

## Assignment 2 solutions

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1. For each of the following languages, give a CFG that generates the language:

- (a)  $\{w \in \{0,1\}^* : |w|_0 = 2|w|_1\}$ .
  - (b)  $\overline{\text{PAL}}$ , where PAL is the language of palindromes (as defined in Lecture 7).
  - (c)  $\overline{\text{BAL}}$ , where BAL is the language of balanced parentheses (also as defined in Lecture 7).
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**Solution.** There are, of course, many CFGs that generate these languages, so your CFGs could differ from these ones and still be correct.

- (a) The following CFG generates  $\{w \in \{0,1\}^* : |w|_0 = 2|w|_1\}$ :

$$S \rightarrow 0S0S1S \mid 0S1S0S \mid 1S0S0S \mid \varepsilon.$$

- (b) The following CFG generates  $\overline{\text{PAL}}$ :

$$\begin{aligned} S &\rightarrow 0S0 \mid 1S1 \mid 0X1 \mid 1X0 \\ X &\rightarrow 0X \mid 1X \mid \varepsilon \end{aligned}$$

- (c) The following CFG generates  $\overline{\text{BAL}}$ :

$$\begin{aligned} S &\rightarrow X(A \mid A)X \\ A &\rightarrow (A)A \mid \varepsilon \\ X &\rightarrow (X \mid )X \mid \varepsilon \end{aligned}$$

To understand this CFG, observe first that  $A$  generates BAL and  $X$  generates all of  $\{(, )\}^*$ . The start variable  $S$  therefore generates any string over  $\{(, )\}$  such that there is either a left-parenthesis with no matching right-parenthesis or a right-parenthesis with no matching left-parenthesis. Every string in  $\overline{\text{BAL}}$  has one of these two forms.

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2. Let  $\Sigma = \{0, 1\}$  and let  $A \subseteq \Sigma^*$  be a context-free language. Prove that the following languages are also context-free:

- (a)  $B = \{uv : u, v \in \Sigma^* \text{ and there exists } \sigma \in \Sigma \text{ such that } u\sigma v \in A\}$ .
- (b)  $C = \{u\sigma v : u, v \in \Sigma^*, \sigma \in \Sigma, \text{ and } uv \in A\}$ .

In other words,  $B$  is the language of all strings you can obtain by choosing a string from  $A$  and removing exactly one symbol from that string, while  $C$  is the language of all strings you can obtain by choosing a string from  $A$  and inserting exactly one additional symbol from  $\Sigma$  anywhere into that string.

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**Solution.** Because  $A$  is a context-free language, we may assume that there exists a CFG  $G$  in Chomsky normal form such that  $L(G) = A$ . We will refer to this CFG for both parts of the problem.

(a) To prove that  $B$  is context-free, it suffices to prove that there exists a CFG  $H$  that generates  $B$ . We will define  $H$  as follows:

- For every variable  $X$  appearing in  $G$ , the new CFG  $H$  will have two variables:  $X$  and  $X_0$ . The idea is that  $X$  will generate exactly those strings in  $H$  that it does in  $G$ , while  $X_0$  will generate strings that can be obtained by removing a single symbol from any string generated by  $X$ .
- For every rule of the form  $X \rightarrow YZ$  in  $G$ , include the following rules in  $H$ :

$$\begin{aligned} X &\rightarrow YZ \\ X_0 &\rightarrow Y_0Z \mid YZ_0 \end{aligned}$$

- For every rule of the form  $X \rightarrow \sigma$  in  $G$ , include the following rules in  $H$ :

$$\begin{aligned} X &\rightarrow \sigma \\ X_0 &\rightarrow \varepsilon \end{aligned}$$

- If the rule  $S \rightarrow \varepsilon$  appears in  $G$ , just ignore it.
- Finally, take  $S_0$  to be the start variable of  $H$ .

It is evident that  $L(H) = B$ , and therefore  $B$  is context-free.

(b) To prove that  $C$  is context-free, it suffices to prove that there exists a CFG  $K$  that generates  $C$ . We will define  $K$  as follows:

- For every variable  $X$  appearing in  $G$ , the new CFG  $K$  will have two variables:  $X$  and  $X_0$ . The idea is that  $X$  will generate exactly those strings in  $K$  that it does in  $G$ , while  $X_0$  will generate strings that can be obtained by inserting a single symbol somewhere into any string generated by  $X$ .
- For every rule of the form  $X \rightarrow YZ$  in  $G$ , include the following rules in  $K$ :

$$\begin{aligned} X &\rightarrow YZ \\ X_0 &\rightarrow Y_0Z \mid YZ_0 \end{aligned}$$

- For every rule of the form  $X \rightarrow \sigma$  in  $G$ , include the following rules in  $K$ :

$$\begin{aligned} X &\rightarrow \sigma \\ X_0 &\rightarrow 0\sigma \mid 1\sigma \mid \sigma 0 \mid \sigma 1 \end{aligned}$$

- If the rule  $S \rightarrow \varepsilon$  appears in  $G$ , include these rules in  $K$ :

$$S_0 \rightarrow 0 \mid 1$$

- Finally, take  $S_0$  to be the start variable of  $K$ .

It is evident that  $L(K) = C$ , and therefore  $C$  is context-free.

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3. Let  $\Sigma = \{0, 1\}$  and let  $A \subseteq \Sigma^*$  be a regular language. Prove that the following languages are context-free:

- (a)  $B = \{ww^R : w \in A\}$ .
  - (b)  $C = \{uv^R : u, v \in A, |u| = |v|\}$ .
  - (c)  $D = \{u1v : u, v \in \Sigma^*, |u| = |v|, uv \in A\}$ .
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**Solution.** Because the language  $A$  is regular, there must exist a DFA  $M = (Q, \Sigma, \delta, q_0, F)$  such that  $L(M) = A$ . We will refer to this DFA for all three parts of the problem.

(a) We will construct a CFG  $G$  such that  $L(G) = B$  as follows:

- There will be one variable  $X_q$  of  $G$  for each state  $q \in Q$  of  $M$ , and the start variable of  $G$  will be  $X_{q_0}$ .
- For each state  $q \in Q$  and each symbol  $\sigma \in \{0, 1\}$ , include this rule in  $G$ :

$$X_q \rightarrow \sigma X_r \sigma$$

where  $r = \delta(q, \sigma)$ .

- For each accept state  $q \in F$ , include this rule in  $G$ :

$$X_q \rightarrow \varepsilon$$

It holds that  $L(G) = B$ , with the reasoning being essentially the same as in the second proof of Theorem 9.2 in Lecture 9. The language  $B$  is therefore context-free.

(b) We will construct a CFG  $H$  such that  $L(H) = C$  as follows:

- There will be one variable  $X_{p,q}$  of  $H$  for each pair of states  $(p, q) \in Q \times Q$  of  $M$ , and the start variable of  $H$  will be  $X_{q_0, q_0}$ .
- For each pair of states  $(p, q) \in Q \times Q$  and each pair of symbols  $(\sigma, \tau) \in \{0, 1\} \times \{0, 1\}$ , include this rule in  $H$ :

$$X_{p,q} \rightarrow \sigma X_{r,s} \tau$$

where  $r = \delta(p, \sigma)$  and  $s = \delta(q, \tau)$ .

- For each pair of accept states  $(p, q) \in F \times F$ , include this rule in  $H$ :

$$X_{p,q} \rightarrow \varepsilon$$

It holds that  $L(H) = C$ . Again the reasoning is similar to the second proof of Theorem 9.2 in Lecture 9, except that we are running two simulations of  $M$ , one that generates a string  $u \in A$  on the left and one that generates a string  $v^R$ , for  $v \in A$ , on the right (with the two strings necessarily having the same length). The language  $C$  is therefore context-free.

(c) We will construct a CFG  $K$  such that  $L(K) = D$  as follows:

- There will be one variable  $X_{p,q}$  of  $K$  for each pair of states  $(p, q) \in Q \times Q$  of  $M$ , as well as one additional variable  $S$ , which we take as the start variable of  $K$ .
- For every choice of states  $p, q, r, s \in Q$  and symbols  $\sigma, \tau \in \Sigma$  that satisfy  $\delta(p, \sigma) = r$  and  $\delta(s, \tau) = q$ , include this rule in  $K$ :

$$X_{p,q} \rightarrow \sigma X_{r,s} \tau.$$

- For every state  $p \in Q$ , include this rule in  $K$ :

$$X_{p,p} \rightarrow 1$$

- For every accept state  $q \in F$ , include this rule in  $K$ :

$$S \rightarrow X_{q_0,q}$$

It holds that  $L(K) = D$ . We are once again using a methodology similar to the second proof of Theorem 9.2 Lecture 9, building on the previous answer. This time we are again running two simulations of  $M$ , with the one that generates the left-hand side of the string running  $M$  as usual and the one generating the right-hand side of the string running  $M$  in reverse. Every derivation begins with  $S \Rightarrow X_{q_0,q}$  for some accept state  $q$ , rules of the form  $X_{p,q} \rightarrow \sigma X_{r,s} \tau$  are performed, which simulates  $M$  running forward on  $\sigma$  and backward on  $\tau$ , and the derivation ends with an application of a rule  $X_{p,p} \rightarrow 1$ . This puts the 1 in the middle of the string, and is only possible when the two simulations are on the same state—which guarantees that  $M$  accepts  $uv$  whenever  $u1v$  is generated. The language  $D$  is therefore context-free.

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4. Prove that the following language is not context-free:

$$A = \{w \in \{0,1,2\}^* : |w|_0 \leq |w|_1 \leq |w|_2\}.$$

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**Solution.** Assume toward contradiction that  $A$  is context-free. By the pumping lemma for context-free languages, there exists a pumping length  $n$  for  $A$ .

Let  $w = 0^n 1^n 2^n$ . It holds that  $w \in A$  and  $|w| = 3n \geq n$ , so it is possible to write  $w = uvxyz$  for strings  $u, v, x, y, z \in \{0,1,2\}^*$  such that (i)  $vy \neq \varepsilon$ , (ii)  $|vxy| \leq n$ , and (iii)  $uv^i xy^i z \in A$  for all  $i \in \mathbb{N}$ .

Because  $|vxy| \leq n$ , it is not possible that the string  $vy$  contains both the symbol 0 and the symbol 2, as these symbols are separated by  $n$  occurrences of the symbol 1 in  $w$ . There are two cases to be considered:

*Case 1:* the string  $vy$  does not contain the symbol 0. In this case, one may consider  $i = 0$ . As  $vy$  cannot be the empty string, it follows that either

$$|uv^0 xy^0 z|_0 > |uv^0 xy^0 z|_1 \quad \text{or} \quad |uv^0 xy^0 z|_0 > |uv^0 xy^0 z|_2$$

and therefore  $uv^0 xy^0 z \notin A$ . This contradicts the requirement that  $uv^i xy^i z \in A$  for all  $i \in \mathbb{N}$ .

*Case 2:* the string  $vy$  does not contain the symbol 2. In this case, one may consider  $i = 2$ . As  $vy$  cannot be the empty string, it follows that either

$$|uv^2 xy^2 z|_0 > |uv^2 xy^2 z|_2 \quad \text{or} \quad |uv^2 xy^2 z|_1 > |uv^2 xy^2 z|_2$$

and therefore  $uv^2 xy^2 z \notin A$ . Again, this contradicts the requirement that  $uv^i xy^i z \in A$  for all  $i \in \mathbb{N}$ .

We have obtained a contradiction in both cases. It therefore follows that  $A$  is not context-free.