Data Mining:

Concepts and Techniques

— Chapter 3 —

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Chapter 3: Data Preprocessing

Data Preprocessing: An Overview



- Data Quality
- Major Tasks in Data Preprocessing
- Data Cleaning
- Data Reduction

Data Quality: Why Preprocess the Data?

- Measures for data quality: A multidimensional view
 - Accuracy: correct or wrong, accurate or not
 - Completeness: not recorded, unavailable, ...
 - Consistency: some modified but some not, dangling, ...
 - Timeliness: timely update?
 - Believability: how trustable the data are correct?
 - Interpretability: how easily the data can be understood?

Major Tasks in Data Preprocessing

Data cleaning

 Fill in missing values, smooth noisy data, identify or remove outliers, and resolve inconsistencies

Data integration

Integration of multiple databases, data cubes, or files

Data reduction

- Dimensionality reduction
- Numerosity reduction

Data transformation and data discretization

- Normalization
- **...**

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Data Reduction

Data Cleaning

- Data in the Real World Is Dirty: Lots of potentially incorrect data,
 e.g., instrument faulty, human or computer error, transmission error
 - <u>incomplete</u>: lacking feature values, lacking certain features of interest, or containing only aggregate data
 - e.g., Occupation=" " (missing data)
 - noisy: containing noise, errors, or outliers
 - e.g., Salary="-10" (an error)
 - inconsistent: containing discrepancies in codes or names, e.g.,
 - Age="42", Birthday="03/07/2010"
 - Was rating "1, 2, 3", now rating "A, B, C"
 - discrepancy between duplicate records
 - Intentional (e.g., disguised missing data)
 - Jan. 1 as everyone's birthday?

Incomplete (Missing) Data

- Data is not always available
 - E.g., many tuples have no recorded value for several features, such as customer income in sales data
- Missing data may be due to
 - equipment malfunction
 - inconsistent with other recorded data and thus deleted
 - data not entered due to misunderstanding
 - certain data may not be considered important at the time of entry
 - not register history or changes of the data
- Missing data may need to be inferred

How to Handle Missing Data?

- Ignore the tuple: usually done when class label is missing (when doing classification)—not effective when the % of missing values per feature varies considerably
- Fill in the missing value manually: tedious + infeasible?
- Fill in it automatically with
 - a global constant : e.g., "unknown", a new class?!
 - the feature mean
 - the feature mean for all samples belonging to the same class: smarter
 - the most probable value: inference-based such as Bayesian formula or decision tree

Noisy Data

- Noise: random error or variance in a measured variable
- Incorrect feature values may be due to
 - faulty data collection instruments
 - data entry problems
 - data transmission problems
 - technology limitation
 - inconsistency in naming convention
- Other data problems which require data cleaning
 - duplicate records
 - incomplete data
 - inconsistent data

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Data Reduction Strategies

- Data reduction: Obtain a reduced representation of the data set that is much smaller in volume but yet produces the same (or almost the same) analytical results
- Why data reduction? A database/data warehouse may store terabytes of data. Complex data analysis may take a very long time to run on the complete data set.
- Data reduction strategies
 - Dimensionality reduction, e.g., remove unimportant features
 - Wavelet transforms
 - Principal Components Analysis (PCA)
 - Feature subset selection, feature creation
 - Numerosity reduction (some simply call it: Data Reduction)
 - Regression and Log-Linear Models
 - Histograms, clustering, sampling
 - Data cube aggregation
 - Data compression

Dimensionality Reduction

Curse of dimensionality

- When dimensionality increases, data becomes increasingly sparse
- Density and distance between points, which is critical to clustering, outlier analysis, becomes less meaningful
- The possible combinations of subspaces will grow exponentially

Dimensionality reduction

- Avoid the curse of dimensionality
- Help eliminate irrelevant features and reduce noise
- Reduce time and space required in data mining
- Allow easier visualization

Dimensionality reduction techniques

- Wavelet transforms
- Principal Component Analysis
- Supervised and nonlinear techniques (e.g., feature selection)

feature Subset Selection

- Another way to reduce dimensionality of data
- Redundant features
 - Duplicate much or all of the information contained in one or more other features
 - E.g., purchase price of a product and the amount of sales tax paid
- Irrelevant features
 - Contain no information that is useful for the data mining task at hand
 - E.g., students' ID is often irrelevant to the task of predicting students' GPA

feature Creation (Feature Generation)

- Create new features (features) that can capture the important information in a data set more effectively than the original ones
- Three general methodologies
 - feature extraction
 - Domain-specific
 - Mapping data to new space (see: data reduction)
 - E.g., Fourier transformation, wavelet transformation, manifold approaches (not covered)
 - feature construction
 - Combining features (see: discriminative frequent patterns in Chapter 7)
 - Data discretization

Clustering

- Partition data set into clusters based on similarity, and store cluster representation (e.g., centroid and diameter) only
- Can be very effective if data is clustered but not if data is "smeared"
- Can have hierarchical clustering and be stored in multidimensional index tree structures
- There are many choices of clustering definitions and clustering algorithms
- Cluster analysis will be studied in depth in Chapter 10

Sampling

- Sampling: obtaining a small sample s to represent the whole data set N
- Allow a mining algorithm to run in complexity that is potentially sub-linear to the size of the data
- Key principle: Choose a representative subset of the data
 - Simple random sampling may have very poor performance in the presence of skew
 - Develop adaptive sampling methods, e.g., stratified sampling:
- Note: Sampling may not reduce database I/Os (page at a time)

Types of Sampling

Simple random sampling

There is an equal probability of selecting any particular item

Sampling without replacement

Once an object is selected, it is removed from the population

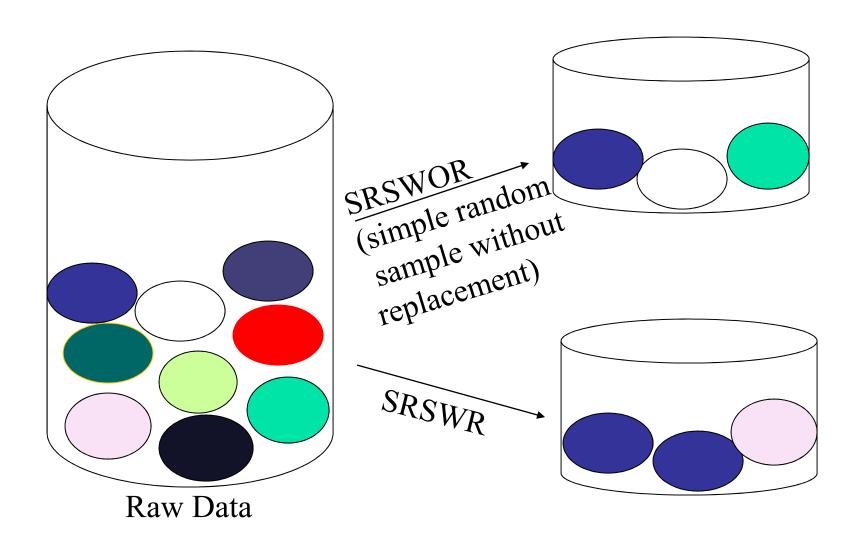
Sampling with replacement

A selected object is not removed from the population

Stratified sampling:

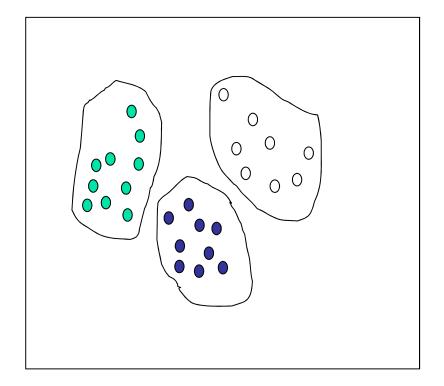
- Partition the data set, and draw samples from each partition (proportionally, i.e., approximately the same percentage of the data)
- Used in conjunction with skewed data

Sampling: With or without Replacement



Sampling: Cluster or Stratified Sampling

Raw Data



Cluster/Stratified Sample

