



Boot media

ONTAP Systems

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Boot media

Overview of boot media replacement - FAS500f

The boot media stores a primary and secondary set of system (boot image) files that the system uses when it boots.

You must have a USB flash drive, formatted to MBR/FAT32, with the appropriate amount of storage to hold the `image_XXX.tgz` file.

- You must replace the failed component with a replacement FRU component you received from your provider.
- It is important that you apply the commands in these steps on the correct controller:
 - The *impaired* controller is the controller on which you are performing maintenance.
 - The *healthy* controller is the HA partner of the impaired controller.

Check onboard encryption keys - FAS500f

Prior to shutting down the impaired controller and checking the status of the onboard encryption keys, you must check the status of the impaired controller, disable automatic giveback, and check what version of ONTAP the system is running.

Prior to shutting down the impaired controller and checking the status of the onboard encryption keys, you must check the status of the impaired controller, disable automatic giveback, and check the version of ONTAP that is running.

If you have a cluster with more than two nodes, it must be in quorum. If the cluster is not in quorum or a healthy controller shows false for eligibility and health, you must correct the issue before shutting down the impaired controller; see the [NetApp Encryption overview with the CLI](#).

Steps

1. Check the status of the impaired controller:
 - If the impaired controller is at the login prompt, log in as `admin`.
 - If the impaired controller is at the `LOADER` prompt and is part of HA configuration, log in as `admin` on the healthy controller.
 - If the impaired controller is in a standalone configuration and at `LOADER` prompt, contact mysupport.netapp.com.
2. If AutoSupport is enabled, suppress automatic case creation by invoking an AutoSupport message:

```
system node autosupport invoke -node * -type all -message  
MAINT=number_of_hours_downh
```

The following AutoSupport message suppresses automatic case creation for two hours: `cluster1:*>`

```
system node autosupport invoke -node * -type all -message MAINT=2h
```
3. Check the version of ONTAP the system is running on the impaired controller if up, or on the partner controller if the impaired controller is down, using the `version -v` command:
 - If `<Ino-DARE>` or `<1Ono-DARE>` is displayed in the command output, the system does not support

NVE, proceed to shut down the controller.

- If <Ino-DARE> is not displayed in the command output, and the system is running ONTAP 9.6 or later, go to the next section.

4. If the impaired controller is part of an HA configuration, disable automatic giveback from the healthy controller: `storage failover modify -node local -auto-giveback false` or `storage failover modify -node local -auto-giveback-after-panic false`

Check NVE or NSE on systems running ONTAP 9.6 and later

Before shutting down the impaired controller, you need to verify whether the system has either NetApp Volume Encryption (NVE) or NetApp Storage Encryption (NSE) enabled. If so, you need to verify the configuration.

1. Verify whether NVE is in use for any volumes in the cluster: `volume show -is-encrypted true`

If any volumes are listed in the output, NVE is configured and you need to verify the NVE configuration. If no volumes are listed, check whether NSE is configured and in use.

2. Verify whether NSE is configured and in use: `storage encryption disk show`

- If the command output lists the drive details with Mode & Key ID information, NSE is configured and you need to verify the NSE configuration and in use.
- If no disks are shown, NSE is not configured.
- If NVE and NSE are not configured, no drives are protected with NSE keys, it's safe to shut down the impaired controller.

Verify NVE configuration

1. Display the key IDs of the authentication keys that are stored on the key management servers: `security key-manager key-query`




After the ONTAP 9.6 release, you may have additional key manager types. The types are KMIP, AKV, and GCP. The process for confirming these types is the same as confirming external or onboard key manager types.

- If the Key Manager type displays `external` and the Restored column displays `yes`, it's safe to shut down the impaired controller.
- If the Key Manager type displays `onboard` and the Restored column displays `yes`, you need to complete some additional steps.
- If the Key Manager type displays `external` and the Restored column displays anything other than `yes`, you need to complete some additional steps.
- If the Key Manager type displays `onboard` and the Restored column displays anything other than `yes`, you need to complete some additional steps.
 1. If the Key Manager type displays `onboard` and the Restored column displays `yes`, manually back up the OKM information:
 - a. Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
 - b. Enter the command to display the key management information: `security key-manager onboard show-backup`

- c. Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
 - d. Return to admin mode: `set -priv admin`
 - e. Shut down the impaired controller.
2. If the Key Manager type displays `external` and the Restored column displays anything other than `yes`:
 - a. Restore the external key management authentication keys to all nodes in the cluster: `security key-manager external restore`

If the command fails, contact NetApp Support.

mysupport.netapp.com
 - b. Verify that the Restored column equals `yes` for all authentication keys: `security key-manager key-query`
 - c. Shut down the impaired controller.
3. If the Key Manager type displays `onboard` and the Restored column displays anything other than `yes`:
 - a. Enter the onboard security key-manager sync command: `security key-manager onboard sync`



Enter the customer's onboard key management passphrase at the prompt. If the passphrase cannot be provided, contact NetApp Support. mysupport.netapp.com
 - b. Verify the Restored column shows `yes` for all authentication keys: `security key-manager key-query`
 - c. Verify that the Key Manager type shows `onboard`, and then manually back up the OKM information.
 - d. Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
 - e. Enter the command to display the key management backup information: `security key-manager onboard show-backup`
 - f. Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
 - g. Return to admin mode: `set -priv admin`
 - h. You can safely shut down the controller.

Verify NSE configuration

1. Display the key IDs of the authentication keys that are stored on the key management servers: `security key-manager key-query -key-type NSE-AK`



After the ONTAP 9.6 release, you may have additional key manager types. The types are KMIP, AKV, and GCP. The process for confirming these types is the same as confirming `external` or `onboard` key manager types.

- If the Key Manager type displays `external` and the Restored column displays `yes`, it's safe to shut down the impaired controller.
- If the Key Manager type displays `onboard` and the Restored column displays `yes`, you need to complete some additional steps.
- If the Key Manager type displays `external` and the Restored column displays anything other than `yes`, you need to complete some additional steps.
- If the Key Manager type displays `external` and the Restored column displays anything other than `yes`, you need to complete some additional steps.
 1. If the Key Manager type displays `onboard` and the Restored column displays `yes`, manually back up the OKM information:
 - a. Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`
 - b. Enter the command to display the key management information: `security key-manager onboard show-backup`
 - c. Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
 - d. Return to admin mode: `set -priv admin`
 - e. You can safely shut down the controller.
 2. If the Key Manager type displays `external` and the Restored column displays anything other than `yes`:
 - a. Enter the onboard security key-manager sync command: `security key-manager external sync`

If the command fails, contact NetApp Support.

mysupport.netapp.com
 - b. Verify that the Restored column equals `yes` for all authentication keys: `security key-manager key-query`
 - c. You can safely shut down the controller.
 3. If the Key Manager type displays `onboard` and the Restored column displays anything other than `yes`:
 - a. Enter the onboard security key-manager sync command: `security key-manager onboard sync`

Enter the customer's onboard key management passphrase at the prompt. If the passphrase cannot be provided, contact NetApp Support.

mysupport.netapp.com
 - b. Verify the Restored column shows `yes` for all authentication keys: `security key-manager key-query`
 - c. Verify that the Key Manager type shows `onboard`, and then manually back up the OKM information.
 - d. Go to advanced privilege mode and enter `y` when prompted to continue: `set -priv advanced`

- e. Enter the command to display the key management backup information: `security key-manager onboard show-backup`
- f. Copy the contents of the backup information to a separate file or your log file. You'll need it in disaster scenarios where you might need to manually recover OKM.
- g. Return to admin mode: `set -priv admin`
- h. You can safely shut down the controller.

Shut down the controller - FAS500f

After completing the NVE or NSE tasks, you need to complete the shutdown of the impaired controller. Shut down or take over the impaired controller using the appropriate procedure for your configuration.

Option 1: Most configurations

After completing the NVE or NSE tasks, you need to complete the shutdown of the impaired controller.

Steps

- a. Take the impaired controller to the LOADER prompt:

| If the impaired controller displays... | Then... |
|--|---|
| The LOADER prompt | Go to Remove controller module. |
| Waiting for giveback... | Press Ctrl-C, and then respond <code>y</code> when prompted. |
| System prompt or password prompt (enter system password) | <p>Take over or halt the impaired controller from the healthy controller:</p> <pre>storage failover takeover -ofnode impaired_node_name</pre> <p>When the impaired controller shows Waiting for giveback..., press Ctrl-C, and then respond <code>y</code>.</p> |

1. From the LOADER prompt, enter: `printenv` to capture all boot environmental variables. Save the output to your log file.



This command may not work if the boot device is corrupted or non-functional.

Option 2: Controller is in a MetroCluster



Do not use this procedure if your system is in a two-node MetroCluster configuration.

To shut down the impaired controller, you must determine the status of the controller and, if necessary, take over the controller so that the healthy controller continues to serve data from the impaired controller storage.

- If you have a cluster with more than two nodes, it must be in quorum. If the cluster is not in quorum or a

healthy controller shows false for eligibility and health, you must correct the issue before shutting down the impaired controller; see the [Administration overview with the CLI](#).

- If you have a MetroCluster configuration, you must have confirmed that the MetroCluster Configuration State is configured and that the nodes are in an enabled and normal state (`metrocluster node show`).

Steps

1. If AutoSupport is enabled, suppress automatic case creation by invoking an AutoSupport message:

```
system node autosupport invoke -node * -type all -message  
MAINT=number_of_hours_downh
```

The following AutoSupport message suppresses automatic case creation for two hours: `cluster1:>`
`system node autosupport invoke -node * -type all -message MAINT=2h`

2. Disable automatic giveback from the console of the healthy controller: `storage failover modify -node local -auto-giveback false`
3. Take the impaired controller to the LOADER prompt:

| If the impaired controller is displaying... | Then... |
|--|---|
| The LOADER prompt | Go to Remove controller module. |
| Waiting for giveback... | Press Ctrl-C, and then respond <code>y</code> when prompted. |
| System prompt or password prompt (enter system password) | <p>Take over or halt the impaired controller from the healthy controller: <code>storage failover takeover -ofnode impaired_node_name</code></p> <p>When the impaired controller shows Waiting for giveback..., press Ctrl-C, and then respond <code>y</code>.</p> |

Boot the recovery image - FAS500f

You must boot the ONTAP image from the USB drive, restore the file system, and verify the environmental variables.

Steps

1. From the LOADER prompt, boot the recovery image from the USB flash drive:

```
boot_recovery
```

The image is downloaded from the USB flash drive.

2. When prompted, either enter the name of the image or accept the default image displayed inside the brackets on your screen.
3. Restore the var file system:

| If your system has... | Then... |
|-----------------------|--|
| A network connection | <ul style="list-style-type: none"> a. Press <code>y</code> when prompted to restore the backup configuration. b. Set the healthy controller to advanced privilege level: <code>set -privilege advanced</code> c. Run the restore backup command: <code>system node restore-backup -node local -target-address <i>impaired_node_IP_address</i></code> d. Return the controller to admin level: <code>set -privilege admin</code> e. Press <code>y</code> when prompted to use the restored configuration. f. Press <code>y</code> when prompted to reboot the controller. |
| No network connection | <ul style="list-style-type: none"> a. Press <code>n</code> when prompted to restore the backup configuration. b. Reboot the system when prompted by the system. c. Select the Update flash from backup config (sync flash) option from the displayed menu. <p>If you are prompted to continue with the update, press <code>y</code>.</p> |

| If your system has... | Then... |
|---|---|
| No network connection and is in a MetroCluster IP configuration | <p>a. Press n when prompted to restore the backup configuration.</p> <p>b. Reboot the system when prompted by the system.</p> <p>c. Wait for the iSCSI storage connections to connect.</p> <p>You can proceed after you see the following messages:</p> <div data-bbox="672 394 1489 1257" style="border: 1px solid #ccc; padding: 10px; margin: 10px 0;"> <pre> date-and-time [node- name:iscsi.session.stateChanged:notice]: iSCSI session state is changed to Connected for the target iSCSI-target (type: dr_auxiliary, address: ip-address). date-and-time [node- name:iscsi.session.stateChanged:notice]: iSCSI session state is changed to Connected for the target iSCSI-target (type: dr_partner, address: ip-address). date-and-time [node- name:iscsi.session.stateChanged:notice]: iSCSI session state is changed to Connected for the target iSCSI-target (type: dr_auxiliary, address: ip-address). date-and-time [node- name:iscsi.session.stateChanged:notice]: iSCSI session state is changed to Connected for the target iSCSI-target (type: dr_partner, address: ip-address).</pre> </div> <p>d. Select the Update flash from backup config (sync flash) option from the displayed menu.</p> <p>If you are prompted to continue with the update, press y.</p> |

4. Ensure that the environmental variables are set as expected:
 - a. Take the controller to the **LOADER** prompt.
 - b. Check the environment variable settings with the `printenv` command.
 - c. If an environment variable is not set as expected, modify it with the `setenv environment-variable-name changed-value` command.
 - d. Save your changes using the `savenv` command.
5. The next depends on your system configuration:
 - If your system has onboard keymanager, NSE or NVE configured, go to [Restore OKM, NSE, and NVE as needed](#)

- If your system does not have onboard keymanager, NSE or NVE configured, complete the steps in this section.

6. From the LOADER prompt, enter the `boot_ontap` command.

| If you see... | Then... |
|-------------------------|--|
| The login prompt | Go to the next Step. |
| Waiting for giveback... | a. Log into the partner controller. b. Confirm the target controller is ready for giveback with the <code>storage failover show</code> command. |

7. Connect the console cable to the partner controller.

8. Give back the controller using the `storage failover giveback -fromnode local` command.

9. At the cluster prompt, check the logical interfaces with the `net int -is-home false` command.

If any interfaces are listed as "false", revert those interfaces back to their home port using the `net int revert` command.

10. Move the console cable to the repaired controller and run the `version -v` command to check the ONTAP versions.

11. Restore automatic giveback if you disabled it by using the `storage failover modify -node local -auto-giveback true` command.

Restore OKM, NSE, and NVE as needed - FAS500f

Once environment variables are checked, you must complete steps specific to systems that have Onboard Key Manager (OKM), NetApp Storage Encryption (NSE) or NetApp Volume Encryption (NVE) enabled.

- Determine which section you should use to restore your OKM, NSE, or NVE configurations: If NSE or NVE are enabled along with Onboard Key Manager you must restore settings you captured at the beginning of this procedure.
 - If NSE or NVE are enabled and Onboard Key Manager is enabled, go to [Restore NVE or NSE when Onboard Key Manager is enabled](#).
 - If NSE or NVE are enabled for ONTAP 9.6, go to [Restore NSE/NVE on systems running ONTAP 9.6 and later](#).

Restore NVE or NSE when Onboard Key Manager is enabled

Steps

- Connect the console cable to the target controller.
- Use the `boot_ontap` command at the LOADER prompt to boot the controller.
- Check the console output:

| If the console displays... | Then... |
|----------------------------|---|
| The LOADER prompt | Boot the controller to the boot menu: <code>boot_ontap menu</code> |
| Waiting for giveback.... | <ol style="list-style-type: none"> Enter <code>Ctrl-C</code> at the prompt At the message: Do you wish to halt this node rather than wait [y/n]? , enter: <code>y</code> At the LOADER prompt, enter the <code>boot_ontap menu</code> command. |

- At the Boot Menu, enter the hidden command, `recover_onboard_keymanager` and reply `y` at the prompt
- Enter the passphrase for the onboard key manager you obtained from the customer at the beginning of this procedure.
- When prompted to enter the backup data, paste the backup data you captured at the beginning of this procedure, when asked. Paste the output of `security key-manager backup show` OR `security key-manager onboard show-backup` command



The data is output from either `security key-manager backup show` or `security key-manager onboard show-backup` command.

Example of backup data:

```
-----BEGIN BACKUP-----
TmV0QXBwIEtleSBCbG9iAAEAAAAEAAAAcAEAAAAAADuD+byAAAAACEAAAAAAAAA
QAAAAAAAAABvOIH0AAAAAMh7qDLRyH1DBz12piVdy9ATSFMT0C0TIYFss4PDjTaV
dzRYkLd1PhQLxAWJwOlyqSr8qY1SEBgm1IWgE5DLRqkiAAAAAAAAACgAAAAAAAA
3WTh7gAAAAAAAAAAAAAAAAAIAAAAAAAgAZJEIWvdeHr5RCavHGclo+wAAAAAAAA
lgAAAAAAAAAoAAAAAAAAAEOTcR0AAAAAAAAAAAAAAAAACAAAAAAAAJAGr3tJA/
LRzUQRHwv+1aWvAAAAAAAAAACQAAAAAAAAAgAAAAAAAAACdhTcvAAAAAJ1PXeBf
ml4NBsSyV1B4jc4A7cvWEFY6ILG6hc6tbKLAHZuvfQ4rlbYAAAAAAAAAAAAAAAA
AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA
AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA...
H4nPQM0nrDRYRa9SCv8AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA
AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA
AAAAAAA
-----END BACKUP-----
```

- At the Boot Menu select the option for Normal Boot.
The system boots to Waiting for giveback... prompt.
- Move the console cable to the partner controller and login as "admin".
- Confirm the target controller is ready for giveback with the `storage failover show` command.
- Giveback only the CFO aggregates with the `storage failover giveback -fromnode local -only-cfo-aggregates true` command.

- If the command fails because of a failed disk, physically disengage the failed disk, but leave the disk in the slot until a replacement is received.
- If the command fails because of an open CIFS sessions, check with customer how to close out CIFS sessions.



Terminating CIFS can cause loss of data.

- If the command fails because the partner "not ready", wait 5 minutes for the NVMEMs to synchronize.
- If the command fails because of an NDMP, SnapMirror, or SnapVault process, disable the process. See the appropriate Documentation Center for more information.

11. Once the giveback completes, check the failover and giveback status with the `storage failover show` and ``storage failover show-giveback`` commands.

Only the CFO aggregates (root aggregate and CFO style data aggregates) will be shown.

12. Move the console cable to the target controller.

- a. If you are running ONTAP 9.6 or later, run the security key-manager onboard sync:
- b. Run the `security key-manager onboard sync` command and then enter the passphrase when prompted.
- c. Enter the `security key-manager key query` command to see a detailed view of all keys stored in the onboard key manager and verify that the `Restored` column = `yes/true` for all authentication keys.



If the `Restored` column = anything other than `yes/true`, contact Customer Support.

- d. Wait 10 minutes for the key to synchronize across the cluster.

13. Move the console cable to the partner controller.

14. Give back the target controller using the `storage failover giveback -fromnode local` command.

15. Check the giveback status, 3 minutes after it reports complete, using the `storage failover show` command.

If giveback is not complete after 20 minutes, contact Customer Support.

16. At the clustershell prompt, enter the `net int show -is-home false` command to list the logical interfaces that are not on their home controller and port.

If any interfaces are listed as `false`, revert those interfaces back to their home port using the `net int revert` command.

17. Move the console cable to the target controller and run the `version -v` command to check the ONTAP versions.

18. Restore automatic giveback if you disabled it by using the `storage failover modify -node local -auto-giveback true` command.

Restore NSE/NVE on systems running ONTAP 9.6 and later

Steps

1. Connect the console cable to the target controller.
2. Use the `boot_ontap` command at the LOADER prompt to boot the controller.
3. Check the console output:

| If the console displays... | Then... |
|----------------------------|---|
| The login prompt | Go to Step 7. |
| Waiting for giveback... | <ol style="list-style-type: none">a. Log into the partner controller.b. Confirm the target controller is ready for giveback with the <code>storage failover show</code> command. |

4. Move the console cable to the partner controller and give back the target controller storage using the `storage failover giveback -fromnode local -only-cfo-aggregates true local` command.
 - If the command fails because of a failed disk, physically disengage the failed disk, but leave the disk in the slot until a replacement is received.
 - If the command fails because of an open CIFS sessions, check with customer how to close out CIFS sessions.



Terminating CIFS can cause loss of data.

- If the command fails because the partner "not ready", wait 5 minutes for the NVMEMs to synchronize.
 - If the command fails because of an NDMP, SnapMirror, or SnapVault process, disable the process. See the appropriate Documentation Center for more information.
5. Wait 3 minutes and check the failover status with the `storage failover show` command.
 6. At the clustershell prompt, enter the `net int show -is-home false` command to list the logical interfaces that are not on their home controller and port.

If any interfaces are listed as `false`, revert those interfaces back to their home port using the `net int revert` command.

7. Move the console cable to the target controller and run the `version -v` command to check the ONTAP versions.
8. Restore automatic giveback if you disabled it by using the `storage failover modify -node local -auto-giveback true` command.
9. Use the `storage encryption disk show` at the clustershell prompt, to review the output.
10. Use the `security key-manager key query` command to display the key IDs of the authentication keys that are stored on the key management servers.
 - If the `Restored` column = `yes/true`, you are done and can proceed to complete the replacement process.

- If the `Key Manager type = external` and the `Restored` column = anything other than `yes/true`, use the `security key-manager external restore` command to restore the key IDs of the authentication keys.



If the command fails, contact Customer Support.

- If the `Key Manager type = onboard` and the `Restored` column = anything other than `yes/true`, use the `security key-manager onboard sync` command to re-sync the Key Manager type.

Use the `security key-manager key query` command to verify that the `Restored` column = `yes/true` for all authentication keys.

11. Connect the console cable to the partner controller.
12. Give back the controller using the `storage failover giveback -fromnode local` command.
13. Restore automatic giveback if you disabled it by using the `storage failover modify -node local -auto-giveback true` command.

Return the failed part to NetApp - FAS500f

After you replace the part, you can return the failed part to NetApp, as described in the RMA instructions shipped with the kit. Contact technical support at [NetApp Support](#), 888-463-8277 (North America), 00-800-44-638277 (Europe), or +800-800-80-800 (Asia/Pacific) if you need the RMA number or additional help with the replacement procedure.

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