Introduction to Orbital-Free Density-Functional Theory

Ralf Gehrke FHI Berlin, February 8th 2005

Outline

Basics of functional derivatives

- I Principles of Orbital-free Density-Functional Theory
- basics of Density-Functional Theory
- motivation for OF-DFT
- basics of the numerical implementation
- II Approximations to the kinetic-energy functional
- The Thomas-Fermi Approximation
- The von-Weizsäcker term
- Linear-Response Theory
- Combination of TF and vW
- The Conventional Gradient Expansion
- Average-Density Approximation

function of multiple argument

$$F\{y_0, \dots, y_N\}$$

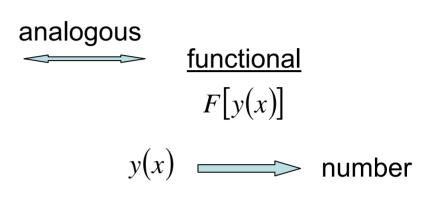
$$\{y_i\}$$
 \longrightarrow number

$$y_{i} = \frac{\partial F}{\partial y_{i}} dy_{i}$$

$$dy_{i}$$

$$dy_{i}$$

$$x_{i} = \sum_{i} \frac{\partial F}{\partial y_{i}} dy_{i}$$



$$y(x) = \frac{\delta F}{\delta y(x_0)} \delta y(x_0)$$

$$x_0 = \frac{\delta F}{\delta y(x_0)} \delta y(x_0)$$

$$\delta F = F[y + \delta y] - F[y] = \int dx \frac{\delta F}{\delta y(x)} \delta y(x)$$

some rules

$$F[y(x)] = \int dx \ f(y(x)) \qquad \qquad \frac{\delta F[y]}{\delta y(x)} = \frac{\partial f}{\partial y}$$

"Proof":

$$\delta F[y] = F[y + \delta y] - F[y]$$

$$= \int dx \underbrace{f(y + \delta y)}_{f(y) + \frac{\partial f}{\partial y} \delta y + O(\delta y^2)} - \int dx f(y) = \int dx \frac{\partial f}{\partial y} \delta y(x)$$

$$\underbrace{\frac{\partial f}{\partial y} \delta y + O(\delta y^2)}_{\frac{\partial f}{\partial y} \delta y(x)}$$

$$F[y(x)] = \int dx \ f(y(x), y'(x)) \qquad \qquad \qquad \frac{\delta F[y]}{\delta y(x)} = \frac{\partial f}{\partial y} - \frac{d}{dx} \frac{\partial f}{\partial y'}$$

$$\left(\frac{\partial F[y]}{\partial y(\vec{r})} = \frac{\partial f}{\partial y} - \nabla \frac{\partial f}{\partial \nabla y} = \frac{\partial f}{\partial y} - \frac{d}{dx_1} \frac{\partial f}{\partial (\partial y / \partial x_1)} - \frac{d}{dx_2} \frac{\partial f}{\partial (\partial y / \partial x_2)} - \frac{d}{dx_3} \frac{\partial f}{\partial (\partial y / \partial x_3)}\right)$$

some rules

"Proof":

$$F[y + \delta y] = \int dx \ f(y + \delta y, y' + \delta y')$$

$$= \int dx \left[f(y) + \frac{\partial f}{\partial y} \delta y + \frac{\partial f}{\partial y'} \delta y' \right] = F[y] + \int dx \frac{\partial f}{\partial y} \delta y + \int dx \frac{\partial f}{\partial y'} \delta y'$$

$$\left(y(x) \xrightarrow{x \to \pm \infty} 0 \right) \quad -\int dx \frac{d}{dx} \left(\frac{\partial f}{\partial y'} \right) \delta y$$

$$\delta F = \int dx \left(\frac{\partial f}{\partial y} - \frac{d}{dx} \frac{\partial f}{\partial y'} \right) \delta y(x)$$

$$\frac{\delta F[y]}{\delta y(x)}$$

some rules

"chain rule"

$$F[G([y(x)], x)] \qquad \qquad \frac{\delta F}{\delta y(x)} = \int dx' \frac{\delta F}{\delta G([y], x')} \frac{\delta G([y], x')}{\delta y(x)}$$

some examples

$$J[\rho] = \frac{1}{2} \iint d^3 \vec{r} d^3 \vec{r}' \frac{\rho(\vec{r})\rho(\vec{r}')}{|\vec{r} - \vec{r}'|} \qquad V_H([\rho], \vec{r}) = \frac{\delta J[\rho]}{\delta \rho(\vec{r})} = \int d^3 \vec{r}' \frac{\rho(\vec{r}')}{|\vec{r} - \vec{r}'|}$$

$$\frac{\delta^2 J[\rho]}{\delta \rho(\vec{r}) \delta \rho(\vec{r}')} = \frac{1}{|\vec{r} - \vec{r}'|}$$

Basics of DFT

First Hohenberg-Kohn-Theorem

Energy of groundstate is a mere function of the electron density p

$$E[\rho] = F[\rho] + \int d^{3}\vec{r} V_{\text{ext}}(\vec{r}) \rho(\vec{r})$$

$$F[\rho] = T[\rho] + E_{\text{ee}}[\rho] = T_{\text{S}}[\rho] + J[\rho] + E_{\text{XC}}[\rho]$$

$$J[\rho] = \frac{1}{2} \iint d^3 \vec{r} d^3 \vec{r}' \frac{\rho(\vec{r})\rho(\vec{r}')}{|\vec{r} - \vec{r}'|}$$

 $T_{\scriptscriptstyle S}[
ho]$

$$E_{ ext{XC}}[
ho]$$

classical coulomb interaction

kinetic energy of a non-interacting system with density ρ exchange-correlation energy

Basics of DFT

Second Hohenberg-Kohn-Theorem (Variational Principle)

$$\frac{\delta}{\delta\rho(\vec{\mathbf{r}})} \Big(E[\rho] - \mu \Big(\int d^3 \vec{\mathbf{r}} \rho(\vec{\mathbf{r}}) - N \Big) \Big) = 0 \qquad \Rightarrow \left| \frac{\delta E[\rho]}{\delta\rho(\vec{\mathbf{r}})} = \mu \right|$$

"Euler-Lagrange-Equation"

 μ chemical potential (negative of first ionization energy of system)

Basics of DFT

- What is the kinetic energy $T_{\rm s}[\rho]$?
 - → introduction of a reference-system of non-interacting particles

$$\langle \varphi_i | \varphi_j \rangle = \delta_{ij}$$

$$\sum_{i=0}^{q-1} |\varphi_i(\vec{\mathbf{r}})|^2 = \rho(\vec{\mathbf{r}}) \Rightarrow T_S = -\frac{1}{2} \sum_i \langle \varphi_i | \Delta | \varphi_i \rangle$$

• orbitals $\{\varphi_i\}$ are solutions of single-particle Schrödinger-equations

$$\left(-\frac{1}{2}\Delta + V_{\text{eff}}^{\text{KS}}\right)\varphi_i = \varepsilon_i \varphi_i$$

(Kohn-Sham-equations)

effective potential has to be determined self-consistently

$$V_{\text{eff}}^{\text{KS}}([\rho], \vec{\mathbf{r}}) = \frac{\delta J[\rho]}{\delta \rho} + \frac{\delta E_{\text{XC}}[\rho]}{\delta \rho} + V_{\text{ext}} = V_{\text{H}} + V_{\text{XC}} + V_{\text{ext}}$$

Motivation for OF-DFT

- Introduction of orbitals in DFT is not desirable
 - → matrix diagonalization scales cubically with basis size
 - → many data have to be stored during computation
- the goal is to get rid of KS-orbitals and to express each energy contribution in terms of the electron charge density
 - \Rightarrow a functional $T_{\rm S}[
 ho]$ has to be found
- high accuracy for $T_{\mathrm{S}}[\rho]$ is required since $T_{\mathrm{S}}[\rho]$ is of the same order as $E[\rho]$ (virial theorem) $\leftrightarrow E_{\mathrm{XC}}[\rho]$ is much smaller than $E[\rho]$ \Rightarrow many schemes for approximating $E_{\mathrm{XC}}[\rho]$ might be inappropriate for $T_{\mathrm{S}}[\rho]$

Once an appropriate approximation for $T_{\rm s}[\rho]$ exists, how can the total energy $E[\rho]$ of the groundstate be calculated?

two different approaches:

direct minimization of the total energy

self-consistent calculation

direct minimization

energy has to be minimized under the constrained of constant particle numbers

$$\Pi[\rho] = E[\rho] - \mu \left(\int d^3 \vec{r} \rho(\vec{r}) - N \right)$$

variable substitution to ensure positivity of electron density ρ

$$\varphi(\vec{r}) = \sqrt{\rho(\vec{r})}$$

• minimization of Π with steepest descend, conjugate-gradients, etc.

$$\frac{\partial \Pi[\rho]}{\partial \varphi(\vec{r})} = 0 \qquad \qquad \varphi_{n+1}(\vec{r}) = \varphi_n(\vec{r}) - \tau \frac{\partial \Pi[\rho]}{\partial \varphi(\vec{r})} \Big|_{\varphi_n(\vec{r})}$$

self-consistent calculation

add and subtract kinetic energy of a bosonic system

$$E[\rho] = T_{\rm B}[\rho] + T_{\rm S}[\rho] + J[\rho] + E_{\rm XC}[\rho] + \int d^3\vec{\mathbf{r}} \, V_{\rm ext}(\vec{\mathbf{r}}) \rho(\vec{\mathbf{r}}) - T_{\rm B}[\rho]$$
$$T_{\rm B}[\rho] = -\frac{1}{2} \int d^3\vec{\mathbf{r}} \, \sqrt{\rho(\vec{\mathbf{r}})} \Delta \sqrt{\rho(\vec{\mathbf{r}})}$$

insert total energy expression in Euler-Lagrange-equation

integration by parts and chain rule
$$\Rightarrow \frac{\partial T_{\rm B}[\rho]}{\partial \rho(\vec{\rm r})} = \cdots = -\frac{1}{2} \frac{\Delta \varphi(\vec{\rm r})}{\varphi(\vec{\rm r})}$$

self-consistent calculation

 Euler-Lagrange-equation is transferred to a Kohn-Sham-like equation with an additional potential term

$$\frac{\delta E[\rho]}{\delta \rho(\vec{r})} = \mu \quad \Rightarrow \quad -\frac{1}{2} \frac{\Delta \varphi(\vec{r})}{\varphi(\vec{r})} + V_{H} + V_{XC} + V_{ext} + \frac{\delta T_{S}}{\delta \rho(\vec{r})} - \frac{\delta T_{B}}{\delta \rho(\vec{r})} = \mu$$

$$\hat{H}\varphi = \mu\varphi$$

$$\hat{H} = -\frac{\Delta}{2} + V_{H} + V_{XC} + V_{ext} + \frac{\delta T_{S}}{\delta \rho(\vec{r})} - \frac{\delta T_{B}}{\delta \rho(\vec{r})}$$

 same solution methods can be used as in the case of the KS-scheme, but only with one orbital ⇒ no orthogonalization

KS-scheme

solve poisson-equation $\Delta V_{\rm H}(\vec{\bf r}) = -4\pi\rho_{\rm b}(\vec{\bf r})$

calculate exchange-correlation potential

$$\hat{V}_{\mathrm{XC}}([
ho_{k}],\vec{\mathbf{r}})$$

create hamiltonian

$$\hat{H} = -\frac{\Delta}{2} + \hat{V}_{H} + \hat{V}_{XC} + \hat{V}_{ext}$$

solve

$$\hat{H}\varphi_i = \varepsilon_i \varphi_i, \quad i = 0, \dots, q-1$$

calculate new electron density

$$\widetilde{
ho}_{k+1} = \sum_{i=0}^{q-1} \varphi_i^2 \quad \rho_{k+1} = f(\widetilde{
ho}_{k+1},
ho_0, \cdots,
ho_k)$$

self-consistency $\|\rho_{k+1} - \rho_k\| < \varepsilon$ achieved?

$$k \rightarrow k+1$$

yes

no

k: interation index

q: number of KS-orbitals

OF-scheme

solve poisson-equation

$$\Delta V_{\rm H}(\vec{\mathbf{r}}) = -4\pi \rho_{\scriptscriptstyle k}(\vec{\mathbf{r}})$$

calculate potentials

$$\hat{V}_{XC}([\rho_k], \vec{r}) \quad \hat{G}([\rho_k], \vec{r}) = \frac{\delta \hat{T}_S}{\delta \rho(\vec{r})}([\rho_k], \vec{r}) - \frac{\delta \hat{T}_B}{\delta \rho(\vec{r})}([\rho_k], \vec{r})$$

create pseudo-hamiltonian

$$\hat{H} = -\frac{\Delta}{2} + \hat{V}_{H} + \hat{V}_{XC} + \hat{G} + \hat{V}_{ext}$$

solve

$$\hat{H}\varphi = \mu\varphi$$

calculate new electron density

$$\widetilde{
ho}_{k+1} = \varphi^2$$
 $\rho_{k+1} = f(\widetilde{
ho}_{k+1},
ho_0, \cdots,
ho_k)$

self-consistency $\|\rho_{k+1} - \rho_k\| < \varepsilon$ achieved?

 $k \rightarrow k+1$

no

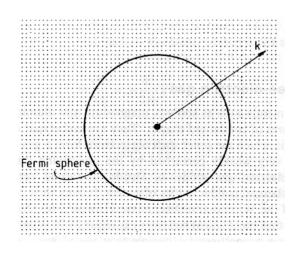
yes

The Thomas-Fermi approximation

consider a system, consisting of non-interacting, free electrons

$$\hat{H} = -\frac{1}{2} \sum_{i} \Delta_{i} \qquad \qquad \varphi_{k}(\vec{r}) = C \exp(i\vec{k}\vec{r}) \qquad \qquad (\rho_{k}(\vec{r}) = C^{2} = \text{const.})$$

introducing periodic boundary conditions



$$k_i = n_i \frac{2\pi}{L_i}, i = x, y, z$$

$$\Delta^3 \vec{k} = \Delta k_x \Delta k_y \Delta k_z \frac{8\pi^3}{V}$$

$$k_F = \left(3\pi^2 \rho\right)^{1/3}$$

The Thomas-Fermi approximation

kinetic energy can be calculated exactly

$$T = 2\sum_{k}^{k_{F}} \frac{k^{2}}{2} = \frac{1}{\Delta^{3}\vec{k}} \sum_{k}^{k_{F}} \Delta^{3}\vec{k} k^{2} \approx \frac{V}{8\pi^{3}} \int_{0}^{k_{F}} d^{3}\vec{k} k^{2} = \frac{V}{2\pi^{2}} \int_{0}^{k_{F}} dk k^{4} = \frac{V}{2\pi^{2}} \frac{k_{F}^{5}}{5}$$

$$T = V \cdot C \cdot \rho^{5/3}, C = \frac{3}{10} (3\pi^2)^{2/3}$$

• kinetic energy density t = T/V may be used to approximate the kinetic energy of a non-homogenous system with sufficiently slowly varying electron density

$$t = \frac{T}{V} = C\rho^{5/3} \qquad T = \int d^3\vec{r} \ t[\rho(\vec{r})]$$

The Thomas-Fermi approximation

- by construction, the TF approximation is correct in the limit of a homogenous electron gas
- TF is correct in the limit of infinite nuclear charge $(Z \to \infty)$

<u>flaws</u>

- infinite charge density at the nucleus
- bad total energies compared to Kohn-Sham
- algebraical decay of charge density $\left(\propto r^{-6} \right)$ instead of exponential $\left(\propto \exp \left(-2\sqrt{-2\mu}r \right) \right)$
- no binding of atoms to form molecules or solids
- no shell structure in atoms

The von-Weizsäcker term

 originally, von-Weizsäcker derived intuitively a correction to the Thomas-Fermi approximation to describe the kinetic energy of core particles to explain mass defects

$$T = T_{\mathrm{TF}} + T_{\mathrm{vW}}$$

$$T_{\rm vW} = \int d^3 \vec{\mathbf{r}} \ t(\rho, \nabla \rho)$$

 the von-Weizsäcker term is exact for one-orbital systems (e.g. bosonic systems, one or two electrons)

The von-Weizsäcker term

derivation

for a one-orbital system, the kinetic energy can be calculated exactly

$$T_{\text{vW}} = -\frac{1}{2} \int d^3 \vec{\mathbf{r}} \, \varphi^*(\vec{\mathbf{r}}) \Delta \varphi(\vec{\mathbf{r}})$$

integration by parts $(\varphi(\vec{r}) \xrightarrow[r \to \infty]{} 0)$

$$= \frac{1}{2} \int d^3 \vec{\mathbf{r}} |\nabla \varphi(\vec{\mathbf{r}})|^2 = \frac{1}{2} \int d^3 \vec{\mathbf{r}} |\nabla \sqrt{\rho(\vec{\mathbf{r}})}|^2$$

$$\nabla f(g(\vec{\mathbf{r}})) = \frac{\partial f}{\partial g} \nabla g(\vec{\mathbf{r}})$$

$$\nabla \sqrt{\rho(\vec{r})} = \frac{1}{2\sqrt{\rho(\vec{r})}} \nabla \rho(\vec{r})$$

$$T_{\text{vW}} = \frac{1}{8} \int d^3 \vec{r} \, \frac{\left| \nabla \rho(\vec{r}) \right|^2}{\rho(\vec{r})}$$

The von-Weizsäcker term

improvements compared to TF

- exponential decay of electronic density
- finite charge at the nucleus

<u>flaws</u>

• in a homogenous system $T_{\rm vW} = 0$, but for a one-orbital system $T_{\rm TF} \neq 0$ $\Rightarrow T_{\rm S} = T_{\rm TF} + T_{\rm vW}$ does not reproduce the two limits

attempts to improve the bridge between the two extremes:

number of electrons
$$G(N) = (1 - \delta_{1N} - \delta_{2N}) \left(1 - \frac{A_1}{N^{\frac{1}{3}}} + \frac{A_2}{N^{\frac{2}{3}}}\right) \text{ empirical parameters}$$

 experiences indicated that the prefactor of the vW-Term might be too large

Why Linear-Response Theory?

- relationship between Linear Response and functional derivative of $T_{
 m S}$ can be derived
- correct Linear Response is important to describe charge oscillations in solids

definition

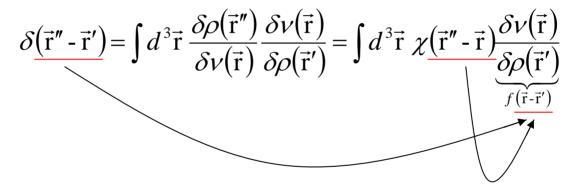
 small change in potential causes a first-order change of the charge density

$$\delta\rho(\vec{\mathbf{r}}) = \int d^{3}\vec{\mathbf{r}}' \underbrace{\chi(\vec{\mathbf{r}} - \vec{\mathbf{r}}')} \delta\nu(\vec{\mathbf{r}}') \qquad \Longrightarrow \qquad \delta\rho(\vec{\mathbf{q}}) = \chi(\vec{\mathbf{q}})\delta\nu(\vec{\mathbf{q}})$$

$$\underbrace{\delta\rho(\vec{\mathbf{r}})}_{\delta\nu(\vec{\mathbf{r}}')} \qquad \text{fourier transformation}$$

The Linear-Response Function

"inversion" theorem of functional derivatives:



fourier transformation:

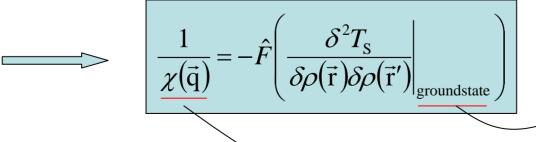
$$1 = \chi(\vec{q})\hat{F}\left(\frac{\delta\nu(\vec{r})}{\delta\rho(\vec{r}')}\right) \Rightarrow \hat{F}\left(\frac{\delta\nu(\vec{r})}{\delta\rho(\vec{r}')}\right) = \frac{1}{\chi(\vec{q})}$$

<u>Linear-Response in the DFT scheme</u>

$$\hat{H} = -\frac{\Delta}{2} + V_{\text{eff}} \qquad E = T_{\text{S}} + E_{\text{eff}} \qquad V_{\text{eff}} = \frac{\delta E_{\text{eff}}}{\delta \rho(\mathbf{r})}$$

$$\frac{\delta V_{\text{eff}}}{\delta \rho(\mathbf{r}')} = \frac{\delta^2 E_{\text{eff}}}{\delta \rho(\mathbf{r}) \delta \rho(\mathbf{r}')} = \frac{\delta^2 (E - T_{\text{S}})}{\delta \rho(\mathbf{r}) \delta \rho(\mathbf{r}')} = \frac{\delta^2 T_{\text{S}}}{\delta \rho(\mathbf{r}) \delta \rho(\mathbf{r}')}$$

$$\frac{\delta E}{\delta \rho(\mathbf{r})} = \mu = \text{const.}$$

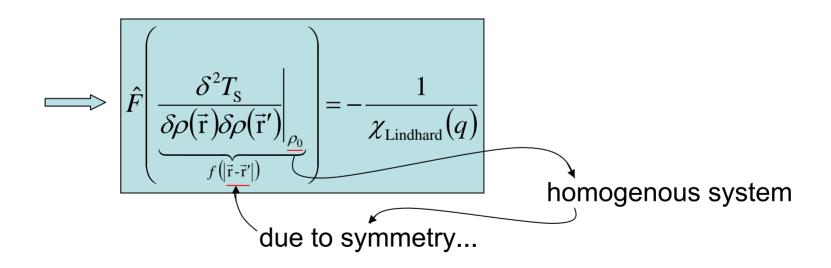


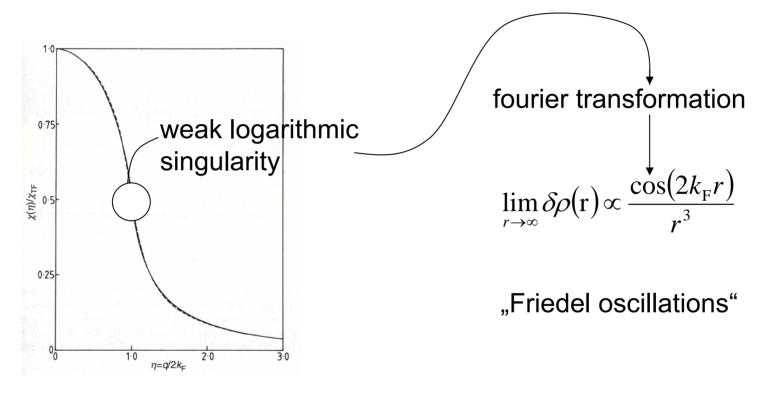
unknown!

The Free-Electron-Gas limit of the LR-function

$$\hat{H}_0 = -\frac{\Delta}{2}$$

$$\chi_{\text{Lindhard}} = -\frac{k_{\text{F}}}{\pi^2} \left(\frac{1}{2} + \frac{1 - \eta^2}{4\eta} \ln \left(\frac{1 + \eta}{1 - \eta} \right) \right), \quad \eta = \frac{q}{2k_{\text{F}}}$$





⇒ singularity is important to describe the physics of a solid properly

Combination of TF and vW

 goal is to put the combination of TF and vW on a physical basis by considering the linear-response

$$T_{\rm S} = T_{\rm TF} + \lambda T_{\rm vW}$$

consider a nearly homogeneous electron gas with small fluctuations

$$\rho(\vec{\mathbf{r}}) = \rho_0 + \Delta \rho(\vec{\mathbf{r}}) \qquad \qquad \int d^3 \vec{\mathbf{r}} \, \Delta \rho(\vec{\mathbf{r}}) = 0$$

kinetic energy may be expanded around the average density

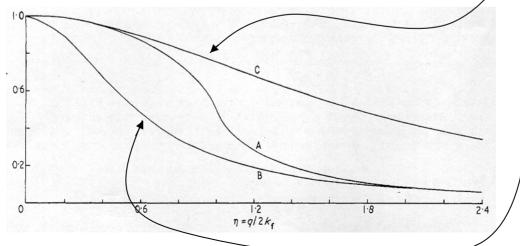
$$T_{\rm S}[\rho] \approx \underbrace{T_{\rm S}[\rho_0]}_{T_{\rm TF}} + \int d^3\vec{\mathbf{r}} \frac{\delta T_{\rm S}}{\delta \rho(\vec{\mathbf{r}})} \Big|_{\rho_0} \Delta \rho(\vec{\mathbf{r}}) + \underbrace{\frac{1}{2} \iint d^3\vec{\mathbf{r}} d^3\vec{\mathbf{r}}'}_{const.} \underbrace{\frac{\delta^2 T_{\rm S}}{\delta \rho(\vec{\mathbf{r}})\delta \rho(\vec{\mathbf{r}}')}}_{-\hat{F}\left(\frac{1}{\chi_{\rm Lindhard}(q)}\right)} \Delta \rho(\vec{\mathbf{r}}) \Delta \rho(\vec{\mathbf{r}}')$$

⇒ linear term vanishes

Combination of TF and vW

$$\chi_{\rm Lindhard} \approx -\frac{k_{\rm F}}{\pi^2} \begin{cases} 1 - \frac{\eta^2}{3} ..., \text{ small } \eta = \frac{q}{2k_{\rm F}} \\ \frac{1}{3\eta^2} ..., \text{ large } \eta \end{cases}$$
 • Kompaneets, Pavlovskii (1957)
• Kirzhnits (1957)
• Le Couteur (1964)
• Stoddart, Beattie, March (1970)

$$\chi_{\mathrm{TF}\lambda\mathrm{vW}} = -\frac{k_{\mathrm{F}}}{\pi^2} \frac{1}{1+3\lambda\eta^2} \approx -\frac{k_{\mathrm{F}}}{\pi^2} \begin{cases} 1-3\lambda\eta^2 & \text{, small } \eta \Rightarrow \lambda = 1/9 \\ \frac{1}{3\lambda\eta^2} & \text{, large } \eta \Rightarrow \lambda = 1 \end{cases}$$



Combination of TF and vW

- $\lambda = 1/9$ valid for long wavelength perturbation \rightarrow e.g. appropriate for impurity problems where long wavelength components of potential are dominant
- λ = 1 valid for short wavelength perturbation
 → e.g. appropriate for perfect lattices
 flaws
- bad estimation of total energy:
 λ = 1→ overestimation, λ = 1/9 → underestimation
 (interpolation leads to λ = 1/5)
- still no shell structures in atoms

Conventional Gradient Expansion

Basic Idea

 include higher order gradient corrections to the kinetic energy functional by expanding the Lindhard function to higher orders

$$\chi_{\text{Lindhard}} \approx -\frac{k_{\text{F}}}{\pi^2} \left(1 - \frac{\eta^2}{3} - \frac{\eta^4}{15} - \cdots \right) \qquad T = T_0 + T_2 + T_4 + \cdots$$

$$T_{\text{TF}} \qquad \frac{1}{9} T_{\text{vW}}$$

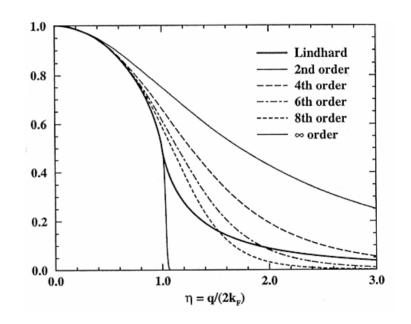
$$T_4[\rho] = \frac{1}{540(3\pi^2)^{2/3}} \int d^3\vec{\mathbf{r}} \ \rho^{1/3} \left(\frac{(\nabla^2 \rho)^2}{\rho^2} - \frac{9\nabla^2 \rho (\nabla \rho)^2}{8\rho^3} + \frac{(\nabla \rho)^4}{3\rho^4} \right)$$

$$T_6[\rho] = \cdots$$
 (extremely complicated...) (Murphy, 1981)

Conventional Gradient Expansion

flaws

- not suitable for isolated systems with exponential decay of charge density
 - \rightarrow kinetic energy potential $\frac{\delta T}{\delta \rho}$ diverges for order four and $\delta \rho$ higher
 - \rightarrow *T* diverges for order six
 - ⇒ in self-consistent calculations, charge density shows wrong decay behaviour
- linear response is wrong, since expansion does only converge for $\eta < 1$



 \Rightarrow CGE is of no practical use

Average-Density Approximation

Basic idea

include non-local effects to the kinetic energy functional

example: (Garcia-Gonzales et al., PRA 54, 1897 (1996))

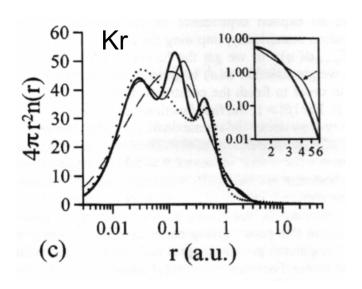
$$T_{\rm S}[\rho] = -\frac{3}{5} T_{\rm TF}[\rho] + T_{\rm vW}[\rho] + \frac{8}{5} \int d^3 \vec{\mathbf{r}} \ \rho(\vec{\mathbf{r}}) t_0(\tilde{\rho}(\vec{\mathbf{r}}))$$

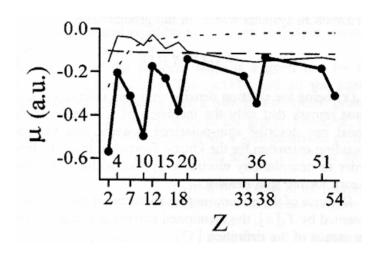
$$T_{\rm TF}[\rho] = \int d^3 \vec{\mathbf{r}} \ \rho(\vec{\mathbf{r}}) t_0(\rho(\vec{\mathbf{r}})) \qquad \qquad \tilde{\rho}(\vec{\mathbf{r}}) = \int d^3 \vec{\mathbf{r}}' \ \rho(\vec{\mathbf{r}}') \Omega(\vec{\mathbf{r}}, \vec{\mathbf{r}}')$$

• correct linear-response behaviour is enforced by appropriate chose of the weight function $\Omega(\vec{r},\vec{r}')$

$$\hat{F}\left(\frac{\delta^2 T_{\rm S}}{\delta \rho(\vec{\mathbf{r}})\delta \rho(\vec{\mathbf{r}}')}\bigg|_{\rho_0}\right) \stackrel{!}{=} -\frac{1}{\chi_{\rm Lindhard}(q)}$$

Average-Density Approximation





→ non-local functionals can improve numerical results significantly

(Garcia-Gonzales et al., PRA 54, 1897 (1996))

Summary

- goal is to get rid of the Kohn-Sham-orbitals to reduce the computational time
- trade-off are less acurate results due to the necessity of approximating the kinetic energy functional
- state-of-the-art are non-local functionals which improve the results of the classical functionals significantly

Literature

- Wang, Y.A., Carter, E.A. 2000, "Orbital-free kinetic energy density functional theory", Theoretical Methods in Condensed Phase Chemistry, S.D. Schwartz, Ed. Kluwer, 117-184
- P. Garcia-Gonzales, J.E. Alvarellos and E. Chacon, "Kinetic-energy density functional: Atoms and shell structure", Phys. Rev. A, 54, 1897 (1996)
- M. Levy, J.P. Perdew and V. Sahni, "Exact differential equation for the density and ionization energy of a many-particle system", Phys. Rev. A, 30, 2745 (1984)
- C.H. Hodges, "Quantum Corrections to the Thomas-Fermi Approximation-The Kirzhnits Methods", Can. J. Phys., 54, 1428 (1973)
- R.G. Parr, S. Liu, A.A. Kugler and A. Nagy, "Some identities in density-functional theory", Phys. Rev. A, 52, 969 (1995)
- Y. Wang and R.G. Parr, "Construction of exact Kohn-Sham orbitals from a given electron density", Phys. Rev. A, 47, R1591 (1993)
- T. Gal and A. Nagy, "A method to get an analytical expression for the non-interacting kinetic energy density functional", J. Mol. Struc. 501-501, 167 (2000)