

Plane-hotel problem: On the competitive-ratios of some deterministic and randomized online algorithms

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Abstract

We consider the plane-hotel problem, where the hotel and plane seat prices arrive online and the algorithm must irrevocably decide the number of tickets to buy on each day. The objective is to minimize the sum of hotel and plane ticket costs. First we show prove that the optimal algorithm in the offline variant of the problem is given by a greedy algorithm. Then, we prove that simple deterministic threshold algorithms attain competitive ratios of order $\mathcal{O}(\sqrt{p_{\max}})$ under different sets of constraints, namely with or without hotel prices. Furthermore, we prove optimality for two algorithms in the settings with only one person or a maximum of two days respectively. Finally, we introduce some random algorithms and carry out an empirical study on the competitive ratios of these randomized algorithms compared to the deterministic algorithms of preceding sections by uniformly sampling instances. We conclude that for average case performance our randomized algorithm slightly outperforms the deterministic algorithms, but for worst-case competitive ratios our deterministic algorithms remain superior.

GitHub: https://github.com/TimGrimbergen/ADS_assignment.git

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1 Introduction

In this research, we will investigate the online ticket-hotel problem. In this problem, there are n people that have to be flown home in m days. For each day $i \in \{1, \dots, m\}$ the algorithm receives the number of available plane tickets s_i , the price of a ticket p_i , and the hotel price h_i . Every person who is not sent home by plane must spend the night in a hotel. The algorithm must then on each day decide irrevocably how many tickets it will buy f_i . In Section 2, we will elaborate further on the different constraints put on the parameters above that are analyzed in this work.

It can be shown (see Appendices A and B) that no constant competitive online algorithms exist without certain constraints on this problem description. Specifically, it turns out that some guarantee on the number of available seats on any given day should be given and the prices p_i that the online algorithm receives should also be drawn from a bounded interval. For the competitive analyses of online algorithms it is very useful to know the optimal offline solution for any given instance. For this reason, we first propose an optimal greedy algorithm ALG_1 in Section 3 and provide a proof of correctness.

We will then analyze several deterministic algorithms in Section 4. A formal analysis is done on three algorithms, ALG_2 , ALG_3 and ALG_4 . For the simple threshold algorithm ALG_2 we show that it is $\mathcal{O}(\sqrt{p_{\max}})$ -competitive under the constraints that $h_i = 0$. Also, we prove ALG_2 is an optimal algorithm if we further impose the restriction that $n = 1$. For the more sophisticated double-threshold algorithm ALG_4 we show that it is also $\mathcal{O}(\sqrt{p_{\max}})$ -competitive if the constraint $h_i = 0$ is relaxed. Lastly, we show that the “greedy online” algorithm ALG_3 is optimal if $m = 2$ and $h_i = 0$.

Furthermore, we introduce two randomized algorithms in Section 4. Specifically, the fully

“naive” randomized algorithm ALG_5 and a stochastic generalization of the threshold algorithm ALG_2 .

Finally, in Section 6 we perform an empirical analysis on the algorithms ALG_2 , ALG_3 , ALG_5 and ALG_6 to find their average competitive ratios. Upon comparing the results with this new average metric, we conclude that our randomized algorithm ALG_6 indeed slightly outperforms the deterministic algorithms ALG_2 and ALG_3 . As a bonus we “verify” that the theoretical competitive ratio of ALG_2 is correct.

2 Preliminaries

In this work, we will set several constraints on the general problem. In Table 1 we further distinguish six different constraint settings (a)-(f) on the number of people n , number of days m , available seats s_i , ticket price p_i and hotel price h_i . The constraint that $\sum_{i=1}^m s_i \geq n$ is always required. Generally speaking, the settings become increasingly restrictive. Settings (a) and (b) are only relevant for the appendix and setting (c) is only relevant for ALG_4 . The main focus of this work is setting (d), but the “sub-settings” (e) and (f) are further investigated for ALG_2 and ALG_3 respectively.

We also point out that we only consider “feasible” algorithms in this work. That is to say: ALG is an element of space of all algorithms of setting (x) if and only if for all feasible instances $I \in \mathcal{I}_x$ (see Definition 1) the algorithm ALG provides an actual solution, i.e., it buys exactly n tickets. Some information of an instance is always known to the online algorithm, while

Table 1 Table listing the different settings used in this work. Note that for setting (c) we use $p_{\max} \in \mathbb{N}$ for both the constraints on p_i and h_i . Note that we define $\mathbb{N} := \{1, 2, \dots\}$ the set of strictly positive integers and $\mathbb{N}_0 := \{0\} \cup \mathbb{N}$.

	n	m	s_i	p_i	h_i
(a)	$\in \mathbb{N}$	$\in \mathbb{N}$	$\in \mathbb{N}_0$	$\in \mathbb{N}$	$\in \mathbb{N}_0$
(b)	$\in \mathbb{N}$	$\in \mathbb{N}$	$\geq n$	$\in \mathbb{N}$	$\in \mathbb{N}_0$
(c)	$\in \mathbb{N}$	$\in \mathbb{N}$	$\geq n$	$\in \{1, \dots, p_{\max}\}, p_{\max} \in \mathbb{N}$	$\in \{0, \dots, p_{\max}\}$
(d)	$\in \mathbb{N}$	$\in \mathbb{N}$	$\geq n$	$\in \{1, \dots, p_{\max}\}, p_{\max} \in \mathbb{N}$	$= 0$
(e)	$= 1$	$\in \mathbb{N}$	$\geq n$	$\in \{1, \dots, p_{\max}\}, p_{\max} \in \mathbb{N}$	$= 0$
(f)	$\in \mathbb{N}$	≤ 2	$\geq n$	$\in \{1, \dots, p_{\max}\}, p_{\max} \in \mathbb{N}$	$= 0$

some information is revealed day by day. The information that is always known consists of n , m and the restrictions on the *bounds* of \mathbf{s} , \mathbf{p} and \mathbf{h} . The information that is only revealed day by day consists of the *values* of \mathbf{s} , \mathbf{p} and \mathbf{h} . We then define (sub)instances and the set of all (sub)instances.

Definition 1. Let $n, m, p_{\max} \in \mathbb{N}$, $\mathbf{s}, \mathbf{p}, \mathbf{h} \in \mathbb{N}_0^m$ and let $x \in \{a, b, c, d, e, f\}$. We define $\mathcal{I}_x := (n, m, p_{\max}, \mathbf{s}, \mathbf{p}, \mathbf{h})$ to be an instance in setting (x) if the parameters $n, m, p_{\max}, \mathbf{s}, \mathbf{p}, \mathbf{h}$ satisfy all of the constraints of setting (x) listed in Table 1. The set of all instances in setting (x) is defined as \mathcal{I}_x .

The set of all sub-instances of \mathcal{I}_x belonging to (n, m, p_{\max}) are denoted by $\mathcal{I}_x(n, m, p_{\max})$ and consists of only those instances $I = (n', m', p'_{\max}, \mathbf{s}, \mathbf{p}, \mathbf{h}) \in \mathcal{I}_x$ such that $(n', m', p'_{\max}) = (n, m, p_{\max})$ which are defined as the sub-instances belonging to (n, m, p_{\max}) .

We will also use the following definitions for the competitive ratios.

77 ► **Definition 2.** Let ALG be an online algorithm and let OPT be an optimal offline algorithm.
 78 For an instance I we define the competitive ratio of the algorithm for an instance as

$$79 \quad c_{ALG}(I) = \frac{ALG(I)}{OPT(I)},$$

80 where $ALG(I)$ is the algorithms cost and $OPT(I)$ is the optimal offline cost upon executing the
 81 instance I . Now let $x \in \{a, b, c, d, e, f\}$ and let $n, m, p_{\max} \in \mathbb{N}$ be such that the constraints of
 82 setting (x) are satisfied. We then define the competitive ratio of the algorithm for a set of
 83 sub-instances $\mathcal{I}(n, m, p_{\max})$ as

$$84 \quad c_{ALG} = \sup_{I \in \mathcal{I}} \{c(I)\} \in [1, \infty].$$

85 In general, if a parameter n , m or p_{\max} is present in the expression of c_{ALG} , then this implies
 86 that the ALG is c_{ALG} -competitive for a set of sub-instances $\mathcal{I}_x(n, m, p_{\max})$ which should be
 87 clear from the context.

88 Regarding the optimality of an online algorithm, we employ the definition below.

89 ► **Definition 3.** Let ALG be an online algorithm. We say ALG is a **strongly** optimal
 90 deterministic algorithm in setting (x) if for all tuples (n, m, p_{\max}) satisfying the constraints
 91 of setting (x) and deterministic online algorithms ALG' we have that

$$92 \quad \sup_{I \in \mathcal{I}_x(n, m, p_{\max})} \{c_{ALG}(I)\} \leq \sup_{I \in \mathcal{I}_x(n, m, p_{\max})} \{c_{ALG'}(I)\}.$$

93 This definition of strong optimality ensures that the online algorithm should behave optimally
 94 with all of the knowledge it knows beforehand.

95 Throughout the paper, we use the term *average competitive ratio* of an online algorithm. For
 96 this we introduce the following definitions which are inspired by Eq. (2) of [1]).

97 ► **Definition 4.** Let $\epsilon > 0$ and let I be an instance. We then run the online algorithm ALG a
 98 total number of $N > 1$ times where N is determined by

$$99 \quad \left| \frac{\frac{1}{N} \sum_{i=1}^N ALG(I)}{OPT(I)} - \frac{\frac{1}{N-1} \sum_{i=1}^{N-1} ALG(I)}{OPT(I)} \right| < \epsilon.$$

100 We then define the average competitive ratio of an instance as

$$101 \quad \hat{c}_{ALG}(I) = \frac{\frac{1}{N} \sum_{i=1}^N ALG(I)}{OPT(I)}.$$

102 We will report the value of ϵ . Note that for a deterministic online algorithm, the cost $ALG(I)$
 103 is always the same, thus in this case the average competitive ratio of an instance is just
 104 $\hat{c}_{ALG}(I) = \frac{ALG(I)}{OPT(I)}$. However, for randomized algorithms, the value $ALG(I)$ is not necessarily
 105 constant. In this case, this definition is meaningful. A drawback is that the average
 106 competitive ratio will likely not be the same each time you do this procedure.

107 ► **Definition 5.** Let $J = \{I_1, \dots, I_M\}$ with $M \gg 1$, where the instances I_i are uniformly
 108 drawn samples from the allowed parameter space \mathcal{I} . We then define the average competitive
 109 ratio of an algorithm ALG as

$$110 \quad \hat{c}_{ALG} = \frac{1}{M} \sum_{i=1}^M \hat{c}(I_i).$$

111 The specific value of M used is reported. Note that this definition is meaningful for both
 112 deterministic and randomized algorithms.

3 Optimal offline algorithm

Algorithm 1 An optimal offline greedy algorithm ALG_1

```

for all days  $i$  do
  -Assign an effective price (see Eq. 1) for day  $i$  depending on ticket price of day  $d$ 
  and cumulative hotel prices from day 1 to  $i - 1$ 
  -Add a tuple with these effective costs and preliminary
  information to an array  $A$ 
end for
Sort those days ascending on cheapest cost, equals maintain original order.
while there are still people who need to be sent back do
  -Get the first (key, value)-pair from  $A$ , and remove that first element
  -Retrieve the maximum amount of people that can be sent home that day
  -Buy that number of tickets and store the associated cost
  -Update the amount of people who don't have tickets yet
end while
return The total cost of tickets and hotel prices

```

We then prove the following theorem.

► **Theorem 6** (Offline greedy is optimal). *Consider the offline algorithm 1, ALG_1 . The following statements are true.*

1. *The greedy algorithm always provides a feasible solution.*
2. *This solution is optimal.*

Proof. Define $G = \{g_1, \dots, g_m\}$ where g_i is the number of tickets bought by ALG_1 on day i and define $OPT = \{o_1, \dots, o_m\}$ where o_i is the number of tickets bought by an optimal solution OPT on day i . Define $Q = (q_1, \dots, q_m)$, with

$$q_i := p_i + \sum_{j=1}^{i-1} h_j \quad (1)$$

the “effective price” of a ticket on day i . Define q^* to be the largest effective price for which ALG_1 has bought at least one ticket. Then define

$$\begin{aligned}
 J^+ &:= \{i \mid q_i > q^*\} \\
 J^- &:= \{i \mid q_i < q^*\} \\
 J^= &:= \{i \mid q_i = q^*\}.
 \end{aligned}$$

By design of ALG_1 , we know that $g_j = s_j$ for all $j \in J^-$, and by definition of q^* we have that $g_j = 0$ for all $j \in J^+$. If $\sum_{j \in J^-} o_j < \sum_{j \in J^-} g_j$, then for any two days $j_1 \in J^-$ and $j_2 \in J^= \cup J^+$ we have that the optimal cost C_{OPT} would decrease if the optimal solution OPT were to buy a ticket on day j_1 instead of on j_2 . As this is an obvious contradiction to the optimal solution being optimal, we must have $\sum_{j \in J^-} o_j = \sum_{j \in J^-} g_j$.

If $\sum_{j \in J^=} o_j < \sum_{j \in J^=} g_j$, then for any two days $j_1 \in J^=$ and $j_2 \in J^+$ we have that the optimal cost C_{OPT} would decrease if the optimal solution OPT were to buy a ticket on day j_1 instead of on j_2 . As this is an obvious contradiction to the optimal solution being optimal, we must have $\sum_{j \in J^=} o_j = \sum_{j \in J^=} g_j$.

These statements also hold in special cases. If $J^- = \emptyset \wedge J^+ = \emptyset$, by definition of $J^=$, all

138 tickets are bought in $J^=$ for the same price q^* , so the greedy algorithm's cost is the same as
139 the optimal cost. Using this same reasoning, it's trivial that for $J^- = \emptyset \wedge J^+ \neq \emptyset$ the greedy
140 algorithm's cost and an optimal algorithm. The statements also apply to $J^- \neq \emptyset \wedge J^+ = \emptyset$,
141 as there are simply no tickets available more expensive than q^* . ◀

142 4 Deterministic online algorithms

143 We first propose the simple threshold algorithm ALG_2 :

■ **Algorithm 2** The $\lfloor \sqrt{p_{\max}} \rfloor$ -threshold online algorithm ALG_2

```

 $Q \leftarrow \lfloor \sqrt{p_{\max}} \rfloor$ 
for  $i \leftarrow 1$  to  $m$  do
  if  $(p_i \leq Q \vee i = m)$  then
    Buy  $n$  tickets.
  end if
end for

```

144

145 The following result holds for the competitive ratio of ALG_2 .

146 ► **Lemma 7** (Competitive ratios for ALG_2). *Let $p_{\max}, m, n \in \mathbb{N}$ and write $p_{\max} = k^2 + \ell$ for*
 147 *$k \in \mathbb{N}$ with $0 \leq \ell < 2k + 1$. Then, in setting (d), the algorithm ALG_2 is*

- 148 ■ 1-competitive if $m = 1$,
- 149 ■ $\lfloor \sqrt{p_{\max}} \rfloor$ -competitive if $0 \leq \ell \leq k$ (and $m > 1$),
- 150 ■ $\frac{p_{\max}}{\lfloor \sqrt{p_{\max}} \rfloor + 1}$ -competitive if $k < \ell < 2k + 1$ (and $m > 1$).

151 **Proof.** Let $n, m, p_{\max} \in \mathbb{N}$ so that the constraints of setting (d) are satisfied.

152 For $m = 1$ the competitive ratio of 1 trivially follows from the fact that both ALG_2 and OPT
 153 buy n tickets on the first day. So from now on we may assume $m > 1$.

154 Also, we notice that the number of people n of an instance does not affect the competitive
 155 ratio $c_{\text{ALG}_2}(I)$ because both ALG_2 and OPT buy all of their tickets on a single day, so

$$156 \quad c_{\text{ALG}_2}(I) = \frac{\text{ALG}_2(I)}{\text{OPT}(I)} = \frac{p_{\text{ALG}_2}(I)n}{p_{\text{OPT}}(I)n} = \frac{p_{\text{ALG}_2}(I)}{p_{\text{OPT}}(I)},$$

157 where $p_{\text{ALG}_2}(I)$ and $p_{\text{OPT}}(I)$ are the prices at which ALG_2 and OPT respectively buy n tickets
 158 for instance I .

159 Since we are in setting (d), we may define an instance I by just its prices, so $I = \mathbf{p} =$
 160 (p_1, \dots, p_m) . We then consider the following two collectively exhaustive and mutually
 161 exclusive cases.

- 162 1. $\forall i \in \{1, \dots, m\}, p_i > k$: In this case we specifically have the bounds $p_{\text{OPT}}(I) \geq k + 1$ and
 163 $p_{\text{ALG}_2}(I) \leq p_{\max}$. Thus, for all instances I in this case the competitive ratio $c_{\text{ALG}_2}(I)$ is
 164 bounded by

$$165 \quad c_{\text{ALG}_2}(I) = \frac{p_{\text{ALG}_2}(I)}{p_{\text{OPT}}(I)} \leq \frac{p_{\max}}{k + 1}.$$

- 166 2. $\exists i \in \{1, \dots, m\}, p_i \leq k$: In this case we specifically have the bounds $p_{\text{OPT}}(I) \geq 1$ and
 167 $p_{\text{ALG}_2}(I) \leq k$. Thus, for all instances I in this case the competitive ratio $c_{\text{ALG}_2}(I)$ is
 168 bounded by

$$169 \quad c_{\text{ALG}_2}(I) = \frac{p_{\text{ALG}_2}(I)}{p_{\text{OPT}}(I)} \leq \frac{k}{1} = k.$$

170 From the above two cases, we conclude that the competitive ratio $c_{\text{ALG}_2}(I)$ for an arbitrary
 171 instance $I \in \mathcal{I}_d$ is bounded by

$$172 \quad c_{\text{ALG}_2}(I) \leq \max\left\{k, \frac{p_{\max}}{k + 1}\right\} = \max\left\{k, \frac{k^2 + \ell}{k + 1}\right\},$$

and therefore that $c_{\text{ALG}_2} \leq \max\{k, \frac{k^2+\ell}{k+1}\}$.
 Now remember that we write $p_{\max} = k^2 + \ell$ with $0 \leq \ell < 2k+1$ and notice that $k = \lfloor \sqrt{p_{\max}} \rfloor$.
 If $0 \leq \ell \leq k$, then we have

$$\begin{aligned} k \geq \ell &\iff k^2 + k \geq k^2 + \ell \iff k(k+1) \geq k^2 + \ell \\ &\iff k \geq \frac{k^2 + \ell}{k+1} \iff \lfloor \sqrt{p_{\max}} \rfloor \geq \frac{p_{\max}}{\lfloor \sqrt{p_{\max}} \rfloor + 1}. \end{aligned} \quad (2)$$

Thus in this case the competitive ratio c_{ALG_2} is determined by k . Indeed the analysis is tight if we consider the instance $I = (k, 1, 1, \dots, 1)$.

If $\ell > k$, then we can follow the inequalities in Eq. 2 to find that the competitive ratio is determined by $\frac{k^2+\ell}{k+1}$. The analysis here is again tight if we consider the instance $I = (k+1, \dots, k+1, k^2 + \ell)$.

Substituting p_{\max} and $\lfloor \sqrt{p_{\max}} \rfloor$ for k and ℓ we conclude that we indeed find the competitive ratios as stated in the Lemma description. \blacktriangleleft

Using Lemma ??, we can even prove that ALG_2 is an optimal algorithm in setting (e), where the number of people is further restricted to $n = 1$.

► **Theorem 8.** *The algorithm ALG_2 is a strongly optimal deterministic online algorithm in setting (e).*

Proof. Let $p_{\max}, m \in \mathbb{N}$ so that the constraints of setting (e) are satisfied.

If $m = 1$ then ALG_2 is definitely optimal, since buying the the ticket on day 1 is trivially the optimal decision. So from now on we assume $m > 1$. Furthermore, we write $p_{\max} = k^2 + \ell$ with $k \in \mathbb{N}$ and $0 \leq \ell < 2k+1$. We then distinguish two cases in which we show that there exists no algorithm ALG with a smaller competitive ratio than ALG_2 for sub-instances $\mathcal{I}_d(n = 1, m, p_{\max})$.

1. $\ell \leq k$: In this case we know by Lemma ?? that the competitive ratio of ALG_2 is $c_{\text{ALG}_2} = \lfloor \sqrt{p_{\max}} \rfloor = k$. For the sake of contradiction, assume there exists an algorithm ALG such that $c_{\text{ALG}} < k$. Then, consider the two instances, which are defined only by the prices \mathbf{p} on each of the m days

$$I_1 = (k, k, \dots, k, 1), \quad I_2 = (k, k, \dots, k, p_{\max}).$$

If ALG waits for the last day to buy the ticket upon seeing the price k for the first $m-1$ days, then the competitive ratio for instance I_2 would be $c_{\text{ALG}}(I_2) = \frac{p_{\max}}{k} \geq k$. However, if ALG does not wait for the last day to buy the ticket upon seeing the price k for some number of days, then the competitive ratio, for instance I_1 , would be $c_{\text{ALG}}(I_1) = \frac{k}{1} \geq k$. Thus, no matter the decision ALG makes, we find that there exists an instance I such that $c_{\text{ALG}}(I) \geq k$ that contradicts the assumption that $c_{\text{ALG}} < k$.

2. $\ell > k$: In this case we know by Lemma ?? that the competitive ratio of ALG_2 is $c_{\text{ALG}_2} = \frac{p_{\max}}{\lfloor \sqrt{p_{\max}} \rfloor + 1} = \frac{k^2+\ell}{k+1}$. For the sake of contradiction, assume there exists an algorithm ALG such that $c_{\text{ALG}} < \frac{k^2+\ell}{k+1}$. Then, consider the two instances

$$I_1 = (k+1, k+1, \dots, k+1, 1), \quad I_2 = (k+1, k+1, \dots, k+1, p_{\max}).$$

If ALG waits for the last day to buy the ticket upon seeing the price $k+1$ for the first $m-1$ days, then the competitive ratio for instance I_2 would be $\frac{k^2+\ell}{k+1}$. However, if ALG

212 does not wait for the last day to buy the ticket upon seeing the price $k + 1$ for some
 213 number of days, then the competitive ratio for instance I_1 would be

$$214 \quad c_{\text{ALG}}(I_1) = \frac{k+1}{1} = \frac{k^2 + 2k + 1}{k+1} > \frac{k^2 + \ell}{k+1},$$

215 since $\ell < 2k + 1$. Thus, no matter the decision **ALG** makes, we find that there exists an
 216 instance I such that $c_{\text{ALG}}(I) \geq \frac{k^2 + \ell}{k+1}$ contradicting the assumption that $c_{\text{ALG}} < \frac{k^2 + \ell}{k+1}$.
 217 ◀

218 As we have just seen, in setting (e) **ALG**₂ is in fact an optimal algorithm. However, in
 219 setting (d) **ALG**₂ is definitely not optimal. Considering the simple example instance with
 220 $(n, m, p_{\max}) = (2, 2, 4)$ we observe that if $p_1 = 2$, the optimal choice that minimizes the
 221 competitive ratio is *not* to buy 2 tickets on day 1, but to buy 1 ticket. In the former case,
 222 the worst case competitive ratio c considering all possible p_2 will be $c = 2$, while in the latter
 223 case, we find for the worst case $c = \frac{3}{2}$. Therefore, the algorithm **ALG**₂ fails in achieving the
 224 smallest possible competitive ratio for the set of sub-instances $\mathcal{I}(n = 2, m = 2, p_{\max} = 4)$,
 225 while clearly there exists an online algorithm that does make the optimal choice. So, **ALG**₂ is
 226 definitely not optimal for setting (d).

The observations above inspire the following “greedy” online algorithm **ALG**₃.

■ **Algorithm 3** A greedy online algorithm **ALG**₃

$CC \leftarrow 0$	$\triangleright CC := \text{Current Cost}$
$p_{\min} \leftarrow p_{\max}$	$\triangleright p_{\min} := \text{Current smallest price}$
for $i \leftarrow 1$ to m do	
if $(i = m)$ then	
Buy n tickets	
return	
end if	
$p_{\min} \leftarrow \min\{p_i, p_{\min}\}$	
$n_i \leftarrow \underset{0 \leq x \leq n}{\operatorname{argmin}} \left\{ \max \left\{ \frac{CC + p_i x + p_{\max}(n-x)}{p_{\min} n}, \frac{CC + p_i x + 1 \cdot (n-x)}{n} \right\} \right\}$	
Buy n_i tickets.	
$CC \leftarrow CC + p_i n_i$	
$n \leftarrow n - n_i$	
end for	

227
 228 The algorithm **ALG**₃ is based on the following heuristic. When deciding how many tickets
 229 n_i to buy on any given day i , we want to choose the value that *minimizes* the competitive
 230 ratio under the assumption that the adversary will try to maximize the competitive ratio *on*
 231 *the following day*. An important observation is that in computing the value n_i we assume
 232 that we have to buy all remaining tickets on either day i or on day $i + 1$. Also, we only
 233 consider an adversary that either chooses to make p_{i+1} as high as possible or as low as
 234 possible, since these are (very likely) the cases in which the competitive ratio attains a high
 235 value. In fact, Lemma 9 implies that p_{\max} and 1 are the only candidates for p_{i+1} that can
 236 affect the worst-case competitive ratio under some assumptions.

237 ► **Lemma 9.** *Let $n, x, p_{\max}, p_1 \in \mathbb{N}$ with $p_1 \in \{1, \dots, p_{\max}\}$ and $x \in \{0, \dots, n\}$. Then,*

$$238 \quad \max_{1 \leq p_2 \leq p_{\max}} \left\{ \frac{p_1 x + p_2(n-x)}{\min\{p_1, p_2\}n} \right\} = \max \left\{ \frac{p_1 x + p_{\max}(n-x)}{p_1 n}, \frac{p_1 x + (n-x)}{n} \right\}.$$

Proof. Assume for the sake of contradiction that the maximum is assumed for $1 < p_2 < p_{\max}$. Then distinguish between the following cases. If $p_1 \leq p_2$ then we have

$$\frac{p_1 x + p_2(n-x)}{\min\{p_1, p_2\}n} = \frac{p_1 x + p_2(n-x)}{p_1 n} < \frac{p_1 x + p_{\max}(n-x)}{p_1 n},$$

so contradiction. If $p_1 > p_2$ then we have

$$p_1 x < p_1 p_2 x \implies p_1 x + p_2(n-x) < p_1 p_2 x + p_2(n-x) \implies \frac{p_1 x + p_2(n-x)}{p_2 n} < \frac{p_1 x + n-x}{n},$$

so again we reach a contradiction. \blacktriangleleft

► **Theorem 10.** *The algorithm ALG_3 is a strongly optimal deterministic online algorithm in setting (f).*

Proof. Let $m, n, p_{\max} \in \mathbb{N}$ such that they satisfy the constraints of setting (f). If $m = 1$ then ALG_3 is trivially optimal because both ALG_3 and OPT buy n tickets on the first day. Therefore, assume $m = 2$.

Since $m = 2$, we can make the following observation.

If an algorithm ALG decides to buy x tickets on day 1, then it must buy $n-x$ tickets on day 2.

This means that in this case, we may define an algorithm ALG as the function

$$\text{ALG} : \{1, \dots, p_{\max}\} \ni p_1 \mapsto x \in \{0, \dots, n\}. \quad (3)$$

As can be inferred from the description of ALG_3 , the function to which ALG_3 reduces if $m = 2$ is given by

$$\text{ALG}_3 : p_1 \mapsto \operatorname{argmin}_{0 \leq x \leq n} \left\{ \max \left\{ \frac{p_1 x + p_{\max}(n-x)}{p_1 n}, \frac{p_1 x + (n-x)}{n} \right\} \right\}.$$

For the sake of contradiction, assume there exists an algorithm ALG such that $c_{\text{ALG}} < c_{\text{ALG}_3}$ for the sub-instances $\mathcal{I}(n, m, p_{\max})$ of setting (f). If the price on the first day of an instance I is given by p_1 , then the worst case competitive ratio of an algorithm ALG for I is given by

$$c_{\text{ALG}}(I) = \max_{1 \leq p_2 \leq p_{\max}} \left\{ \frac{p_1 x + p_2(n-x)}{\min\{p_1, p_2\}n} \right\} = \max \left\{ \frac{p_1 x + p_{\max}(n-x)}{p_1 n}, \frac{p_1 x + (n-x)}{n} \right\},$$

where the second equality holds because of Lemma 9. So, we have that the maximum is attained for $p_2 \in \{1, p_{\max}\}$. Now it is clear that ALG_3 corresponds to the optimal function, i.e., buy the number of tickets such that the worst-case competitive ratio is minimized. More formally, for all instances $I \in \mathcal{I}_f(n, m, p_{\max})$ that start with p_1 such that $\text{ALG}(p_1) = \text{ALG}_3(p_1)$, the competitive ratios are also the same so $c_{\text{ALG}_3}(I) = c_{\text{ALG}}(I)$. If however $\text{ALG}(p_1) \neq \text{ALG}_3(p_1)$, then by the definition of argmin we have for all instances I starting with p_1 that

$$\begin{aligned} c_{\text{ALG}_3}(I) &= \max \left\{ \frac{p_1 \text{ALG}_3(p_1) + p_{\max}(n - \text{ALG}_3(p_1))}{p_1 n}, \frac{p_1 \text{ALG}_3(p_1) + (n - \text{ALG}_3(p_1))}{n} \right\} \\ &\leq \max \left\{ \frac{p_1 \text{ALG}(p_1) + p_{\max}(n - \text{ALG}(p_1))}{p_1 n}, \frac{p_1 \text{ALG}(p_1) + (n - \text{ALG}(p_1))}{n} \right\} = c_{\text{ALG}}(I). \end{aligned}$$

So, for all p_1 we have

$$\max_{p_2} \{c_{\text{ALG}_3}(p_1, p_2)\} \leq \max_{p_2} \{c_{\text{ALG}}(p_1, p_2)\}.$$

Since n, m and p_{\max} were chosen arbitrarily at the beginning, we conclude that for the set of sub-instances $\mathcal{I}_f(n, m, p_{\max})$ we have that $c_{\text{ALG}_3} \leq c_{\text{ALG}}$ for any online algorithm ALG , i.e., ALG_3 is strongly optimal. \blacktriangleleft

Finally, to show that the hotel prices in this problem are not very impactful on the worst-case competitive ratios, we propose the algorithm ALG_4 which is specifically designed for setting (c) (so $h_i \in \{1, \dots, p_{\max}\}$). The algorithm can be viewed as a natural extension of ALG_2 , but now operating with two thresholds.

■ **Algorithm 4** The double threshold algorithm ALG_4

```

 $P \leftarrow \lfloor \sqrt{p_{\max}} \rfloor$ 
 $H \leftarrow \lfloor \sqrt{p_{\max}} \rfloor$ 
for  $i \leftarrow 1$  to  $m$  do
  if  $(i = m \vee p_i + \sum_{j=1}^{i-1} h_j \leq P \vee \sum_{j=1}^i h_j \geq H)$  then
    Buy  $n$  tickets
    return
  else
    Wait for the next day
  end if
end for

```

To show that the competitive ratios of ALG_4 are still $\mathcal{O}(\sqrt{p_{\max}})$, we prove the Lemma below.

► **Lemma 11** (Competitive ratios for ALG_4). *Let $n, m, p_{\max} \in \mathbb{N}$ such that the constraints of setting (c) are satisfied. Then write $p_{\max} = k^2 + \ell$ for $k, \ell \in \mathbb{N}$ with $0 \leq \ell < 2k + 1$. Then, in setting (c), the algorithm ALG_4 is*

- 1-competitive if $m = 1$
- k -competitive if $\ell = 0$ (and $m > 1$),
- $(k + \frac{\ell-1}{k+1})$ -competitive if $\ell > 0$ (and $m > 1$).

Proof. Let $n, m, p_{\max} \in \mathbb{N}$ such that the constraints of setting (c) are satisfied. For generality and conciseness let the thresholds $P, H \in \mathbb{N}$ of ALG_4 be undefined.

If $m = 1$, then ALG_4 is trivially optimal again, so assume $m > 1$.

We then distinguish three collectively exhaustive classes for any sub-instance $I \in \mathcal{I}_c(n, m, p_{\max})$ corresponding to possible termination events for ALG_4 .

1. $\forall k \in \{1, \dots, m-1\} : p_k + \sum_{j=1}^{k-1} h_j > P \wedge \sum_{j=1}^k h_j < H$.
2. $\exists k \in \{1, \dots, m-1\} : p_k + \sum_{j=1}^{k-1} h_j \leq P$ while $\forall \ell \in \{1, \dots, k-1\} : (p_\ell + \sum_{j=1}^{\ell-1} h_j > P) \wedge (\sum_{j=1}^\ell h_j < H)$.
3. $\exists k \in \{1, \dots, m-1\} : \sum_{j=1}^k h_j \geq H$ while $\forall \ell \in \{1, \dots, k-1\} : (p_\ell + \sum_{j=1}^{\ell-1} h_j > P) \wedge (\sum_{j=1}^\ell h_j < H)$.

It is not difficult to see that the classes are collectively exhaustive, because either an instance is an element of class 1 or it is not, in which case it has to be an element of class 2 or 3. We then bound the competitive ratio for instances that are elements of certain classes, yielding four competitive ratios c_1, \dots, c_3 . The final competitive ratio c will thus be the maximum over these three competitive ratios. At the end, we just have to provide an instance to show that the analysis is tight. Without loss of generality, we will omit the parameters n because both ALG_4 and OPT buy all tickets on the same day. Now, consider an instance $I \in \mathcal{I}_c(n, m, p_{\max})$.

1. **I is an element of class 1:** In this case we buy all tickets on the last day, since none of the other statements were triggered for any day $k < m$. Furthermore, we specifically have

306 $p_k + \sum_{j=1}^{k-1} h_j > P$ and $\sum_{j=1}^k h_j < H$ for all $k \in \{1, \dots, m-1\}$. We split the instance
307 up into two more cases

- 308 a. $p_m + \sum_{j=1}^{m-1} h_j \leq P$. In this case, the optimal cost $\text{OPT}(I)$ is clearly achieved by buying
309 on the last day. This results in a competitive ratio of 1.
310 b. $p_m + \sum_{j=1}^{m-1} h_j > P$. In this case, the optimal cost is bounded from below by $\text{OPT}(I) \geq$
311 $P+1$ while the cost of the algorithm is bounded from above by $\text{ALG}_4(I) \leq p_{\max} + H - 1$.
312 This results in a competitive ratio of

$$313 \quad c_1 \leq \frac{p_{\max} + H - 1}{P + 1}.$$

314 So, we conclude that for this class of instances, we obtain the bound

$$315 \quad c_1 \leq \frac{p_{\max} + H - 1}{P + 1}.$$

- 316 2. **I is an element of class 2:** In this case the algorithm terminates because on some day
317 k we have $p_k + \sum_{j=1}^{k-1} h_j \leq P$. Clearly, $\text{ALG}_4(I) \leq P$ and $\text{OPT}(I) \geq 1$, so this results in a
318 competitive ratio

$$319 \quad c_2 \leq \frac{P}{1} = P$$

- 320 3. **I is an element of class 3:** In this case the algorithm terminates because on some day
321 k we have $\sum_{j=1}^k h_j \geq H$. So, on day $k-1$, the cumulative hotel cost must have been
322 bounded by $H-1$ hence the cost of the algorithm is bounded by $\text{ALG}_4(I) \leq p_{\max} + H - 1$.
323 We distinguish three more cases

- 324 a. The optimal cost is achieved by buying after day k . In this case, we have that
325 $\text{OPT}(I) \geq H + 1$, because if the tickets are bought after day k , then all hotel prices up
326 until that point also have to be paid. This results in a competitive ratio bounded by

$$327 \quad c_3 \leq \frac{p_{\max} + H - 1}{H + 1}$$

- 328 b. The optimal cost is achieved by buying before day k . In this case, we have that
329 $\text{OPT}(I) \geq P + 1$, because the P -threshold was never hit before day k . This results in a
330 competitive ratio bounded by

$$331 \quad c_3 \leq \frac{p_{\max} + H - 1}{P + 1}$$

- 332 c. The optimal cost is achieved by buying on day k . In this case, the algorithm does the
333 same as the optimal algorithm, so this results in a competitive ratio of 1.

334 So, the competitive ratio is bounded by

$$335 \quad c_3 \leq \max \left\{ \frac{p_{\max} + H - 1}{H + 1}, \frac{p_{\max} + H - 1}{P + 1} \right\}.$$

336 In the case of ALG_4 where we have $P = H = \lfloor \sqrt{p_{\max}} \rfloor$, we find that the actual competitive
337 ratio c over all sub-instances is bounded by

$$338 \quad c \leq \max\{c_1, c_2, c_3\} = \max \left\{ \frac{p_{\max} + \lfloor \sqrt{p_{\max}} \rfloor - 1}{\lfloor \sqrt{p_{\max}} \rfloor + 1}, \lfloor \sqrt{p_{\max}} \rfloor \right\},$$

339 and writing $p_{\max} = k^2 + \ell$ with $0 \leq \ell < 2k + 1$ we find

$$340 \quad c \leq \max \left\{ \frac{k^2 + \ell + k - 1}{k + 1}, k \right\} = \max \left\{ k + \frac{\ell - 1}{k + 1}, k \right\}.$$

341 If $\ell = 0$, so p_{\max} is a square, then we choose the instance $\mathbf{p} = (k, 1, 1, \dots, 1)$ and $\mathbf{h} = (0, \dots, 0)$
 342 such that indeed the competitive ratio indeed assumes k in this case. If $\ell > 0$, then we
 343 choose the instance $\mathbf{p} = (k+1, p_{\max}, p_{\max}, \dots, p_{\max})$ and $\mathbf{h} = (k-1, 0, 0, \dots, 0)$ such that the
 344 competitive ratio indeed assumes $\frac{p_{\max}+k-1}{k+1}$. This proves the statement of the Lemma. ◀

345 This result implies that the simple threshold algorithm 2 can be extended to be applicable
 346 to the much less restrictive setting with constraints (c) while retaining the same order of
 347 competitive ratio as before.

348 5 Randomized online algorithms

349 In the previous chapter, we proposed certain deterministic algorithms to try and solve the
 350 given problem. In this chapter, however, we will explore the naive randomized algorithm
 351 ALG_5 and the more sophisticated randomized algorithm ALG_6 , which can be viewed as a
 352 generalization of ALG_2 . The naive random algorithm ALG_5 randomly decides at initialization
 353 on what number of tickets to buy on each of the m days, such that the total number of
 354 tickets sum up to n , see the definition below.

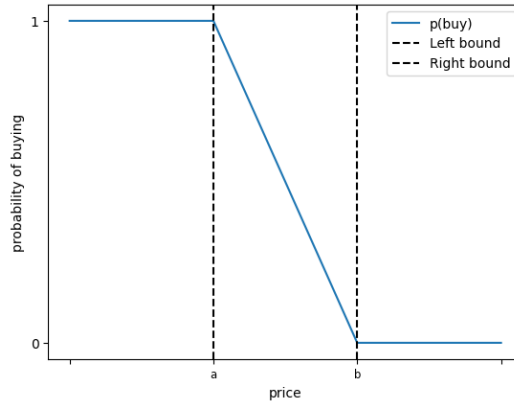
■ **Algorithm 5** The naive randomized algorithm ALG_5

```

Initialize an array  $R$  of length  $m$  with random integers on every position such that
 $\sum_{i=1}^m R_i = n$ .
for  $i \leftarrow 1$  to  $m$  do
    Buy  $R[i]$ 
end for
  
```

355 Furthermore, the more sophisticated randomized algorithm ALG_6 selects the number of
 356 tickets to buy according to some probability. This probability is calculated on the basis of the
 357 ticket price (see Fig. 1). We set a price lower bound a and upper bound b . The probability
 358 of buying a ticket is 1 when the price p_i is less than a , it then decreases linearly until the b
 359 bound. Then, the probability of buying is 0 when p_i is above the upper bound b .

Finally, the number of tickets to buy is determined by sampling from a binomial distribution



■ **Figure 1** Probability (y-axis) to buy a ticket for a person on day i as a function of the price p_i (x-axis). In this case $a = 1$, $b = 2$ and $p_{\max} > 2$.

360 with n_i trials and the determined probability. The values for the parameters α and β are
 361 taken to be respectively 0.9 and 0.1. These parameters were chosen arbitrarily in an attempt
 362

363 to minimize the average competitive ratio of the algorithm on a set of generated instances.
 364 In a real setting, these parameters would need to be optimized according to a set of past
 365 instances. The algorithm ALG_6 is described in more detail below.

■ **Algorithm 6** The randomized $\frac{p_i}{p_{\max}}$ -ratio online algorithm

Initialize the left bound $a = 0.9 * \sqrt{p_{\max}}$ and right bound $b = \sqrt{p_{\max}} + 0.1 * (p_{\max} - \sqrt{p_{\max}})$.
for $i \leftarrow 1$ **to** m **do**
 if $(i = m)$ **then**
 Buy all remaining tickets.
 end if
 if $p_i < a$ **then**
 Probability of buying a ticket is 1
 else if $a \leq p_i < b$ **then**
 Probability of buying a ticket is determined by linearly interpolating between a and
 b (see Fig. 1).
 else
 Probability of buying a ticket is 0
 end if
 Draw from a binomial distribution with n trials and the probability from above to
 determine the number of tickets to buy.
end for

366 6 Average performance

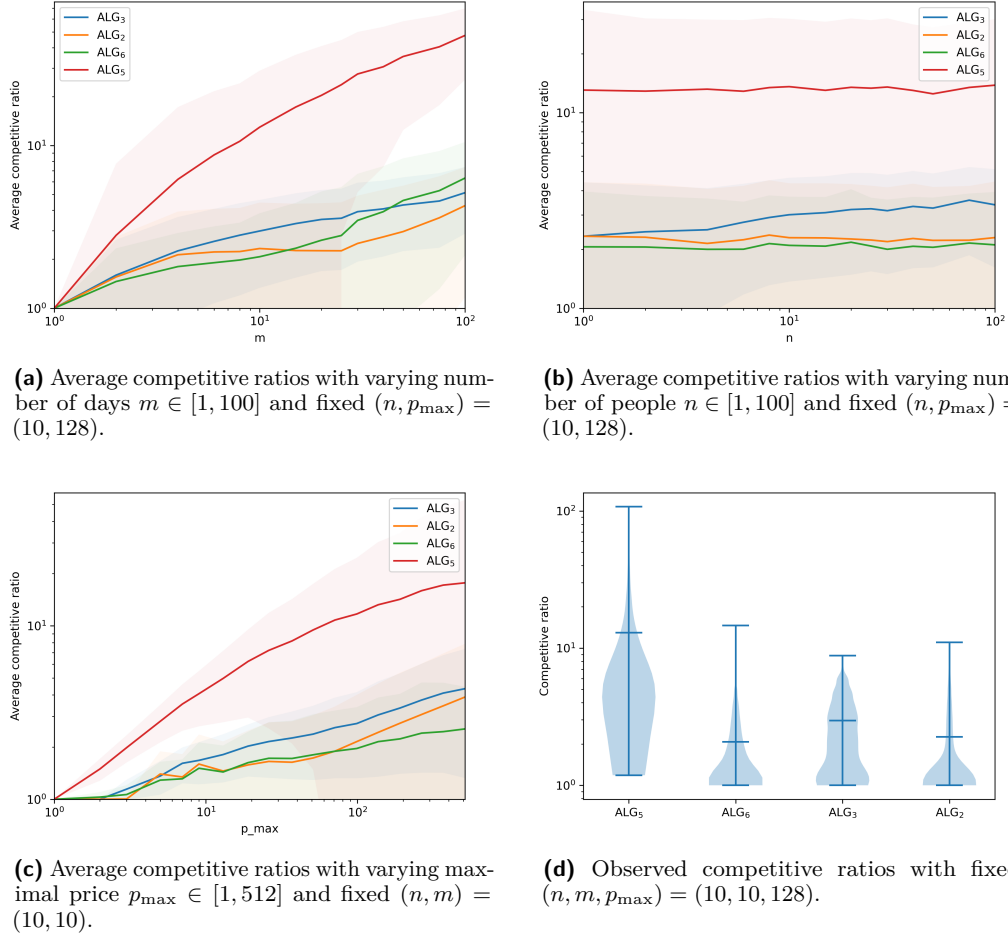
367 In this section, we will compare the average performances of the algorithms deterministic
 368 algorithms ALG_2 and ALG_3 with the randomized algorithms ALG_5 and ALG_6 seen in the preceding
 369 sections. The setting will be (d), so $h = 0$. First, we compare all algorithms in the violin
 370 plot the *average competitive ratios* of the algorithms, where we refer to Section 2 for the
 371 necessary definitions.

372 First we analyze the case instance $(n, m, p_{\max}) = (10, 10, 128)$ to generate the distributions
 373 of competitive ratios, see Fig. 2d. Note that the worst-case competitive ratio of ALG_2 is
 374 $c_{\text{ALG}_2} = 10$, which is indeed the theoretical result derived in Lemma 7. The worst-case
 375 performance of ALG_3 appears to be even better than ALG_2 . Also, we see that indeed the
 376 randomized algorithm ALG_6 slightly outperforms the other algorithms on average competitive
 377 ratio. We also note that the performance of the naive randomized algorithm ALG_5 is very
 378 poor in all cases.

379 In Fig. 2a, we see that all average competitive ratios increase as a function of m . For
 380 smaller $m < 10$ the randomized algorithm ALG_5 outperforms the deterministic algorithms on
 381 average, however for larger $m > 10$ the simple threshold algorithm ALG_2 has minimal average
 382 competitive ratio.

383 In Fig. 2a, we see that the average competitive ratios are constant as a function of n . We
 384 observe that the algorithm ALG_6 slightly outperforms the deterministic algorithms on average
 385 competitive ratio.

386 In Fig. 2a, we see that the average competitive ratios increase as a function of p_{\max} . For
 387 smaller $p_{\max} < 100$, the average competitive ratios of ALG_2 and ALG_6 are comparable, but for
 388 larger $p_{\max} > 100$, we observe that ALG_6 outperforms the deterministic algorithms.



■ **Figure 2** For figures 2a, 2b and 2c we sampled $M = 1000$ instances for every instance (n, m, p_{\max}) . To determine the convergence of the randomized algorithms we use $\epsilon = 10^{-5}$. The solid lines describes the average competitive ratio of the 1000 instances, and the light shaded area's indicate the standard deviations corresponding to the algorithms. For figure 2d we sampled $M = 10000$ instances.

7 Discussion

In conclusion, we have shown that the simple deterministic threshold algorithms ALG_2 is optimal in setting (e) and attains a $\mathcal{O}(\sqrt{p_{\max}})$ competitive ratio in setting (d). The algorithm ALG_3 is shown to be optimal in setting (f), and ALG_4 , is also shown to be $\mathcal{O}(\sqrt{p_{\max}})$ -competitive for the most general setting (c).

On the deterministic side, since ALG_3 seems to performs very good for worst-case competitive ratio (see Fig 2d), future work could focus on proving more results on ALG_3 in the more interesting and general setting (d). Furthermore, ALG_3 could even be modified to incorporate hotel prices to also accommodate for setting (c).

On the randomized side, we have shown that our randomized algorithm ALG_6 can outperform the deterministic algorithms on average competitive ratio. A more sophisticated analysis would further optimize the parameters a and b , and perhaps even change the probability curve between a and b to make it non-linear.

A Single online algorithms for the unrestricted plane-hotel problem

The least restricted variant of the plane-hotel problem has no more than one feasible algorithm. Recall from Section 2 the set \mathcal{I}_a , containing all valid instances of the plane-hotel problem. For the purpose of this proof, we now define the set \mathcal{A}_a containing all feasible algorithms creating valid solutions to all instances of problem (x), that is:

$$\mathcal{A}_a = \{\text{ALG} \mid \forall I \in \mathcal{I}_a : \text{ALG produces a valid solution for } I\} \quad (4)$$

A valid solution to an instance I is any solution that sends all people home: $\sum_{i=1}^m f_i = n$. We can now prove that the following holds:

► **Lemma 12.** $|\mathcal{A}_a| = 1$ with the only feasible algorithm always taking the first n available seats.

Proof. Assume that there exists a feasible algorithm **ALG** that does not buy the first n tickets for some instance $I \in \mathcal{I}_a$. We then have that there exists some day $i^* < m$ on which we have that $\sum_{i=1}^{i^*} f_i < n$. So, since $\sum_{i=1}^m f_i = n$, **ALG** must buy the remaining tickets on some days $> i^*$. Now consider the valid instance $I' \in \mathcal{A}$ which is identical to I up until day i^* , but then has $s_i = 0$ for all $i > i^*$. Now, **ALG** does not produce a feasible solution on I' , hence **ALG** is not a feasible algorithm. This contradiction implies that the only element of \mathcal{A}_a is the algorithm which always buys the first n tickets for every instance $I \in \mathcal{I}_a$. Note this algorithm *does* always produce a valid solution for every feasible instance since $\sum_{i=1}^m s_i \geq n$. So we conclude that indeed $|\mathcal{A}_a| = 1$.

For the only algorithm in \mathcal{A}_a , we then prove the following theorem.

► **Theorem 13.** Let $K \in \mathbb{N}$ and n, m with $m \leq 2$. Then, the ‘take-every-seat’ algorithm is at least K -competitive.

Proof. Choose the instance $I \in \mathcal{I}_a$ with $\mathbf{s} = (n, n, \dots, n)$, $\mathbf{p} = (K+1, 1, \dots, 1)$ and $\mathbf{h} = 0$. Then the competitive ratio of the algorithm is $c(I) = \frac{(K+1)n}{n} = K+1 > K$. Therefore, the algorithm is at least K -competitive.

From Theorem 13, we conclude that no constant-competitive algorithm exists in setting (a), because the only feasible algorithm is not constant-competitive. From these results, it is evident that some other guarantee on the number of seats is required for a feasible constant-competitive algorithm to exist.

B No constant-competitive algorithm for the plane-hotel problem with a guarantee on seats

As outlined in Section 2, we opted, for simplicity, to constrain the number of seats in the subsequent settings (b)-(f) such that on each day i there are n seats available. Before doing analyses with stricter guarantees, we first do an analysis with only this very loose extra restriction of setting (b). Define \mathcal{A}_b as the set of all feasible algorithms in setting (b) analogous to \mathcal{A}_a in Eq. 4.

► **Theorem 14.** Let $\text{ALG} \in \mathcal{A}_b$, $m, n \in \mathbb{N}$ with $m > 2$ and $K \in \mathbb{N}$. Then, **ALG** is at least K -competitive.

441 **Proof.** Consider the adversarial instance $I_{\text{adv}} = (1, 2, \mathbf{s}, \mathbf{p}, \mathbf{h})$, where:

442 $\mathbf{s} = (n, n, \dots, n)$

443 $\mathbf{h} = 0$

444 $p_1 = K$

445 $\forall i > 1 : p_i = \begin{cases} 1 & \text{if } f_1 = n \\ \frac{nK^2 - Kf_1}{n - f_1} & \text{if } f_1 < n \end{cases}$

446 On this instance all algorithms have 2 options, either fly every person home on day 1 or not.
 447 We now evaluate the competitive ratio in both of these cases to obtain a lower bound on the
 448 competitive ratio.

- 449 1. If $f_1 = n$ people are flown home on the first day, then consider the instance with with
 450 $p_2 = 1$. The algorithms cost is Kn while the optimal cost is n , thus the competitive ratio
 451 is $\frac{Kn}{n} = K$.
 452 2. If $f_1 < n$ people are flown home on the first day, then consider the instance with
 453 $p_2 = \frac{nK^2 - Kf_1}{n - f_1}$. This time the algorithm incurs the cost

454
$$f_1 K + (n - f_1) \frac{nK^2 - Kf_1}{n - f_1} = f_1 K + nK^2 - Kf_1 = nK^2,$$

455 while the optimal incurs a cost of Kn . The resulting competitive ratio is $\frac{K^2 n}{Kn} = K$, which
 456 is the same as in the first case.

457 In either case, the competitive ratio for **ALG** is K . So, **ALG** is at least K -competitive. ◀

458 From Theorem 14, we conclude that there does not exist a feasible algorithm $\mathbf{ALG} \in \mathcal{A}_b$
 459 with a bounded competitive ratio, since K can be chosen arbitrarily large. This result implies
 460 that some restriction on the maximal price of a ticket on every day is required.

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