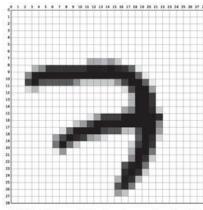
# **Application of the Lottery Ticket Hypothesis in NLP and Early Pruning**



#### Intermission







(b) 100 samples from the MNIST training set.

Source: https://www.mdpi.com/applsci/applsci-09-03169/article\_deploy/html/images/applsci-09-03169-a001-550.ipg



Source: https://www.bonaccorso.eu/wp-content/uploads/2016/07/28019400581\_e1eb13ccc8\_b.jpg

Prof. Dr.-Ing. Ralf Steinmetz KOM - Multimedia Communications Lab

Tim Unverzagt

### **Structure**



Introduction

Motivation

Background

Related Work

Task Definition

**Progress** 

Outlook

### **Structure**



Introduction

Motivation

Context of the thesis

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Task Definition

**Progress** 

Content of the thesis

Outlook















#### **Initial Thoughts**

- Many good reasons to initialize & train neural networks with many parameters
- Empirical evidence that many networks can be reduced after training while maintaining performance
  - aka. "Pruning"
  - Desirable due to bias towards small models (Ockham's razor)















### **Initial Thoughts**

- Many good reasons to initialize & train neural networks with many parameters
- Empirical evidence that many networks can be reduced after training while maintaining performance
  - aka. "Pruning"
  - Desirable due to bias towards small models (Ockham's razor)

- Main Question:
  - "How important are the pruned weights during training?"





### **Lottery Ticket Hypothesis**

- Mot
- Back
- Task
- Pro
- Out

- Due to the sheer number of "small" subnetworks in a "huge" network at least one such subnetwork has been initialized favorably for the given task
  - Name said subnetwork "lottery-ticket"















#### **Lottery Ticket Hypothesis**

- Due to the sheer number of "small" subnetworks in a "huge" network at least one such subnetwork has been initialized favorably for the given task
  - Name said subnetwork "lottery-ticket"
- The lottery-ticket can be trained as a standalone-network achieving a similar performance to the original







- Mot
- **Back**







- Due to the sheer number of "small" subnetworks in a "huge" network at least one such subnetwork has been initialized favorably for the given task
  - Name said subnetwork "lottery-ticket"
- The lottery-ticket can be trained as a standalone-network achieving a similar performance to the original
- A lottery-ticket can be identified by analysis of the fully trained original network
  - e.g. keeping only the weights of the largest magnitude finds a lottery ticket

### **Motivation**















#### **Motivation**

















#### Time & Memory

- Speedup during execution just as regular pruning
  - But remarkable compression rate: up to ~50x
- Decrease in memory usage during execution
- Possible speedup during development
  - There might be a way to identify lottery tickets early

#### **Motivation**















#### **Time & Memory**

- Speedup during execution just as regular pruning
- Decrease in memory usage during execution
- Possible speedup during development
  - There might be a way to identify lottery tickets early

#### Interpretability

- Understanding lottery-tickets might enhance our knowledge of neural networks in general
  - Finding a way to identify a lottery-ticket might help understanding how exactly neural networks learn

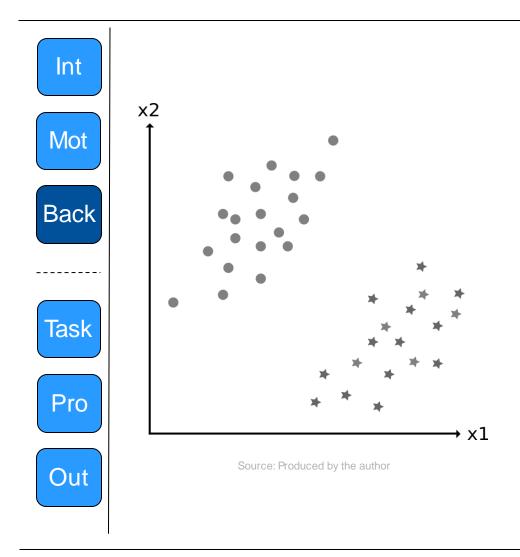




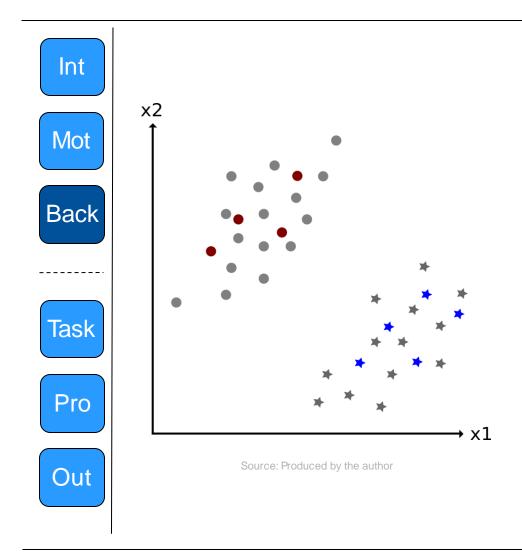




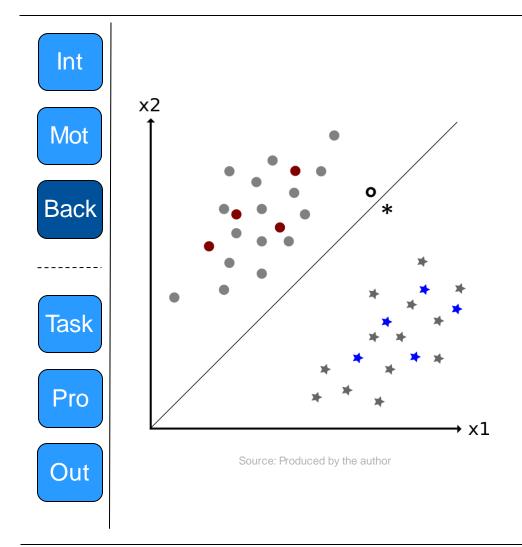




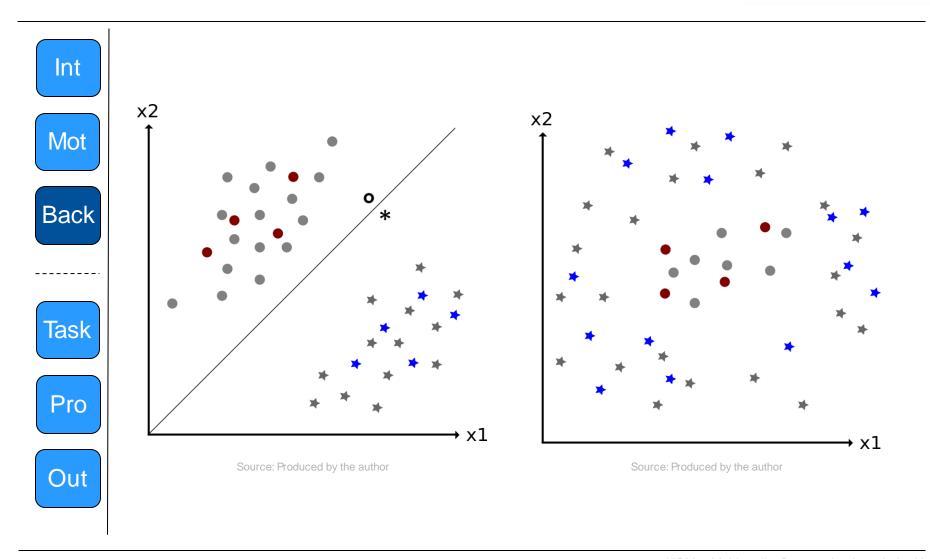




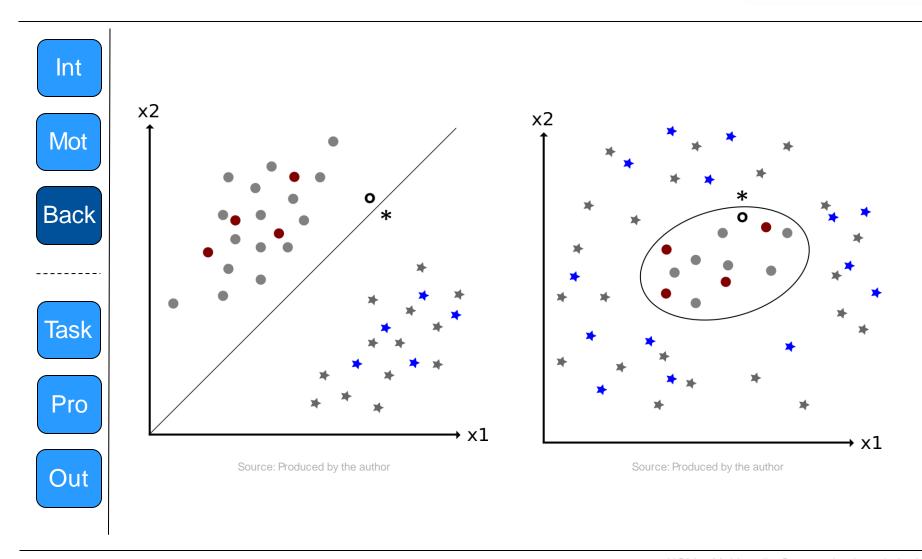




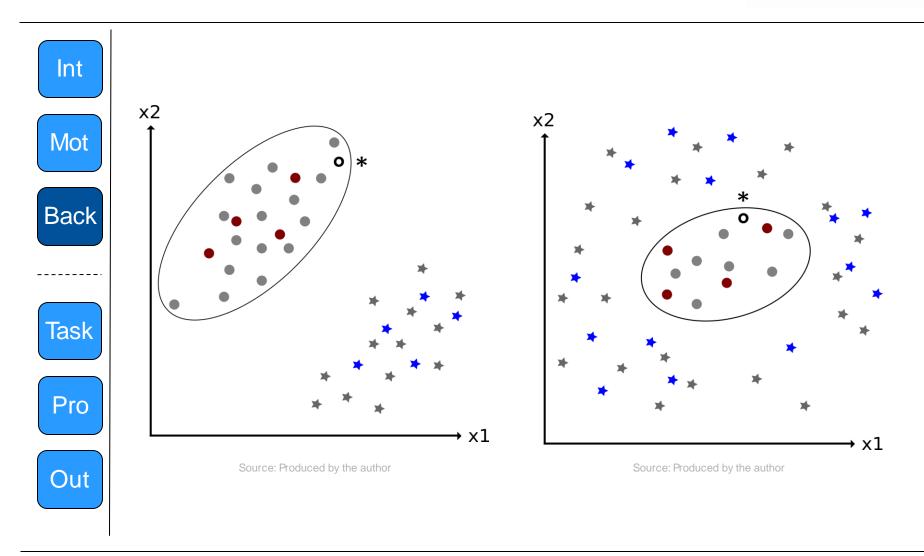




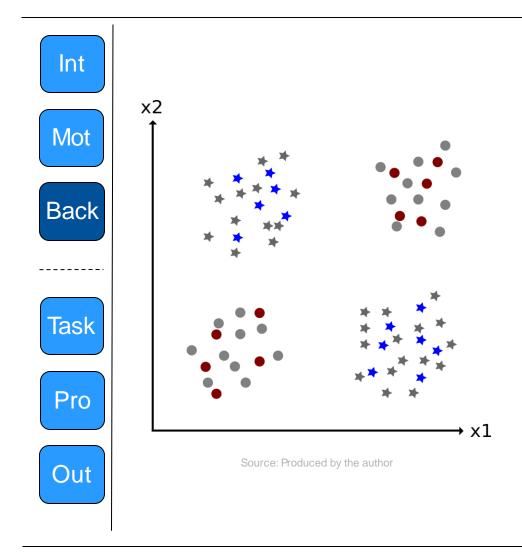




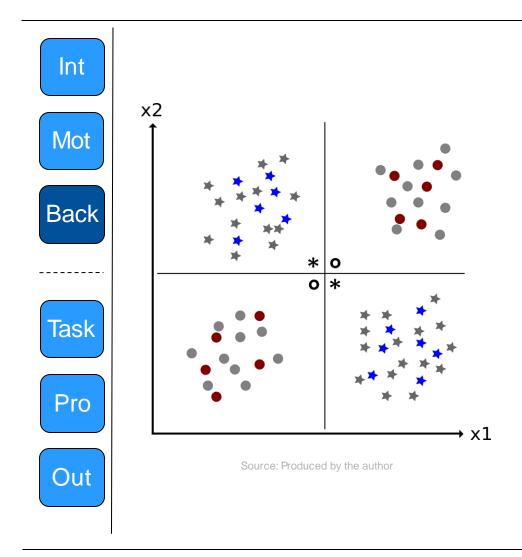




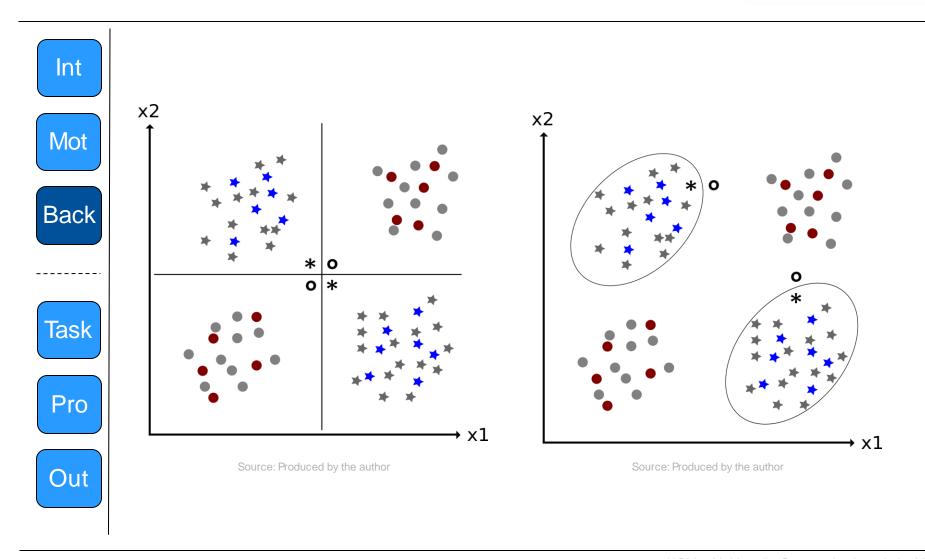




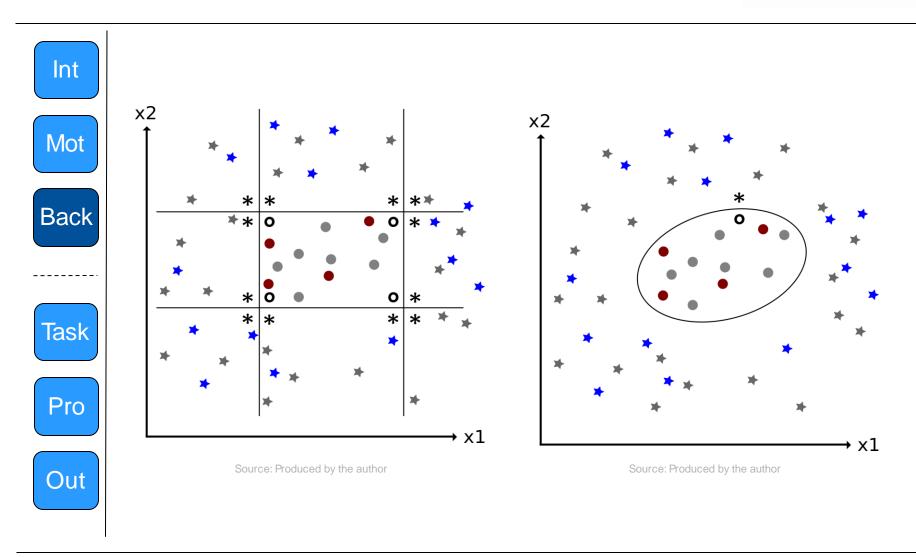




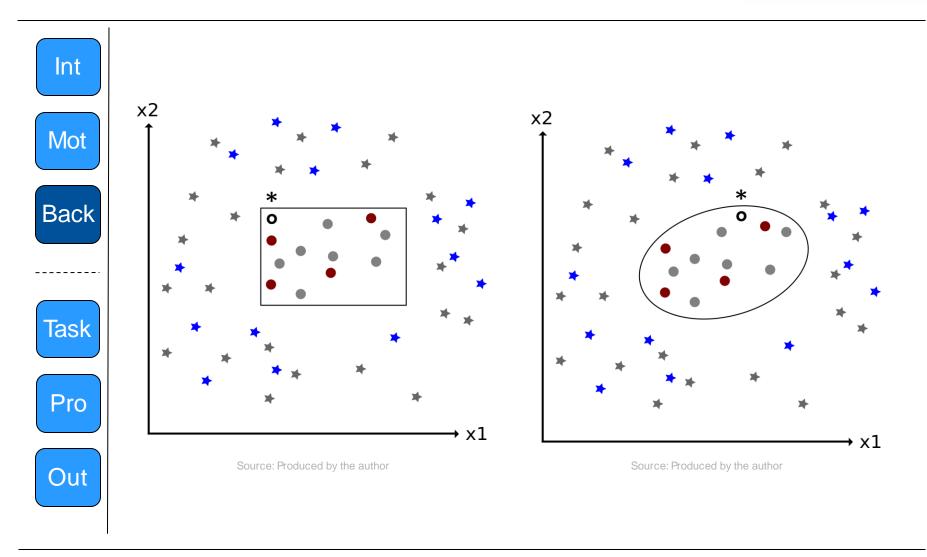












### **Background – Neural Networks Basics**









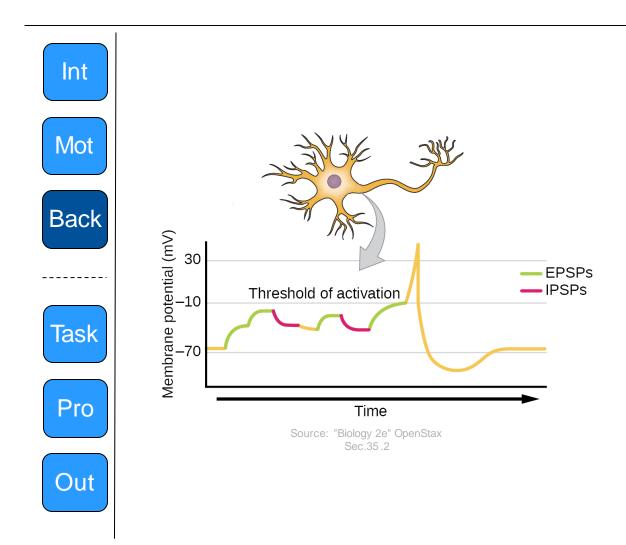




Source: "Biology 2e" OpenStax Sec.35.2

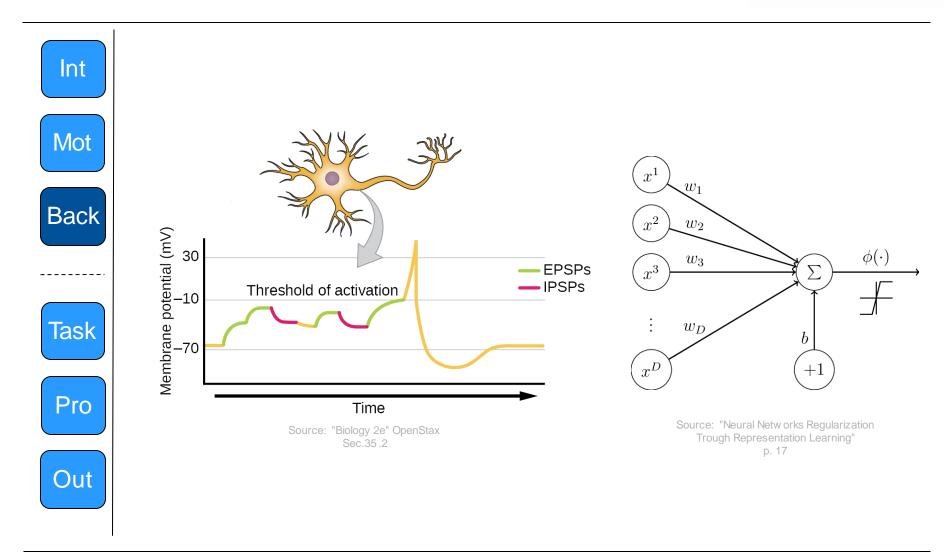
### **Background – Neural Networks Basics**





### **Background – Neural Networks**





### **Background – Neural Networks Basics**



Int

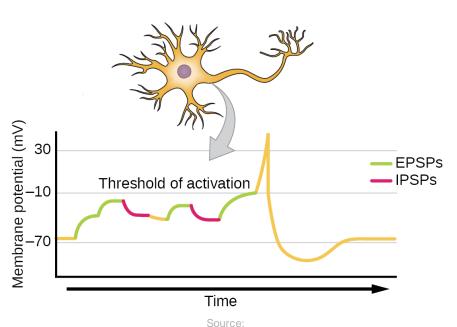
Mot

Back

Task

Pro

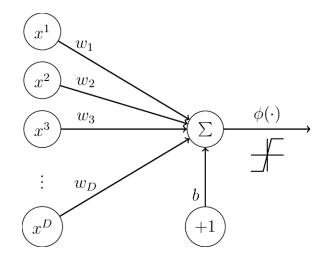
Out



https://openstax.org/resources/ee34862d28dde2838ca58b4ff73225a93afbee38

"Biology 2e" OpenStax Sec.35.2

#### Out = $\phi((\Sigma_i x_i) + b)$



#### Source:

https://www.researchgate.net/profile/Soufiane\_Belharbi/ publication/326439111/figure/fig2/AS:669487372181508 @1536629636103/Perceptron-model-Notation-x-i-is-thei-th-component-of-x-the-same-as-x-i-in

"Neural Networks Regularization Trough Representation Learning" p. 17

### **Related Work**









Task



Out





### **Fully Connected Neural Network**

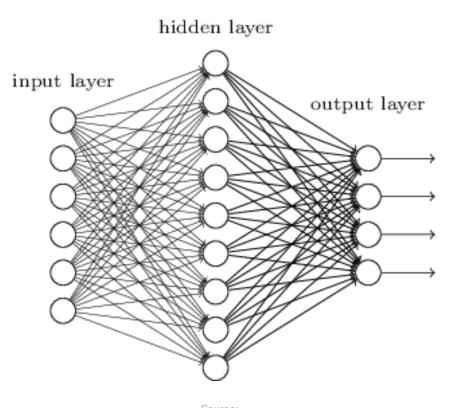












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#### **Convolution in Neural Networks**

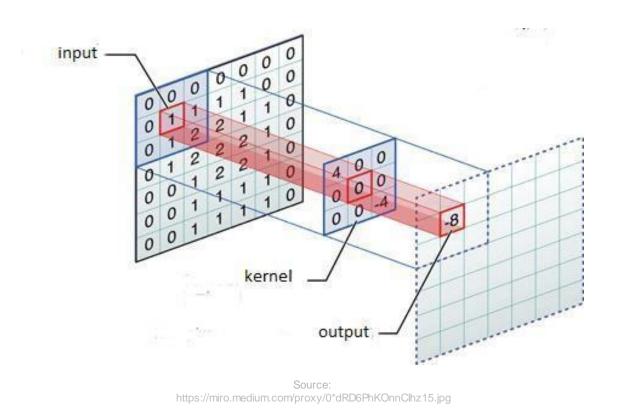
















#### **Convolutional Neural Network Architecture (Lenet-5)**



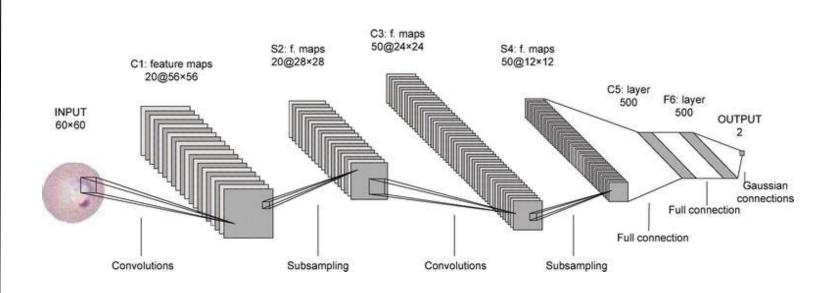












Source: https://api.intechopen.com/media/chapter/58989/media/F4.png





#### **Language Models**



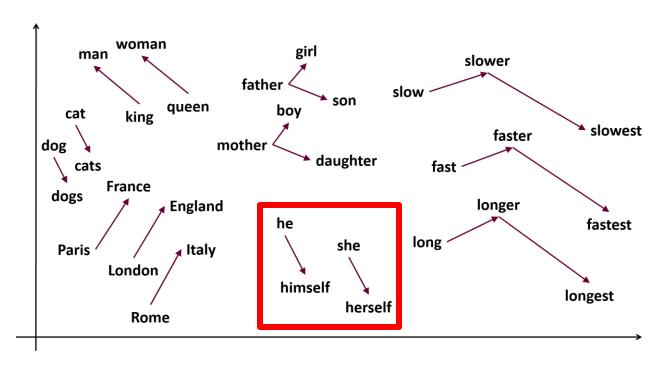












Source: https://samyzaf.com/ML/nlp/w ord2vec2.png

#### Related Work – CNN in NLP

















#### "Convolutional Neural Networks for Sentence Classification"

- 2014
- Task:
  - Varying Classifications
- Datasets:
  - Movie reviews
  - SST-1, SST-2
  - Subjectivity dataset
  - TREC question dataset
  - Customer reviews
  - **MPQA**

#### Related Work – CNN in NLP





#### "Convolutional Neural Networks for Sentence Classification"

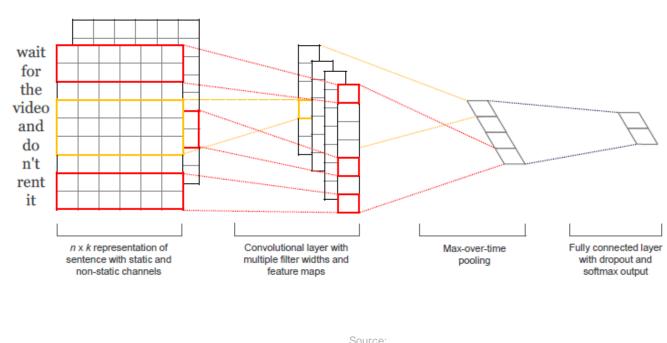
Mot



Task

Pro

Out



Source: "Convolutional Neural Networks for Sentence Classification" Figure 1

### **Related Work - Pruning**







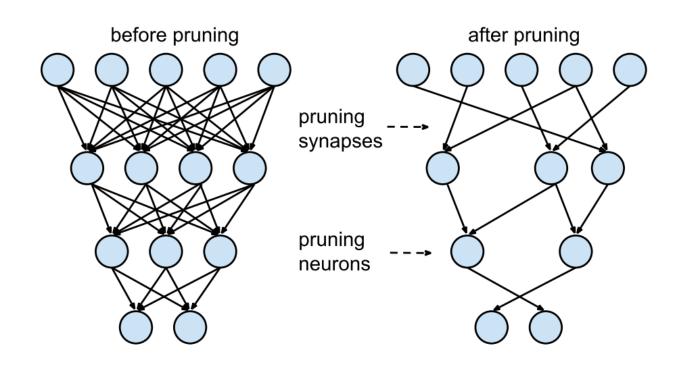












Source:

https://www.mdpi.com/applsci/applsci-09-03169/article\_deploy/html/images/applsci-09-03169-g001-550.jpg

"Learning both Weights and Connections for Efficient Neural Networks" Figure.3

### Related Work – Pruning















#### "Learning both Weights and Connections for efficient Neural **Networks**"

- 2015
- Task:
  - Image Classification (ImageNet)
- Architectures:
  - LeNet (300-100-FC, 5-CNN)
  - **AlexNet**
  - VGG-16
- Compression:
  - 9x to 13x

# **Related Work – Pruning**















# "ThiNet: A Filter Level Pruning Method for Deep Neural Network Compression"

- **2017**
- Task:
  - Image Classification (ImageNet)
- Architectures:
  - VGG-16
  - ResNet-50
- Compression:
  - Up to ~17x

# Related Work – Pruning















#### "The Lottery Ticket Hypothesis: Finding Sparse, Trainable **Neural Networks**"

- 2019
- Task:
  - Image Classification (MNIST)
- Architectures:
  - Lenet (300-100-FC, Conv-2, Conv-4, Conv-6)
  - **VGG-19**
  - ResNet-18
- Compression:
  - $\sim$ 20x to  $\sim$ 50x

# Related Work – Early Pruning





# Mot









"Really should we pruning after model be totally trained? Pruning based on a small amount of training"

- 2019
- Task:
  - Image Classification (MNIST, CIFAR-10)
- Architectures:
  - **Unspecified CNN**
  - **VGG-19**
- Compression --- Training Speed-Up:
  - ~10x --- 10x

#### Related Work – Network Architecture Search















#### "Rethinking the Value of Network Pruning"

- 2018
- Observation:
  - Randomizing weights does not worsen a pruned network
- Weights are not essential to the quality of pruned network
- Pruning at its core is about finding suitable network architectures

#### Related Work - Network Architecture Search





Mot









#### "Network Architecture Search: A Survey"

**2019** 

• [...]

#### Task I















#### Reproduction

- No source-code available
  - Produce own source-code for the experiments in the paper
- Verify source-code by running experiments

#### Task II









Original context for the paper



**Image Classification** Task:

Dataset: "MNIST"

Varying FCNN and CNN Model:



Find comparable context in NLP

**Topic Classification** Task:

Dataset: "Reuters-21578"

Model: TBD



Pro

Check if the Lottery-Ticket-Hypothesis holds

#### Task III















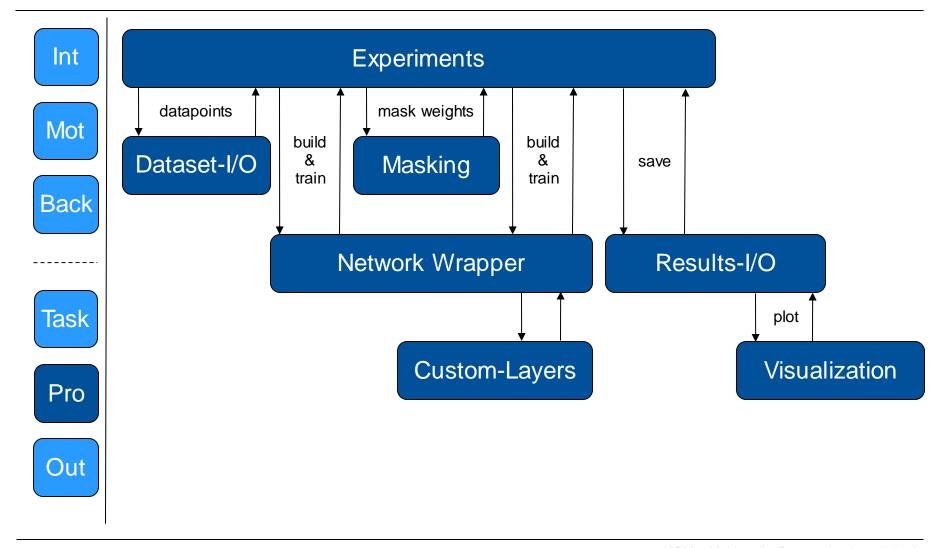


#### **Early Retrieval of Lottery Tickets**

- Original method
  - "Select" all weights of the "fully trained" network over a certain percentile
  - Reset weights to original intial value
  - Retrain network
  - Repeat (Optional)
- Adaptation
  - "Select" weights earlier / develop early stopping criteria
  - "Select" weights based on other metrics (Optional)

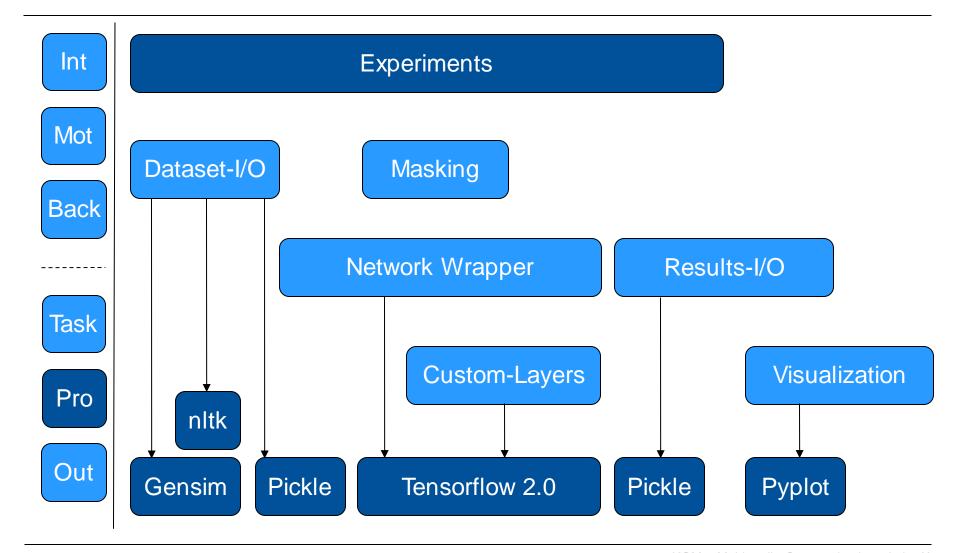
# **Progress – Python-project**





### **Progress – Backend**





# **Progress – Experiment(s)**









-----







# **Remaining Work**

















#### Remaining parts of the framework

- **Custom Convolutional Layer**
- Support for iterative Pruning

#### More experiments

- MNIST / Lenet-CNN-6
- MNIST / VGG-18
- Reuters / TBD
- MNIST / Lenet-FCN / Early Pruning

# Thank you for your attention! Questions?



