

2021

# Microphotonics

## CAD-LAB: Periodic Structure

Lukuan Zhang, Rui Zhu, Xiyuan Guo



GHENT UNIVERSITY  
GLOBAL CAMPUS

Contents

<b>1</b>	<b><u>Surface Grating</u></b>	<b>1</b>
1.1	.....	1
1.2	.....	1
1.3	.....	2
<b>2</b>	<b><u>Distributed Bragg Reflector</u></b>	<b>4</b>
<b>3</b>	<b><u>Grating Coupler</u></b>	<b>6</b>
3.1	.....	6
3.2	.....	6
3.3	.....	7
3.4	.....	8

## 1 Surface Grating

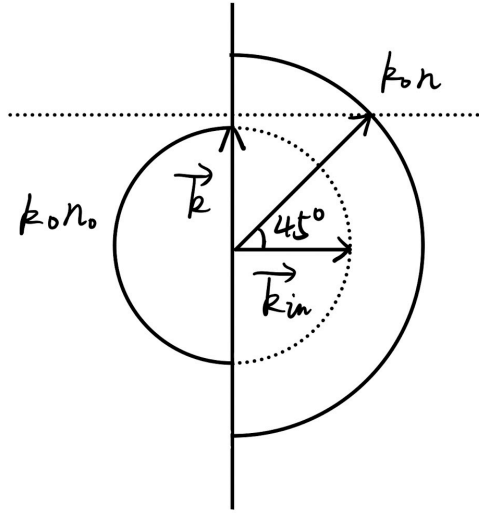
### 1.1

From Figure 1 of the  $k$ -vector diagram of the surface grating, we get

$$K = \frac{2\pi}{\Lambda} = \frac{k_0 n}{\sqrt{2}} \quad (1)$$

$$\Lambda = \frac{2\pi\sqrt{2}}{k_0 n} = 0.917\mu\text{m} \quad (2)$$

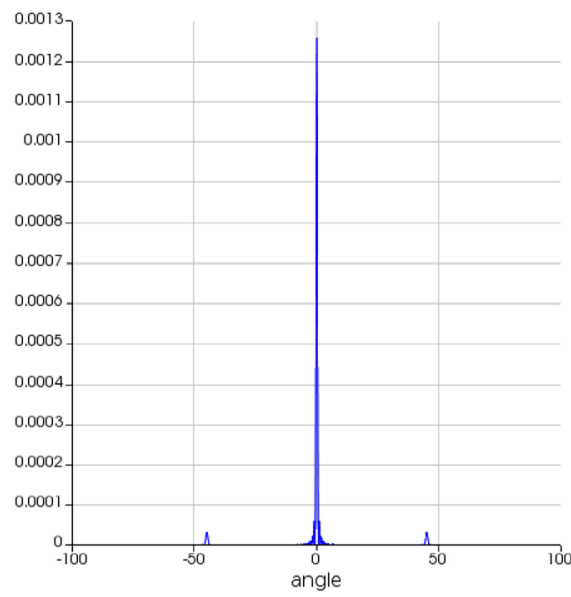
So the period of surface grating is  $0.917\mu\text{m}$ .



**Figure 1:** The  $k$ -vector diagram of the surface grating

### 1.2

Set  $D$  as  $0.1\mu\text{m}$  and period  $\Lambda$  as  $0.917\mu\text{m}$  and run the simulation, then we can get Figure 2, which shows the plane wave mainly propagates in the  $0^\circ$  direction, and partly propagates in the  $45^\circ$  and  $-45^\circ$  direction.



**Figure 2:** Distribution of E2 in farfield with period  $0.917\mu\text{m}$  and  $D\ 0.1\mu\text{m}$

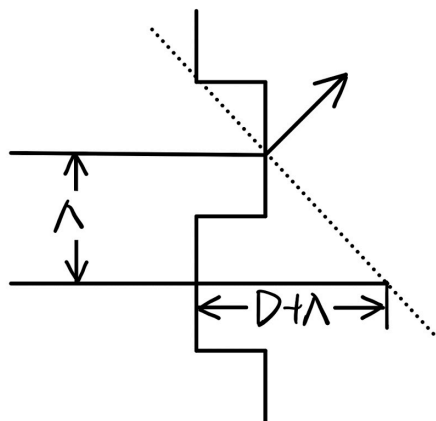
### 1.3

#### 1. Increase the diffraction to the $45^\circ$ orders

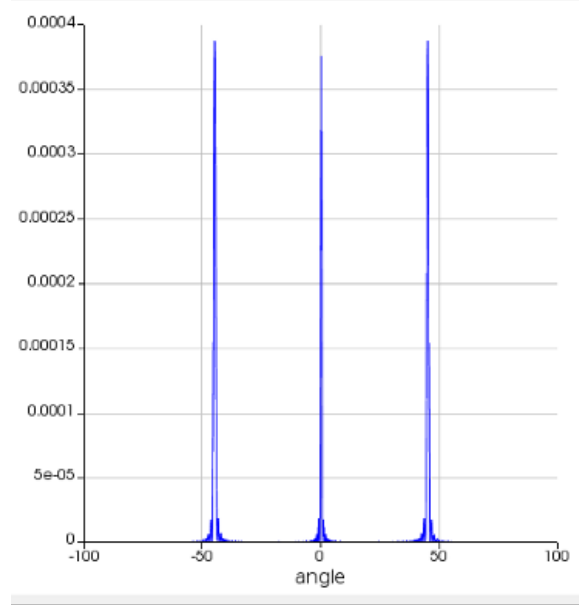
Getting the geometric relationship from Figure 3, an analytical expression can be figured out from

$$k_0 \left( \sqrt{2}Dn - n_0D \right) = 2\pi m \quad (3)$$

When  $\lambda = 1.55\mu\text{m}$ ,  $n = 2.39$ ,  $n_0 = 1$  and  $m = 1$ , we get  $D = 0.6513\mu\text{m}$ . Adjusting the parameters and running the simulation again, we can see an apparent improvement in Figure 4



**Figure 3:** The surface gating diagram



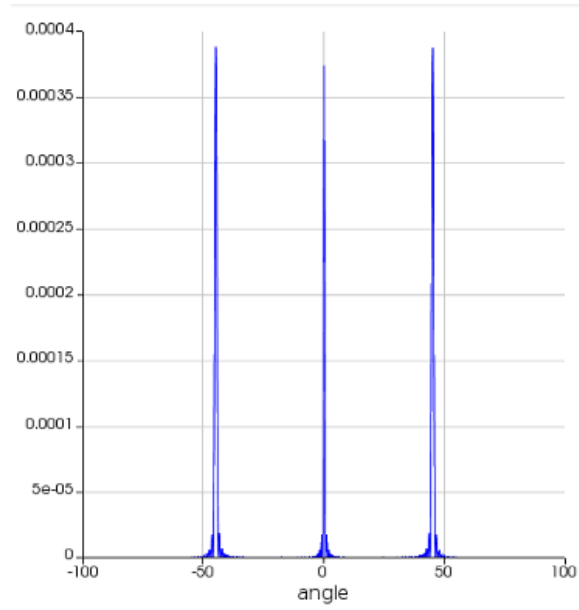
**Figure 4:** Distribution of E2 in farfield with period  $0.917\mu\text{m}$  and  $D\ 0.6513\mu\text{m}$

## 2. Decrease the $0^\circ$ diffraction

An analytical expression can be figured out from

$$k_0 ((D + \Lambda)n - n_0 D) = 2\pi m \quad (4)$$

When  $\lambda = 1.55\mu\text{m}$ ,  $\Lambda = 0.917\mu\text{m}$ ,  $n = 2.39$ ,  $n_0 = 1$  and  $m = 1$ , we get  $D = 0.6536\mu\text{m}$ . Adjusting the parameters and running the simulation again, we can also see an apparent improvement in Figure 5.



**Figure 5:** Distribution of E2 in farfield with period  $0.917\mu\text{m}$  and  $D\ 0.6536\mu\text{m}$

## 2 Distributed Bragg Reflector

According to the  $k$ -diagram in Figure 6, we can calculate the period required,

$$\Lambda = \frac{\lambda}{2n_{eff}} = 0.322917\mu m \quad (5)$$

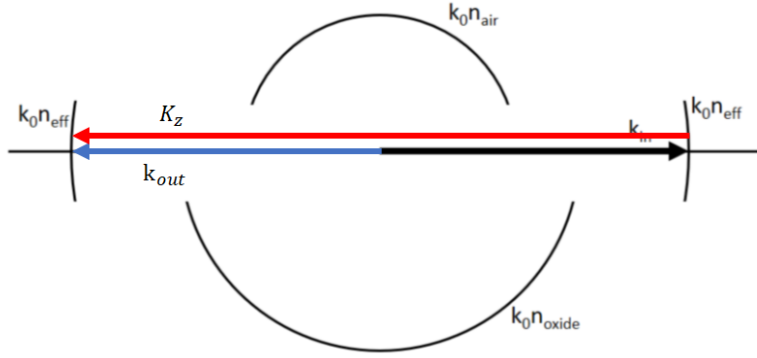


Figure 6:  $k$  vector diagram

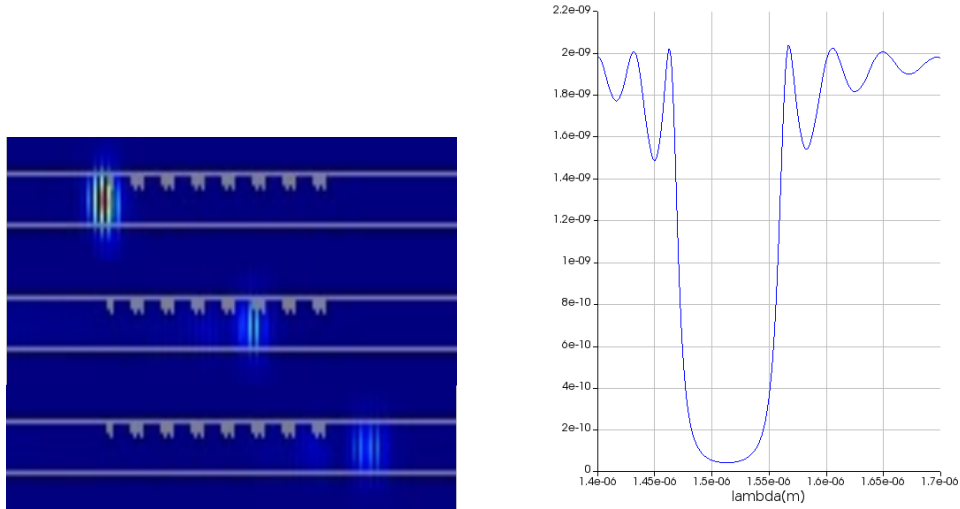


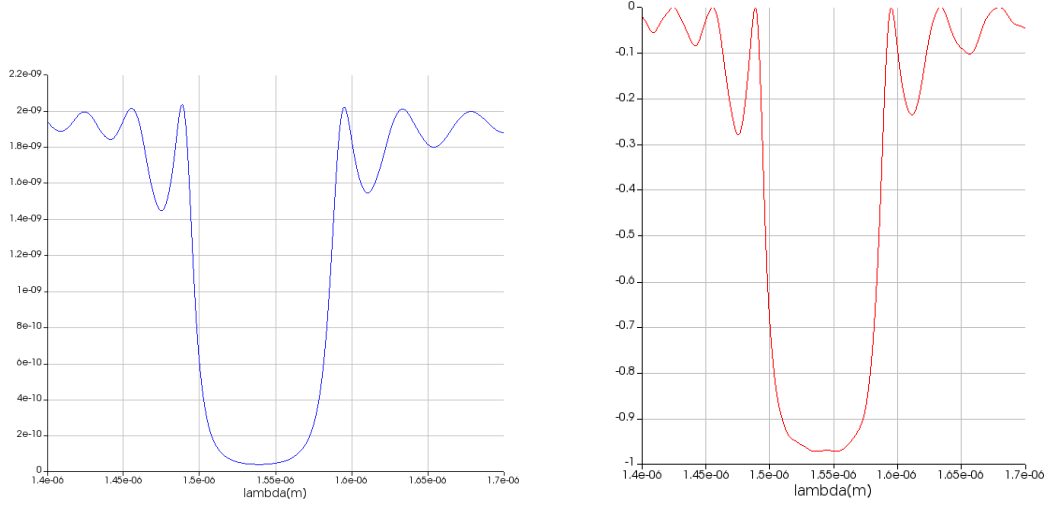
Figure 7: Propagation process(Left). The transmission spectrum of the grating(Right)

Adjusting it in the *WaveguideGrating.fsp* simulation file, the result is shown in Figure 8. Obviously, it is not what we expect. The smallest transmission is not at  $\lambda = 1.55\mu m$ . We think it's because the air surrounding and boundary condition or other reasons that have influences on the effective refractive index. If the simulation is real, the real  $n_{eff}$  should meet

$$\frac{\lambda'}{2n'_{eff}} = \frac{\lambda}{2n_{eff}} \quad (6)$$

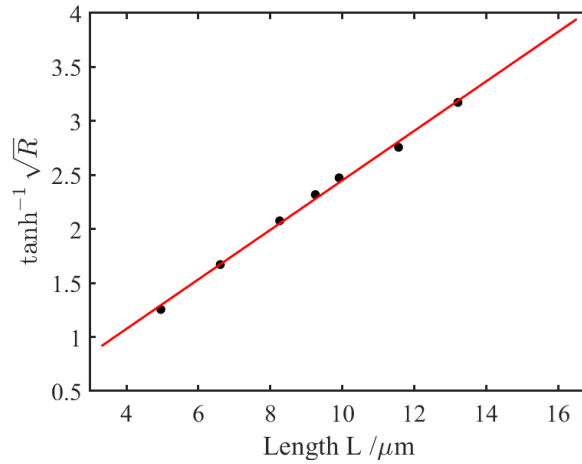
Then we get  $n_{eff} = 2.346$  and  $\Lambda = 0.3304$ . In the simulation, we get the reflectivity 0.972. According to formula (6.87), we calculate  $\kappa$

$$\kappa = \frac{1}{2N\Lambda} \ln \frac{1 + \sqrt{R}}{1 - \sqrt{R}} = 0.250 \mu m^{-1} \quad (7)$$



**Figure 8:** The transmission spectrum of the grating when  $n_{eff} = 2.346$ (Left). The reflectivity(Right)

After changing  $N$ , we could get the relation between  $\kappa L$  and  $R$ , as shown in Figure 9. Obviously,  $L$  is proportional to  $\tanh^{-1} \sqrt{R}$ . Simulating it with Matlab, we get  $\kappa = 0.2292 \mu m^{-1}$ .



**Figure 9:** Relation between  $\tanh^{-1} \sqrt{R}$  and  $L$ .

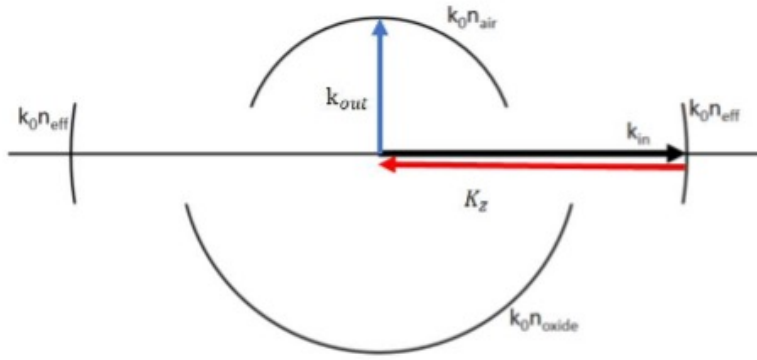
### 3 Grating Coupler

#### 3.1

From Figure 10 of the  $k$ -vector diagram of the waveguide grating, the period of waveguide grating is calculated as  $0.645\mu\text{m}$ .

$$K = \frac{2\pi}{\Lambda} = k_{in} = \frac{2\pi}{\lambda} n_{eff} \quad (8)$$

$$\Lambda = \frac{\lambda}{n_{eff}} = \frac{1550 \text{ nm}}{2.4} = 645.8 \text{ nm} \quad (9)$$

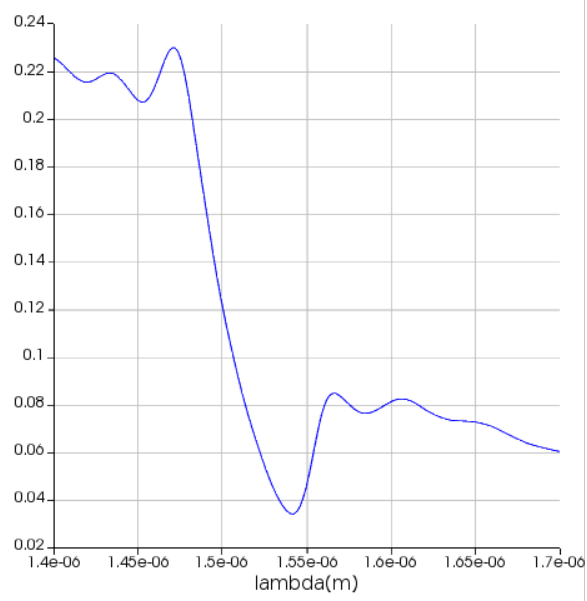


**Figure 10:** The  $k$ -vector diagram of the waveguide grating

#### 3.2

Figure 11 shows transmission spectrum when the light propagates upwards. There is a dip around  $1550\text{nm}$ , not as expected. In theory, there may be a peak instead of a dip at about  $1550\text{nm}$ . The reason is that  $n_{eff}$  is smaller than the theoretical value  $2.4$  for the periodic structure. The effective refractive index of unetched part waveguide is  $2.4$ , but the etched part is smaller, so the effective refractive index of the whole waveguide is smaller than  $2.4$ .

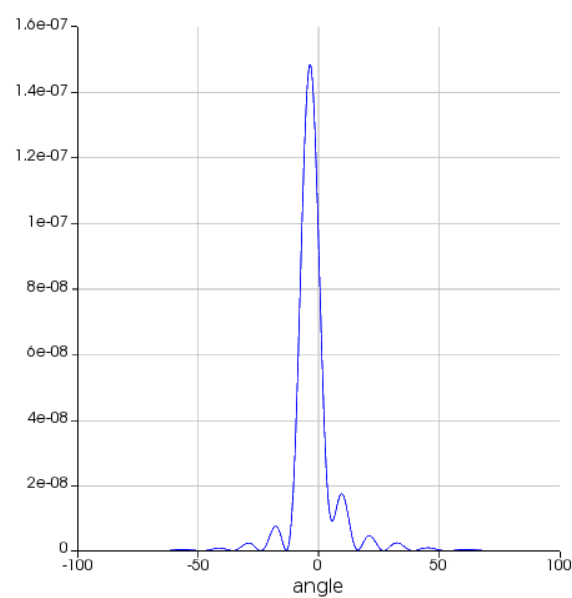




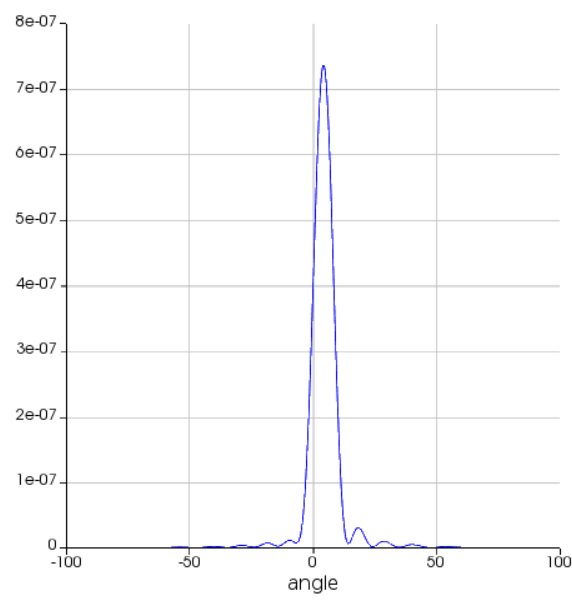
**Figure 11:** Transmission spectrum of monitor\_up at  $\Lambda = 645.8\text{nm}$

### 3.3

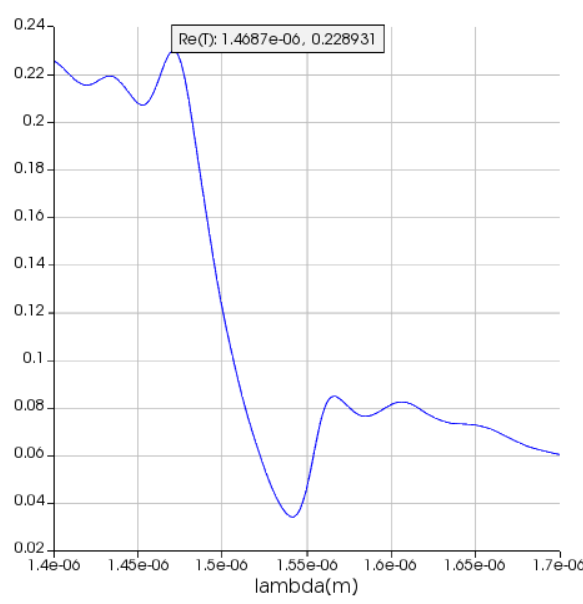
Figure 12 shows the far-field radiation pattern of upward. The maximum of  $E$  is only  $1.5 \times 10^{-7}$ . By varying the wavelength, we found that  $E$  reaches the maximum  $7.3 \times 10^{-7}$  at  $\lambda = 1468.7\text{nm}$ , as Figure 13 shown. This wavelength also corresponds to the peak of transmission spectrum when the light propagates upwards, shown as Figure 14.



**Figure 12:** Far-field radiation pattern of upward at  $\Lambda = 645.8\text{nm}$ ,  $\lambda = 645.8\text{nm}$  and  $n_{eff} = 2.4$



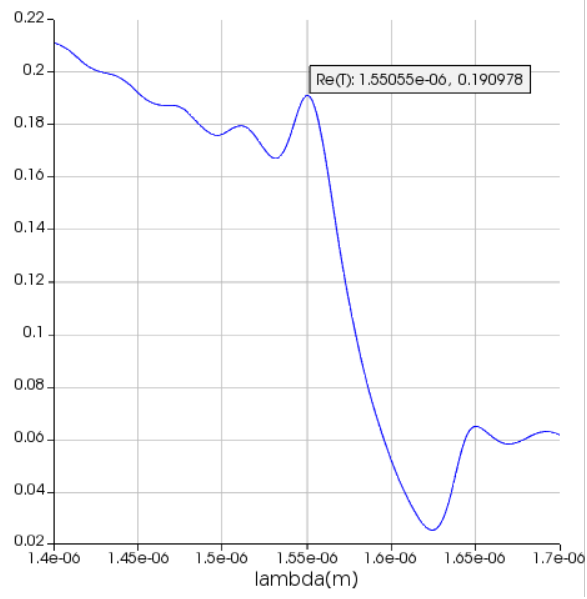
**Figure 13:** Far-field radiation pattern of upward at  $\Lambda = 645.8\text{nm}$ ,  $\lambda = 1468.7\text{nm}$  and  $n_{eff} = 2.4$



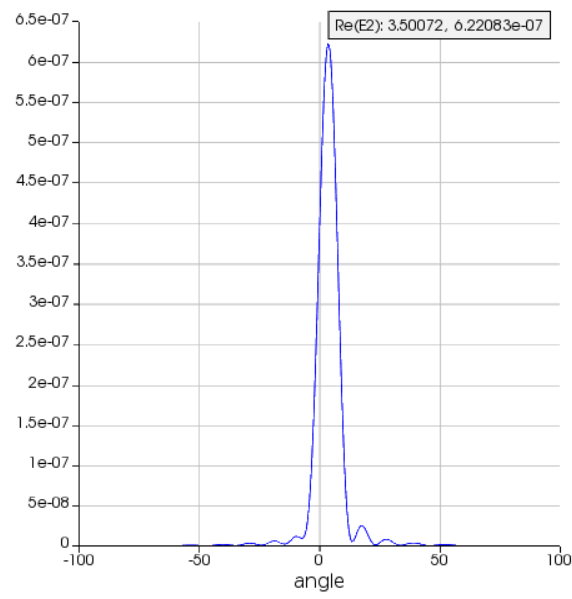
**Figure 14:** The peak of upward transmission spectrum at  $\Lambda = 645.8\text{nm}$ ,  $\lambda = 1468.7\text{nm}$

### 3.4

The method to correct the dip in the trasmission spectrum is to enlarge  $\Lambda$ , because the real  $n_{eff}$  is smaller than the theoretical value 2.4. Figure 15 shows that the upward transmission spectrum for  $\Lambda = 691.2\text{nm}$ , and the peak of transmission spectrum is  $\lambda = 1550.55\text{nm}$ . Figure 16 illustrates the far-field radiation pattern of upward at  $\Lambda = 691.2\text{nm}$  and  $\lambda = 1550.55\text{nm}$ , and the diffracttion angle is  $3.5^\circ$ ,  $T = 6.22 \times 10^{-7}$ .



**Figure 15:** Upward transmission spectrum at  $\Lambda = 691.2\text{nm}$



**Figure 16:** Far-field radiation pattern of upward at  $\Lambda = 691.2\text{nm}$ ,  $\lambda = 1550.55\text{nm}$