QUANTIFYING WIND TURBINE-INDUCED SEISMIC NOISE VIA GENERALIZED ADDITIVE MODELLING

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1. Introduction & Objectives

<u>Context</u>: Eskdalemuir (EKA, IMS/CTBT) monitors the **0.5–8 Hz** band. UK rules: no turbines <10 km and cumulative motion within 50 km must stay below **0.336 nm RMS**. Turbines can raise motion in this band.

Objectives:

- Isolate turbine-attributed uplift using matched background vs operational periods.
- Quantify how uplift varies with wind speed and direction (orientation effects).
- Assess compliance margin relative to the
 0.336 nm RMS line.
- Provide practical guidance for monitoring and siting around EKA.

2. SITE & DATA OVERVIEW

<u>Site</u>: EKA array, southern Scotland; all measurements from the same borehole **WS12** to avoid site/instrument changes.

<u>Periods</u>: Background: Pre-installation — No turbines present. Operational: Post-installation — turbines installed and generating.

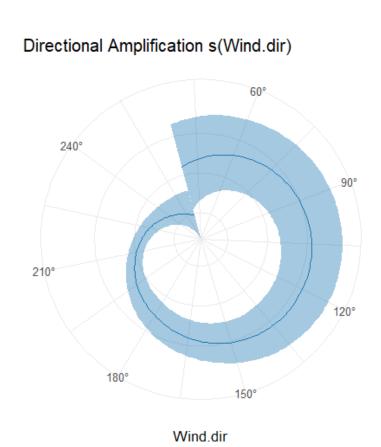
Dataset types: Time series: vertical ground velocity v(t) at 1 s cadence. Frequency: PSD $S_x(f)$ over \approx 0.01–10 Hz; FDWF applied to emphasise 0.5–8 Hz.

Metadata: Wind speed (m/s), wind direction (deg/rad), turbine status (0/1); Date/Hour/Time.step for alignment.

Aligned summarised to 10-min windows.

4. RESULTS & COMPLIANCE

Operational turbine activity produces a clear uplift in seismic energy within the 0.5–8 Hz band, strongest for south-westerly winds (130–150°). Despite this increase, all RMS displacements remain well below the 0.336 nm limit (peak 0.177 nm), indicating no compliance risk.



Model-predicted energy vs wind direction: Operational (orange) exceeds Background (blue), peaking near 130–150° (SW).

Compliance: RMS summaries confirm uplift yet sustained compliance. **Background** 0.054 (mean) / 0.060 (95th) / 0.060 (max) nm; **Operational** 0.144 / 0.169 / 0.177 nm. All values lie below the **0.336** nm limit; the peak (0.177 nm) is $\sim 53\%$ of the threshold, leaving a margin of ≈ 0.159 nm. ECDF/summary plots show a rightward shift when ON, but the entire distribution remains within bounds—means and 95th percentiles are comfortably sub-threshold—indicating no compliance risk under observed conditions.

Results: Lowest-AIC GAM shows a clear directional signature: energy peaks for SW winds (130–150°) and is higher when ON at all directions. Wind speed is negligible when OFF, but under ON adds a mild, saturating uplift. Day-level random effects capture small baseline shifts. On the energy scale ($\sim 10^{-24} \, \mathrm{m}^2$), Operational \approx 20% above Background. Diagnostics (QQ/ACF) indicate good calibration and weak serial correlation after 10-min aggregation.



RMS distribution/summary with 0.336 nm line; max \approx 0.177 nm (compliant).

3. Methods Overview (Pre Processing + GAM Modelling)

Pre-processing:

- *PSD estimation*: from 1s vertical velocity v(t), compute PSD $S_x(f)$ (m^2/Hz) on a common grid (≈ 0.01 – $10\,Hz$; constant Δf).
- FDWF weighting: emphasise EKA band with $\tilde{S}_x(f) = w(f) S_x(f)$ (weights peak over **0.5–8 Hz**).
- Scalar energy per second:

$$E_t = \sum_f \tilde{S}_x(f) \Delta f$$
 (units: m²)

• Response (stabilised):

$$Y_t = \log_{10}(E_t + \varepsilon)$$
, $\varepsilon = 10^{-12}$.

- Temporal features: Hour (0–23), DateF = Date + Hour/24.
- Sync & summarise: time-align seismic, wind, and turbine-status streams; fit on 10-min aggregates.

Why GAM? Handles non-linear wind effects, cyclic direction, and day random effects while staying interpretable.

GAM (Final model): Gaussian response on Y_t (Identity link) with nonlinear, cyclic, and random-effect terms:

$$Y_t = \beta_0 + \beta_1 \operatorname{Operational}_t + s_1(\operatorname{Wind.speed}_t) + s_2(\operatorname{Wind.speed}_t) \cdot \operatorname{Operational}_t + s_3^{\operatorname{cc}}(\operatorname{Wind.dir}_t) + b_{\operatorname{Date}(t)} + \varepsilon_t$$

 $\varepsilon_t \sim \mathcal{N}(0, \sigma^2)$; $b_{\text{Date}(t)}$ is a day-level random intercept $(b_d \sim \mathcal{N}(0, \sigma_b^2))$.

<u>Model selection:</u> Compared nested GAMs — Operational → + s(Wind.speed) → + s(dir)"cc" → + s(DateF)"re" → + s(ws):by op; final chosen by AIC \downarrow + diagnostics.

- Terms: Operational shift; s_1 = thin-plate smooth of wind speed; s_2 = conditional speed smooth (on/off); $s_3^{\rm cc}$ = cyclic direction smooth; $b_{\rm Date}$ = bs="re" (day RE).
- Penalty & estimation (REML): maximise $\ell(\theta) \sum_{j} \lambda_{j} \int [s''_{j}(x)]^{2} dx$; conservative k per covariate.
- Implementation: mgcv::gam; circular knots for direction (bs="cc"); random-effect spline for day (bs="re").
- *Checks:* AIC, QQ, residual–fitted, ACF \Rightarrow assumptions reasonable; mild serial correlation monitored.

5. LIMITATIONS

- ➤ Single site/pit: findings are specific to WS12; array-wide generalisation is limited.
- ► Coarse ops metadata: status only (0/1); no per-turbine load or spatial telemetry \rightarrow no turbine-level attribution.
- ► Temporal dependence: mild residual autocorrelation remains after 10-min aggregation; CIs may be optimistic (consider GAMM/AR).
- ➤ **Spectral compression:** energy metric + FDWF collapses spectrum; conclusions depend on the 0.5–8 Hz band and chosen weights.

6. FUTURE RESEARCH

To advance this work and inform sustainable turbine development, future research should consider:

- ➤ Cumulative impact modelling to assess seismic implications of higher turbine density.
- Spatiotemporal GAMs or Gaussian processes to address spatial interference and terrain variation.
- ► Bayesian GAMs to quantify uncertainty in uplift estimates.
- ► Integration with turbine telemetry for finer-grained attribution of seismic signatures.
- Multi-station validation to test generalisability and support regional infrastructure planning.

7. KEY TAKEAWAYS

Turbine operation raises energy in **0.5–8 Hz** (strongest for **SW** winds), \sim **20**% uplift. **RMS** stays below **0.336 nm** (peak \approx 0.177 nm); FDWF+GAM isolates direction/speed effects for monitoring and planning.

8. CONCLUSION

Using an FDWF-weighted energy metric and a GAM (interaction, cyclic direction, day RE), we detect turbine-driven uplift in the **0.5–8 Hz** band at EKA–WS12. The effect is modest (energy $\sim 10^{-24} \, \mathrm{m}^2$) and **RMS** during operation peaks at **0.177 nm** (\sim 53% of the **0.336 nm** limit). No current compliance risk; continued monitoring for cumulative impacts is advised.

9. SCAN QR CODE FOR REPORT

Scan to Access:

- Full Report (PDF)
- Rmd Code (GAM pipeline)
- Knitted Pdf (Diagnostics)

