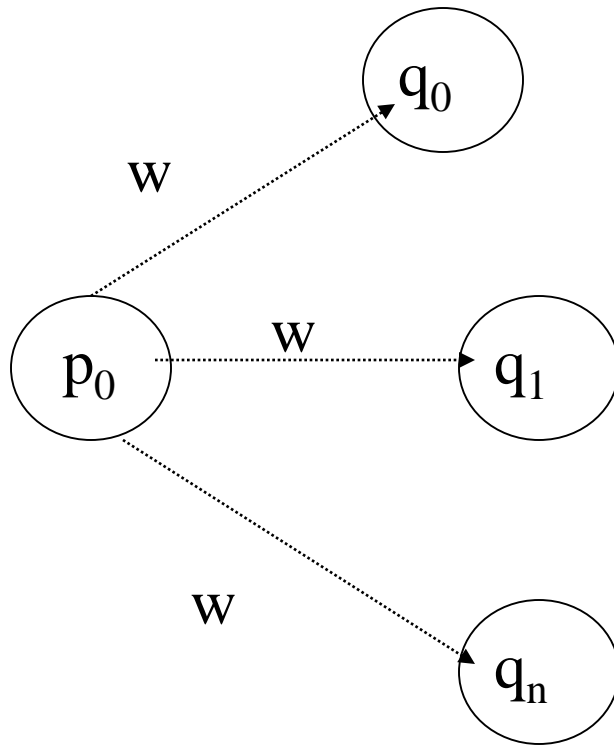


# Automata Theory(SCS2112)

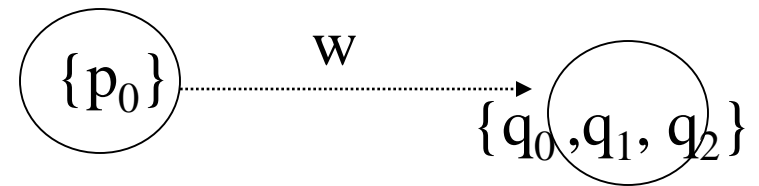
Dr Damitha D Karunaratna

- For every language accepted by some NFA there is a DFA that accepts the same language
    - How a NFA can be converted into an equivalent DFA ?
      - After an NFA has read a string  $w$ , it is in one state of a set of possible states.
      - After reading the same string by an equivalent DFA, it must be in some definite state.
- How this conflict can be solved ?*

Case1



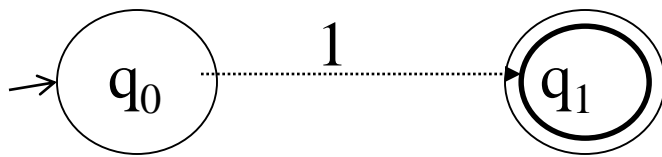
nfa



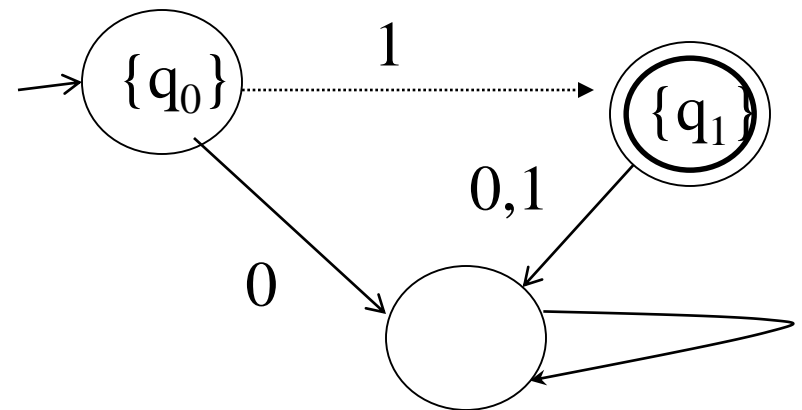
dfa

Case 2

Let  $\Sigma = \{0,1\}$



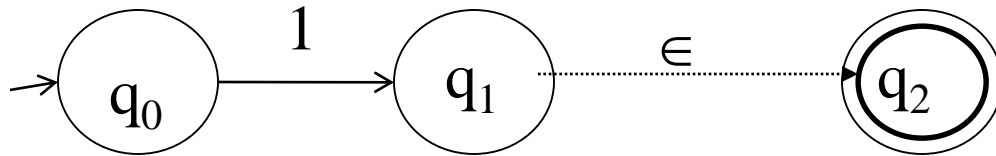
nfa



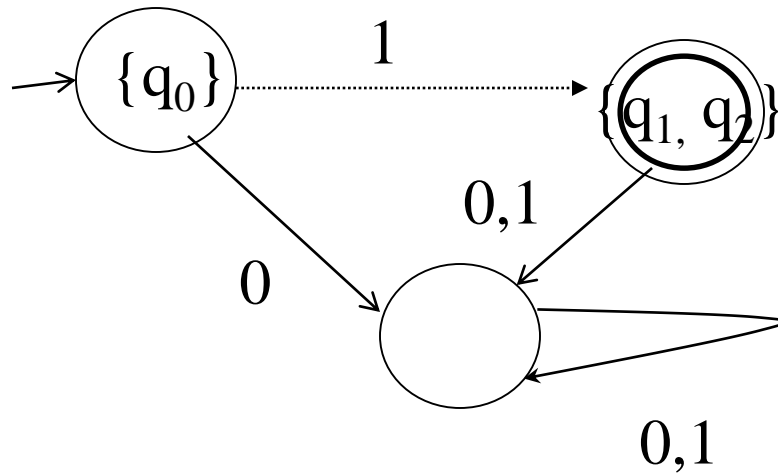
dfa

Case 3

Let  $\Sigma = \{0,1\}$



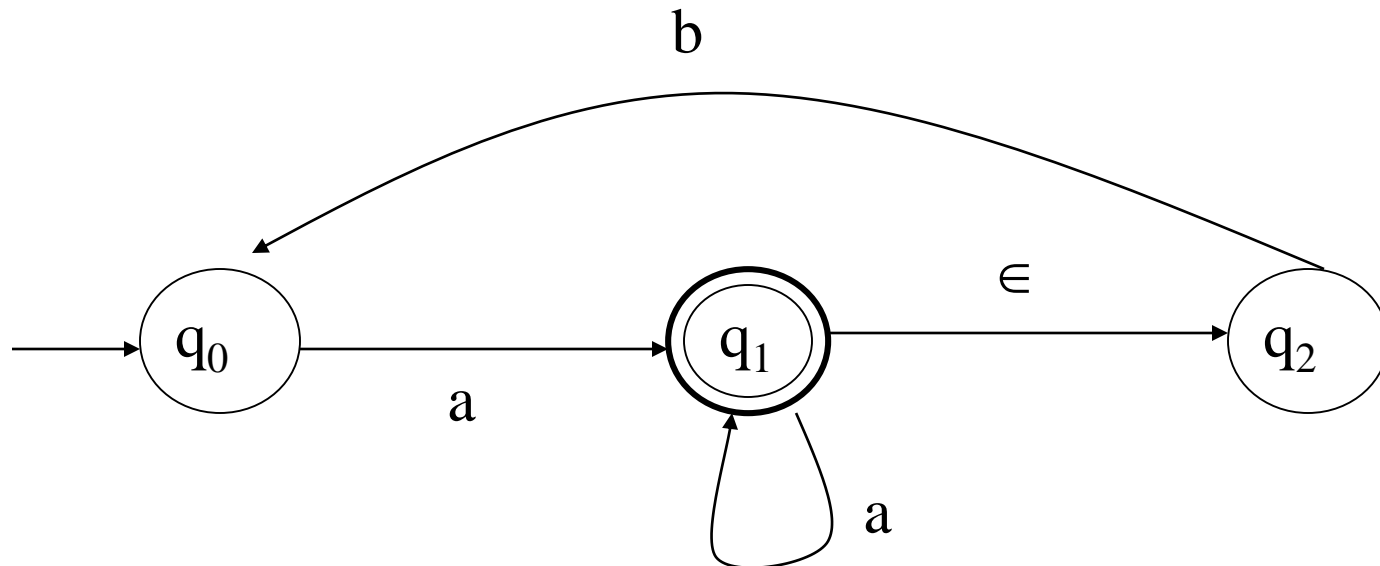
nfa

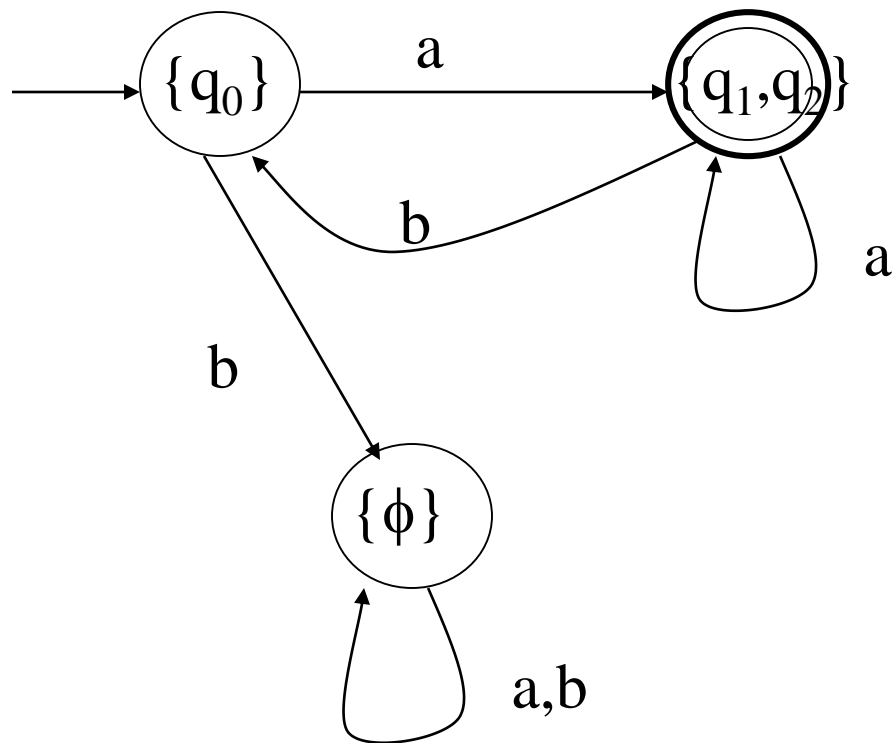


dfa

Label the states of the dfa with an appropriate set of states.

### *Example*





- the state  $\{q_1, q_2\}$  corresponds to two states of the nfa
- The state labeled  $\{\phi\}$  represents an impossible move for the dfa and therefore means non acceptance of the string

# Conversion an NFA into DFA

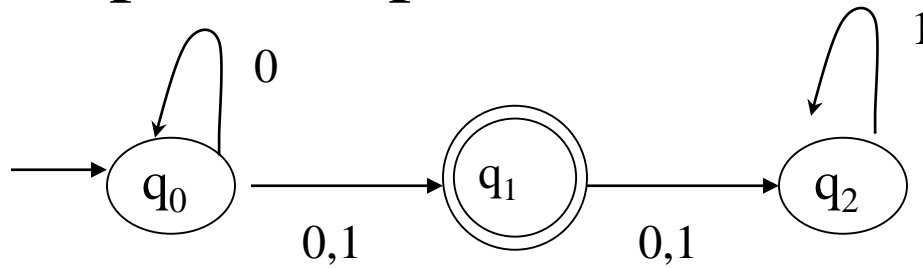
- The basic idea behind the NFA-to-DFA construction is that each DFA state corresponds to a set of NFA states.
  - If  $A, B, C$  are states in the NFA then  $\{A\}, \{B\}, \{C\}, \{A, B\}, \{A, C\}, \{B, C\}, \{A, B, C\}$  are the possible states in the corresponding DFA
- If the number of states in the NFA is  $n$ , then the maximum number of states the DFA can have is  $2^n$ .



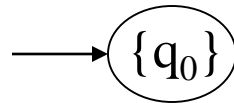
## Theorem :

Let  $L(M_N)$  be the language accepted by a nondeterministic finite accepter  $M_N = (Q_N, \Sigma, \delta_N, q_0, F_N)$ . Then there exists a deterministic finite accepter  $M_D = (Q_D, \Sigma, \delta_D, Q_0, F_D)$  such that  $L(M_N) = L(M_D)$ .

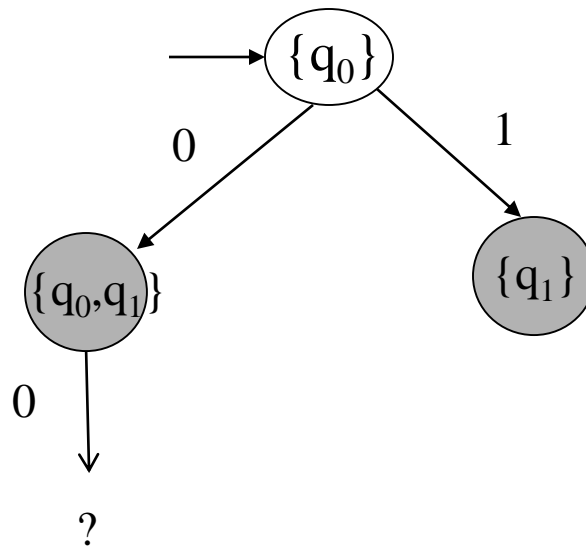
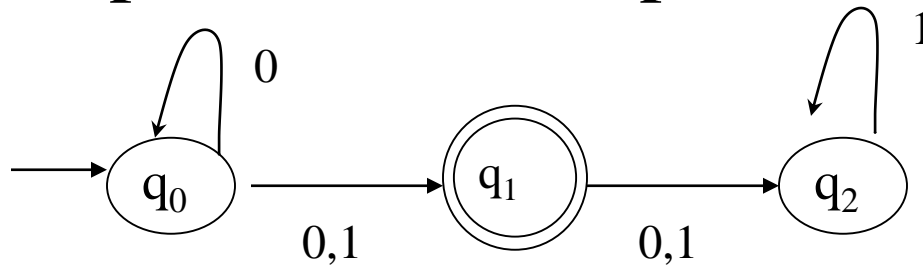
## Example : Step 1



$$\epsilon\text{-closure}(q_0) = \{q_0\}$$



# Example : After n steps

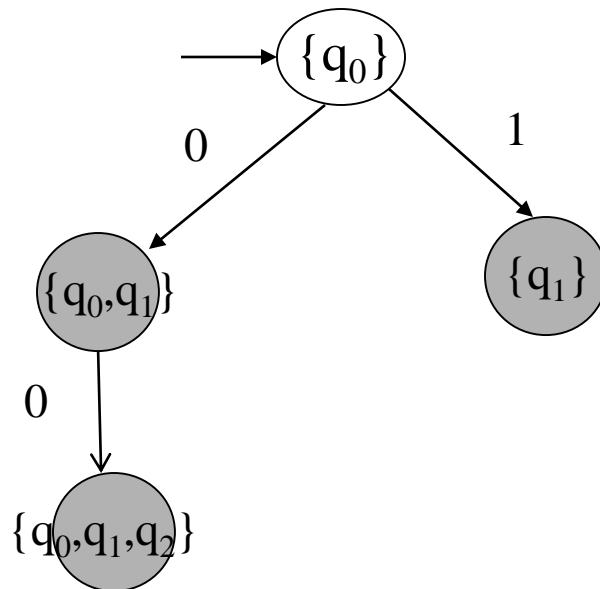
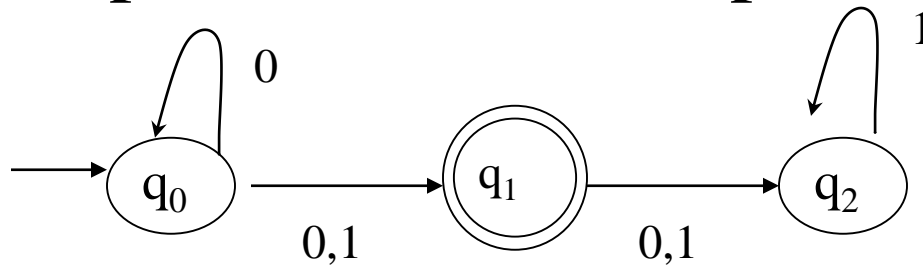


$$\begin{aligned}
 1) \delta(q_0, 0) &= \{q_0, q_1\} \\
 \epsilon\text{-closure}(\delta(q_0, 0)) &= \epsilon\text{-closure}\{q_0, q_1\} \\
 &= \{q_0, q_1\}
 \end{aligned}$$

$$\begin{aligned}
 2) \epsilon\text{-closure}(\delta(q_1, 0)) &= \{q_2\}
 \end{aligned}$$

$$1) \cup 2) = \{q_0, q_1, q_2\}$$

## Example : After $n+1$ step

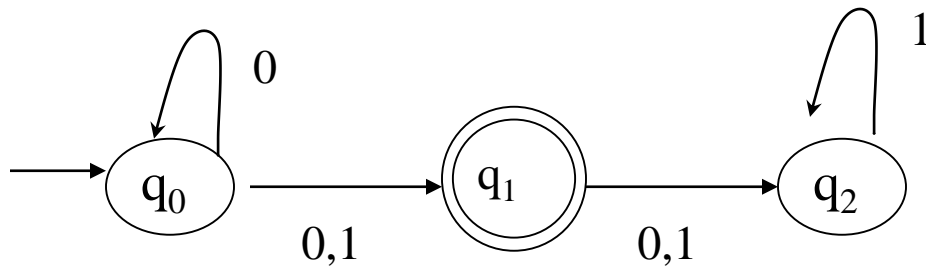


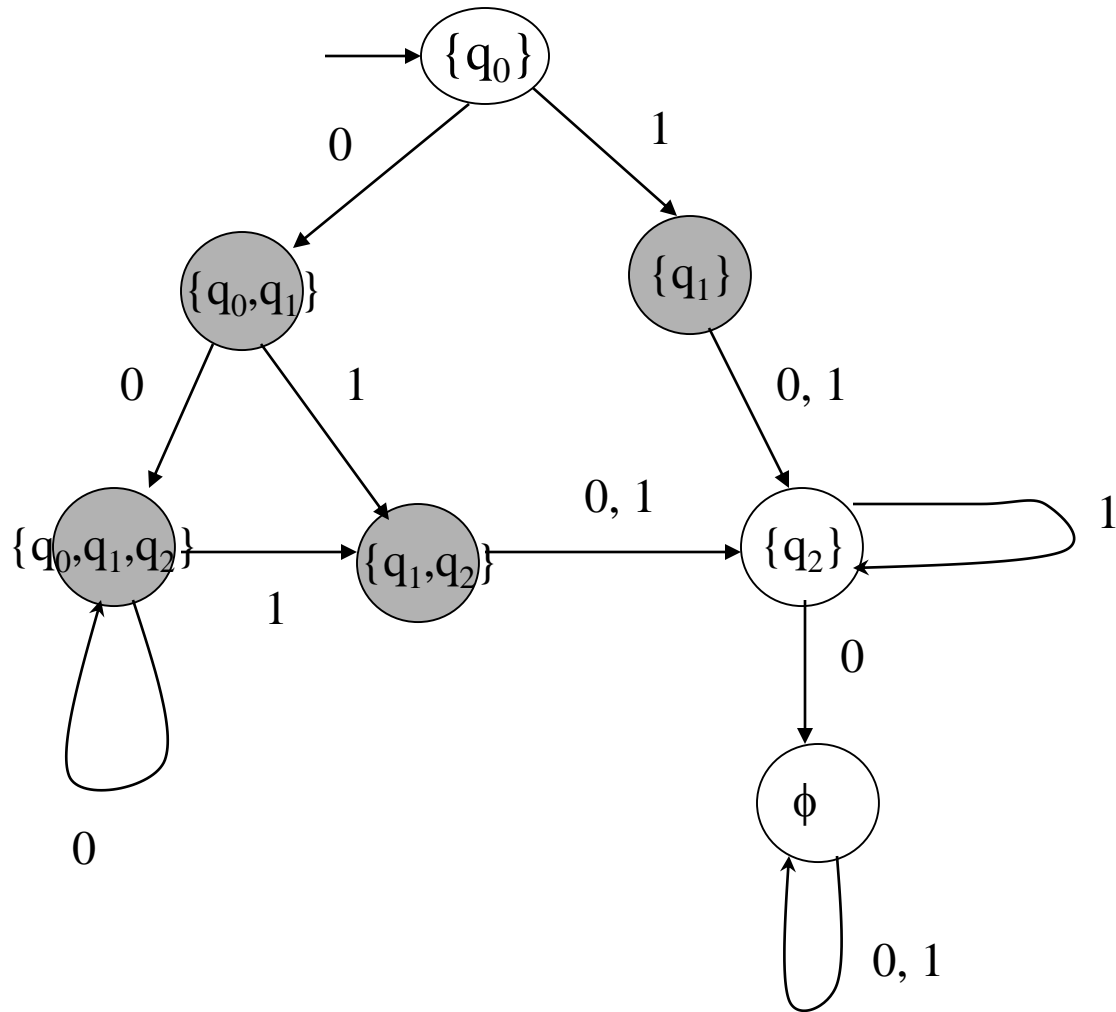
## NFA to DFA algorithm

1. Create a graph  $G_D$  with vertex  $\epsilon$ -closure( $q_0$ ) . Mark this vertex as the initial vertex.
2. Repeat the following steps for all edges.
  1. Take any vertex  $\{q_i, q_j, \dots, q_k\}$  of  $G_D$  that has no outgoing edge for some  $a \in \Sigma$ .
  2. Compute  $\epsilon$ -closure( $\delta(q_i, a)$ )  $\cup$   $\epsilon$ -closure( $\delta(q_j, a)$ )  $\cup$   $\dots$   $\epsilon$ -closure( $\delta(q_k, a)$ )  
Let the result be the set  $\{q_l, q_m, \dots, q_t\}$
  3. Create a vertex for  $G_D$  labeled  $\{q_l, q_m, \dots, q_t\}$  if it does not already exist.
  4. Add to  $G_D$  an edge from  $\{q_i, q_j, \dots, q_k\}$  to  $\{q_l, q_m, \dots, q_t\}$  with a label  $a$ .
3. Every state of  $G_D$  whose label contains any  $q_f \in F_N$  is identified as a final vertex.

- The  $G_D$  has at most  $2^{|Q_n|} |\Sigma|$  edges. Thus the NFA to DFA algorithm should always terminates at some point.

Example : Convert the following nfa to an equivalent dfa.



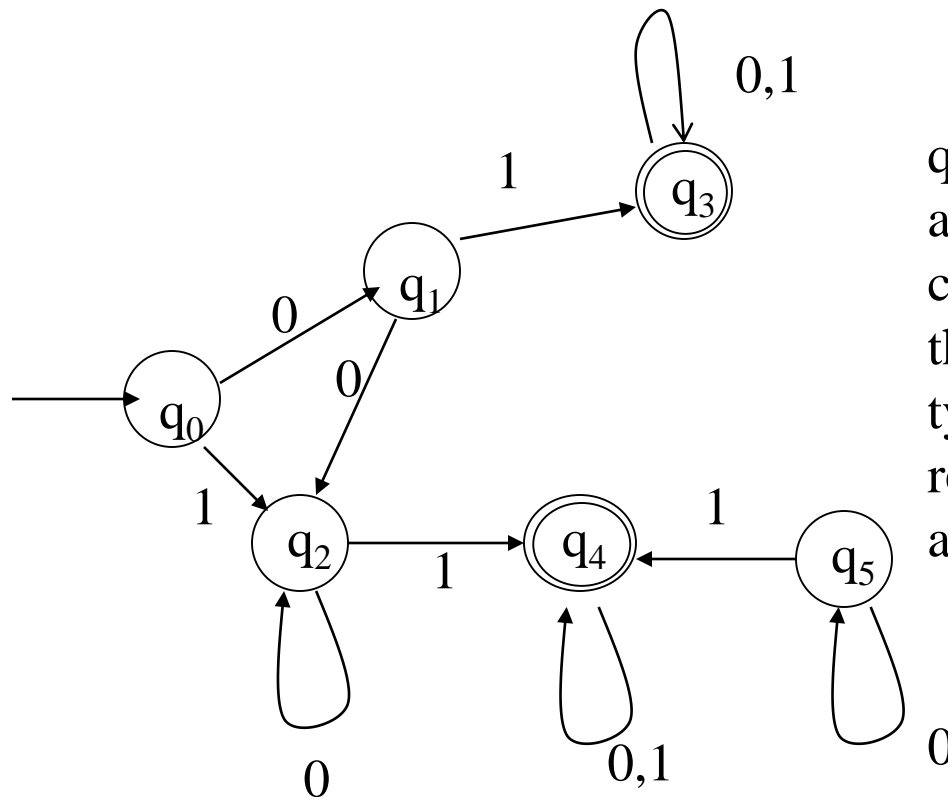




# Reduction of the number of states in Finite Automata

For storage efficiency, it is desirable to reduce the number of states as far as possible.

- The process will
  - Remove states that cannot be reached from the start state.
  - recognize and merge equivalent states.



$q_5$  plays no role in the automata since it cannot be reached from the initial state. These types of states can be removed without affecting the automata.

# Reduction of the number of states in Finite Automata ...

How to find equivalent states?

- Assume that all states are equivalent and then separate states only if they can be proved as different.

# Indistinguishable States

- In an automata there may be states that can be considered as equivalent with respect to the outcome of the automata.
  - **indistinguishable (equivalent) states.**
- How to identify such states?

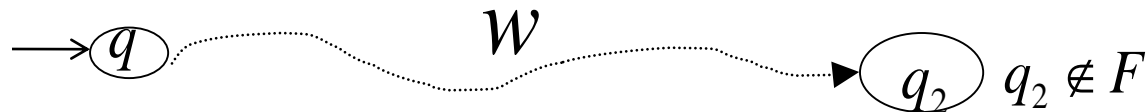
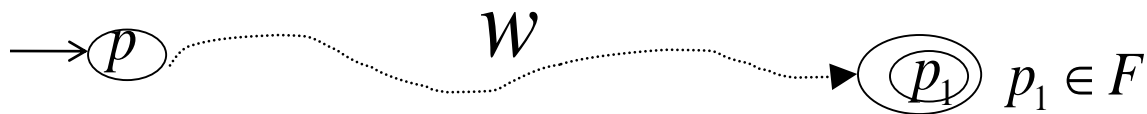
# Indistinguishable (Equivalent) states

- Definition : Two states p and q of a dfa are called **indistinguishable** (equivalent) iff

$$\forall w, \delta^*(p, w) \in F \Leftrightarrow \delta^*(q, w) \in F$$

This means that the machine does the same thing (with respect to all possible strings) when started in either state.

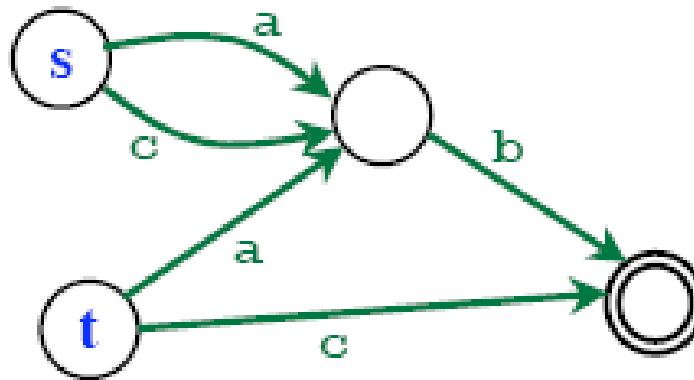
- If there exists some string  $w \in \Sigma^*$  such that  $\delta^*(p, w) \in F$  and  $\delta^*(q, w) \notin F$  or vice versa, then the states  $p$  and  $q$  are said to be **distinguishable** by a string  $w$ .



•

- If two states are *different* then they cannot be merged.

Example:



“**a**” does not distinguish **s** and **t**.

But “**c**” distinguishes **s** and **t**.

Therefore, **s** and **t** cannot be merged.

**s** and **t** are **distinguishable**

- Non-distinguishability is an equivalence relation.
  - If  $p$  and  $q$  are non-distinguishable and  $q$  and  $r$  are non-distinguishable, then  $p$  and  $r$  are also non-distinguishable
    - all three states are non-distinguishable.



# Reduction of the number of states in Finite Automata ...

The following rules can be used for this:

- An accepting state is not equivalent to a non-accepting state (**distinguishable**).
- If two states  $s_1$  and  $s_2$  have transitions on the same symbol  $c$  to states  $t_1$  and  $t_2$  that we have already proven to be different, then  $s_1$  and  $s_2$  are different. This also applies if only one of  $s_1$  or  $s_2$  have a defined transition on  $c$ .

# DFA Minimization Algorithm

- Given a DFA  $D$  over the alphabet  $\Sigma$  with states  $Q$  where  $F \subseteq Q$  is the set of the accepting states, the construction of minimal DFA  $D'$  where each state is of  $D'$  is a group of states from  $D$ . The groups in the minimal DFA are consistent: For any pair of states  $s_1, s_2$  in the same group  $G$  and any symbol  $c$  in  $\Sigma$ ,  $\text{move}(s_1, c)$  is in the same group  $G'$  as  $\text{move}(s_2, c)$  or both are undefined.

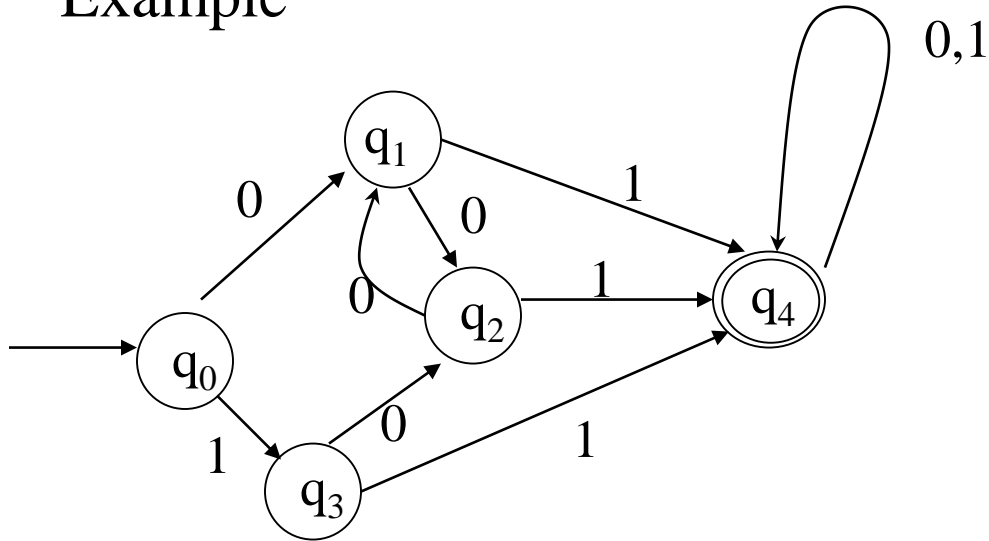
# DFA Minimization Algorithm ...

- Start with two groups:  $F$  and  $Q \setminus F$ . Take them as unmarked.
- Pick any unmarked group  $G$  and check if it is consistent.
  - If it is consistent, remove it from the process (mark it). If it is not consistent, we split it into maximal consistent subgroups and replace  $G$  by these subgroup. Take all these sub-groups as unmarked.
- If there are no unmarked groups left, terminate the process and the remaining groups are the states of the minimal DFA. Otherwise, go back to the previous step.

# DFA Minimization Algorithm ...

- The starting state of the minimal DFA is the group that contains the original starting state and any group of accepting states is an accepting state in the minimal DFA.
- A group that consists of a single state need never be split, and the process can stop when all unmarked groups are singletons.

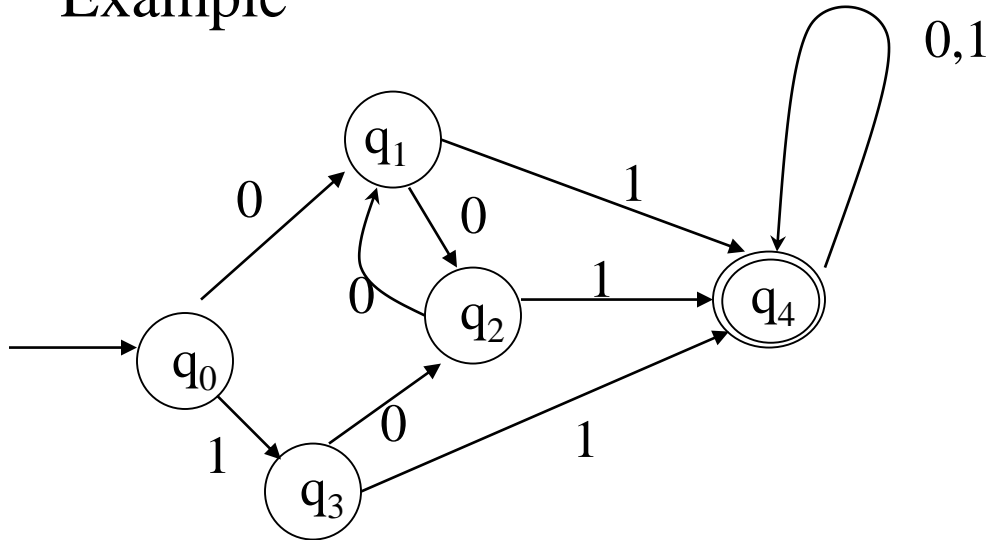
## Example



Initial Groups  $G1 = \{q_4\}$  and  $G2 = \{q_0, q_1, q_2, q_3\}$

Remove Group  $G1$  from the process as it is singleton.

## Example



$$G1 = \{q_4\}$$

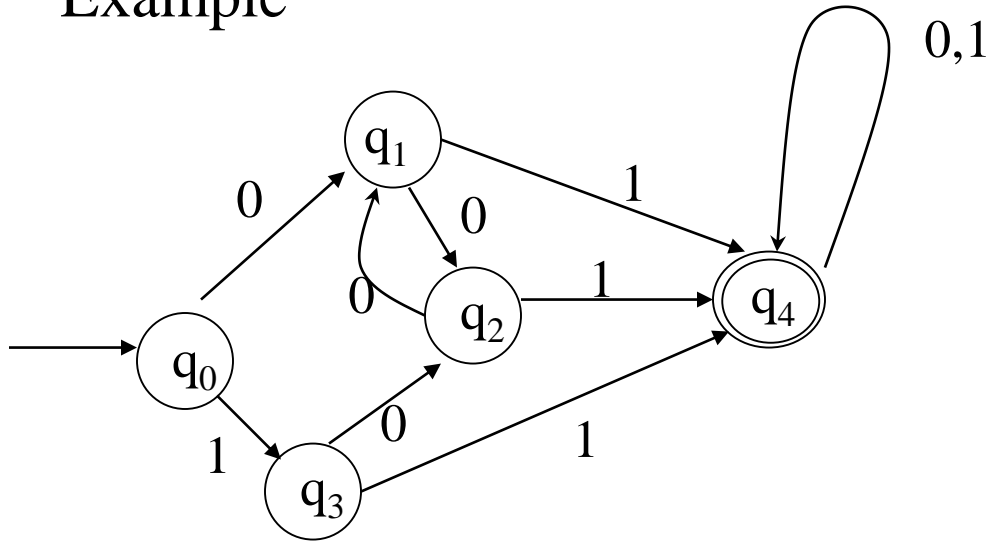
$G2 = \{q_0, q_1, q_2, q_3\}$  replace this  
with the new Group G2

$$G2 = \{q_0\}$$

$$G3 = \{q_1, q_2, q_3\}$$

G2	0	1
$q_0$	G2	G2
$q_1$	G2	G1
$q_2$	G2	G1
$q_3$	G2	G1

## Example



$G1 = \{q_4\}$

$G2 = \{q_0\}$

$G3 = \{q_1, q_2, q_3\}$  consistent

G2	0	1
$q_1$	G3	G1
$q_2$	G3	G1
$q_3$	G3	G1

# Reducing the number of states in a DFA

Given a DFA  $M = (Q, \Sigma, \delta, q_0, F)$ , a reduced DFA  $M' = (Q', \Sigma, \delta', q_0', F')$  can be constructed as follows.

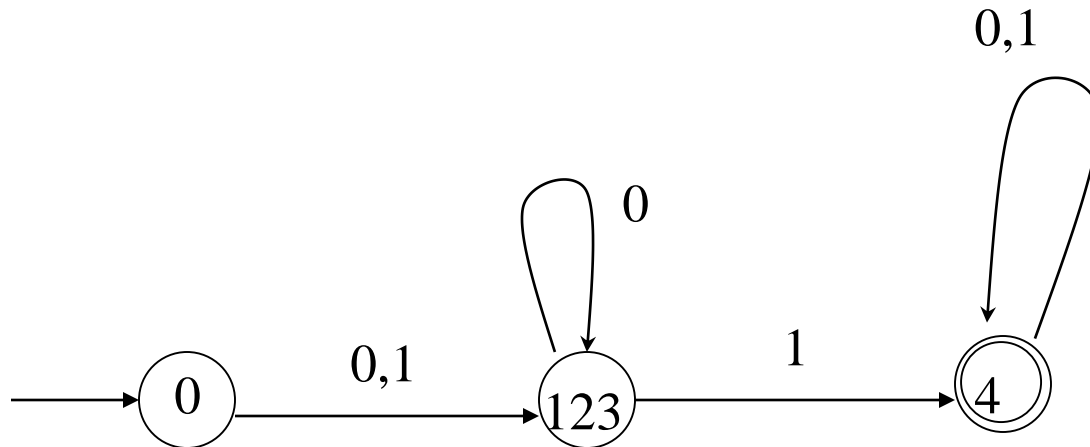


# Produce : Reduce

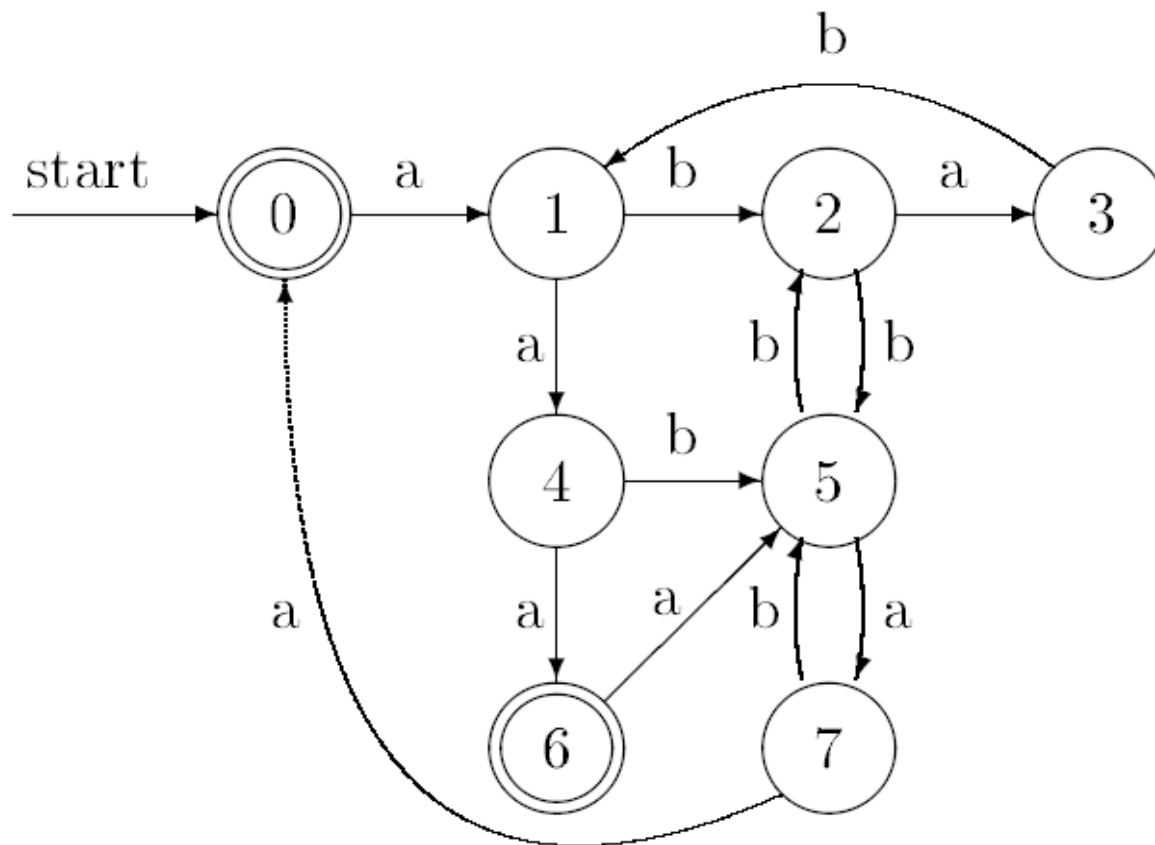
1. Use mark to find all pairs of distinguishable states. Then by using the result partition the set of states into a set of mutually disjoint states.
2. For each set  $G_i = \{q_i, q_j, \dots, q_k\}$  of non-distinguishable states, create a state labeled  $ij\dots k$  (or  $G_i$ ) in the final automata.
3. For each transition rule  $\delta(q_r, a) = q_p$  in the original automata find the sets to which  $q_r$  and  $q_p$  belong. If  $q_r \in \{q_i, q_j, \dots, q_k\} = G_s$  and  $q_p \in \{q_l, q_m, \dots, q_n\} = G_t$  then add a rule  $\delta'(ij\dots k, a) = lm\dots n$  (or  $\delta'(G_s) = G_t$ ) to the final automata.
4. The initial state  $q'$  is that state of  $M'$  whose label includes 0.
5.  $F'$  is the set of all states whose label contains  $i$  such that  $q_i \in F$

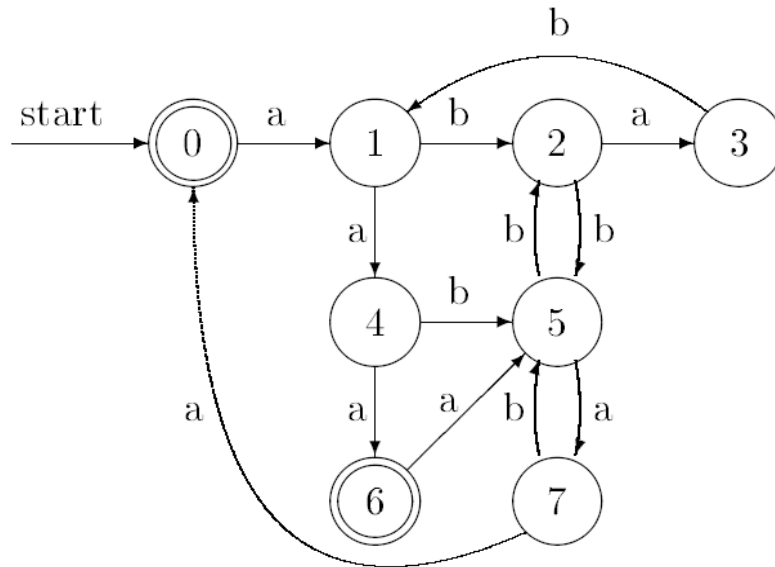
This result partitions the set of states to the subsets  $\{q_0\}, \{q_1, q_2, q_3\}, \{q_4\}$ .

The reduced DFA



# Example





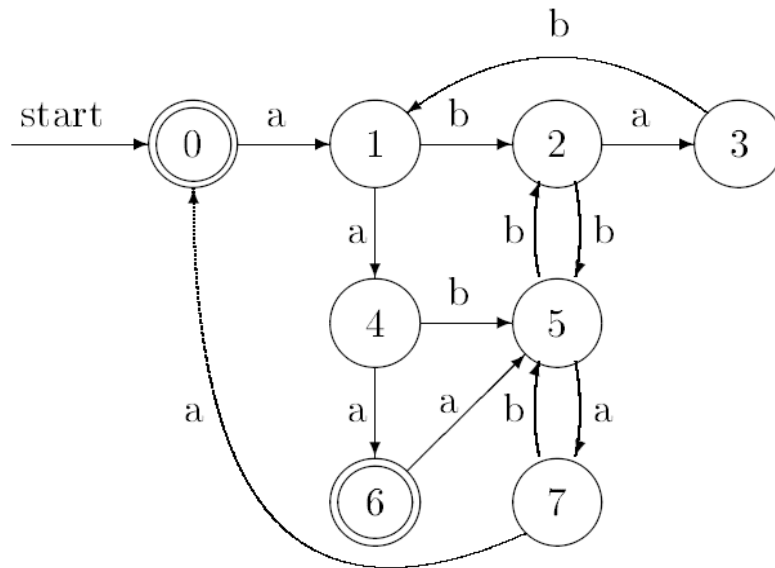
$G1 = \{0,6\}$

$G2 = \{1,2,3,4,5,7\}$

G1	a	b
0	G2	-
6	G2	-

G1 Consistent remove it from the process

G2	a	b
1	G2	G2
2	G2	G2
3	-	G2
4	G1	G2
5	G2	G2
7	G1	G2



G3	a	b
1	G5	G3
2	G4	G3
5	G5	G3

$$G1 = \{0,6\}$$

$$G3 = \{1,2,5\}$$

$$G4 = \{3\}$$

$$G5 = \{4,7\}$$

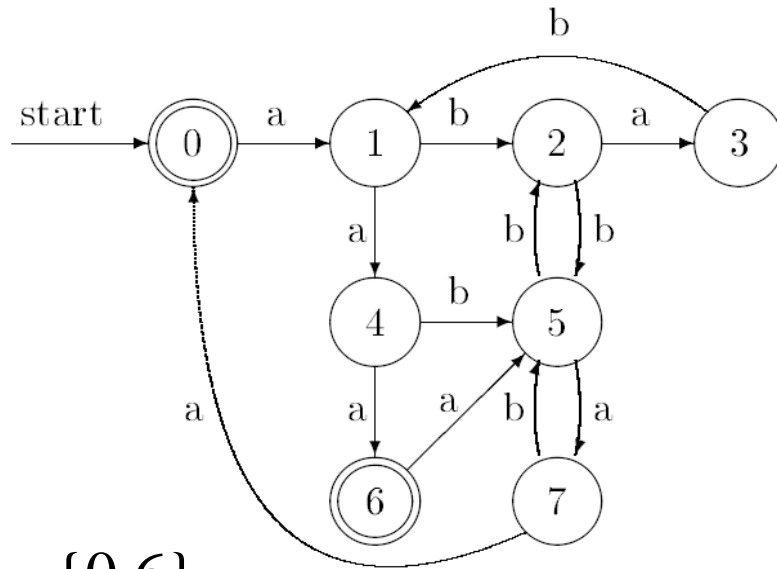
$$G1 = \{0,6\}$$

$$G6 = \{1,5\}$$

$$G7 = \{2\}$$

$$G4 = \{3\}$$

$$G5 = \{4,7\}$$



$G1 = \{0,6\}$

$G6 = \{1,5\}$

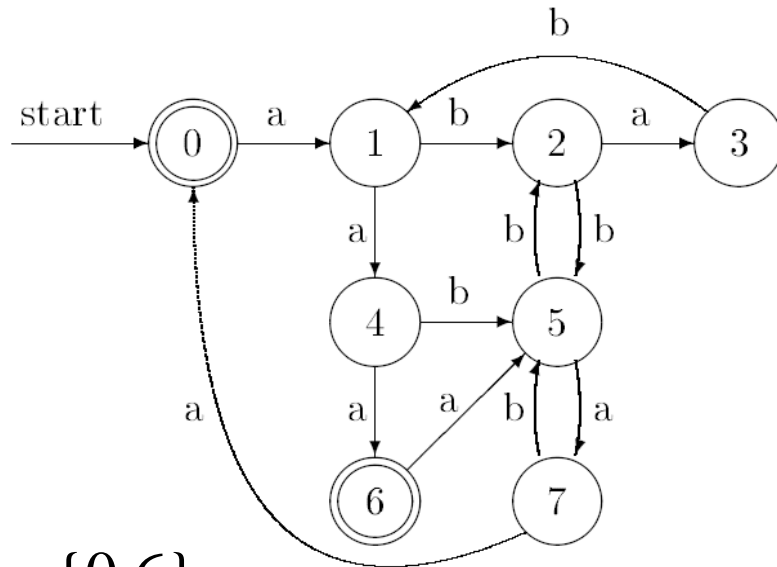
$G7 = \{2\}$

$G4 = \{3\}$

$G5 = \{4,7\}$

G5	a	b
4	G1	G6
7	G1	G6

G6	a	b
1	G5	G7
5	G5	G7



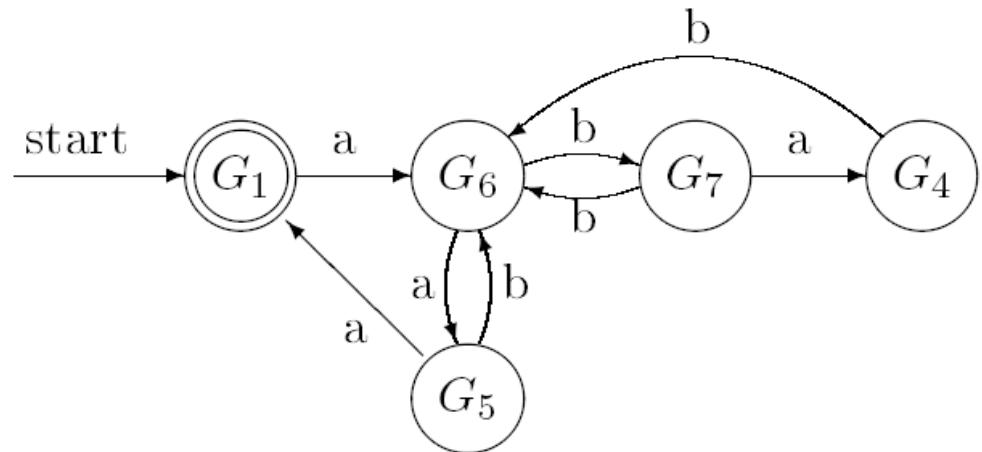
$G1 = \{0,6\}$

$G6 = \{1,5\}$

$G7 = \{2\}$

$G4 = \{3\}$

$G5 = \{4,7\}$

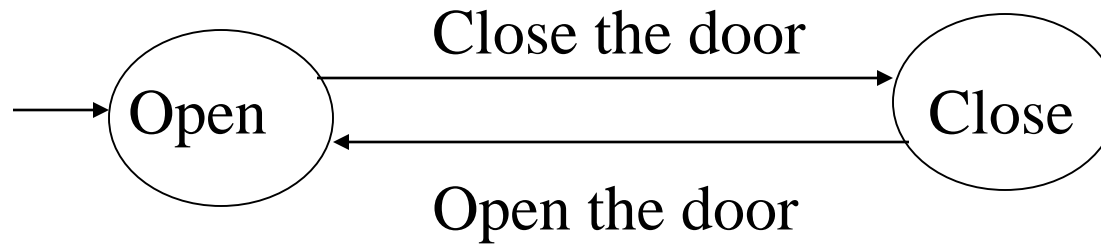


# Minimal DFA

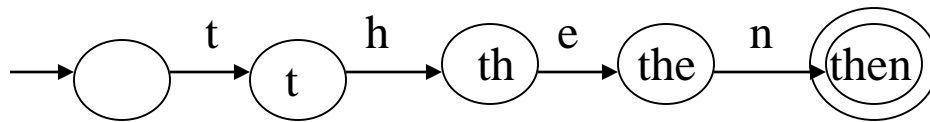
- For any given DFA there is a unique minimal DFA.
- Equivalence of DFAs can be checked by converting the DFAs to minimal DFAs and comparing the results.



Example : Finite state machine modeling the states of a door.



Example : Finite state machine recognizing the string **then**.



# JFLAP

- **JFLAP** is a package of graphical tools which can be used as an aid in learning the basic concepts of Formal Languages and Automata Theory.

(<http://www.cs.duke.edu/csed/jflap/>)

- Slight difference in the definition of DFA

$$\delta : D \rightarrow 2^Q$$

where  $D \subseteq Q \times \Sigma^*$