation is different than train time operation
  - During train time operation the ground truth is fed back to the decoder input
  - During test time operation the prediction is fed back to the decoder input
  - Want to optimize the sequence performance but the error and operation is optimized for token performance
  - Question: is it possible to optimize train time for full sequence vs token at a time?
- This paper looks at doing that: Classical structured prediction losses for sequence to sequence learning
  - https://arxiv.org/abs/1711.04956
- Some suggestions from the paper
  - Do token level optimization first
  - Maybe use label smoothing
  - Note that this becomes less important as baseline model improves

#### Attention

- A problem with seq2seq is that the whole 1st sentence is represented by 1 vector
  - This creates an information bottleneck
  - Becomes a bigger issue as the sentence length becomes longer

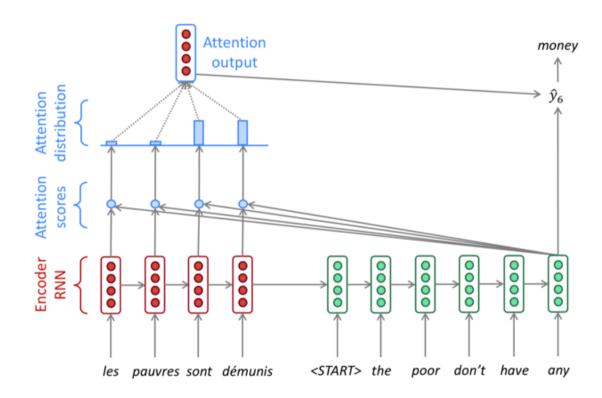
Solution: use attention



#### Attention

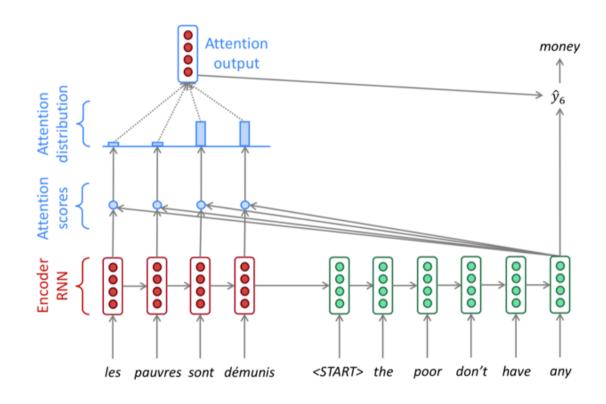
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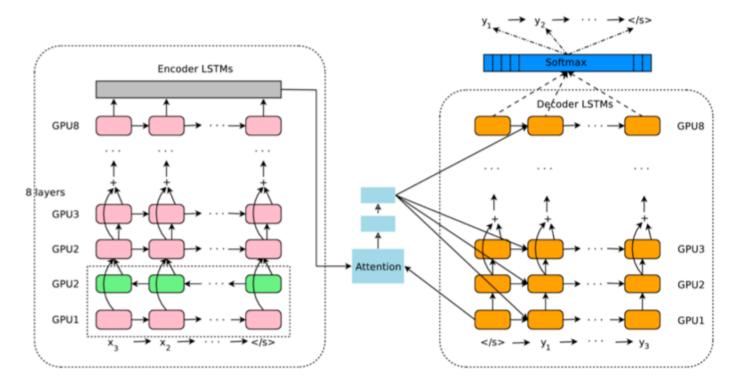
#### Attention

- Attention allows the decoder to use different parts of the input at different time steps
  - Instead of the source sentence being stored as a vector it's now stored as a matrix
  - The decoder state for the current output is used with the encoded input vectors followed by soft max to determine a weighting
  - Encoded input vectors are weighted and summed to produce the attention output which is concatenated with the decoder state
  - This concatenated vector is then used to generate the output
- There are many variations on this theme



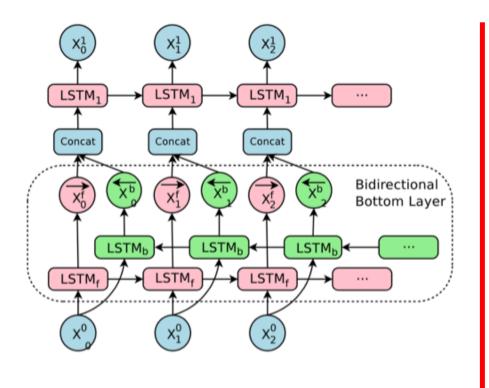
## Google's Neural Machine Translation System

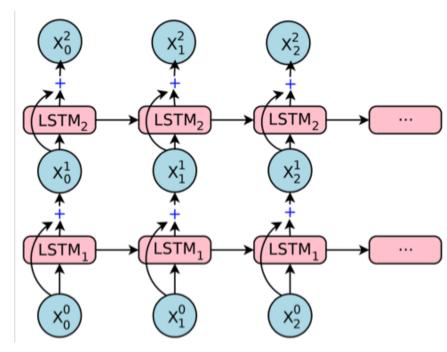
Encoder attention decoder with a network architecture partitioned across multiple GPUs for performance



## Google's Neural Machine Translation System

Bottom layer is a bi directional LSTM, subsequent layers are LSTM cells with residual connections

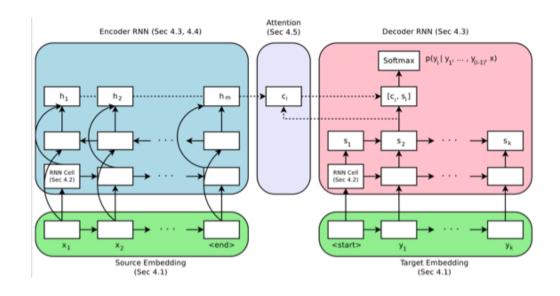




## **Architecture Exploration**

#### Source embedding

- Performance was relatively agnostic with embedding lengths from 128 2048
- RNN cell architecture
  - LSTM was a little better than GRU
  - Standard RNN performed worse
- Encoder and decoder depth
  - Depths from 2 to 8 were explored with and without residual connections
  - Training was difficult with deeper models and needs to be re thought
  - Given current training capabilities, depths of 2 – 4 for the encoder and decoder worked best



## **Architecture Exploration**

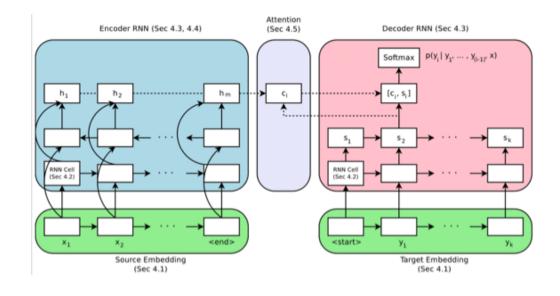
- Unidirectional vs bi directional encoders
  - Bi directional performed slightly better

#### Attention

- Additive performed slightly better than multiplicative
- The dimension size from 128 1024 didn't matter much
- Training data indicated that attention played a larger role in the flow of the gradient than allowing the decoder access to the encoded states (as common belief suggests)
- More thought is needed here

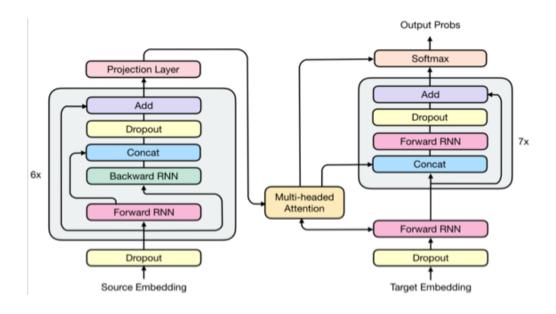
#### Beam search

 A beam width of ~ 10 with a length penalty performed best



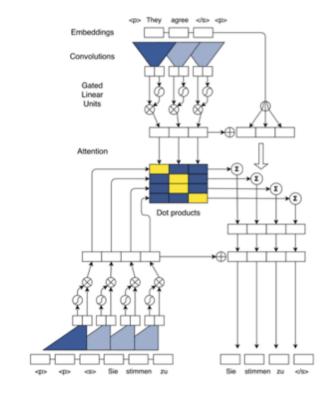
#### RNMT+

- More architecture exploration
- Borrows positive parts of multiple architectures
  - Bi directional encoder, uni directional decoder
  - Bi directional layers use concatenation
  - Each layer follows a normalize transform – dropout – add flow
  - Training uses label smoothing, L2 weight decay, gradient clipping and the Adam optimizer



#### Convolutional Architectures

- A convolutional encoder model for neural machine translation
  - https://arxiv.org/abs/1611.02344
- Convolutional sequence to sequence learning
  - https://arxiv.org/abs/1705.03122
- Attentive convolution: equipping CNNs with RNNstyle attention mechanisms
  - https://arxiv.org/abs/1710.00519



### Self Attention In The Transformer

No sequential dependency unlike RNNs, full context unlike CNNs

#### Positional encoding

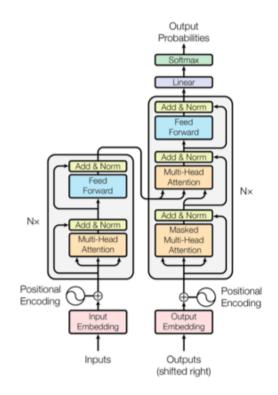
- No sequential recurrent connections to enforce sequential structure in the output so a positional encoding is added
- Sin() and cos() vectors with different frequencies are added to the inputs

#### Encoder

- 6 identical layers with 2 parts each
- Part 1 is a multi head self attention mechanism
- Part 2 is a fully connected layer
- Each part includes normalization as y = LayerNorm(x + Part1or2(x)) and dropout

#### Decoder

- 6 identical layers with 3 parts each
- Part 1 is a multi head self attention mechanism identical to encoder part 1
- Part 2 is a multi head attention mechanism applied to the encoder output
- Part 3 is a fully connected layer identical to encoder part 2
- Each part includes normalization and dropout as in the encoder

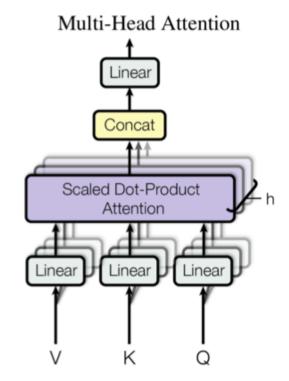


### Self Attention In The Transformer

- Multi head attention equations (Ws are learned weights)
  - Query, key and value matrices (attention input X)

$$\begin{aligned} \mathbf{Q}_{i} & = \mathbf{X} \ \mathbf{W}_{i}^{\mathbf{Q}} \\ \mathbf{K}_{i} & = \mathbf{X} \ \mathbf{W}_{i}^{\mathbf{K}} \\ \mathbf{V}_{i} & = \mathbf{X} \ \mathbf{W}_{i}^{\mathbf{V}} \end{aligned}$$

- Attention( $\mathbf{Q}_i$ ,  $\mathbf{K}_i$ ,  $\mathbf{V}_i$ ) = softmax( $\mathbf{Q}_i$   $\mathbf{K}_i^T$  / sqrt( $\mathbf{d}_k$ ))  $\mathbf{V}_i$ 
  - = head<sub>i</sub>
- Output = Concat(head<sub>1</sub>, ..., head<sub>h</sub>) W<sup>o</sup>



#### **Evaluation**

- Bilingual evaluation understudy (BLEU)
- Compares the output of a machine translation with the output of a human translation(s)
  - The closer the match the better the translation
  - Uses N grams and a clipped precision metric with a geometric mean for combining them
  - Adds a penalty term to prevent translations that are too short
  - Output is between 0 and 1

## Comparison To Speech To Text

- While both speech to text and language translation are both sequence to sequence problems there are some differences to note
- Localized monotonic alignment
  - Speech to text has a ~ localized monotonic alignment of input sound to output text
    - While attention can help with deciding exactly how big a window of the sound is useful to determine the text, it should typically be pretty localized with respect to the sound that's generating the text and the same order of input sounds is represented in output text
    - In practice this makes attention less important in speech to text than in language translation
  - Language translation doesn't have a localized monotonic alignment of input word to output word
    - If it did then something like simple word translation would potentially work
    - Attention is useful in this case as it allows the encoder and decoder to look across the full sentence representation when extracting information
    - This is needed as the data needed to extract the information is not always localized

## Comparison To Speech To Text

- Input length
  - Speech input is typically much longer than output for common sound representations
    - ~ 100 frames per second of sound representation
    - Much less than 100 characters per second
    - This makes it easier to apply things like CNNs in the encoder and not worry about boundary issues (zero padding etc); in practice, pooling or down sampling is common
  - Language input and output are typically of similar length and both relatively short as compared to speech input and output
    - Boundary issues matter

### Question

- Combining the material in the speech lecture with the material in the language lecture we have the following capabilities
  - Speech in language 1 to text in language 1
    - CTC, RNN transducer, attention, ...
  - Translation from text in language 1 to text in language 2
    - Sequence to sequence, attention, ...
  - Text language 2 to speech in language 2
    - Text to spectrogram to generative model, ...
- Applying these 3 networks sequentially allows us to translate from speech in language 1 to speech in language 2
- A question: why not design a network to directly map from speech in language 1 to speech in language 2?
  - A partial answer: remember back to the design lecture and the suggestion to keep your problems simple
  - However, it's interesting to think more about this

## A quick survey for students that can speak more than 1 language

How do you translate from 1 language to another? Directly from speech 1 to speech 2? Or from speech 1 to a mental text translation to speech 2?

How do you converse with someone in the 2nd language you learned? Do you think and reply all in the 2nd language? Or do you make a round trip through the 1st language for thinking?

Is you deepest thinking language independent or language dependent?

Do the answers to these questions evolve as you become more familiar with the 2nd language?

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  - http://emnlp2014.org/papers/pdf/EMNLP2014179.pdf

- Attention and memory in deep learning and NLP
  - http://www.wildml.com/2016/01/attention-and-memory-in-deep-learning-and-nlp/

- A general-purpose encoder-decoder framework for TensorFlow
  - https://github.com/google/seq2seq/
- Tensor2Tensor
  - <a href="https://github.com/tensorflow/tensor2tensor">https://github.com/tensorflow/tensor2tensor</a>
- Facebook AI Research sequence-to-sequence toolkit written in Python
  - https://github.com/pytorch/fairseq

- BLEU: a method for automatic evaluation of machine translation
  - https://www.aclweb.org/anthology/P02-1040.pdf

# Additional Applications

- Universal language model fine-tuning for text classification
  - https://arxiv.org/abs/1801.06146
- Generating Wikipedia by summarizing long sequences
  - https://arxiv.org/abs/1801.10198
- Deep contextualized word representations
  - https://arxiv.org/abs/1802.05365
- Universal sentence encoder
  - https://arxiv.org/abs/1803.11175
- Constituency parsing with a self-attentive encoder
  - https://arxiv.org/abs/1805.01052
- BERT: pre-training of deep bidirectional transformers for language understanding
  - https://arxiv.org/abs/1810.04805
  - https://jalammar.github.io/illustrated-bert/

## Additional Applications

- Introducing state of the art text classification with universal language models
  - http://nlp.fast.ai/classification/2018/05/15/introducting-ulmfit.html
  - <a href="http://nlp.fast.ai/category/classification.html">http://nlp.fast.ai/category/classification.html</a>
- Improving language understanding with unsupervised learning
  - <a href="https://blog.openai.com/language-unsupervised/">https://blog.openai.com/language-unsupervised/</a>
- Image captioning
  - https://github.com/tensorflow/models/tree/master/research/im2txt