

Imf – Questaal's Full Potential LMTO Code

Part 1: Practical introduction and overview of the Imf code

- full-potential implementation & basis
- verification exercise results

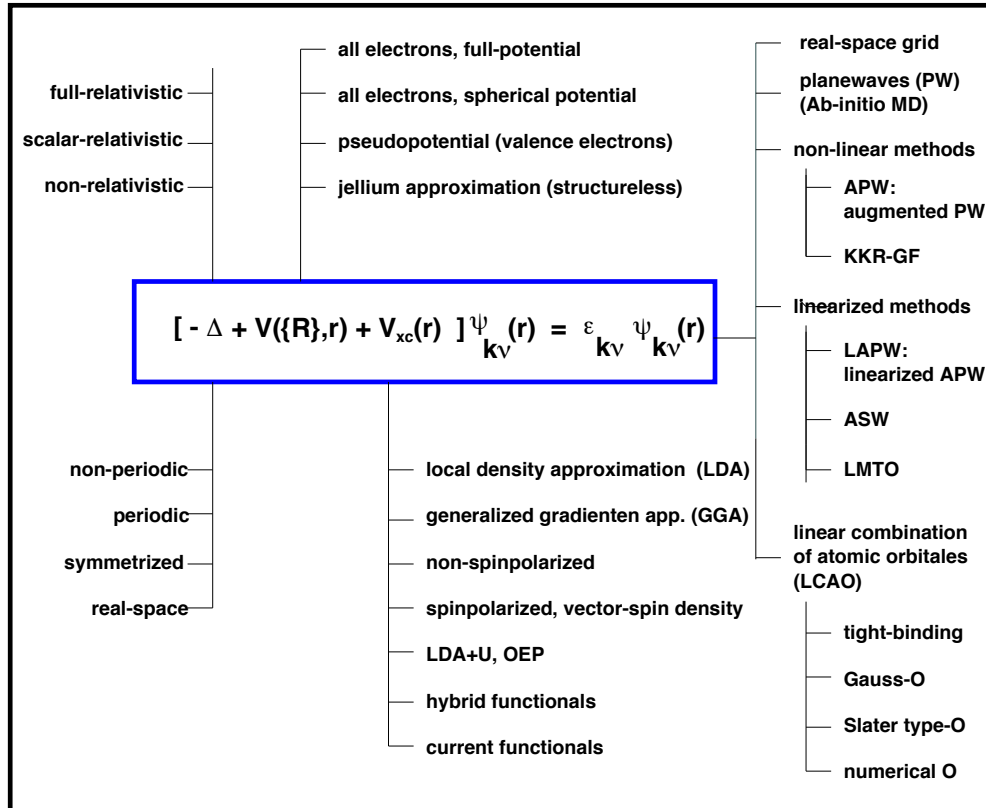
Part 2: Using the Imf code

- walk-through of SrTiO_3 calculation

Part 3: Hands-on example

- Se relaxation

Imf – Questaal's Full Potential LMTO Code



Imf – Questaal's Full Potential LMTO Code

why use Imf?

- simple, powerful user interface (unix style?)
- geometry/system setup straightforward
- default settings are reliable for high accuracy: highly automated
- very fast (small basis)
- spin-orbit coupling, +U, optional APW mode
- huge wealth of features: optics, core-level spectroscopies, external fields, relaxation,...

Muffin-Tin Orbitals:

$$\Psi_{l,m}(\vec{r}, \epsilon) = \Psi_l(r, \epsilon) Y_{l,m}(\hat{r}) \quad (1)$$

$$\Psi_l(r, \epsilon; \kappa) = \begin{cases} R_l(r, \epsilon; \kappa) + P_l(\epsilon; \kappa) \bar{j}_l(\kappa r) & r < r_{mt} \\ \bar{n}_l(\kappa r) & r \geq r_{mt}; \end{cases} \quad (2)$$

- solutions of radial problem R_l within muffin-tin; Hankel envelope (\bar{n}) outside
- divergent $r \rightarrow \infty$ (KKR) scattering solutions “folded back” into muffin-tin
- potential function P matches radial solution to envelope
- “tail cancellation” simplifies solution in the ASA (no interstitial)
- R_l linearized to remove energy dependence

Imf – Questaal's Full Potential LMTO Code

full-potential

muffin-tin partitioning of space (R)

additive augmentation (l_{mx}a)

G -space mesh (GMAX)

FP-LMTO

```
graph TD; A[FP-LMTO] --> B[full-potential]; A --> C[linearized muffin-tin orbital];
```

linearized muffin-tin orbital

maximum l for basis (l_{mx})

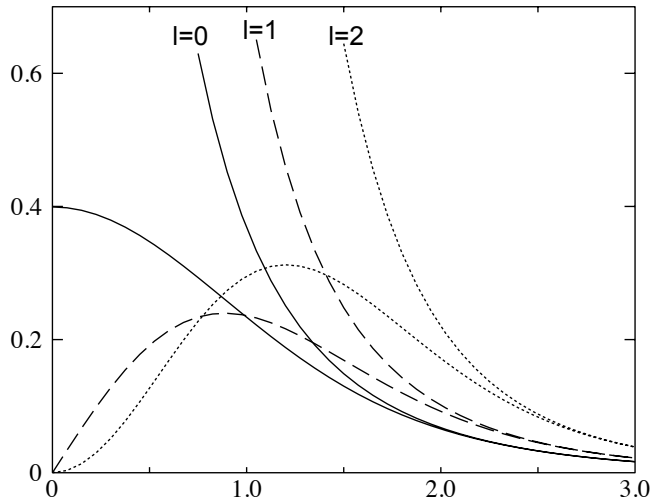
number of basis functions per l, m (1 or 2)

basis "shape" (EH,RSMH)

Imf – Questaal's Full Potential LMTO Code

FP-basis:

- envelope functions are *smoothed*-Hankel functions
- combination of Gaussian (width RSMH) with a Hankel function (energy EH)

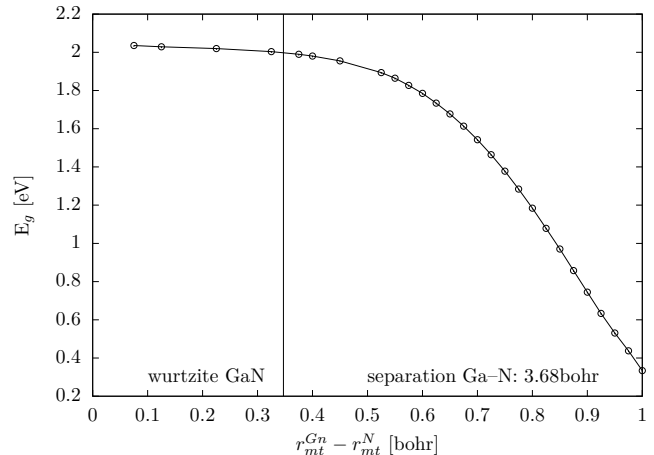
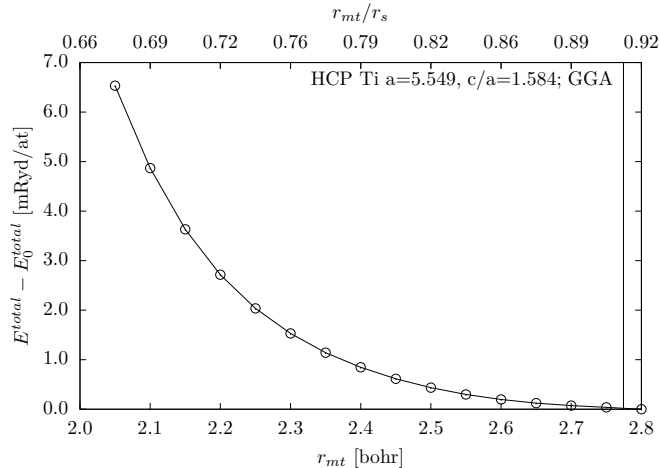


- no $1/r^{l+1}$ divergence at the origin; “more physical” form
- augment continuously with radial solutions and energy derivatives at r_{mt} (explicit interstitial)

Dreyssé (ed.) *The Uses of the LMTO Method* Springer, 2000
Bott, Methfessel, Krabs, Schmidt J.Math.Phys., 39, 3393–3425, 1998

Imf – Questaal's Full Potential LMTO Code

- augmentation with non-overlapping muffin-tin spheres with radius R
- within R , the solution is essentially exact: try to maximise
- quality of solution depends sensitively on relative R for multi-component systems
- R estimate given by first maximum in $V(r)$ is generally close to optimal



- (use **blm** or **lmchk --getwsr**)

Imf – Questaal's Full Potential LMTO Code

- combination of real-space mesh extending over all space and atomic radial contributions

$$V(\vec{r}) = V^{\text{smooth grid}}(\vec{r}) + \sum_{\text{atoms}} \left[V_i^{\text{radial}}(\vec{r}) - V_i^{\text{radial evaluated on grid}}(\vec{r}) \right]$$

- $V^{\text{radial}}(\vec{r})$ expanded in spherical harmonics
- higher l contributions carried effectively by smooth grid component
- similarly for augmented envelope functions: generally $l_{\text{max}} \leq 3$ required
- similar to pseudopotential/PAW methods (unlike APW)

Imf – Questaal's Full Potential LMTO Code

Local orbitals:

- enables inclusion of higher or lower n
- unoccupied (“high-lying local orbitals”) and semi-core states
- improved by adding own basis functions for semi-core states: “extended local orbitals”

Core Treatment:

- self-consistent or “frozen”
- frozen core can extend into interstitial – much more accurate
- use local orbitals when in doubt
- scalar/fully-relativistic solvers available

APW component:

- plane-wave component in addition to (or instead of) LMTO basis
- effective for open systems (eg, molecular crystals)
- improves description of unoccupied states

Imf – Questaal's Full Potential LMTO Code

DeltaCodes exercise:

- community driven effort to compare solid-state codes
- lead by K. Lejaeghere and S. Cottenier (Uni. Ghent)
- first publication 2014 (VASP, WIEN2k, GPAW)

TABLE 8

Comparison between codes for two extreme situations: large (Tc an Ru) and small (Ar) numerical errors Δ_i . V_0 is given in $\text{\AA}^3/\text{atom}$, B_0 in GPa, and Δ_i in meV/atom. B_1 is dimensionless

| | | V_0 | B_0 | B_1 | Δ_i |
|----|----------------------------|-------|-------|-------|------------|
| Tc | APW+lo _(WIEN2k) | 14.47 | 301.4 | 4.56 | 0 |
| | PAW _(VASP) | 14.60 | 298.5 | 4.55 | 8.3 |
| Ru | APW+lo _(WIEN2k) | 13.81 | 315.4 | 4.96 | 0 |
| | PAW _(GPAW) | 14.09 | 310.9 | 4.87 | 20.9 |
| Ar | APW+lo _(WIEN2k) | 52.21 | 0.7 | 7.84 | 0 |
| | PAW _(VASP) | 52.65 | 0.8 | 7.35 | 0.1 |
| | PAW _(GPAW) | 52.66 | 0.8 | 3.27 | 0.1 |

- 2016 > 40 codes/pseudopotential schemes
- 71 elemental solids (no f-electron systems); ranging from close packed to molecular crystals

Imf – Questaal's Full Potential LMTO Code

- integrated difference of GGA $E(V)$ curves (in meV/atom)
- total energy offsets ignored; fits to Birch-Murnaghan, averaged over the periodic table
- good agreement between methods, FP-LAPW and PAW in particular: differences in $V_0 < 1\%$.
- (no expense spared 😊)

| | | AE | | | | | | | | | | | | PAW | | | | | | | | | | | | USPP | | | | | | | | | | | | NCPP | | | | | | | | | | | |
|----|-----------------------|-----|----------|----------------|-----------------------|----------------|-------|--------------|----------|------------|------|----------------|-----------------|------------|---------------|-------------|---------------|-------------|--------------|--------------|-------------|-------------|----------------|----------------|----------------|-----------|---------------|-----------|-------------|--------------|---------|------------|-------------|----------------|------------|-----------------|----------------|-----------------------|-------------------------|---------------------|-------------------------|--|--|--|--|--|--|--|--|
| | | Elk | exciting | FHI-aims/tight | FHI-aims/really_tight | FHI-aims/tier2 | FLEUR | FPLO/default | FPLO/T+F | FPLO/T+F+s | RSPT | WIEN2k/default | WIEN2k/enhanced | WIEN2k/acc | GBRV12/ABINIT | GPAW06/GPAW | GPAW09/ABINIT | GPAW09/GPAW | JTH01/ABINIT | JTH02/ABINIT | PS1lb031/QE | PS1lb100/QE | VASFP2007/VASP | VASFP2012/VASP | VASFG2015/VASP | GBRV12/QE | GBRV14/CASTEP | GBRV14/QE | OTFG/CASTEP | OTFG9/CASTEP | SSSP/QE | Vdw/CASTEP | Vdw2/DACapo | FHI98pp/ABINIT | HGH/ABINIT | HGH-NLCC/BigDFT | MBK2013/OpenMX | ONCVSPF (P0.1)/ABINIT | ONCVSPF (SG15.1)/CASTEP | ONCVSPF (SG15.1)/QE | ONCVSPF (SG15.2)/CASTEP | | | | | | | | |
| AE | Elk | 0.3 | 0.6 | 0.6 | 0.3 | 0.6 | 3.9 | 1.0 | 1.0 | 0.9 | 1.7 | 1.8 | 0.3 | 0.9 | 3.8 | 1.3 | 1.5 | 1.2 | 0.6 | 1.6 | 0.9 | 2.1 | 0.7 | 0.4 | 1.1 | 1.1 | 1.0 | 2.5 | 0.4 | 0.4 | 6.4 | 6.3 | 13.5 | 2.2 | 1.1 | 2.1 | 0.7 | 1.5 | 1.4 | 1.4 | | | | | | | | | |
| | exciting | 0.3 | | 0.5 | 0.5 | 0.1 | 0.5 | 3.9 | 1.0 | 0.9 | 0.8 | 1.7 | 1.8 | 0.2 | 0.8 | 3.8 | 1.3 | 1.5 | 1.2 | 0.6 | 1.6 | 0.8 | 2.1 | 0.6 | 0.4 | 1.0 | 1.1 | 1.0 | 2.5 | 0.5 | 0.3 | 6.4 | 6.3 | 13.4 | 2.2 | 1.1 | 2.1 | 0.7 | 1.4 | 1.3 | 1.4 | | | | | | | | |
| | FHI-aims/tight | 0.6 | 0.5 | | 0.0 | 0.5 | 0.7 | 3.8 | 0.9 | 1.1 | 0.7 | 1.8 | 1.8 | 0.5 | 1.0 | 3.8 | 1.3 | 1.6 | 1.3 | 0.7 | 1.7 | 1.0 | 2.2 | 0.8 | 0.6 | 1.1 | 1.2 | 1.1 | 2.6 | 0.7 | 0.6 | 6.4 | 6.3 | 13.6 | 2.2 | 1.2 | 2.0 | 0.8 | 1.5 | 1.4 | 1.5 | | | | | | | | |
| | FHI-aims/really_tight | 0.6 | 0.5 | 0.0 | | 0.5 | 0.7 | 3.8 | 0.9 | 1.1 | 0.8 | 1.8 | 1.8 | 0.5 | 1.0 | 3.8 | 1.3 | 1.6 | 1.3 | 0.7 | 1.7 | 1.0 | 2.2 | 0.8 | 0.6 | 1.1 | 1.2 | 1.1 | 2.6 | 0.7 | 0.6 | 6.5 | 6.3 | 13.6 | 2.2 | 1.2 | 2.0 | 0.8 | 1.5 | 1.4 | 1.5 | | | | | | | | |
| | FHI-aims/tier2 | 0.3 | 0.1 | 0.5 | 0.5 | | 0.5 | 3.9 | 0.9 | 0.9 | 0.8 | 1.7 | 1.8 | 0.2 | 0.8 | 3.8 | 1.3 | 1.5 | 1.2 | 0.6 | 1.6 | 0.8 | 2.0 | 0.6 | 0.4 | 0.9 | 1.0 | 0.9 | 2.5 | 0.5 | 0.3 | 6.4 | 6.3 | 13.4 | 2.2 | 1.1 | 2.1 | 0.7 | 1.4 | 1.3 | 1.4 | | | | | | | | |
| | FLEUR | 0.6 | 0.5 | 0.7 | 0.7 | 0.5 | | 3.6 | 0.8 | 0.8 | 0.6 | 1.4 | 1.5 | 0.4 | 0.9 | 3.5 | 1.3 | 1.5 | 1.0 | 0.6 | 1.5 | 0.8 | 1.9 | 0.7 | 0.6 | 1.0 | 1.0 | 1.0 | 2.6 | 0.7 | 0.5 | 6.5 | 6.3 | 13.2 | 2.0 | 1.0 | 1.9 | 0.6 | 1.3 | 1.3 | 1.3 | | | | | | | | |
| | FPLO/default | 3.9 | 3.9 | 3.8 | 3.8 | 3.9 | 3.6 | | 3.1 | 3.6 | 3.3 | 2.9 | 2.5 | 3.9 | 4.0 | 3.1 | 4.1 | 4.1 | 3.4 | 3.6 | 3.3 | 3.9 | 2.8 | 3.9 | 4.0 | 4.0 | 4.0 | 4.1 | 5.8 | 4.1 | 3.9 | 7.9 | 7.2 | 13.0 | 4.9 | 3.6 | 3.2 | 3.7 | 4.1 | 4.1 | 4.1 | | | | | | | | |
| | FPLO/T+F | 1.0 | 1.0 | 0.9 | 0.9 | 0.9 | 0.8 | 3.1 | | 0.8 | 0.7 | 1.4 | 1.4 | 0.9 | 1.3 | 3.4 | 1.7 | 1.9 | 1.0 | 0.9 | 1.5 | 1.3 | 1.9 | 1.2 | 1.0 | 1.3 | 1.3 | 1.3 | 3.1 | 1.1 | 1.0 | 6.6 | 6.4 | 13.7 | 2.4 | 1.2 | 1.8 | 1.0 | 1.6 | 1.6 | 1.6 | | | | | | | | |
| | FPLO/T+F+s | 1.0 | 0.9 | 1.1 | 1.1 | 0.9 | 0.8 | 3.6 | 0.8 | | 0.9 | 1.5 | 1.5 | 0.9 | 1.3 | 3.5 | 1.7 | 1.8 | 1.2 | 0.9 | 1.4 | 1.3 | 1.9 | 1.2 | 1.0 | 1.4 | 1.4 | 1.4 | 2.9 | 1.0 | 0.9 | 6.4 | 6.4 | 13.0 | 2.3 | 1.2 | 1.8 | 1.0 | 1.6 | 1.6 | 1.6 | | | | | | | | |
| | RSPT | 0.9 | 0.8 | 0.7 | 0.8 | 0.8 | 0.6 | 3.3 | 0.7 | 0.9 | | 1.3 | 1.3 | 0.8 | 1.1 | 3.4 | 1.5 | 1.7 | 0.9 | 0.7 | 1.6 | 1.1 | 1.9 | 1.0 | 0.8 | 1.2 | 1.3 | 1.3 | 3.0 | 1.0 | 0.8 | 6.7 | 6.5 | 13.2 | 2.2 | 1.1 | 1.8 | 0.8 | 1.5 | 1.5 | 1.5 | | | | | | | | |
| | WIEN2k/default | 1.7 | 1.7 | 1.8 | 1.8 | 1.7 | 1.4 | 2.9 | 1.4 | 1.5 | 1.3 | | 0.9 | 1.7 | 1.9 | 3.2 | 2.2 | 2.3 | 1.3 | 1.5 | 1.8 | 1.7 | 1.8 | 1.8 | 1.8 | 1.9 | 1.9 | 1.9 | 3.8 | 1.8 | 1.6 | 7.1 | 7.0 | 13.0 | 2.8 | 1.7 | 1.9 | 1.6 | 2.1 | 2.1 | 2.1 | | | | | | | | |
| | WIEN2k/enhanced | 1.8 | 1.8 | 1.8 | 1.8 | 1.8 | 1.5 | 2.5 | 1.4 | 1.5 | 1.3 | 0.9 | | 1.8 | 2.0 | 2.6 | 2.1 | 2.2 | 1.1 | 1.5 | 1.6 | 1.8 | 1.4 | 1.9 | 2.0 | 2.0 | 2.0 | 2.0 | 3.8 | 2.0 | 1.7 | 6.9 | 6.9 | 12.3 | 2.8 | 1.6 | 1.5 | 1.7 | 1.9 | 1.9 | 1.9 | | | | | | | | |
| | WIEN2k/acc | 0.3 | 0.2 | 0.5 | 0.5 | 0.2 | 0.4 | 3.9 | 0.9 | 0.9 | 0.8 | 1.7 | 1.8 | | 0.8 | 3.8 | 1.3 | 1.5 | 1.2 | 0.5 | 1.6 | 0.8 | 2.0 | 0.7 | 0.3 | 0.9 | 1.0 | 1.0 | 2.5 | 0.5 | 0.3 | 6.4 | 6.2 | 13.4 | 2.1 | 1.0 | 2.0 | 0.6 | 1.4 | 1.3 | 1.4 | | | | | | | | |
| | GBRV12/ABINIT | 0.9 | 0.8 | 1.0 | 1.0 | 0.8 | 0.9 | 4.0 | 1.3 | 1.3 | 1.1 | 1.9 | 2.0 | 0.8 | | 4.1 | 1.5 | 1.6 | 1.5 | 1.1 | 2.0 | 1.1 | 2.3 | 1.0 | 0.9 | 0.7 | 0.8 | 0.7 | 2.8 | 1.0 | 0.7 | 6.4 | 6.3 | 15.1 | 2.5 | 1.5 | 2.4 | 1.1 | 1.8 | 1.7 | 1.8 | | | | | | | | |
| | GPAW06/GPAW | 3.8 | 3.8 | 3.8 | 3.8 | 3.8 | 3.5 | 3.1 | 3.4 | 3.5 | 3.4 | 3.2 | 2.6 | 3.8 | 4.1 | | 3.6 | 3.5 | 3.2 | 3.5 | 3.0 | 3.8 | 3.8 | 3.7 | 3.8 | 4.0 | 3.8 | 4.0 | 5.6 | 3.9 | 3.6 | 7.4 | 7.6 | 12.3 | 4.5 | 3.0 | 3.0 | 3.6 | 3.7 | 3.8 | | | | | | | | | |

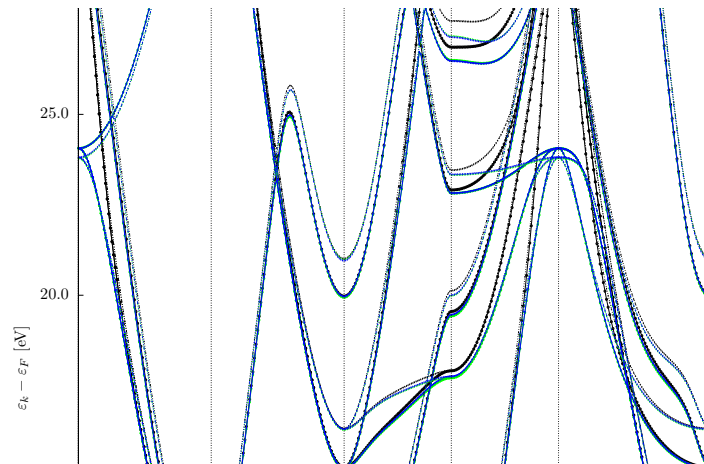
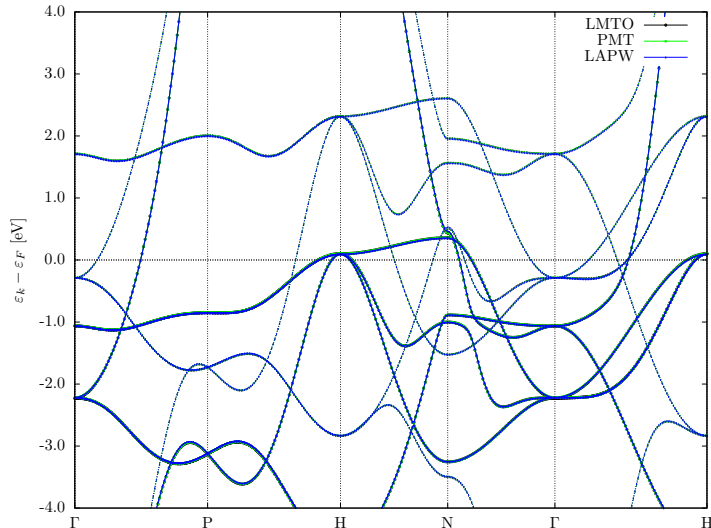
Imf – Questaal's Full Potential LMTO Code

- “large” LMTO basis
- PW component needed for molecular crystals (eg, N₂)

| | | | | | | | | | | | | | | | | | | | | | | | |
|------|-----------------------------------|------------------------------------|---|------------------------------------|-----------------------------------|-------------------------------------|-------------------------------------|------------------------------------|----------------------------------|------------------------------------|---------------------------------|----------------------------------|------------------------------------|------------------------------------|------------------------------------|------------------------------------|-----------------------------------|----------------------------------|--|--|--|--|--|
| 1 IA | | | | | | | | | | | | 18 VIIIA | | | | | | | | | | | |
| 1 | 0.027 H Hydrogen,1 | LMF: PMT+LO | | | | | | | | | | | | | | | | | | | | | |
| 2 | 0.031 Li Lithium,3 | 0.158 Be Beryllium,4 | Δ^{WIEN2k} (mean=0.58meV/at) PWEMAX=2Ryd (N,O,F:7.5Ryd),OVEPS=1e-10,RMT_MAX=2.6bohr | | | | | | | | | | 0.308 B Boron,5 | 0.556 C Carbon,6 | 0.928 N Nitrogen,7 | 0.963 O Oxygen,8 | 0.646 F Flourine,9 | 0.060 Ne Neon,10 | | | | | |
| 3 | 0.630 Na Sodium,11 | 0.389 Mg Magnesium,12 | 3 IIIA | 4 IVB | 5 VB | 6 VIB | 7 VIIB | 8 VIIIB | 9 VIIIB | 10 VIIIB | 11 IB | 12 IIB | 0.526 Al Aluminium,13 | 0.749 Si Silicon,14 | 0.264 P Phosphorus,15 | 1.443 S Sulphur,16 | 0.538 Cl Chlorine,17 | 0.046 Ar Argon,18 | | | | | |
| 4 | 0.025 K Potassium,19 | 0.417 Ca Calcium,20 | 0.189 Sc Scandium,21 | 0.402 Ti Titanium,22 | 0.867 V Vanadium,23 | 0.871 Cr Chromium,24 | 0.410 Mn Manganese,25 | 0.322 Fe Iron,26 | 1.273 Co Cobalt,27 | 1.169 Ni Nickel,28 | 0.364 Cu Copper,29 | 0.330 Zn Zinc,30 | 0.224 Ga Gallium,31 | 0.151 Ge Germanium,32 | 2.003 As Arsenic,33 | 1.473 Se Selenium,34 | 0.960 Br Bromine,35 | 0.076 Kr Krypton,36 | | | | | |
| 5 | 0.158 Rb Rubidium,37 | 0.319 Sr Strontium,38 | 0.077 Y Yttrium,39 | 0.368 Zr Zirconium,40 | 0.617 Nb Niobium,41 | 0.171 Mo Molybdenum,42 | 1.630 Tc Technetium,43 | 0.214 Ru Ruthenium,44 | 0.631 Rh Rhodium,45 | 0.284 Pd Palladium,46 | 0.497 Ag Silver,47 | 1.983 Cd Cadmium,48 | 0.198 In Indium,49 | 0.659 Sn Tin,50 | 0.586 Sb Antimony,51 | 0.431 Te Tellurium,52 | 0.809 I Iodine,53 | 0.094 Xe Xenon,54 | | | | | |
| 6 | 0.018 Cs Caesium,55 | 2.114 Ba Barium,56 | 0.108 Lu Lutetium,71 | 0.309 Hf Hafnium,72 | 1.273 Ta Tantalum,73 | 3.520 W Tungsten,74 | 1.437 Re Rhenium,75 | 0.799 Os Osmium,76 | 0.782 Ir Iridium,77 | 0.204 Pt Platinum,78 | 0.177 Au Gold,79 | 0.027 Hg Mercury,80 | 0.129 Tl Thallium,81 | 0.052 Pb Lead,82 | 0.340 Bi Bismuth,83 | 0.040 Po Polonium,84 | | 0.075 Rn Radon,86 | | | | | |

- comparable with other “all-electron” methods
- “general purpose” DFT code

Imf – Questaal's Full Potential LMTO Code



- BCC Fe bands@GGA: LMTO, LMTO+PW
- comparison with LAPW (e1k code)
- excellent agreement for the occupied bands (variation over occupied eigenvalues $< 1\text{mRyd}$)
- unoccupied improved by a small PW component (error $\sim 40\text{mRyd}$ over 20 empty bands)

Imf – Questaal's Full Potential LMTO Code

Basis setup:

current strategy:

1. fit EH and RSMH for each l to free-atom solutions
2. apply minimum/maximum limits to these, depending upon r_{mt}
3. add second set (if requested) at a deeper (more negative) EH (same RSMH)

alternative strategy:

1. choose EH for all l
2. specify energies corresponding to weakly and strongly bound states
3. setup two separated RSMH corresponding to states at those energies in $V(r)$
4. try with: AUTOBAS [MTO=12 EIN=-2.0 EOUT=-0.5]

Imf – Questaal's Full Potential LMTO Code

Part 1: Practical introduction and overview of the Imf code

- full-potential implementation & basis
- verification exercise results

Part 2: Using the Imf code

- walk-through of SrTiO_3 calculation

Part 3: Hands-on example

- Se relaxation

Ctrl file for SrTiO₃

- Pm-3m (space group 221); ICSD ref 80872: $a = 3.8996(5)\text{\AA}$

| spec | Wyckoff | positions |
|------|---------|-----------------|
| Sr | (1a) | (0, 0, 0) |
| Ti | (1b) | (1/2, 1/2, 1/2) |
| O | (3c) | (0, 1/2, 1/2) |

```
1 # cubic perovskite SrTiO3
2 STRUC
3   NSPEC=3      # number of different species
4   NBAS=5       # number of occupied sites
5   ALAT=7.3692  # expt lattice constant (bohr) (3.8996AA)
6   PLAT=1 0 0
7       0 1 0
8       0 0 1  # lattice vectors
9
10 SITE
11   ATOM=Sr XPOS=0 0 0
12   ATOM=Ti XPOS=1/2 1/2 1/2
13   ATOM=O  XPOS=0 1/2 1/2
14   ATOM=O  XPOS=1/2 1/2 0
15   ATOM=O  XPOS=1/2 0 1/2
16
17 SPEC      # definitions for individual species
18   ATOM=Sr Z=38 R=2.6 LMX=2 # R_mt and LMX key in LMTO
19   ATOM=Ti Z=22 R=2.2 LMX=2
20   ATOM=O  Z=8  R=1.6 LMX=2
21
22 VERS      # ctrl file format version
23   LMF=7 FP=7 LM=7
```


SrTiO3 – lmchk output

great! Can we check that it makes sense?

```
1 run > ls -l
2 total 8
3 -rw-r--r--  1 jerome  staff   571 May 12 11:32 ctrl.srtio3
4 run > lmchk ctrl.srtio3
5 ----- START LMCHK -----
6
7 LMCHK:      nbas = 5   nspec = 3   vn 7.11.i   verb 35
8 special
9 pot:       XC:BH
10 float:     float P LDA-style
11 autoread: none
12
13           Plat                      Qlat
14   1.000000   0.000000   0.000000       1.000000   0.000000   0.000000
15   0.000000   1.000000   0.000000       0.000000   1.000000   0.000000
16   0.000000   0.000000   1.000000       0.000000   0.000000   1.000000
17   alat = 7.3692   Cell vol = 400.185207
18
19 LATTC:  as= 2.000   tol=1.00E-08   alat= 7.36920   awald= 0.271
20         r1=  2.885   nkd= 93       q1=  3.426   nkg= 171
21
22 SGROUP: 1 symmetry operations from 0 generators
23 SYMLAT: Bravais system is cubic with 48 symmetry operations.
24 SYMCRY: crystal invariant under 48 symmetry operations for tol=1e-5
25 GROUPG: the following are sufficient to generate the space group:
26         i*r3d r2(0,1,1)
27         i*r3d r2(0,1,1)
28 MKSYM:  found 48 space group operations ... includes inversion
29 Use default rmaxs = 7.218 a.u. = 2.7*avw = 0.98*alat
```

SrTiO3 – lmchk output

```
1
2   Site      Class      Rmax      Hcr      Position
3     1        1  Sr      2.600000    1.820000    0.00000    0.00000    0.00000
4     2        2  Ti      2.200000    1.540000    0.50000    0.50000    0.50000
5     3        3  O       1.600000    1.120000    0.00000    0.50000    0.50000
6     4        3  O       1.600000    1.120000    0.50000    0.50000    0.00000
7     5        3  O       1.600000    1.120000    0.50000    0.00000    0.50000
8
9   Cell volume= 400.18521   Sum of sphere volumes= 169.69627 (0.42404)
10
11  ib  jb  c11      c12      Pos(jb)-Pos(ib)      Dist  sumrs  Ovlp    %    summt  Ovlp    %
12   2   3   Ti       0      -3.685  0.000  0.000  3.685  3.800   0.12   3.1*   2.660  -1.02  -27.8
13   2   4   Ti       0       0.000  0.000 -3.685  3.685  3.800   0.12   3.1*   2.660  -1.02  -27.8
14   2   5   Ti       0       0.000 -3.685  0.000  3.685  3.800   0.12   3.1*   2.660  -1.02  -27.8
15
16  OVMIN, 81 pairs:  fovl = 9.43828e-10   <ovlp> = 3.1%   max ovlp = 3.1%
17  Exit 0 LMCHK
18  CPU time:      0.031s   Wall clock      0.062s   11:33:00 12.05.2017           on
19
20  Wall-clock time: 0.063s. Resolution 0.000001s
21
22  run >
```

- overlap $\sim 3\%$ is maybe ok: we will learn later how to improve upon this using **blm**
- for more information, increase the verbosity from the command line, eg:
`lmchk --pr50 srtio3`
- lots of information: also neighbour tables, bond angles, ... (see Se example)

Ctrl file for SrTiO₃

Before running a DFT calculation we must:

1. specify the exchange-correlation functional
2. run the atom solver **lmfa** to generate free-atom densities and setup basis
3. identify any semicore states
4. specify the smooth grid (plane-wave cut-off) for representing n and V (GMAX)
5. specify the \vec{k} -sampling
6. specify the maximum number of iterations to self-consistency

SrTiO₃ – Basis

specify the exchange-correlation functional and LMTO basis:

```
1  VERS           # ctrl file format version
2    LMF=7  FP=7  LM=7
3
4  HAM            # options relating to the Hamiltonian
5    XCFUN=1      # LDA (VWN)
6  # XCFUN=0 101 130 #PBE-GGA using LibXC interface
7    AUTOBAS[MT0=2  LMTO=5] # MT0=2: read from "basp" file
8                           # LMTO=5: use BIG basis
9    GMAX=0       # smooth grid cut-off
10                # use the recommendation from lmfa
```

AUTOBAS introduces options for automatic basis:

lmfa: (radial) atom solver, *writes* core density and basis definitions to basp file

lmf: (full potential) band program, *requires* atom density and basis definitions in basp file
– *must always execute lmfa to start a new calculation: run “lmfa ctrl.srtio3”*

SrTiO3 – Imfa output (1)

output of running **Imfa**:

```
1
2 Species Sr:  Z=38  Qc=36  R=2.600000  Q=0
3 mesh:  rmt=2.600000  rmax=49.676653  a=0.025  nr=425  nr(rmax)=543
4 Pl=  5.5      5.5      4.5      4.5
5 Ql=  2.0      0.0      0.0      0.0
6
7      iter      qint      drho      vh0      rho0      vsum      beta
8      1      38.000000  6.880E+03  190.0000  0.1890E+03  -76.3617  0.30
9      52      38.000000  4.253E-05  403.4924  0.8727E+05  -286.9181  0.30
10
11
12 sumev=-0.535008  etot=-6351.658531  eref=0.000000
13
14 Free-atom wavefunctions:
15 valence:      eval      node at      max at      c.t.p.      rho(r>rmt)
16 5s      -0.26750      1.649      3.616      5.425      0.892875
17 5p      -0.09680      1.962      4.862      8.333      0.965189
18 4d      -0.10320      0.652      2.151      8.148      0.611161
19 4f      0.01876      0.000      35.936      49.677*      1.000000
20
21 core:      ecore      node at      max at      c.t.p.      rho(r>rmt)
22 1s     -1169.16523      0.000      0.026      0.052      0.000000
23 2s     -158.32529      0.052      0.145      0.227      0.000000
24 2p     -140.77626      0.000      0.116      0.244      0.000000
25 3s     -24.44466      0.210      0.436      0.632      0.000000
26 3p     -18.78747      0.188      0.431      0.721      0.000000
27 3d     -9.42158      0.000      0.376      1.008      0.000003
28 4s     -3.00733      0.594      1.187      1.701      0.017430
29 4p     -1.68003      0.613      1.324      2.193      0.059807
```

occupancy and eigenvalues for atomic states of each species

SrTiO3 – Imfa output (2)

```
1 Optimise free-atom basis for species Sr, rmt=2.6
2 l it Rsm Eh stiffR stiffE Eval Exact Pnu Ql
3 0 50 5.014 -1.324 34.7 1.2 -0.25087 -0.26750 5.32 2.00
4 ... rsm exceeded rmt .. repeat with rsm=rmt
5 0 14 2.600 -0.100 34.7 2365.1 -0.21210 -0.26750 5.32 2.00
6 1 21 3.783 -0.100 32.7 1011.9 -0.10503 -0.09680 5.12 0.00
7 ... rsm exceeded rmt .. repeat with rsm=rmt
8 1 1 2.600 -0.100 32.7 -612.9 -0.07161 -0.09680 5.12 0.00
9 2 11 1.658 -0.100 576.5 -114.9 -0.08060 -0.10320 4.79 0.00
10 eigenvalue sum: exact -0.53501 opt basis -0.42419 error 0.11081
11
12 Make LMT0 basis parms for species Sr to lmx=3, rmt=2.6 vbar=0
13 l it Rsm Eh Eval Exact Pnu Ql Gmax
14 0 11 1.733* -0.100* -0.13053 -0.26750 5.32 2.00 4.0
15 1 42 0.300 -5.000 -1.01117 -0.09680 5.12 0.00 26.2
16 ... l=1 fit parms out of range ... revert to defaults
17 1 42 1.733+ -0.100+ -0.05603 -0.09680 5.12 0.00
18 2 11 1.658 -0.100* -0.08060 -0.10320 4.79 0.00 4.7
19 3 1 1.733+ -0.100+ 0.30532 0.01876 4.19 0.00
20
```

basis construction ... for all species. Lastly:

```
1 rho: r>rmt 1.368046 r<rmt 4.631954 qtot 6.000000
2
3 FREEAT: writing file basp0
4
5 FREEAT: estimate HAM_GMAX from RSMH: GMAX=9.4
6
7 Sum of reference energies: 0
8 Exit 0 LMFA
9 CPU time: 0.177s Wall clock 0.205s 13:27:25 12.05.2017 on
10
11 Wall-clock time: 0.206s. Resolution 0.000001s
```

we use this recommendation for the smooth mesh grid

SrTiO3 – Imfa output (3)

Imfa also generates the “basp” file, containing the automatically fitted basis:

```
1 BASIS:
2 Sr RSMH= 1.733 1.733 1.658 1.733 EH= -0.1 -0.1 -0.1 -0.1 RSMH2= 1.733 1.733 1.658 EH2= -0.9 -0.9 -0.9
3 Ti RSMH= 1.467 1.467 1.091 1.467 EH= -0.1 -0.1 -0.1 -0.1 RSMH2= 1.467 1.467 1.091 EH2= -0.9 -0.9 -0.9
4 O RSMH= 0.851 0.813 1.067 1.067 EH= -0.465 -0.1 -0.1 -0.1 RSMH2= 0.851 0.813 1.067 EH2= -1.265 -0.9 -0.9
```

- AUTOBAS_LMT0=5 gives a large *spdf,spd* basis (but, remember LMX)
- the generated basis definition is written to **basp0.ext**
- EH and EH2: Hankel energies, here in “LDA” style
- RSMH and RSMH2: smoothing radii optimised by **Imfa**
- to use the basis, we **need to copy basp0.ext to basp.ext**

SrTiO3 – ready to go

```
1 # cubic perovskite SrTiO3
2 STRUC
3   NSPEC=3      # number of different species
4   NBAS=5       # number of occupied sites
5   ALAT=7.3692  # expt lattice constant (bohr) (3.8996AA)
6   PLAT=1 0 0
7       0 1 0
8       0 0 1  # lattice vectors
9
10  SITE
11    ATOM=Sr  XPOS=0 0 0
12    ATOM=Ti  XPOS=1/2 1/2 1/2
13    ATOM=O   XPOS=0 1/2 1/2
14    ATOM=O   XPOS=1/2 1/2 0
15    ATOM=O   XPOS=1/2 0 1/2
16
17  SPEC      # definitions for individual species
18    ATOM=Sr  Z=38 R=2.6 LMX=2 # R_mt and LMX key in LMTO
19    ATOM=Ti  Z=22 R=2.2 LMX=2
20    ATOM=O   Z=8  R=1.6 LMX=2
21
22  VERS      # ctrl file format version
23    LMF=7  FP=7  LM=7
24
25  HAM      # options relating to the Hamiltonian
26    XCFUN=1 # LDA (VWN)
27    # XCFUN=0 101 130 #PBE-GGA using LibXC interface
28    AUTOBAS [MTO=2 LMT0=5] # MTO=2: read from "basp" file
29                        # LMT0=5: use BIG basis
30    GMAX=9.5 # smooth grid cut-off from LMFA
31
32  BZ
33    NKABC=10 10 10 # k-mesh divisions
34
35  ITER
36    NIT=50 # max scf iterations
```


SrTiO3 – run lmf (1)

```
1 run > clear
2 run > ls
3 ctrl.srtio3
4 run > lmfa srtio3 > atomlog
5 run > mv basp0.srtio3 basp.srtio3
6 run > mpirun -np 4 lmf srtio3 > scflog
7 run > cat save.srtio3
8 h ehf=-8505.8980933 ehk=-8504.4915374
9 i ehf=-8507.0104948 ehk=-8492.1939833
10 i ehf=-8505.7239925 ehk=-8504.9668352
11 i ehf=-8505.5817481 ehk=-8505.2006142
12 i ehf=-8505.4880124 ehk=-8505.417938
13 i ehf=-8505.4825994 ehk=-8505.4432019
14 i ehf=-8505.4728415 ehk=-8505.4631945
15 i ehf=-8505.4721799 ehk=-8505.4721639
16 i ehf=-8505.47202 ehk=-8505.4720064
17 c ehf=-8505.4720245 ehk=-8505.4720211
18 run > tail scflog
19
20 it 10 of 50 ehf= -8505.472024 ehk= -8505.472021
21 From last iter ehf= -8505.472020 ehk= -8505.472006
22 diffe(q)= -0.000004 (0.000026) tol= 0.000010 (0.000030) more=F
23 c ehf=-8505.4720245 ehk=-8505.4720211
24 Exit 0 LMF on vpn-3-063
25 CPU time: 63.127s Wall clock 63.196s 14:38:46 12.05.2017 on
26
27 Wall-clock time: 63.195s. Resolution 0.000001s
28
29 run >
```

- mpi parallelised over $\{\vec{k}\}$: use nproc less than/equal to NKIBZ
- remember: energies in Rydberg

SrTiO3 – run lmf (2)

main reporting via stdout:

- version, special features
- header, lattice, symmetry, BZ sampling, species data
- Hamiltonian info, charges
- for each scf step:
 - energy contributions
 - E_f , VBM/CBM/ E_g
 - eigenvalues at some selected \vec{k}
 - update augmentation: \bar{e} , logarithmic derivatives
 - local orbital setup
 - info on (charge) mixing

varies depending upon setup/code

verbosity can be changed: lmf --pr10 (spartan) or --pr60 (hefty)

SrTiO3 – run **Imf** (3)

| | |
|-------------|---|
| STDOUT | stdout from Imfa , Imf , etc., is the main output mechanism |
| atm.srtio3 | core and valence density and potential for atom (ASCII) |
| basf.srtio3 | basis definition (essentially ctrl file data) |
| ctrl.srtio3 | main input file |
| log.srtio3 | slightly cryptic log info |
| mixm.srtio3 | scf mixing history (binary) |
| moms.srtio3 | weights of overlap matrix (binary) |
| rst.srtio3 | full restart information (binary, can ask for ASCII) |
| save.srtio3 | total energy (and possibly spin): for monitoring convergence |
| wkp.srtio3 | \vec{k} -point weights (binary) |

–plus other files generated for different tasks

SrTiO3 – run lmf (4)

lmf --input | less very useful quick-reference for input tokens!

```
1 run > lmf --input
2 ...
3
4 DYN_MD          opt    ---
5   Parameters for molecular dynamics
6 DYN_MD_MODE     reqd   i4          1, 1      default = 0
7   0: no MD 1: NVE 2: NVT 3: NPT
8 DYN_MD_TSTEP    opt    r8          1, 1      default = 20.671
9   Time step (a.u.)
10 DYN_MD_TEMP     opt    r8          1, 1      default = 0.00189999
11   Temperature (a.u.)
12 DYN_MD_TAUP     opt    r8          1, 1      default = 206.71
13   Thermostat relaxation time (a.u.)
14 DYN_MD_TIME     reqd   r8          1, 1      default = 20671000
15   Total MD time (a.u.)
16 DYN_MD_TAUB     opt    r8          1, 1      default = 2067.1
17   Barostat relaxation time (a.u.)
18 DYN_MD_P        opt    r8          1, 1      default = 0
19   External pressure
20 NB: 1 deg.K = 6.3333e-6 a.u.; 1 fs = 20.67098 a.u.
21
22 --- Parameters for GW ---
23 GW_NKABC        opt    i4v         3, 1
24   No. qp along each of 3 lattice vectors.
25   Supply one number for all vectors or a separate number for each vector.
26 GW_BZJOB        opt    i4v         3, 1      default = 0
27   0 centers BZ mesh at origin, 1 centers off origin
28   Supply one number for all vectors or a separate number for each vector.
29 run >
```

each of the codes **lmf**, **blm**, **lmgf**, **lmfgwd**, etc ... provide this

SrTiO3 – run lmf (5)

lmf --help print quick information about command line arguments

```
1 run > lm --help
2 usage: lm [--OPTION] [-var-assign] [ext]
3
4 --h
5 --help          Print this message, and quit
6 --input         List categories, tokens, and data program expects, and quit
7 --show          Print control file after parsing by preprocessor,
8                and echo input data as read from the control file
9 --showp         Same as --show, but quit after input parsed
10 --iactiv        (--no-iactiv) Turn on (off) interactive mode
11                This switch overrides input file setting
12 --pr#1[,#2...]  Set the verbosity (stack) to values #1,#2, ...
13 --time=#1[,#2]  Print timing info to # levels (#1=summary; #2=on-the-fly)
14
15 -vnam=expr      Define numerical variable "nam"; set to result of 'expr'
16 -cnam=strn      Define character variable "nam"; set to 'strn'
17
18 --rpos=filnam   After reading input file, read site positions from "filnam"
19 --fixlat        Adjust lattice vectors and point group ops, attempt to
20                render them internally consistent
21 --fixpos[:tol=#] Adjust positions slightly, rendering them
22                as consistent as possible with the symmetry group
23 --nosym         Suppress symmetry operations
24
25 lm-specific options:
26
27 --rs=#1,#2 --band[~option...] --pdos[~option...] -mix=#1[,#2] --onesp --weula --rsedit --efrnge
28 For --band mode options, see doc/generating-energy-bands.html
29
30 lm v 7.11.i
31
32 Wall-clock time: 0.001s. Resolution 0.000001s
33
34 run >
```

SrTiO3 – a little ctrl file magic

| | | |
|---|-------------------------|--|
| 1 | <code>-vnam=expr</code> | Define numerical variable "nam"; set to result of 'expr' |
|---|-------------------------|--|

- access from the command line to variables defined in the ctrl file!
- preprocessor variables in `ctrl` file introduced by “% const var=exp”
- evaluated before being passed to the code
- variables which are changed from their defaults are echoed in the `save.ext` file
- quite a rich syntax/grammar with many features
- used extensively by **blm** and demo cases

SrTiO₃ – a little ctrl file magic

- example: converging the \vec{k} mesh:

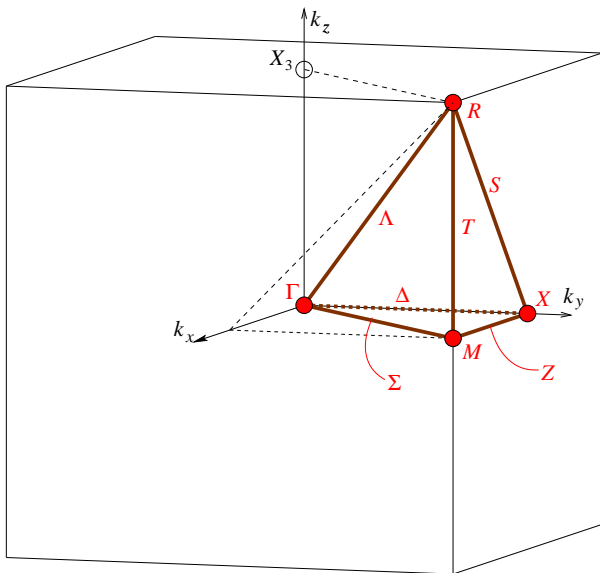
```
1  GMAX=9.5      # smooth grid cut-off from LMFA
2
3  % const nk=10
4  BZ
5  NKABC={nk} # k-mesh divisions
6
7  ITER
8  NIT=50        # max scf iterations
```

- **NKABC**: can take three arguments (a,b,c) or one (all the same)
- **NKABC**: when one negative value given, value relates to full BZ, (a,b,c) are scaled according to reciprocal cell vector lengths

```
1  run > vi ctrl.srtio3
2  run > for i in -50 -100 -200 -500 -1000 -1e4
3  > do
4  > lmf -vnk=$i srtio3 >scflog_$i
5  > done
6  run > grep ^c save.srtio3
7  c nk=-50 ehf=-8505.4706686 ehk=-8505.4706653
8  c nk=-100 ehf=-8505.4717582 ehk=-8505.4717562
9  c nk=-200 ehf=-8505.4719586 ehk=-8505.471957
10 c nk=-500 ehf=-8505.4720141 ehk=-8505.4720126
11 c nk=-1e3 ehf=-8505.4720129 ehk=-8505.4720116
12 c nk=-1e4 ehf=-8505.4720128 ehk=-8505.4720116
13 run >
```

SrTiO3 – plot the band structure (simple)

- require path in \vec{k}



©bilbao crystallographic server
<http://www.cryst.ehu.es>

setup a file **qp.srtio3** describing the path...

| | | | | | | | | |
|---|-----|-----|-----|-----|-----|-----|-----|--------------|
| 1 | 68 | 0.5 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | # X--G |
| 2 | 96 | 0.0 | 0.0 | 0.0 | 0.5 | 0.5 | 0.0 | # G--M |
| 3 | 68 | 0.5 | 0.5 | 0.0 | 0.5 | 0.5 | 0.5 | # M--R |
| 4 | 118 | 0.5 | 0.5 | 0.5 | 0.0 | 0.0 | 0.0 | # R--G |
| 5 | 0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | # terminates |

Cartesian \vec{k} coordinates

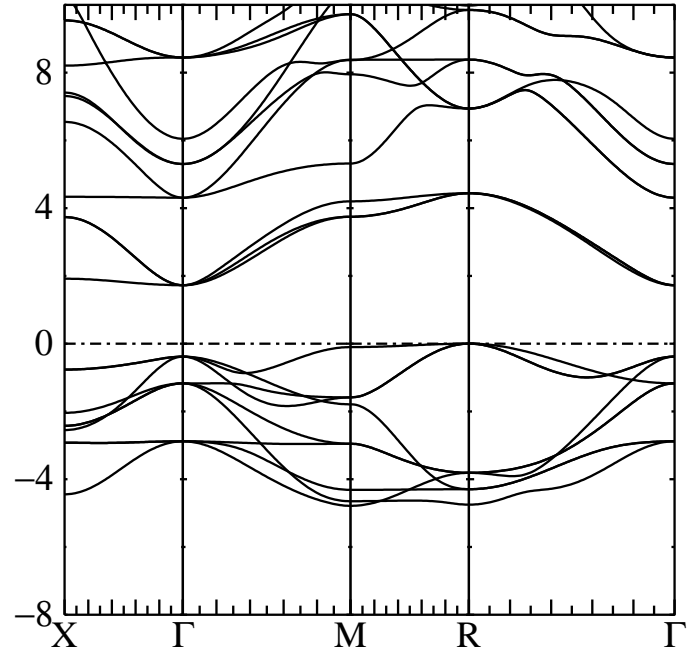
try to get reasonably equal spacing

rerun: **lmf --band srtio3**
(using converged potential contained in **rst** file)

gives new file: **bnds.srtio3** (ASCII $\varepsilon_{i,\vec{k}}$)

SrTiO₃ – plot the band structure (simple)

- `echo -8,10,8,6 | plbnds -fplot -ef=0 -scl=13.6 -lbl=X,G,M,R,G bnds.srtio3`
- `fplot` – general plotting utility
- `plbnds` – bnds to plot tool
- options: `emin`, `emax`, `width(cm)`, `height(cm)`
- `fplot -disp -f plot.plbnds`
– gives you a .ps figure:
- lots of information:
<https://www.questaal.org/docs/misc/fplot/>



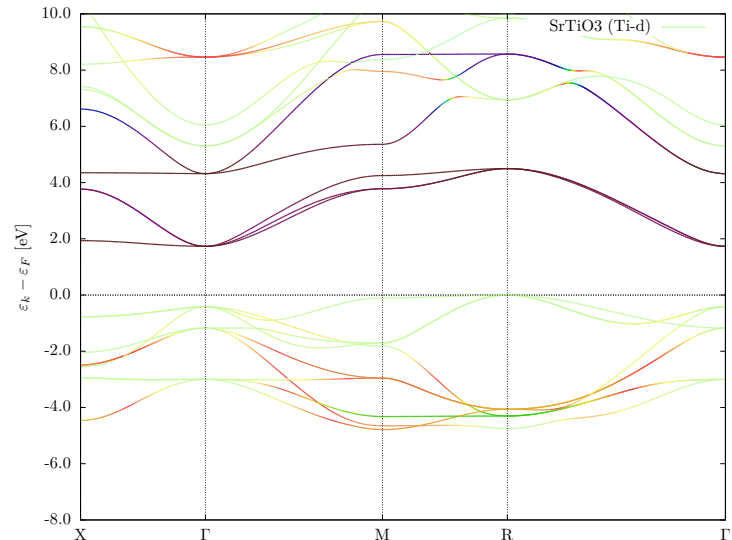
SrTiO₃ – band structure with character

- first ask **lmf** for details of the order of the basis:

```
lmf --quit=ham --pr60 srtio3
```

```
1 Orbital positions in hamiltonian, resolved by l:
2 Site Spec Total By l ...
3 1 Sr 1:18 1:1(s) 2:4(p) 5:9(d) 10:10(s) 11:13(p) 14:18(d)
4 2 Ti 19:36 19:19(s) 20:22(p) 23:27(d) 28:28(s) 29:31(p) 32:36(d)
5 3 0 37:54 37:37(s) 38:40(p) 41:45(d) 46:46(s) 47:49(p) 50:54(d)
6 4 0 55:72 55:55(s) 56:58(p) 59:63(d) 64:64(s) 65:67(p) 68:72(d)
7 5 0 73:90 73:73(s) 74:76(p) 77:81(d) 82:82(s) 83:85(p) 86:90(d)
8 suham : 80 augmentation channels, 80 local potential channels Maximum lmx=3
```

- want the 10 Ti-d components ($2-\kappa$ basis)
- run **lmf** again with options to the `--band` flag:
`lmf --band col=23:27,32:36 srtio3`
- **fplot** does colouring well, also!



SrTiO3 – using local orbitals (1)

- **lmf** offers several ways of including semicore states in the valence: traditional local orbitals and extended local orbitals (their own $h(z)$)
- generally qualitative difference, sometimes significant
- when to include semicore-states?
 - reasonably shallow atomic states ($\varepsilon_i > -2.5 Ryd$)
 - atomic states that leak ($Q(r > r_{mt}) > 0.002e$)
- this is a change in the basis: re-run the atom solver **lmfa**
- a couple of new ctrl parameters

```
1
2 HAM                # options relating to the Hamiltonian
3 XCFUN=1            # LDA (VWN)
4 # XCFUN=0 101 130 #PBE-GGA using LibXC interface
5 AUTOBAS[MTO=2 LMT0=5 LOC=1 ELOC=-2.5 QLOC=0.002]
6                 # MTO=2: read from "basp" file
7                 # LMT0=5: use BIG basis
8                 # LOC=1: search for semicore states
9                 # ELOC: atomic eigenvalue condition
10                # QLOC: semicore leakage condition
11 GMAX=9.5          # smooth grid cut-off from LMFA
```

SrTiO3 – using local orbitals (2)

```
1
2 HAM           # options relating to the Hamiltonian
3   XCFUN=1      # LDA (VWN)
4 # XCFUN=0 101 130 #PBE-GGA using LibXC interface
5   AUTOBAS[MTO=2 LMT0=5 LOC=1 ELOC=-2.5 QLOC=0.002]
6               # MTO=2: read from "basp" file
7               # LMT0=5: use BIG basis
8               # LOC=1: search for semicore states
9               # ELOC: atomic eigenvalue condition
10              # QLOC: semicore leakage condition
11 GMAX=9.5      # smooth grid cut-off from LMFA
```

- **lmfa** finds Sr 4p ($-1.680 Ryd$) and Ti 3p ($Q(r > r_{mt}) = 0.0220$)

```
1 run > ls
2 ctrl.srtio3_5
3 run > lmfa ctrl.srtio3_5 >atomlog
4 run > grep -A5 "Find local" atomlog
5   Find local orbitals which satisfy E > -2.5 Ry or q(r>rmt) > 2e-3
6   l=1  eval=-1.680 Q(r>rmt)=0.0598 PZ=4.915 Use: PZ=14.915
7   l=2  eval=-9.422 Q(r>rmt)=3e-6 PZ=3.964 Use: PZ=0.000
8
9   tailsm: fit tails to 6 smoothed hankels, rmt= 2.60000, rsm= 1.30000
10  HNSMFT: 103 points in interval 2.60000 33.29923; q= 1.785753
11 --
12   Find local orbitals which satisfy E > -2.5 Ry or q(r>rmt) > 2e-3
13   l=1  eval=-2.851 Q(r>rmt)=0.0220 PZ=3.926 Use: PZ=13.926
```

- syntax for local orbitals: PZ (l)
- +10 for extended local orbital (default and best)

SrTiO3 – using local orbitals (3)

- new basis file:

```
1 BASIS:
2 Sr RSMH= 1.733 1.733 1.658 1.733 EH= -0.1 -0.1 -0.1 -0.1 RSMH2= 1.733 1.733 1.658 EH2= -0.9 -0.9 -0.9
   PZ= 0 14.915
3 Ti RSMH= 1.467 1.467 1.091 1.467 EH= -0.1 -0.1 -0.1 -0.1 RSMH2= 1.467 1.467 1.091 EH2= -0.9 -0.9 -0.9
   PZ= 0 13.926
4 O RSMH= 0.851 0.813 1.067 1.067 EH= -0.465 -0.1 -0.1 -0.1 RSMH2= 0.851 0.813 1.067 EH2= -1.265 -0.9 -0.9
```

- after running **lmfa**, copy **basfp0.ext**→**basfp.ext**
- the core Q has now changed as we move the semicore into the valence: **must rerun lmfa**

– note that GMAX requirement may be now increased!

(otherwise: “Exit -1 problem in locpot – possibly low LMXA, or orbital mismatch, species Sr”)

- transition elements, high-lying (higher n than occupied) local orbitals are useful, too:
 - for Ti: PZ= 0 13.926 becomes PZ= 0 13.926 4.3
 - high-lying local orbitals are of conventional type

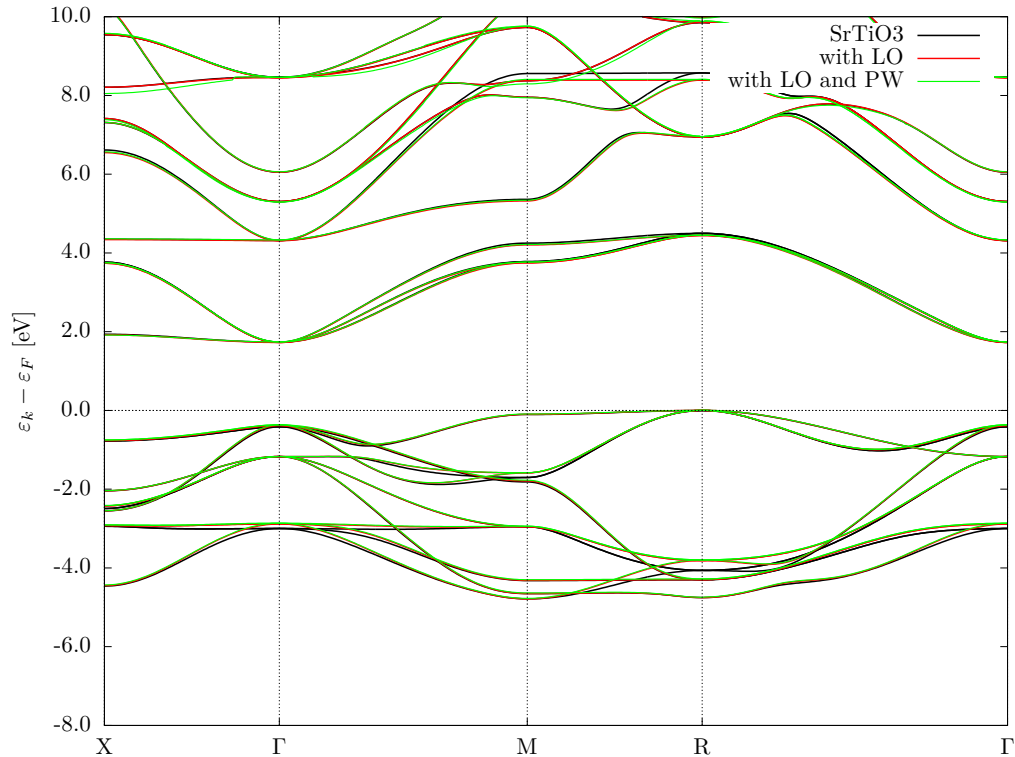
SrTiO3 – improving the basis with plane waves

- pretty easy to specify: cut-off energy
- necessary for more open structures
- remedies LMTO deficiencies

```
1           # LMT0=5: use BIG basis
2           # LOC=1: search for semicore states
3           # ELOC: atomic eigenvalue condition
4           # QLOC: semicore leakage condition
5 GMAX=9.5   # smooth grid cut-off from LMFA
6 PWMODE=11 PWEMIN=0.0 PWEMAX=2.0
7
8 BZ
9 NKABC=10 10 10 # k-mesh divisions
```

- prefer **PWMODE=11**: cut-off relates to $(\vec{k} + \vec{G})$
- reduce r_{mt} if a large PW set is desired
- avoid over-completeness in difficult cases with **HAM_OVEPS=1e-10**
- beware that expense increases with **PWEMAX**
(here, 2Ryd amounts to ~ 30 extra basis functions)

SrTiO₃ – improving the basis with plane waves



- LMTO basis is already excellent (PW are not needed)
- the semi-core states are significant

automatic ctrl generator: blm (1)

- a lot of options and settings in the control file
- basis choices, particularly r_{mt} , can be difficult
- different variables needed for different kinds of calculations
- **blm** provides easy automated interface
- common choices represented by command line flags (see: `blm --help`)
- higher level interface to the code

automatic ctrl generator: blm (2)

workflow: cif→init using cif2cell (community python utility)

init→ctrl using blm

```
1 run > ls
2 MyBaseFileName_80872.cif
3 run > /opt/cif2cell-1.2.10/cif2cell MyBaseFileName_80872.cif > cif2cell.stdout
4 run > ls
5 MyBaseFileName_80872.cif cif2cell.stdout
6 run > cif2init cif2cell.stdout > cif2init.stdout
7 run > ls
8 MyBaseFileName_80872.cif cif2cell.stdout          cif2init.stdout          init
9 run > mv init init.srtio3
10 run > cat init.srtio3
11 HEADER Sr (Ti O3) (Strontium titanate)
12 LATTICE
13 #          SPCGRP=221
14 #          A=3.8996 B=3.8996 C=3.8996  ALPHA=90 BETA=90  GAMMA=90
15 % const   a=3.8996
16           ALAT={a}  UNITS=A
17           PLAT=      1.0000000    0.0000000    0.0000000
18                   0.0000000    1.0000000    0.0000000
19                   0.0000000    0.0000000    1.0000000
20 SITE
21     ATOM=Sr      X=      0.0000000    0.0000000    0.0000000
22     ATOM=Ti      X=      0.5000000    0.5000000    0.5000000
23     ATOM=O       X=      0.0000000    0.5000000    0.5000000
24     ATOM=O       X=      0.5000000    0.5000000    0.0000000
25     ATOM=O       X=      0.5000000    0.0000000    0.5000000
26 run > blm init.srtio3 > blmlog
27 run > ls
28 MyBaseFileName_80872.cif blmlog          cif2init.stdout          log.srtio3
29 actrl.srtio3            cif2cell.stdout  init.srtio3             site.srtio3
```

automatic ctrl generator: blm (3)

output from **blm**:

```
1
2 makrm0: initial MT radii from first estat potential maximum
3   site   spec           rmt           rmt-           rmt-           rold    lock
4           <spec avg>   spec-min
5     1    1:Sr       3.1085       0.0000       0.0000       0.0000
6     2    2:Ti       2.0893       0.0000       0.0000       0.0000
7     3    3:O        1.5945       0.0000       0.0000       0.0000
8     4    3:O        1.5945       0.0000       0.0000       0.0000
9     5    3:O        1.5945       0.0000       0.0000       0.0000
10 SCLWSR:  mode = 0   vol = 400.182 a.u.   Initial sphere packing = 53.7%   scaled to 71.8%
11 constr omax1=   0.0   0.0   0.0 %   omax2= 100.0 100.0 100.0 %
12 actual omax1=   0.0   0.0   0.0 %   omax2=   0.0   0.0   0.0 %
13
14 ... Create input file actrl.dat (express mode 3)
15 IOSITE: wrote to file 'site', 5 sites
16
17 Wall-clock time: 0.148s. Resolution 0.000001s
```

- automatic scheme for finding r_{mt} based on potential landscape
- structure is placed in “site.dat” file – separates structure from ctrl variables
- EXPRESS category provides alias to common options

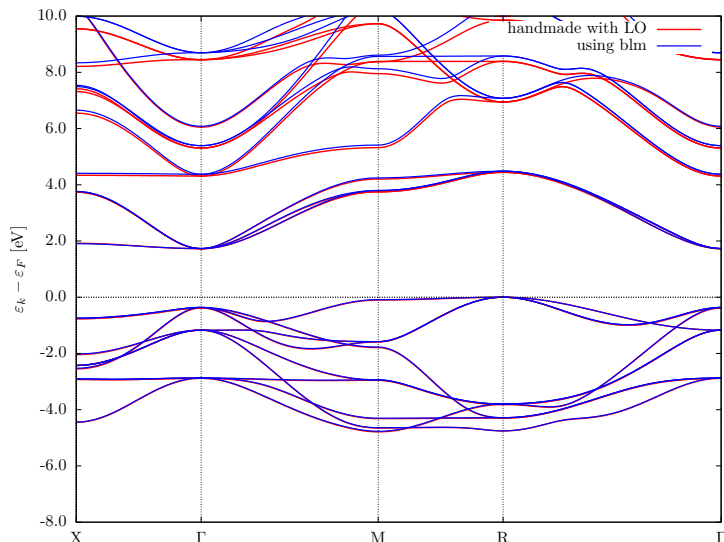
automatic ctrl generator: blm (4)

the generated ctrl file:

```
1  # Autogenerated from init.dat using:
2  # blm
3
4  # Variables entering into expressions parsed by input
5  % const nit=10
6  % const met=5
7  % const so=0 nsp=so?2:1
8  % const lxcf=2 lxcf1=0 lxcf2=0      # for PBE use: lxcf=0 lxcf1=101 lxcf2=130
9  % const pwmode=0 pwemax=3          # Use pwmode=1 or 11 to add APWs
10 % const nkabc=0 gmax=0
11
12 VERS  LM:7 FP:7 # ASA:7
13 IO     SHOW=f HELP=f IACTIV=f VERBOS=35,35  OUTPUT=*
14 EXPRESS
15 # Lattice vectors and site positions
16     file=      site
17
18 # Basis set
19     gmax=      {gmax}          # PW cutoff for charge density
20     autobas[pnu=1 loc=1 lmt0=5 mto=4 gw=0]
21
22 # Self-consistency
23     nit=      {nit}           # Maximum number of iterations
24     mix=      B2,b=.3,k=7     # Charge density mixing parameters
25     conv=      1e-5           # Convergence tolerance (energy)
26     convc=     3e-5           # tolerance in RMS (output-input) density
27
28 # Brillouin zone
29     nkabc=     {nkabc}        # 1 to 3 values
30     metal=     {met}         # Management of k-point integration weights in metals
31
32 # Potential
33     nspin=     {nsp}          # 2 for spin polarized calculations
```

automatic ctrl generator: blm (5)

```
1  nspin= {nsp}           # 2 for spin polarized calculations
2  so=     {so}           # 1 turns on spin-orbit coupling
3  xcfun=  {lxcf},{lxcf1},{lxcf2} # set lxcf=0 for libxc functionals
4
5  #SYMGRP i*r3d r2(0,1,1)
6  HAM
7      PWMODE={pwmode} PWEMIN=0 PWEMAX={pwemax} OVEPS=0 # For APW addition to basis
8      FORCES={so==0} ELIND=-0.7
9  SPEC
10  ATOM=Sr      Z= 38  R= 3.615925  LMX=3  LMXA=3
11  ATOM=Ti      Z= 22  R= 2.089718  LMX=2  LMXA=4
12  ATOM=O       Z=  8  R= 1.594872  LMX=2  LMXA=3
```



- very good agreement (eg, $\Delta E_g = 8\text{meV}$)
- LMX increased for Sr
- LMXA increased for Ti (l cut-off for augmentation)
- difference in empty states due to large $r_{mt} = 3.6\text{bohr}$ on Sr
- **blm** much better than my guess!

Advice

- ctrl file:
 - use the **blm** to setup r_{mt} ; use `--gw` for *GW* setup
 - check the `--help` and `--input` to see if what you want is there
- LDA setup
 - use a large basis (eg, `AUTOBAS_LMT0=5`)
 - the default basis setup (EH,RSMH) should be satisfactory “out of the box”
 - (also `lmf --optbas, ..!`)
 - semicore local orbitals should be included when present
 - use **lmfa** recommendation for GMAX, but test for convergence
 - consider plane waves for tricky open structures (`PWEMAX=2Ryd` goes a long way)
- in general
 - *many* tools and utilities to setup different systems, obtain properties and analyse data
 - just ask us! ☺
 - Se relaxation: <https://www.questaal.org/tutorial/lmf/molstat/>

Imf – Questaal's Full Potential LMTO Code

Part 1: Practical introduction and overview of the Imf code

- full-potential implementation & basis
- verification exercise results

Part 2: Using the Imf code

- walk-through of SrTiO_3 calculation

Part 3: Hands-on example

- Se relaxation

please navigate to: <https://www.questaal.org/tutorial/Imf/molstat/>