

BACHELOR THESIS

---

# GEOMETRIC NON-TERMINATION ARGUMENTS FOR INTEGER PROGRAMS

---

August 30, 2017

By Timo Bergerbusch  
Rheinisch Westfälisch Technische Hochschule Aachen  
Lehr- und Forschungsgebiet Informatik 2

First Supervisor: Prof. Dr. Jürgen Giesl  
Second Supervisor: Prof. Dr. Thomas Noll  
Advisor: Jera Hensel

## Acknowledgement

First, I would like to thank Prof. Dr. Jürgen Giesl for giving me the opportunity to work on a timely and relevant topic.

Secondly I would like to thank Jera Hensel, who supervised me during my thesis. I want to thank her for the many patience answers she gave my no matter how obvious the solution was and encouraging me during the whole process. Also I want to thank her for the possibility to write the underlying program the way I wanted to without any restrictions or limits regarding the way of approaching the topic.

Also I want to thank my girlfriend Nadine Vinkelau and all my friends, who encouraged me during my whole studies and not only accepting that I often was short on time, but also strengthen my back during the whole process. Especially I want to thank my good friend Tobias Räwer, who explained many topics to me over and over again throughout the whole bachelor to help me pass my exams without demanding anything in return. Thanks to his selfless behaviour I got this far within only three years.

Finally I want to thank my parents for giving me the possibility to fulfil my desire to study at a worldwide known university. Without the financial support I would not have had this opportunity.

**Erklärung** Ich versichere hiermit, dass ich die vorliegende Arbeit selbstständig verfasst und keine anderen als die angegebenen Quellen und Hilfsmittel benutzt sowie Zitate kenntlich gemacht habe.

Aachen, den August 30, 2017

## Abstract

The topic of program termination analysis undergoes a significantly importance increase owing to the increase of software throughout the everyday life. Since fixing problems caused by non-terminating programs lead to an overhead of support the initial guarantee of termination can save time spend on fixing these problems. Therefore the research of automated assisting during the engineering of large programs is a growing field. One major point of a correct program is determined by the termination, which means the resulting in a final state after finitely many steps. Even though such a tool can never provide correctness and soundness in every condition since it would have to solve the *halting problem*, which is proven by Touring to be undecidable, a variety of tools addressing this problematic exist. For example AProVE. Restricting the underlying program to certain circumstances these tools are able to decide termination.

In this thesis we extend the possibilities of proofing nontermination using AProVE with a special set of programs based on the Geometric Non-Termination approach of Jan Leike and Matthias Heizmann. Altering the underlying structure from linear loop programs to integer term rewrite systems we prove nontermination using a *geometric nontermination argument* derived from the program itself. Through the usage of linear algebra and satisfiability modulo theorie solvers we are able to prove the existence of a *geometric nontermination argument* which results in a proof of nontermination of the integer term rewrite system. All this implemented as one additional approach within AProVE leads to a more applicable tool. We restrict ourselves to only have linear updates of the variables in order to be able to apply the underlying approach, which provides correctness and soundness for this particular set of programs.

As a result we will see that the implemented technique provides the desired mechanism of proving nontermination for the considered programs under certain limitations, which are reasoned by the complexity of integer term rewrite systems in general. One restriction is the existence of a start term within the system, which is mandatory to apply the definition of a *geometric nontermination argument*. Further the handling of newly introduced variables within the systems are only very basic, since correctness of using division on integers is not generally given.

In summary we can derive that *Geometric Nontermination* is a promising topic for integer term rewrite system, like it is for linear lasso programs. Such a termination analysis obviously is only applicable for programs computing mathematical procedures, which are restricted to linear updates. The restriction to only use linear updates and it's consequences regarding in modern programs are not further known.



# Contents

<b>1</b>	<b>Introduction</b>	<b>3</b>
1.1	Motivation . . . . .	3
1.2	<i>AProVE</i> . . . . .	3
1.3	Overview . . . . .	4
<b>2</b>	<b>Preliminaries</b>	<b>5</b>
2.1	Non-Termination . . . . .	5
2.2	Integer Term Rewrite System(int-TRS) . . . . .	5
2.3	Geometric Nontermination Argument (GNA) . . . . .	6
2.3.1	Considered Programs . . . . .	6
2.3.2	Structure . . . . .	6
2.3.3	Necessary Definitions . . . . .	8
2.4	Reverse-Polish-Notation-Tree . . . . .	9
2.5	SMT-Problem . . . . .	10
<b>3</b>	<b>Geometric Non-Termination</b>	<b>13</b>
3.1	Derivation of the <i>STEM</i> . . . . .	13
3.1.1	Constant <i>STEM</i> . . . . .	13
3.1.2	Variable <i>STEM</i> . . . . .	14
3.2	Derivation of the <i>LOOP</i> . . . . .	14
3.2.1	The <i>Guard Matrix</i> and <i>Guard Constants</i> . . . . .	14
3.2.2	The <i>Update Matrix</i> and <i>Update Constants</i> . . . . .	17
3.2.3	The Iteration Matrix . . . . .	20
3.3	Derivation of the <i>SMT-Problem</i> . . . . .	20
3.3.1	The Domain Criteria . . . . .	21
3.3.2	The Initiation Criteria . . . . .	21
3.3.3	The Point Criteria . . . . .	21
3.3.4	The Ray Criteria . . . . .	23
3.3.5	Additional assertion . . . . .	24
3.4	Verification of the Geometric Non-Termination Argument . . . . .	24

---

<b>4</b>	<b>Evaluation and Benchmark</b>	<b>25</b>
4.1	Evaluation of the approach . . . . .	25
4.2	Benchmarks . . . . .	25
4.3	Possible Improvement of the Implementation . . . . .	25
4.3.1	<i>SMT-solver</i> logic . . . . .	26
4.3.2	int-TRS program structure . . . . .	26
<b>5</b>	<b>Related Work</b>	<b>29</b>
	<b>Literaturverzeichnis</b>	<b>29</b>



# Chapter 1

## Introduction

### 1.1 Motivation

The topic of verification and termination analysis of software increases in importance with the development of new programs. Even though that for Turing Complete programming languages the Halting-Problem is undecidable, and therefore no complete and sound method can exist, a variety of approaches to determine termination are researched and still being developed. These approaches can determine termination on programs, which match certain criteria in form of structure, composition or using only a closed set of operations for example only linear updates of variables.

Given a tool, which can provide a sound and in many scenarios applicable mechanism to prove termination, a optimized framework could analyse written code and find bugs before the actual release of the software [VDDS93]. Contemplating that automatic verification can be applied to termination proved software the estimated annual US Economy loses of \$60 billion each year in costs associated software could be reduced significantly [ZC09].

### 1.2 *AProVE*

One promising approach is the tool *AProVE* (Automated Program Verification Environment) developed at the RWTH Aachen by the Lehr- und Forschungsgebiet Informatik 2. The *AProVE*-tool (further only called *AProVE*) for automatic termination and complexity proving works with different programming languages of major language paradigms like *Java* (object oriented), *Haskell* (functional), *Prolog* (logical) as well as *rewrite systems*.

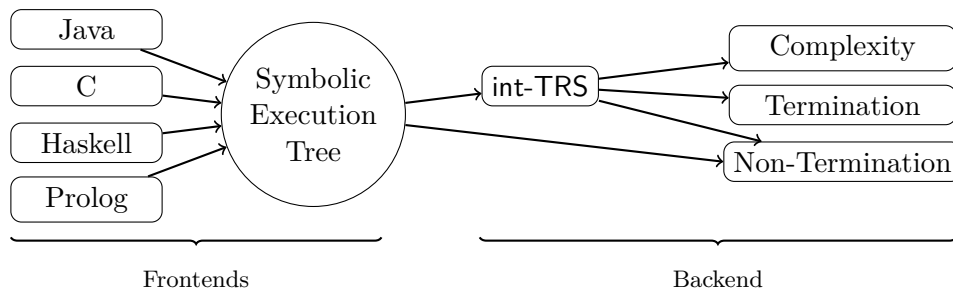


Figure 1.1: Schematic partition of the derivation process of *AProVE* adapted from [GAB<sup>+</sup>17]



AProVE is able to unify different languages into one structure by converting programs of specific languages like C into *Low Level Virtual Machine (llvm)*-code using the tool Clang<sup>1</sup>. Among others these llvm-programs can be converted into a so called *Symbolic Execution Graph*. If this graph contains lasso's, which are strongly connected components (SCC) and the corresponding path from the root to the SCC, AProVE derives so called (integer) term rewrite systems (further only called int-TRS)<sup>2</sup>. By adding conditions to the int-TRS rules the solution space gets restricted and therefore the int-TRS under-approximates. From that it is proven that the non-termination of (at least) one int-TRS implies non-termination of the program. A more detailed description of the process is stated in [HEF<sup>+</sup>17]



The conversion of different languages into int-TRS and subsequently applying various different approaches is what makes this tool strong in meanings of proofing [GAB<sup>+</sup>17].

### 1.3 Overview

This paper provides the introduction to the topic of termination analysis. We focus on the very basic steps, because of the huge variety of possible approaches and related methods. Any further knowledge about termination analysis techniques and how they are applied within AProVE can be found in the related papers [GAB<sup>+</sup>17], [GSKT06], [GTSKF03].

Within chapter 2 some preliminaries used within the paper are defined to create a well-defined base for any further argumentation and derivation. It covers the topics of basic knowledge about *Integer Term Rewrite Systems* and it's within this approach considered subset based on it's structure. Also the definition of the *geometric nontermination argument*, which builds the main constituent, and any strongly related matrices are defined. Also we define a tree-structure, which we use to handle arithmetical terms containing variables. Last we take a glimpse at the topic of SMT-solving and declare the essential parts used within the implementation of the approach.

The main chapter, which is chapter 3, deals with the derivation of the *STEM* part, for constant or variable terms, the derivation of the *LOOP* with all it's matrices and finally the derivation of the SMT-Problem, which provides a *geometric nontermination argument* if it exists.

At the end, we want to take a look at the usability of the approach itself. Also we want to point out possible adaptations and improvements of the implementation of this approach.

<sup>1</sup>further information: <https://clang.llvm.org/>

<sup>2</sup>a mathematical definition can be found within [FGP<sup>+</sup>09]

## Chapter 2

# Preliminaries

In order to be able to explain the non-termination approach we have to declare, what nontermination means, which programs are considered within the Geometric Nontermination and present the *geometric nontermination argument* building the core of the approach. Furthermore we have to define a few structures we work on.

### 2.1 Non-Termination

The definition of non-termination is the most essential considering a technique proving it. Non-termination can be defined as a specific input to a program  $p$ , such that  $p$  runs in an infinite loop. Proving termination is much harder than proving non-termination, since we only have to determine one case, which fits the condition of running into an infinite loop.

Non-termination is obviously still undecidable, since otherwise the halting problem would be decidable, nevertheless there are a large variety of possibilities to prove non-termination as we will see in this paper.

### 2.2 Integer Term Rewrite System(int-TRS)

In order to apply the upcoming procedure we have to define what structure the approach works on. The derivation of an int-TRS from source code is described in section 1.2. Considering the following approach we will look at a int-TRS in a more superficial way. The composition of a int-TRS considered in this paper is shown in Figure 2.1.

---


$$\begin{array}{c}
 \begin{array}{ccc}
 \begin{array}{c} (1) \\ \overbrace{f_x} \end{array} & \rightarrow & \begin{array}{c} (2) \\ \overbrace{f_y(v_1, \dots v_n) : | : cond_1} \end{array} \\
 \begin{array}{c} 1 \\ 2 \end{array} \begin{array}{c} \overbrace{f_y(v_1, \dots v_n)} \\ (3) \end{array} & \rightarrow & \begin{array}{c} \overbrace{f_y(v'_1, \dots v'_n) : | : cond_2} \\ (3) \end{array} \quad \begin{array}{c} (4) \end{array}
 \end{array}
 \end{array}$$


---

Figure 2.1: The structure of a int-TRS considered in this paper.

The considered program shown in Figure 2.1 consists of a set of structure elements necessary to be defined:

- (1) A function symbol consisting of no variables is a *start function symbol* and is the first symbol to use. Further explanation in (line 1) and Figure 2.3.
  - (2) A function symbol denoting a current program-state
  - (3) The variables of a function symbol denoting the change of the variables by applying the term rewriting rule. The value of  $v'_i \in \mathbb{Z}$  is a linear update of the variables  $v_j \in \mathbb{Z} \ 1 \leq j \leq n$  in standard linear integer form.<sup>1</sup>
  - (4) The conditional term of the form (in)equation<sub>1</sub> && ... && (in)equation<sub>m</sub>  $m \in \mathbb{N}$ , where (in)equation<sub>i</sub> contains not only  $v_j \ 1 \leq j \leq n$ , but can also introduce new variables through equality. The *(in)equalities* are defined further in subsection 3.2.1.
- (line 1) The first line is the rewriting rule the program starts with and can be seen as a declaration of initial values of some variables. An example is shown in Figure 2.3
- (line 2) Such a self-looping rule considered within this approach to define further computations. Other looping rules will be presented in subsection 4.3.2.

## 2.3 Geometric Nontermination Argument (GNA)

Adapted from Jan Leikes and Matthias Heizmanns paper *Geometric Nontermination Arguments* [LH14] we will define the considered programs, define the *STEM* and *LOOP* and finally state the definition of *Geometric Nontermination Arguments*.

### 2.3.1 Considered Programs

The considered programs in the Geometric Nontermination are not bound to a special programming language. The paper works on so called Linear-Lasso Programs, which in fact are also used within AProVE to derive the so called (int-)TRS, stated in section 1.2. Because of the also stated conversion of the language into a *Symbolic Execution Graph* and further analysis the applicability of *geometric nontermination argument's* are not bound to any programming language.

In order to define the specific conditions under which we can use the approach, we take the language Java as an example.

### 2.3.2 Structure

The structure of the considered programs is quite simple. They contain an optional declaration of the used variables and a *while*-loop. Even though Java would not accept this the conversion to llvm would still be sound. An example of a fulfilling Java program is shown in Figure 2.2.

- The *STEM*:

The initialization and optional declaration of variables used within the *while*-loop. In the figure line 3 and 4 are considered the *STEM*. Also only  $b$  is initialized with a value.

---

<sup>1</sup>The standard linear integer form has the following pattern:  $a_1 * v_1 + \dots + a_n * v_n + c$ , where  $a_i, v_i, c \in \mathbb{Z}$ ,  $1 \leq i \leq n$ . Also it is important that  $a_i * v_i$  has this order and not  $v_i * a_i$

- The guard:

The guard of the *while*-loop is essential to restrict  $a$  as we will see in subsection 3.1.2. With the restriction of  $a + b \geq 4$  we can prove termination for  $a < 3$  without further analysis, and also, in order to prove termination, assume that  $a \geq 3$ .

- The linear Updates:

The updates of the variables within the *while*-loop are the most essential part for termination, since their value determine if the guard still holds. The approach works with only linear updates of the variables, so for every variable  $v_i$  where  $1 \leq i \leq n$  we can have a  $v_i = a_1 * v_1 + \dots + a_n * v_n + c$  with  $n \in \mathbb{N}$ . Note since we work on int-TRS it is sufficient for  $a_i$  to be in  $\mathbb{Z}$ .

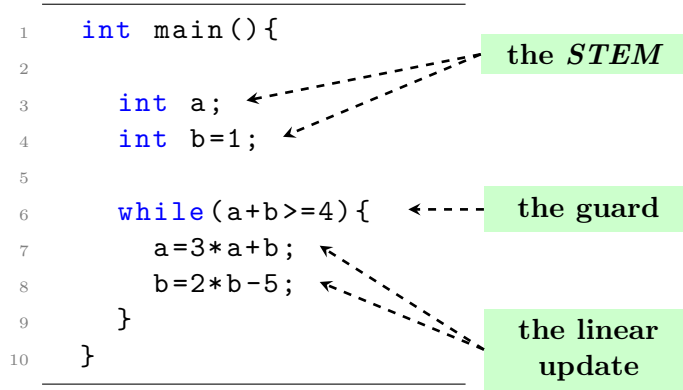


Figure 2.2: A Java program fulfilling the conditions to be applicable

The guard and linear updates together form the so called *LOOP*.

Through the in section 2.2 described procedure and given structure we receive the to Figure 2.2 equivalent int-TRS shown in Figure 2.3. As we can see the original program can be recognized quite easily. The first rule in line 1 denotes the *STEM*, while the second line equals the loop *LOOP*.

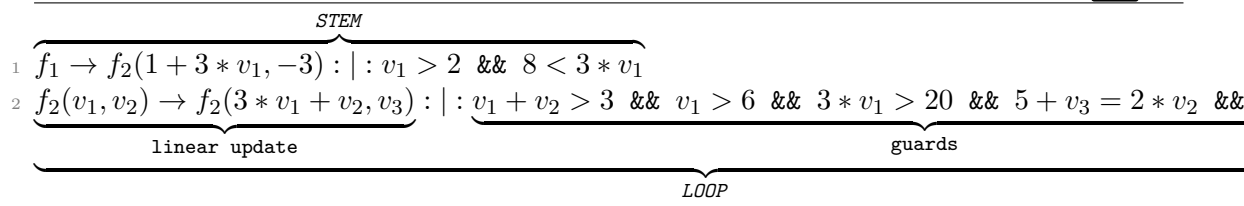


Figure 2.3: The int-TRS corresponding to the Java program in Figure 2.2

Neglecting the conditional terms for now the declaration of  $v'_2$  in line 1 is set to -3, because of the one circle the *Symbolic Execution Graph* has to compute in order to find a lasso. Starting with  $b = 1$  one step would be the computation of  $b = 2 * 1 - 5 = -3$ . The definition of  $v'_1$  is more difficult and will be shown within section 3.1. Also the update for  $v'_1$  within line 2 is the same as in Figure 2.2 line 7. The definition of  $v'_2 = v_3$  is fundamental and not as simple as  $v'_1$ , since  $v_3$  is a new variable introduced within the *guards* through the equality  $5 + v_3 = 2 * v_2$ . The handling of such variables will be explained in subsection 3.2.2 and subsection 3.2.1.

### 2.3.3 Necessary Definitions

In order to be able to define the key element of this approach, the *geometric nontermination argument*, we have to define a number of matrices and constant vectors, which are used to derive such a *geometric nontermination argument*.

**Definition 2.3.1** (*STEM*). *The STEM is denoted as a vector  $x \in \mathbb{Z}^n$ , where  $n$  is the number of variables within the rule of the start function symbols right hand side of a int-TRS. The values of  $x$  can be constants or defined by conditions. Examples are shown within section 3.1.*

**Definition 2.3.2** (Guard Matrix, Guard Constants). *Let  $n \in \mathbb{N}$  be the number of distinct variables,  $v_i$   $1 \leq i \leq n$  the  $i$ -th distinct variable names occurring on the left hand side,  $m \in \mathbb{N}$  be the number of guards not containing equality,  $a_{i,j} \in \mathbb{Z}$   $1 \leq i \leq n$ ,  $1 \leq j \leq m$  the factor of  $v_i$  in  $g_j$  and  $c_i \in \mathbb{Z}$  be the constant term within  $r_j$ .*

*Then the Guard Matrix  $G \in \mathbb{Z}^{m \times n}$  is defined as  $G_{i,j} = a_{i,j}$  and Guard Constants  $g \in \mathbb{Z}^m$  are defined as  $g_i = c_i$ .*

**Example 1.** *The corresponding Guard Matrix to Figure 2.3 is  $G = \begin{pmatrix} -1 & -1 \\ -1 & 0 \\ -3 & 0 \\ 0 & 2 \end{pmatrix}$  and the Guard*

*Constants is  $g = \begin{pmatrix} -4 \\ -7 \\ -21 \\ -6 \end{pmatrix}$*

*The normalization of the guards  $r_i$  to the form  $a_{i,1}v_1 + \dots a_{i,n}v_n \leq c$  transforms for example the guard  $r_1$  in the following way*

$$r_1 \Leftrightarrow v_1 + v_2 > 3 \Leftrightarrow -v_1 - v_2 < -3 \Leftrightarrow -v_1 - v_2 \leq -4$$

**Definition 2.3.3** (Update Matrix, Update Constants). *Let  $n \in \mathbb{N}$  be the number of distinct variables,  $v_i$   $1 \leq i \leq n$  the  $i$ -th distinct variable name,  $m \in \mathbb{N}$  the arity of the function symbol of the right hand side,  $m_i$   $1 \leq i \leq m$  the  $i$ -th variable definition of the right hand side's function symbol,  $a_{i,j} \in \mathbb{Z}$   $1 \leq i \leq n$   $1 \leq j \leq m$  be the factor of variable  $v_i$  in variable definition  $m_i$  and  $c_i \in \mathbb{Z}$   $1 \leq i \leq m$  the constant term of  $m_i$ .*

*Then the Update Matrix  $U \in \mathbb{Z}^{m \times n}$  is defined as  $U_{i,j} = a_{i,j}$  and Update Constants  $u \in \mathbb{Z}^m$  are defined as  $u_i = c_i$ .*

*Regarding the new variable  $v_3$ , we have to substitute in order to keep the desired size of the matrix. This procedure is further defined within subsection 3.2.1 and subsection 3.2.2.*

**Example 2.** *The corresponding Update Matrix to Figure 2.3 is  $U = \begin{pmatrix} 3 & 1 \\ 0 & 2 \end{pmatrix}$  and the Update*

*Constants are  $u = \begin{pmatrix} 0 \\ -5 \end{pmatrix}$ .*

*The second row is not as obvious as the first row and will be derived in detail within subsection 3.2.2.*

**Definition 2.3.4** (Iteration Matrix, Iteration Constants). Let  $G$  be the Guard Matrix,  $g$  the Guard Constants,  $U$  the Update Matrix,  $u$  the Update Constants,  $n \in \mathbb{N}$  the number of variables and  $m \in \mathbb{N}$  the number of conditional terms.

Also let  $\mathbf{0}$  be a matrix of the size of  $G$  with only entry's 0 and  $I$  denote the identity matrix with the size of  $U$ .

The Iteration Matrix  $A \in \mathbb{Z}^{2*n+m \times 2*n}$ , which defines one complete execution of the LOOP, and the Iteration Constants  $b \in \mathbb{Z}^{2*n+m}$  is defined as

$$A = \begin{pmatrix} G & \mathbf{0} \\ U & -I \\ -U & I \end{pmatrix} \text{ and } b = \begin{pmatrix} g \\ -u \\ u \end{pmatrix} \text{ [LH14]}$$

**Definition 2.3.5** (LOOP). The LOOP is defined as a tuple  $(A, b)$ , where  $A$  is the Iteration Matrix and  $b$  the Iteration Constants of an int-TRS. (See: section 3.2)

Now we can define the key element, which was originally defined for linear lasso programs.

**Definition 2.3.6** (Geometric Non Termination Argument). A tuple of the form:

$$(x, y_1, \dots, y_k, \lambda_1, \dots, \lambda_k, \mu_1, \dots, \mu_{k-1})$$

is called a geometric nontermination argument for a program  $= (STEM, LOOP)$  with  $n$  variables iff all of the following statements hold:

$$(domain) \ x, y_1, \dots, y_k \in \mathbb{R}^n, \lambda_1, \dots, \lambda_k, \mu_1, \dots, \mu_{k-1} \geq 0$$



$$(init) \ x \text{ represents the start term } (STEM)$$

$$(point) \ A \begin{pmatrix} x \\ x + \sum_i y_i \end{pmatrix} \leq b$$

$$(ray) \ A \begin{pmatrix} y_i \\ \lambda_i y_i + \mu_{i-1} y_{i-1} \end{pmatrix} \leq 0 \text{ for all } 1 \leq i \leq k$$

Note that  $y_0 = \mu_0 = 0$  is set for the ray instead of a case distinction. [LH14]

The usage of such a *geometric nontermination argument* is justified by the following sentence:

**Sentence 2.1.** If a geometric nontermination argument  $a$  for a program  $p$  exists, then  $p$  does not terminate. [LH14]

## 2.4 Reverse-Polish-Notation-Tree

Within the program of deriving a *geometric nontermination argument* it happens that we get a mathematical term in the so-called *Polish Notation* or *Reverse Polish Notation in prefix notation*, which is a special form of rewriting a, in our case linear, expression to compute the solution efficiently using a stack. Within our program we use this kind of notation to parse it into our own tree-structure to do further analysis. [Wik17a]



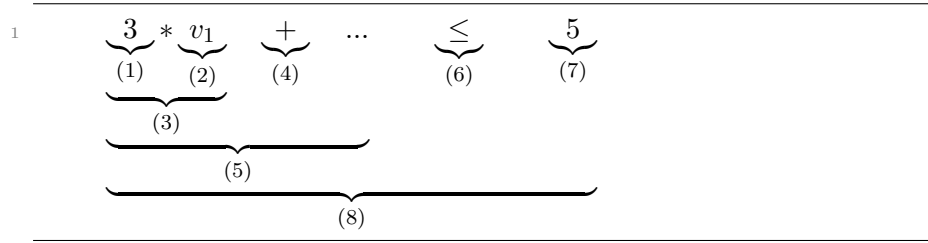


Figure 2.6: An example to show the structure of an assertion used for the *SMT-solver*

Such an example assertion can be split into different parts:

- (1) *PlainIntegerConstant*'s as coefficients
- (2) *PlainIntegerVariables*'s as variables the *SMT-solver* should derive values for such that all assertions are satisfied
- (3) A coefficient multiplied with a variables is represented by an *PlainIntegerOperation* with *ArithmeticOperationType MUL*
- (4) An *ArithmeticOperationType* of type *ADD*
- (5) The left hand side is one big *PlainIntegerOperation* consisting of the addition(4) of the multiplication (3) of coefficient's (2) and variables (2).
- (6) The *IntegerRelationType* defining the assertion. We only use the *EQ* (*equal*) or *LE* (*less than or equal*) relations.
- (7) The right hand side is only one *PlainIntegerConstant*
- (8) The whole line is a *PlainIntegerRelation*, which can be transformed into the *SMTExpressionFormat* the *SMT-solver* uses.

We use a solver within AProVE to create a bunch of assertions restricting the possible solution space. Since we operate in integer arithmetic and use linear equations we can restrict the solver to only use *quantifier free linear integer arithmetic*. In order to solve the problem given by the assertions the solver tries to derive a model satisfying all of them or derive an unsatisfiable core. [Áb16]

**Example 3.** Consider the following assertions that should hold:

$$x \leq y \quad x < 5 \quad x + y \leq 20 \quad y \neq 10$$

Then a possible model would be  $m_1 = \{x = 6, y = 6\}$ . An other model would be  $m_2 = \{x = 6, y = 7\}$ . If we change the third rule to  $x + y \leq 10$  there is no model to the problem and we would receive the unsatisfiable core  $c = \{x \leq y \quad x < 5 \quad x + y \leq 10\}$ .

Since for definition 2.3.6 the existence of a model is the crucial information, the model which should be derived is arbitrary among the set of possible models.

Further knowledge about SMT-Problem solving can be gathered from the lecture "Introduction to Satisfiability Checking" or the SMT-RAT toolbox for Strategic and Parallel SMT Solving by Prof. Dr. Erika Ábrahám and her team at the RWTH Aachen University [CKJ<sup>+</sup>15].





## Chapter 3

# Geometric Non-Termination

Now that all preliminaries are stated we can start looking how the approach works within AProVE. To find a *geometric nontermination argument* and so prove nontermination we use AProVE to generate an int-TRS of a given program. Based on the calculated int-TRS we derive the *STEM*, the *LOOP* and then generate an SMT-Problem using definition 2.3.6 and compute a *geometric nontermination argument*, which would be a prove of nontermination, or state that no *geometric nontermination argument* can exist, which does not infer termination nor nontermination.

### 3.1 Derivation of the *STEM*

The derivation of the *STEM* is the first step to do in order to derive a *geometric nontermination argument*. As described in subsection 2.3.2 the *STEM* defines the variables before iterating through the *LOOP*. Owned to the fact, that AProVE has to find the a loop within the generated *Symbolic Execution Graph* one iteration through the *LOOP* will be calculated. Obviously this does not falsify the result. If it does not terminate i will still not terminate after one iteration and if it terminates after  $n$  iterations and we compute one it will still terminate after  $n - 1$  iterations.

Within the derivation of the *STEM* we distinguish between two cases discussed in the following sections.

#### 3.1.1 Constant *STEM*

The constant stem is the easiest case to derive the *STEM* from. It has the form:

$$f_x \rightarrow f_y(c_1, \dots c_n) : | : TRUE$$

An example of a constant *STEM* is shown in Figure 3.1. The values of  $x$  can be directly read from the right hand side and need no further calculations.

---

$$_1 \quad f_1 \rightarrow f_2(10, 2) : | : TRUE$$

---

Figure 3.1: An example of a constant int-TRS rule to derive the *STEM*. The *STEM* in this case would be  $\begin{pmatrix} 10 \\ 2 \end{pmatrix}$

### 3.1.2 Variable *STEM*

The more complex case is given if the start function symbol has the following form:

$$f_x \rightarrow f_y(v_1, \dots, v_n) : | : \text{cond}$$

where  $v_i$   $1 \leq i \leq n$  is either a constant term like in subsection 3.1.1 or a variable defined by the *cond* term. An example for such a *STEM* is shown in Figure 3.2. In order to derive terms in  $\mathbb{Z}$  an SMT-Problem needs to be solved. We can compute the *Guard Matrix*, *Guard Constants*, *Update Matrix* and *Update Constants* of the start function symbol and use the *SMTFactory*, which is explained within section 2.5, to create the assertions leading to either an assignment of  $x$  to a value or to a unsatisfiable core. Such a core would state, that the *while*-Loop would not hold after any assignment and therefore prove termination.

$$\begin{array}{c} \hline 1 \quad f_1 \rightarrow f_2(1 + 3 * v, 2) : | : v > 2 \ \&\& \ 8 < 3 * v \\ \hline \end{array}$$

Figure 3.2: An example of a variable int-TRS rule to derive the *STEM*. In order to derive it an  $v$  fulfilling the conditions need to be found using an SMT-Solver. Since  $v = 3$  is the first number in  $\mathbb{Z}$  that satisfies the guards the *STEM* would be  $\begin{pmatrix} 1 + 3 * 3 \\ 2 \end{pmatrix} = \begin{pmatrix} 10 \\ 2 \end{pmatrix}$

## 3.2 Derivation of the *LOOP*

The derivation of the *LOOP* is pretty straight forward applying definition 2.3.2, definition 2.3.3 to a looping rule and then computing *Iteration Matrix* and *Iteration Constants* using definition 2.3.4.

Let  $f_x$  be the starting function symbol given by the int-TRS and  $r_i$  be a rule, with

$$\begin{array}{c} \hline 1 \quad f_x \rightarrow f_y(v_1, \dots, v_n) : | : \text{cond}_1 \\ \hline \end{array}$$

then we take the in lexicographical order first rule  $r_l$  of the form

$$\begin{array}{c} \hline 1 \quad f_y(v_1, \dots, v_n) \rightarrow f_y(v'_1, \dots, v'_n) : | : \text{cond}_2 \\ \hline \end{array}$$

and compute the *Iteration Matrix* and *Iteration Constants* according to  $r_l$ .

### 3.2.1 The *Guard Matrix* and *Guard Constants*

The derivation of the *Guard Matrix* and *Guard Constants* can be achieved by applying the definition 2.3.2 to the guards of the given rule  $r_l$ . For that we create  $G$  as the coefficient matrix. The size of  $G$  is determined by the arity of the function symbol of  $r_l$  and the number of guards not containing  $=$ . In subsection 3.2.1 we show the dealing with guards containing  $=$  with example 4 as an example. The first step is to define the desired form of the guards. For that we introduce the *standard guard form* the guards are given from the *Symbolic Execution Graph* and the desired *strict guards form*.

**Definition 3.2.1** (standard guard form). *A guard  $g$  is in standard guard form iff  $g := \varphi \circ c$ , with  $\varphi$  in standard linear integer form and  $\circ \in \{<, >, \leq, \geq, =\}$ .*

If  $\varphi$  contains a constant  $c_\varphi$  the constant  $c$  gets subtracted by  $c_\varphi$ .  
 A condition to a rule  $cond$  is in standard guard form iff

$$cond = \{g | g \text{ guard, } g \text{ is in standard guard form}\}$$

The condition given be the *Symbolic Execution Graph* is one rule  $r$ , which represents a set  $G$  in standard guard form and

$$r = \&\&(g_1, (\&\&(\dots, (\&\&(g_{n-1}, g_n)) \dots))),$$

where  $g_i \in G$ .

The easiest way to retrieve the guards  $g_i$  is by using algorithm 1.

---

**Algorithm 1** Retrieving a set of guards  $G$  from a rule  $r$  of the form stated in subsection 3.2.1

---

```

1: function COMPUTEGUARDSET(Rule r)           ▷ r has to be a rule representing a cond-term
2:   Stack stack  $\leftarrow$  r
3:   Set guards
4:   while !stack.isEmpty() do
5:     item  $\leftarrow$  stack.pop
6:     if item is of the form  $\&\&(x_1, x_2)$  then           ▷ break up concatenation of two guards
7:       add  $x_1$  and  $x_2$  to stack                       ▷ and add them individually
8:     else
9:       add item to guards                             ▷ if it is no concatenation it is a single guard
10:    end if
11:  end while
12: end function

```

---

So we get a set  $G = \{g \mid g \text{ is in standard guard form}\}$ . Now we want to compute the desired form, the *strict guard form*, from which we can derive the *Guard Matrix* and *Guard Constants*.

**Definition 3.2.2** (strict guard form). *A guard  $g$  is in strict guard form iff  $g := \varphi \leq c$ , with  $\varphi$  in standard linear integer form and  $c \in \mathbb{Z}$  subtracted by a possible constant  $c_\varphi$  inherited from  $\varphi$*

To transfer a guard from standard guard form to strict guard form we have to apply the two following steps:

1. **rewrite equations**

If the guards contain a guard with the symbol  $=$  we have to rewrite the "new" variable.  
 To define, which are new and substitute these we perform the following algorithm:

---

**Algorithm 2** The algorithm to handle equalities that introduce new variables within the guards.

---

```

1: function FILTEREQUALITIES( $G$ )                                 $\triangleright G$  is in standard guard form
2:    $V_{left} = \{v \mid \text{the left hand side of the rule contains } v\}$ 
3:    $V_{right} = \{v \mid \text{the right hand side of the rule contains } v\}$ 
4:    $V_{sub} = V_{right} - V_{left}$ 
5:   define substitution  $\theta = \{\}$ 
6:   while  $V_{sub} \neq \emptyset$  do
7:     select  $s \in V_{sub}$ 
8:     select  $g_s \in \{g \in G \mid g \text{ contains " = "}\}$            $\triangleright$  Should only be one guard
9:     remove  $g_s$  from  $G$ 
10:    rewrite  $g_s$  to the form  $s = \psi$ 
11:     $\theta = \theta\{s/\psi\}$                                            $\triangleright$  update substitution
12:    for all  $g \in G$  do                                        $\triangleright$  apply Substitution
13:       $g = \theta g$ 
14:    end for
15:    remove  $s$  from  $V_{sub}$ 
16:  end while
17:  return  $G$ 
18: end function

```

---

The result of that is a set  $G'$ , which satisfy the condition of occurring variables.

## 2. normalizing

Given the new set  $G'$  we have to normalize the inequalities to achieve strict guard form. This is done with two steps:

- (a) rewrite a guard  $g_i$  of the form  $g_i \Leftrightarrow \psi + c_\psi \circ c$ , where  $\circ \in \{<, >, \leq, \geq\}$  to the form  $\eta * \psi + \eta * c_\psi \leq \eta * c - \tau$  depending on  $\circ$ .

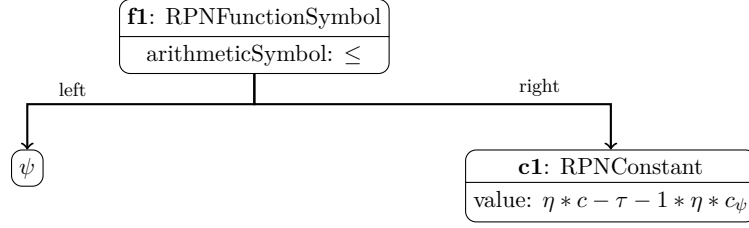
$\circ$	$\eta$	$\tau$	$\eta * \psi + \eta * c_\psi \leq \eta * c - \tau$
$<$	1	1	$\psi + c_\psi \leq c - 1$
$>$	-1	1	$-\psi - c_\psi \leq -c - 1$
$\leq$	1	0	$\psi + c_\psi \leq c$
$\geq$	-1	0	$-\psi - c_\psi \leq -c$

$\eta$  is the indicator of inverting the guard to convert  $\geq (>)$  to  $\leq (<)$   
 $\tau$  can be seen as the subtraction of 1 to receive the  $\leq$  instead of a  $<$ .

- (b) transfer the  $c_\psi$  to the right side to only contain one constant term located on the right side. So the final form is  $\eta * \psi \leq \eta * c - \tau - 1 * \eta * c_\psi$ , where  $\eta * c - \tau - 1 * \eta * c_\psi$  is constant term and  $\psi$  is in standard linear integer form without a constant term.

After that every guard is in strict guard form. So all we have to do in order to now compute the *Guard Matrix* and the *Guard Constants* is to apply algorithm 3, to determine the coefficients stored in the *Guard Matrix*, and determine the constant terms.

Regarding the normalization, which is implemented on the *Reverse Polish Notation Tree*, we could apply algorithm 4 to compute the constant terms, but also we can use the normalized guard to compute the constant term within linear time. The implementation of the transformation guarantees the following form for a guard in strict guard form:



So the constant term can simply be read off from the right child-node of the *RPNFunctionSymbol* " $\leq$ ", neglecting the left  $\psi$ -term.

**Example 4.** This example is based in the *int-TRS* from Figure 2.3. Regarding the *int-TRS* we have the guard-term:

$$v_1 + v_2 > 3 \ \&\& \ v_1 > 6 \ \&\& \ 3 * v_1 > 20 \ \&\& \ 5 + v_3 = 2 * v_2 \ \&\& \ v_3 < -10$$

which lead to the set  $G$ :

$$\{v_1 + v_2 > 3, v_1 > 6, 3 * v_1 > 20, 5 + v_3 = 2 * v_2, v_3 < -10\}$$

Starting with algorithm 2 to handle equalities:

(line 2-4) We compute  $V_{left} = \{v_1, v_2\}$ ,  $V_{right} = \{v_1, v_2, v_3\}$  so  $V_{sub} = \{v_3\}$

(line 5) begin with  $\theta = \{\}$

(line 7,8) Since obviously  $V_{sub} \neq \emptyset$  we select  $s = v_3$  and select  $g_s = 5 + v_3 = 2 * v_2$

(line 9,10)  $g_s$  rewritten to the form  $s = \psi$  then follows with  $v_3 = 2 * v_2 - 5$

(line 11)  $\theta = \theta\{s/2 * v_2 - 5\} = \{s/2 * v_2 - 5\}$

(line 12-15)  $G = \{v_1 + v_2 > 3 \ \&\& \ v_1 > 6 \ \&\& \ 3 * v_1 > 20 \ \&\& \ 2 * v_2 - 5 < -10\}$

(end) Since  $V_{sub} = \emptyset$  return  $G$

Starting with the normalization:

Applying the stated rule for the different inequation-signs we receive the new guards:

$$\{-1 * v_1 + -1 * v_3 \leq -4, \ -1 * v_1 \leq -7, \ -3 * v_1 \leq -21, \ 2 * v_2 \leq -6\}$$

Note that the writing of for example  $-1 * v_1$  is wanted in order to be able to neglect the case of for example  $-2 * -v_1$  if  $-2$  gets multiplied.

From that we apply algorithm 3 and receive the Guard Matrix  $G = \begin{pmatrix} -1 & -1 \\ -1 & 0 \\ -3 & 0 \\ 0 & 2 \end{pmatrix}$ . Also using the stated structure details we can derive the Guard Constants  $g = \begin{pmatrix} -4 \\ -7 \\ -21 \\ -6 \end{pmatrix}$

### 3.2.2 The Update Matrix and Update Constants

The *Update Matrix* and *Update Constants* can be derived quite easily. The updates within the function symbol neither contain an equation nor an inequation sign. Therefore no new variables can be initialized. Since it is still possible, that some of the within the guard part instantiated variables appear within the update we have to apply the final set of substitutions  $\theta$  from algorithm 2 to the linear update.

**Example 5.** This example is based in the *int-TRS* from Figure 2.3 in combination with the example 4 providing  $V_{sub}$ .

Since the set of substitutions  $\theta = v_3/2 * v_2 - 5$  is not empty and within the update given by

$$(3 * v_1 + v_2, v_3)$$

contains  $v_3$  we have to apply the substitution and receive:

$$(3 * v_1 + v_2, 2 * v_2 - 5)$$

After restraining the updates to only mention the desired variables we can introduce algorithm 3 as a procedure to compute the coefficient of a given variable. It performs a recursive search on the tree and uses the standard linear integer form definition that a coefficient is always the left child of the multiplication with it's corresponding variable. The procedure works like the following:

---

**Algorithm 3** Derivation of a coefficient within an *Reverse Polish Notation Tree*

---

```

1: function GETCOEFFICIENT(query)
2:   if node == query then                                ▷ query is a variable name
3:     return 1
4:   else if node does not contain query then              ▷ tree does not contain query
5:     return 0
6:   end if
7:
8:   if node represents PLUS then                            ▷ Choose the subtree containing the query
9:     if left side contains query then
10:      return getCoefficient(query)
11:    else
12:      return getCoefficient(query)
13:    end if
14:  end if
15:  if node represents TIMES then                            ▷ Retrieve value
16:    if node.right == query then
17:      return node.left.value
18:    end if
19:  end if
20: end function

```

---

An example derivation of a factor using algorithm 3 is shown in Figure 3.3.



Figure 3.3: An example of deriving the coefficient of a given formula and a variable as query. This example uses the *Reverse Polish Notation Tree* of Figure 2.5 and  $y$  as the query.

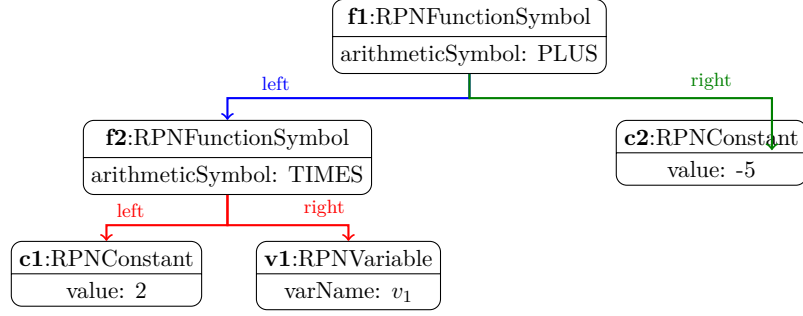


Figure 3.4: An example derivation of a constant term in the second variable update of the example in example 5. Here **red** stands for neglected paths, **blue** stands for considered paths/recursive calls, and **green** stands for a found constant term.

The **red**-arrow stands for the neglected left subtree of the root node, which can be neglected because the query is not contained. The **blue**-arrows show the path to the subtree further investigated. The **green**-arrow determines, that the right child node is the query so the left child node has to be the coefficient. Since the underlying update is in standard linear integer form the left subtree has to be a *RPNConstant*.

The *Update Constants* can be derived by an simplification of algorithm 3, since we only have to retrieve the constant term within the tree. The corresponding derivation is given by algorithm 4.

---

**Algorithm 4** Derivation of a constant term within an *Reverse Polish Notation Tree*

---

```

1: function GETCONSTANTTERM
2:   if this is a constant then
3:     return this.value
4:   end if
5:
6:   flip ← 1
7:   if this represents MINUS then                                ▷ flip result in case of prev. negation
8:     flip ← -1
9:   end if
10:  if this represents sth. ≠ TIMES then
11:    left ← left.getConstantTerm()                                ▷ recursive calls
12:    right ← right.getConstantTerm() * flip
13:    return left + right
14:  end if
15: end function

```

---

An example of a constant term using algorithm 4 can be found in Figure 3.4.

Since a constant  $c < 0$  can stored in a constellation shown in Figure 3.5 we consider a variable *flip* to store a sign change occurring for a subtraction. Knowing that the standard linear integer form is used all occurs of a multiplication can be neglected.

Through the standard linear integer form one of the recursive calls has to be 0 since only one constant term.





Figure 3.5: The *Reverse Polish Notation Tree* of the term  $x - 3$ , where the *flip* of algorithm 4 has to be used. This constellation can not be universally neglected. The value recursively found for the constant term would be  $(-1) * 3 = -3$

Using algorithm 3 and algorithm 4 one can derive the *Update Matrix*  $U \in \mathbb{Z}^{n \times n}$  and *Update Constants*  $u \in \mathbb{Z}^n$  for a rule  $r_j$  of the form

$$r_j := f_y(v_1, \dots, v_n) \rightarrow f_y(v'_1, \dots, v'_n) : | : cond$$

so that the following holds:

$$U \times \begin{pmatrix} v_1 \\ \vdots \\ v_n \end{pmatrix} + u = \begin{pmatrix} v'_1 \\ \vdots \\ v'_n \end{pmatrix}$$

### 3.2.3 The Iteration Matrix

The *Iteration Matrix* and *Iteration Constants* are a composition of the previously derived *Iteration-* and *Guard Matrix* respectively *Iteration-* and *Guard Constants*.

As stated in definition 2.3.4 the *Iteration Matrix* and *Iteration Constants* can be computed as

$$A = \begin{pmatrix} G & \mathbf{0} \\ M & -I \\ -M & I \end{pmatrix} \text{ and } b = \begin{pmatrix} g \\ -u \\ u \end{pmatrix} \text{ [LH14]}$$

Given  $G, g, U$  and  $u$  computing  $A$  and  $b$  is simply inserting and creating a matrix  $\mathbf{0} \in \{0\}^m \times n$  and identity-matrix  $I \in \{0, 1\}^n \times n$ , where  $n$  is the number of distinct variables and  $m$  the number of guards.

## 3.3 Derivation of the *SMT*-Problem

The existence of a *geometric nontermination argument* is checked using an *SMT* solver, presented in section 2.5, which will either give us a model satisfying the constraints or proof the non existence by giving an unsatisfiable core.

The constraints the *SMT* solver has to fulfil are the four criteria mentioned within definition 2.3.6, which are non-linear. So the satisfiability of these is decidable. Since we derive the deterministic update as *Update Matrix* we can further compute it's eigenvalues and assign these to  $\lambda_1, \dots, \lambda_k$ , receive linear constraints and thus can decide existence efficiently. [LH14].

So the next step in order to proof non termination is to compute the eigenvalues of the *Update*

*Matrix.* This is done by the *Apache math3* library <sup>1</sup> because of performance reasons. Computation of such matrices can be very costly if programmed inefficiently. After computing the eigenvalues, we have set values for  $x$  and  $\lambda_1, \dots, \lambda_k$  as constant values.

Using the *SMTFactory*, which offers methods to create the within section 2.5 and Figure 2.6 stated structure, we are able to create assertions and add them to the *SMT-solver*, such that the following holds:

If the *SMT-solver*, with assertions  $a_1^p, \dots, a_n^p$  created from program  $p$ , has a model  $m$  then  $m$  defines variables  $y_1, \dots, y_k$  and  $\mu_1, \mu_{k-1}$  within  $\mathbb{Z}$  such that  $(x, y_1, \dots, y_k, \lambda_1, \dots, \lambda_k, \mu_1, \dots, \mu_{k-1})$  is a *geometric nontermination argument*.

### 3.3.1 The Domain Criteria

(domain)  $x, y_1, \dots, y_k \in \mathbb{R}^n, \lambda_1, \dots, \lambda_k, \mu_1, \dots, \mu_{k-1} \geq 0$

(see: definition 2.3.6)

The *Domain Criteria* for  $x$  and  $y_1, \dots, y_k$  are trivial, because at no point of computation we would consider a vector  $v \in \mathbb{C}$ . The arity of  $x$  is set within the derivation of the *STEM* (see: section 3.1) and set's the starting values for the  $n$ -variables. The arity of every  $y_i$  is determined within the assertions of the *Point Criteria* and the *Ray Criteria*.

Therefore this criteria adds no further assertions towards the *SMT-solver*.

### 3.3.2 The Initiation Criteria

(init)  $x$  represents the *start term* (*STEM*)

(see: definition 2.3.6)

The *Initiation Criteria* is quite trivial to mention within the *SMT-solver*, since we defined the *STEM*  $x$  within section 3.1 to be exactly the *start term*.

So this criteria also adds no further assertions towards the *SMT-solver*.

### 3.3.3 The Point Criteria

(point)  $A \begin{pmatrix} x \\ x + \sum_i y_i \end{pmatrix} \leq b$

(see: definition 2.3.6)

The *Point Criteria* is the first criteria to add assertions towards the *SMT-solver*.

The point criteria has a special role within the derivation. Since within the *Iteration Matrix*  $A$  the *Update Matrix* is contained twice with different sign the *Iteration Matrix* creates through the *Point Criteria* exactly opposite signed rules for the last  $2n$  rows. This means that, even though within the *Point Criteria* the relation is  $\leq$ , the last  $2n$  have to fulfil the equality of the rows.

---

<sup>1</sup>the mentioned method can be found under [Apa17]

Let  $s \in \mathbb{R}^n$  for  $1 \leq i \leq n$  be  $s_i = x_i + \sum_j (y_j)_i$ , where  $(y_j)_i$  denotes the  $i$ -th entry of  $y_j$ . Then the *Point Criteria* can be rewritten to:

$$\begin{aligned}
& A \begin{pmatrix} x \\ s \end{pmatrix} \leq b \\
& \Leftrightarrow \begin{pmatrix} G & 0 & \dots & 0 \\ a_{1,1} & \dots & a_{1,n} & -1 & \dots & 0 \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ a_{n,1} & \dots & a_{n,n} & 0 & \dots & -1 \\ -a_{1,1} & \dots & -a_{1,n} & 1 & \dots & 0 \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ -a_{n,1} & \dots & -a_{n,n} & 0 & \dots & 1 \end{pmatrix} \begin{pmatrix} x_1 \\ \vdots \\ x_n \\ s_1 \\ \vdots \\ s_n \end{pmatrix} \leq \begin{pmatrix} g \\ -u_1 \\ \vdots \\ -u_n \\ u_1 \\ \vdots \\ u_n \end{pmatrix} \\
& \Rightarrow Gx \leq g, \text{ which means that the guards have to hold, and} \\
& \begin{pmatrix} a_{1,1} & \dots & a_{1,n} & -1 & \dots & 0 \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ a_{n,1} & \dots & a_{n,n} & 0 & \dots & -1 \\ -a_{1,1} & \dots & -a_{1,n} & 1 & \dots & 0 \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ -a_{n,1} & \dots & -a_{n,n} & 0 & \dots & 1 \end{pmatrix} \begin{pmatrix} x_1 \\ \vdots \\ x_n \\ s_1 \\ \vdots \\ s_n \end{pmatrix} \leq \begin{pmatrix} -u_1 \\ \vdots \\ -u_n \\ u_1 \\ \vdots \\ u_n \end{pmatrix} \\
& = \begin{pmatrix} a_{1,1} * x_1 & \dots & a_{1,n} * x_n & -1 * s_1 & \dots & 0 * s_n \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ a_{n,1} * x_1 & \dots & a_{n,n} * x_n & 0 * s_1 & \dots & -1 * s_n \\ -a_{1,1} * x_1 & \dots & -a_{1,n} * x_n & 1 * s_1 & \dots & 0 * s_n \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ -a_{n,1} * x_1 & \dots & -a_{n,n} * x_n & 0 * s_1 & \dots & 1 * s_n \end{pmatrix} \leq \begin{pmatrix} -u_1 \\ \vdots \\ -u_n \\ u_1 \\ \vdots \\ u_n \end{pmatrix}
\end{aligned}$$

By looking closely one can see that for every line  $l_i$   $1 \leq i \leq n$  with

$$l_i^{\text{left}} \leq l_i^{\text{right}}$$

there is a rule  $l_{i+n}$  with

$$-l_i^{\text{left}} \leq -l_i^{\text{right}},$$

which can be rewritten as  $n$  rules of the form:

$$l_i^{\text{left}} = l_i^{\text{right}}$$

So using the *SMTFactory* we create such variables  $s_i$  and add the  $n$  assertions determined above. Since variable vectors are represented as a *Reverse Polish Notation Tree* we can use a symbol method to calculate the multiplication, normalize the outcome and parse the *Reverse Polish Notation Tree* into an assertion all featured by the *SMTFactory*.

The assertion ensuring that the new variables  $s_i$  are the sum of the  $i$ -th value of the  $y_j$  is added within subsection 3.3.5.

### 3.3.4 The Ray Criteria

$$\text{(ray)} \quad A \begin{pmatrix} y_i \\ \lambda_i y_i + \mu_{i-1} y_{i-1} \end{pmatrix} \leq 0 \text{ for all } 1 \leq i \leq k$$

(see: definition 2.3.6)

The *Ray Criteria* is the hardest criteria in terms of asserting, because of it's way of computation. The computation can be split into two parts on it's own.

$i = 0$ :

For  $i = 0$  the second addend  $\mu_{i-1} y_{i-1}$  is equal to 0, because of definition 2.3.6, that  $y_0 = \mu_0 = 0$ .

So with  $\lambda_1$  being the first eigenvalue of the *Update Matrix* we get that  $A \begin{pmatrix} y_1 \\ \lambda_1 y_1 \end{pmatrix} \leq 0$ .

Through  $A$  and the *Domain Criteria* we know, that every  $y_i \in \mathbb{R}^n$  so we add  $n$  new variables

$y_{1,i}$ , such that  $y_1 = \begin{pmatrix} y_{1,1} \\ \vdots \\ y_{1,n} \end{pmatrix}$ , multiply the *Update Matrix*  $A$  with the new vector regarding the

substitution and and create an assertion per row using the *SMTFactory*, the *IntegerRelationType*  $LE$  and as the right hand side constant a 0.

$i \neq 0$ :

Since we don't have any concrete values for any  $y_i$  or  $\mu_i$  so far the solving of the problem with the term  $\mu_{i-1} y_{i-1}$  is not linear and therefore the computation has to be either performed in *quantifier free non-linear integer arithmetic* or iterated over possible entry's for the  $\mu$ 's.

In the implemented approach the *quantifier free non-linear integer arithmetic*. Even if it's generally undecidable there are implementations over finite domains semi-deciding the problems. [BDE<sup>+</sup>14] [GAB<sup>+</sup>16]

Further comment about the usage of QF\_NIA can be found within chapter 4.

With  $\lambda_i$  being the  $i$ -th eigenvalue of the *Update Matrix* we can compute the result of the multiplication as in the  $i = 0$  case, but have to normalize the outcome using the basic distributive property in order to handle it within an *Reverse Polish Notation Tree* and correctly generate an assertion from it.

So for every step  $i > 0$  we add  $n$  new variables  $y_{i,n}$  such that  $y_i = \begin{pmatrix} y_{i,1} \\ \vdots \\ y_{i,n} \end{pmatrix}$  and a new variable  $\mu_{i-1}$  such that

$$\lambda_i y_i + \mu_{i-1} y_{i-1} \Leftrightarrow \lambda_i \begin{pmatrix} y_{i,1} \\ \vdots \\ y_{i,n} \end{pmatrix} + \mu_{i-1} \begin{pmatrix} y_{i-1,1} \\ \vdots \\ y_{i-1,n} \end{pmatrix} \Leftrightarrow \begin{pmatrix} \lambda_i y_{i,1} + \mu_{i-1} y_{i-1,1} \\ \vdots \\ \lambda_i y_{i,n} + \mu_{i-1} y_{i-1,n} \end{pmatrix}$$

As in the other case we can simply compute the multiplication with the *Update Matrix*  $A$ , normalize the outcome and analogously create an assertion per row with the *SMTFactory*.

Note that  $y_{i-1,n}$  represent the values of the previous step and therefore not only already exist, but also create a lattice of restrictions for the  $y_{i,j}$  since the values depend highly on the previous values. At this point the relation of rewriting the problem as a geometric series, like it is done in the underlying paper [LH14], is quite obvious.

### 3.3.5 Additional assertion

The final step of asserting need to be done, because of the restriction of the variables from the *Ray Criteria* in subsection 3.3.4 to the sum from the *Point Criteria* in subsection 3.3.3.

The assertion, that needs to be added has the following form:

$$s_i = y_{i,1} + \dots + y_{i,n}$$

This ensures that the values of  $y$  sum up to the values determined in the *Point Criteria*.

After the adding the *Additional assertion* from subsection 3.3.5 the *SMT-solver* contains all the restrictions to compute a *geometric nontermination argument* or an unsatisfiable core for the given program.

If a *geometric nontermination argument* is found it is stored as an instance of the corresponding class and given to AProVE as a proof.

## 3.4 Verification of the Geometric Non-Termination Argument

An instance of a *geometric nontermination argument* can be rechecked giving the *Iteration Matrix* and *Iteration Constants* if all of the four criteria of definition 2.3.6 by simply computing and checking if the conditions hold.

## Chapter 4

# Evaluation and Benchmark

In this chapter we want to take a look at the implementation and evaluate, if the approach itself is useful in terms of applicable cases or if the approach works only on very exotic and uncommon preconditions.

Also we want to take a look at the benchmarks of the implementation, in terms of storage and computational efficiency.

Further we want to outline improvement possibilities of the implementation and problems within, where an efficient solution is not quite obvious.

### 4.1 Evaluation of the approach

The approach provides a sound and complete solution to specific type of programs. Given a tool like AProVE, which provides a normalized and shortened int-TRS the further computation that has to be done can be solved quite efficient. Using an state of the art *SMT-solver* and the definition of  $\lambda_i$  to be the  $i$ -th eigenvalue the problem can also be resolved efficiently for given  $\mu$ 's. If the  $\mu$ 's are not given the problem is undecidable, which makes it still useful within AProVE, but not as strong as before.

Since the paper itself does not mention equalities within the guards the substitution of newly introduced variables as handled within subsection 3.2.1 is necessary in order to apply the definition. Such a substitution is very costly in computation time and also highly

### 4.2 Benchmarks

STEHT NOCH AUS

### 4.3 Possible Improvement of the Implementation

The implementation of the approach is fully functional under the circumstances mentioned, like for example the defined structure in subsection 2.3.2. Nevertheless also this implementation has certain cases in, which it does not perform as efficient as it could. So here we state the possible improvements of the implementation to make it universally more useful and therefore stronger or more efficient.

### 4.3.1 SMT-solver logic

As already stated in section 3.3 the problematic of the  $\mu$ 's can lead to a shift into undecidability, since the solving of variable multiplication on integers (*quantifier free non-linear integer arithmetic*) is undecidable. Also mentioned in section 3.3 there are a bunch of approaches, which lead to semi-decidability and therefore to the possibility to still use the variable multiplication within the problem if the  $\mu$ 's can be restricted to a finite domain.

A possible improvement could be an iteration over different values of the  $\mu$ 's. The number of problems, that have to be solved would be blow up, but the problem itself would always be decidable.

A reliable case-study of a large set of examples could underline the necessity of the iteration, since we wouldn't be able to derive a *geometric nontermination argument* using the *quantifier free non-linear integer arithmetic*. It could also lead to the overhead of computational cost using the iterative method, which can be useful if the problem does not have any time restrictions of the deciding process, but is not suitable within the competitions AProVE participates, like the *International Competition of Termination Tools*<sup>1</sup> or *International Competition on Software Verification*<sup>2</sup>. [I217]

The *Termination Competition 2017*, which is organized by the *International Competition of Termination Tools*, for example has a time limit of 300 seconds and only allows 4 core usage, which makes an iterative method very costly. [Wik17b]

### 4.3.2 int-TRS program structure

As stated in subsection 2.3.2 we restrict this implementation to the form

$$\begin{array}{l} 1 \quad f_x \quad \quad \quad \rightarrow f_y(v_1, \dots v_n) : | : cond_1 \\ 2 \quad f_y(v_1, \dots v_n) \rightarrow f_y(v'_1, \dots v'_n) : | : cond_2 \end{array}$$

which obviously is a restriction, because int-TRS's of the form

$$\begin{array}{l} 1 \quad f_x \quad \quad \quad \rightarrow f_y(v_1, \dots v_n) : | : cond_1 \\ 2 \quad f_{y_1}(v_1, \dots v_n) \rightarrow f_{y_2}(v'_1, \dots v'_n) : | : cond_2 \\ 3 \quad \quad \quad \vdots \\ 4 \quad f_{y_k}(v_1, \dots v_n) \rightarrow f_{y_1}(v'_1, \dots v'_n) : | : cond_{k+1} \end{array}$$

could possibly considered using the method  $k$  times. Analysing such int-TRS would make the implementation much stronger in terms of proofing.

An other possible variation of the considered int-TRS could be like the following

$$\begin{array}{l} 1 \quad f_x \quad \quad \quad \rightarrow f_y(v_1, \dots v_n) : | : cond_1 \\ 2 \quad f_{y_1}(v_1, \dots v_n) \rightarrow f_{y_2}(v'_1, \dots v'_m) : | : cond_2 \\ 3 \quad f_{y_2}(v_1, \dots v_m) \rightarrow f_{y_1}(v'_1, \dots v'_n) : | : cond_3 \end{array}$$

where  $m \neq n$ , but the values  $v'_i$   $1 \leq i \leq m$  is computed as a linear update of the values  $v_j$   $1 \leq j \leq n$ .

<sup>1</sup>further information: [http://termination-portal.org/wiki/Termination\\_Competition](http://termination-portal.org/wiki/Termination_Competition)

<sup>2</sup>further information: <https://sv-comp.sosy-lab.org/2017/>

These are only two alternations of the considered structure, which would be also recommended to implement in order to create a more universal applicable method.







## Chapter 5

# Related Work

The first related source that should be mentioned is the research of Jan Leike and Matthias Heizmann. These two researchers from the Australian National University and University of Freiburg wrote the *geometric nontermination argument*-Paper this paper relies on. Their definition and proof of the *geometric nontermination argument* in combination with the derivation on lasso-programs is the base of all computation explained throughout chapter 2 and chapter 3. [LH14] In that context also Ultimate LassoRanker should be mentioned. A tool for termination and nontermination arguments for linear lasso programs by Jan Leike and Matthias Heizmann also containing *geometric nontermination argument*'s. [LH17]

Further A. Tiwari considered linear loop programs not over the naturals, but over the reals. For such programs he proofed that they in fact are decidable in terms of termination if they met the condition of only strict guards. [Tiw04]

An other interesting related work is written by R. Rebiha et al., which contains the generalization of the eigenvalues not only to be natural but also can be within the reals. [RMM14] Also J. Ouaknine generalized from the basic approach by mentioning integer lasso programs, where the corresponding *Update Matrix* is diagonalizable. [OPW14]

An extension of the *geometric nontermination argument* approach can be found within the work of C. David et al. providing a technique also applicable to non deterministic programs. It uses a constraint-based synthesis of recurrence sets, which are apart from others defined by A. Tiwari in [Tiw04]. Also it can work with second order theory for bit vectors. These can be used to find nonterminating lassos, which do not have a *geometric nontermination argument*. The downside of such an extension is the problem of solving an  $\exists\forall\exists$ -constraint. [DKL15] [LH14]

Last but not least the often mentioned tool AProVE is to be mentioned. Using a verity of techniques including lasso's within a range of proofing attempts this tool provides a series of promising techniques implemented.



# Bibliography

- [Apa17] Apache. *Apache commons.math3 Documentation*, 2017. Available at <http://commons.apache.org/proper/commons-math/javadocs/api-3.6/org/apache/commons/math3/linear/EigenDecomposition.html>, [Accessed: 27-August-2017].
- [Áb16] Prof. Dr. Erika Ábrahám. Introduction to satisfiability checking. 2016.
- [BDE<sup>+</sup>14] Karsten Behrmann, Andrej Dyck, Fabian Emmes, Carsten Fuhs, Jürgen Giesl, Patrick Kabasci, Peter Schneider-Kamp, and René Thiemann. Bit-blasting for smt-nia with approve. *Proc. SMT-COMP*, 14, 2014.
- [CKJ<sup>+</sup>15] Florian Corzilius, Gereon Kremer, Sebastian Junges, Stefan Schupp, and Erika Ábrahám. Smt-rat: an open source c++ toolbox for strategic and parallel smt solving. In *International Conference on Theory and Applications of Satisfiability Testing*, pages 360–368. Springer, 2015.
- [DKL15] Cristina David, Daniel Kroening, and Matt Lewis. Unrestricted termination and non-termination arguments for bit-vector programs. In *ESOP*, pages 183–204, 2015.
- [FGP<sup>+</sup>09] Carsten Fuhs, Jürgen Giesl, Martin Plücker, Peter Schneider-Kamp, and Stephan Falke. Proving termination of integer term rewriting. In *International Conference on Rewriting Techniques and Applications*, pages 32–47. Springer, 2009.
- [GAB<sup>+</sup>16] Jürgen Giesl, Cornelius Aschermann, Marc Brockschmidt, Fabian Emmes, Florian Frohn, Carsten Fuhs, Jera Hensel, Carsten Otto, Martin Plücker, Peter Schneider-Kamp, Thomas Ströder, Stephanie Swiderski, and René Thiemann. Semi-deciding qf nia with approve via bit-blasting. 2016.
- [GAB<sup>+</sup>17] Jürgen Giesl, Cornelius Aschermann, Marc Brockschmidt, Fabian Emmes, Florian Frohn, Carsten Fuhs, Jera Hensel, Carsten Otto, Martin Plücker, Peter Schneider-Kamp, et al. Analyzing program termination and complexity automatically with approve. *Journal of Automated Reasoning*, 58(1):3–31, 2017.
- [GSKT06] Jürgen Giesl, Peter Schneider-Kamp, and René Thiemann. Approve 1.2: Automatic termination proofs in the dependency pair framework. In *International Joint Conference on Automated Reasoning*, pages 281–286. Springer, 2006.
- [GTSKF03] Jürgen Giesl, René Thiemann, Peter Schneider-Kamp, and Stephan Falke. Approve: A system for proving termination. In *Extended Abstracts of the 6th International Workshop on Termination, WST*, volume 3, pages 68–70, 2003.
- [HEF<sup>+</sup>17] Jera Hensel, Frank Emrich, Florian Frohn, Thomas Ströder, and Jürgen Giesl. Approve: Proving and disproving termination of memory-manipulating c programs.

- In *International Conference on Tools and Algorithms for the Construction and Analysis of Systems*, pages 350–354. Springer, 2017.
- [I217] Lehrstuhl Informatik I2. *AProVE*, 2017. Available at <http://aprove.informatik.rwth-aachen.de/>, [Accessed: 26-August-2017].
- [LH14] Jan Leike and Matthias Heizmann. Geometric series as nontermination arguments for linear lasso programs. *arXiv preprint arXiv:1405.4413*, 2014.
- [LH17] Jan Leike and Matthias Heizmann. *Ultimate - LassoRanker*, 2017. Available at [https://monteverdi.informatik.uni-freiburg.de/tomcat/Website/?ui=tool&tool=lasso\\_ranker](https://monteverdi.informatik.uni-freiburg.de/tomcat/Website/?ui=tool&tool=lasso_ranker), [Accessed: 30-August-2017].
- [OPW14] Joël Ouaknine, João Sousa Pinto, and James Worrell. On termination of integer linear loops. In *Proceedings of the Twenty-Sixth Annual ACM-SIAM Symposium on Discrete Algorithms*, pages 957–969. SIAM, 2014.
- [RMM14] Rachid Rebiha, N Matringe, and AV Moura. Characterization of termination for linear homogeneous programs. Technical report, Technical Report IC-14-08, Institute of Computing, University of Campinas (March 2014), 2014.
- [Tiw04] Ashish Tiwari. Termination of linear programs. In *CAV*, volume 4, pages 70–82. Springer, 2004.
- [VDDS93] Kristof Verschaetse, Stefaan Decorte, and Danny De Schreye. Automatic termination analysis. In *Logic Program Synthesis and Transformation*, pages 168–183. Springer, 1993.
- [Wik17a] The Free Encyclopedia Wikipedia. *Reverse Polish Notation*, 2017. Available at [http://en.wikipedia.org/w/index.php?title=Reverse\\_Polish\\_notation](http://en.wikipedia.org/w/index.php?title=Reverse_Polish_notation), [Accessed: 17-August-2017].
- [Wik17b] The Free Encyclopedia Wikipedia. *Termination Competiton 2017*, 2017. Available at [http://termination-portal.org/wiki/Termination\\_Competition\\_2017](http://termination-portal.org/wiki/Termination_Competition_2017), [Accessed: 17-August-2017].
- [ZC09] Michael Zhivich and Robert K Cunningham. The real cost of software errors. *IEEE Security & Privacy*, 7(2), 2009.