

<b>ACORNS SECURITIES LLC</b> 5300 CALIFORNIA AVENUE IRVINE, CA 92617 Customer Service: 855-739-2859  PAYER'S TIN: 46-2538416	<b>Tax Information</b> <b>Account 00790322433350</b>	<b>Statement Date: 02/12/2020</b> <b>Document ID: 21MM 2WJ Y0FD</b>	<b>2019</b>
	TIMOTHY LESHER 5406 STEPHEN FOSTER AVENUE LOUISVILLE, KY 40213  RECIPIENT'S TIN: XXX-XX-3291		

[ ] FATCA filing requirement (see instructions) **Summary Information**

<b>DIVIDENDS AND DISTRIBUTIONS</b>	<b>2019 1099-DIV*</b>	OMB No. 1545-0110	<b>MISCELLANEOUS INCOME</b>	<b>2019 1099-MISC*</b>	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)		19.93	2- Royalties		0.00
1b- Qualified dividends		13.63	3- Other income		0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)		0.00	<b>4- Federal income tax withheld</b>		<b>0.00</b>
2b- Unrecaptured Section 1250 gain		0.00	8- Substitute payments in lieu of dividends or interest		0.00
2c- Section 1202 gain		0.00			
2d- Collectibles (28%) gain		0.00	<b>SECTION 1256 CONTRACTS</b>	<b>2019 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions		0.70	8- Profit or (loss) realized in 2019 on closed contracts		0.00
<b>4- Federal income tax withheld</b>		<b>0.00</b>	9- Unrealized profit or (loss) on open contracts-12/31/2018		0.00
5- Section 199A dividends		2.54	10- Unrealized profit or (loss) on open contracts-12/31/2019		0.00
6- Investment expenses		0.00	11- Aggregate profit or (loss) on contracts		0.00
8- Foreign country or US possession: See detail	7- Foreign tax paid:	0.66	<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
9- Cash liquidation distributions		0.00			
10- Noncash liquidation distributions		0.00			
11- Exempt-interest dividends (includes line 12)		0.00			
12- Specified private activity bond interest dividends (AMT)		0.00			

**\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Withholding</b>		<b>Amount</b>				
Federal income tax withheld		0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2019 may require an amended tax form.

ACORNS SECURITIES LLC

Account 00790322433350

**Summary Information**

2019

(continued)

02/12/2020

**INTEREST INCOME****2019 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession:	0.00
6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for 2019	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

ACORNS SECURITIES LLC

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# Detail for Dividends and Distributions

2019

02/12/2020

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
VANGUARD FTSE DEVELOPED MARKET	921943858 VEA		04/05/19	0.23	Qualified dividend	03
			04/05/19	0.07	Nonqualified dividend	03
			04/05/19	-0.02	Foreign tax withheld-Variou	03
			06/25/19	0.94	Qualified dividend	03
			06/25/19	0.29	Nonqualified dividend	03
			06/25/19	-0.09	Foreign tax withheld-Variou	03
			10/02/19	0.97	Qualified dividend	03
			10/02/19	0.30	Nonqualified dividend	03
			10/02/19	-0.09	Foreign tax withheld-Variou	03
			01/02/20	2.18	Qualified dividend	03
			01/02/20	0.68	Nonqualified dividend	03
			01/02/20	-0.20	Foreign tax withheld-Variou	03
				<b>5.66</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.40</b>	<b>Total Foreign tax withheld</b>	
VANGUARD EMERGING MARKETS ETF	922042858 VWO		04/02/19	0.04	Nonqualified dividend	03
			04/02/19	0.02	Qualified dividend	03
			06/25/19	0.23	Nonqualified dividend	03
			06/25/19	0.15	Qualified dividend	03
			06/25/19	-0.03	Foreign tax withheld-Variou	03
			10/02/19	0.74	Nonqualified dividend	03
			10/02/19	0.46	Qualified dividend	03
			10/02/19	-0.09	Foreign tax withheld-Variou	03
			01/02/20	1.13	Nonqualified dividend	03
			01/02/20	0.70	Qualified dividend	03
			01/02/20	-0.14	Foreign tax withheld-Variou	03
				<b>3.47</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.26</b>	<b>Total Foreign tax withheld</b>	

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## Detail for Dividends and Distributions

2019

(continued)

02/12/2020

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
VANGUARD S&P 500 ETF (VOO)	922908363 VOO		03/29/19	0.70	Qualified dividend	
			07/08/19	1.18	Qualified dividend	
			10/04/19	1.69	Qualified dividend	
			01/02/20	2.60	Qualified dividend	
				<b>6.17</b>	<b>Total Dividends &amp; distributions</b>	
VANGUARD REIT INDEX ETF (VNQ)	922908553 VNQ		04/08/19	0.16	Section 199A dividend	03
			04/08/19	0.06	Nondividend distribution	03
			04/08/19	0.01	Qualified dividend	03
			04/08/19	0.01	Nonqualified dividend	03
			07/09/19	0.37	Section 199A dividend	03
			07/09/19	0.13	Nondividend distribution	03
			07/09/19	0.02	Nonqualified dividend	03
			07/09/19	0.01	Qualified dividend	03
			10/03/19	0.48	Section 199A dividend	03
			10/03/19	0.17	Nondividend distribution	03
			10/03/19	0.03	Nonqualified dividend	03
			10/03/19	0.02	Qualified dividend	03
			12/26/19	0.92	Section 199A dividend	03
			12/26/19	0.34	Nondividend distribution	03
			12/26/19	0.06	Nonqualified dividend	03
			12/26/19	0.04	Qualified dividend	03
				<b>2.83</b>	<b>Total Dividends &amp; distributions</b>	
VANGUARD SMALL CAP ETF (VB)	922908751 VB		04/08/19	0.14	Qualified dividend	03
			04/08/19	0.05	Section 199A dividend	03
			04/08/19	0.01	Nonqualified dividend	03
			07/09/19	0.25	Qualified dividend	03
			07/09/19	0.09	Section 199A dividend	03
			07/09/19	0.02	Nonqualified dividend	03
			09/24/19	0.34	Qualified dividend	03
			09/24/19	0.12	Section 199A dividend	03
			09/24/19	0.03	Nonqualified dividend	03
			01/03/20	1.00	Qualified dividend	03
			01/03/20	0.35	Section 199A dividend	03
			01/03/20	0.10	Nonqualified dividend	03
				<b>2.50</b>	<b>Total Dividends &amp; distributions</b>	

ACORNS SECURITIES LLC		Account 00790322433350
2019	<b>Detail for Dividends and Distributions</b> (continued)	02/12/2020

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20.63	Total Dividends & distributions
-0.66	Total Foreign tax withheld

ACORNS SECURITIES LLC

2019 End Notes for Account 00790322433350

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

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Instructions for Recipient

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2019 General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.**

**1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040. Also, report it on Schedule B (1040), if required.

**Line 1b.** Shows the portion of the amount on line 1a that may be eligible for reduced capital gains rates. See the Form 1040 instructions for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown on lines 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on line 2a on your Form 1040 rather than Schedule D. See the Form 1040 instructions.

**Line 2b.** Shows the portion of the amount on line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-- in the instructions for Schedule D (Form 1040).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** See "Backup Withholding" section.

**Line 5.** Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 1040.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included on line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

**Lines 8.** This line should be left blank if a RIC reported the foreign tax shown on line 7.

**Line 9 and 10.** Shows cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See *Line 4* above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included on line 11. See the instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines.

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