

TABLE OF CONTENTS

INTRODUCTION	2
COMPLIANCE OFFICER/COMMITTEE.....	3
CODE OF CONDUCT	4
STANDARDS OF CONDUCT.....	5
A. Resident/Patient Care and Resident/Patient Rights	5
B. Referrals.....	7
C. Billing and Claims; Cost Reports.....	8
D. Non-Discrimination in Resident/Patient Services and Charges	11
E. Confidentiality	12
F. Business Entertainment or Gifts.....	13
G. Conflicts of Interest.....	14
H. Governance.....	15
I. Credentialing	15
J. Prohibition on Political Contributions.....	16
EDUCATION AND TRAINING.....	16
A. Initial and Annual Training	16
B. Periodic Training.....	16
C. Failure to Attend Required Training.....	17
D. Ongoing Communication and Changes in Compliance Manual.....	17
REPORTING REQUIREMENTS	17
A. Reporting	17
B. Examples of Activities to be Reported	17
C. Confidentiality	18
D. Investigations	18
E. Non-Intimidation/Non-Retaliation	18
DISCIPLINARY PROCEDURES	19
MONITORING AND AUDITING	20
APPENDIX “A” – STATE AND FEDERAL FALSE CLAIMS LAWS AND RELATED LAWS, AND WHISTLEBLOWER PROTECTION	21
WHISTLEBLOWER POLICY.....	41
APPENDIX	
CORPORATE COMPLIANCE REPORTING FORM	45
EMPLOYEE/PROFESSIONAL STAFF MEMBER COMPLIANCE CERTIFICATION	46