

1. (a) Define $F(x) = (\det \text{Hess}_x(f))^2 + \sum_1^k \left(\frac{\partial}{\partial x_j} f(x) \right)^2$, it's clear that both the hessian and sum terms are non-negative (since they are squares of real values). Now assume first that $F > 0$ on U , if f has no critical points on U we are done. Now if p is a critical point for f , we have that $d_p f : \mathbb{R}^k \rightarrow \mathbb{R}$ is not surjective, where $d_p f = \left(\frac{\partial}{\partial x_1} f \quad \cdots \quad \frac{\partial}{\partial x_k} f \right)$, this matrix is of course surjective so long as at least one $\frac{\partial}{\partial x_j} f \neq 0$, so at a critical point we get $\frac{\partial}{\partial x_j} f = 0$ for all j whence $\sum_1^k \left(\frac{\partial}{\partial x_j} f(p) \right)^2 = 0$, by our assumption of $F > 0$, this implies that $(\det \text{Hess}_p(f))^2 > 0$, and since p was an arbitrary critical point we can conclude that f is morse. Conversely, if f is morse, then by the computation above, at any regular value, p , we must have some $\frac{\partial}{\partial x_j} f \neq 0$, which implies that $\sum_1^k \left(\frac{\partial}{\partial x_j} f(p) \right)^2 > 0$, which implies $F(p) > 0$ by non-negativity of the hessian term. In the case that p is a critical point, we know that $\det \text{Hess}_p f \neq 0$, so that $(\det \text{Hess}_p f)^2 > 0$, and $F(p) > 0$, since all points are either regular values or critical points we are done. \square

(b) Let f be morse, and H a homotopy with $H(x, 0) = f(x)$, moreover we can denote $f_t = H(-, t)$. Then since M is compact we can pick a finite covering by charts $(V_1, U_1, \phi_1), \dots, (V_n, U_n, \phi_n)$. Now let η_i be a partition of unity subordinate to these charts, we can define

$$F(x, t) = \sum_1^n \eta_i(x) \left((\det \text{Hess}_{\phi_i^{-1}(x)} f_t \circ \phi_i^{-1})^2 + \sum_{j=1}^k \left(\frac{\partial}{\partial x_j} f_t \circ \phi_i^{-1}(x) \right)^2 \right)$$

F is smooth, due to closure of smooth functions under sums, products and compositions as well as the fact that the hessian and partials vary smoothly with t , this can be seen since the coordinates of these maps are a subset of the coordinates of $H \circ (\phi^{-1}, 1_{[0,1]})$, which of course has smooth partials and hessian. To see that $F(-, 0) > 0$ on M , note that since f_0 is morse and ϕ_i^{-1} are diffeomorphisms, each summand $\left((\det \text{Hess}_{\phi_i^{-1}(x)} f_t \circ \phi_i^{-1})^2 + \sum_{j=1}^k \left(\frac{\partial}{\partial x_j} f_t \circ \phi_i^{-1}(x) \right)^2 \right) > 0$ by part (a). Since M is compact, the function $\hat{F}(t) = \inf_{x \in M} F(x, t)$ is continuous, and it has $\hat{F}(0) > 0$, since $F(-, 0)$ is continuous and positive on a compact set (which implies it attains its infimum), then by continuity of \hat{F} , there exists some $\delta > 0$, such that $t < \delta$ implies $\hat{F}(t) > 0$. So to show that morse functions are generic, it will suffice to show that $F(-, t) > 0$ implies that f_t is morse, since then we get for $t < \delta$ each $f_t = H(x, t)$ is morse. To check this, note that since the ϕ_i^{-1} are diffeomorphisms, we have that $\text{Hess}_x f_t$ is invertible iff $\text{Hess}_{\phi_i^{-1}(x)} f_t \circ \phi_i^{-1}$ for each i (since we have a partition of unity we can always assume $x \in V_i$), moreover f_t is regular if and only if $f_t \circ \phi_i$ is, so at critical points of f_t , we get that for each i , $\sum_{j=1}^k \left(\frac{\partial}{\partial x_j} f_t \circ \phi_i^{-1}(x) \right)^2$ vanishes. It follows that $(\det \text{Hess}_{\phi_i^{-1}(x)} f_t \circ \phi_i^{-1})^2 > 0$ for all i , when x is a critical value of f , and since this Hessian is invertible iff the hessian of f_t is, we find that f_t has invertible Hessian at all its critical points, assuming $F(-, t) > 0$ on M which completes the proof. \square