



Simulation and characterization of integrated optics beam combiners for astrointerferometry

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Abstract

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Introduction

Since antiquity and down to our time, astronomers always tried to see further and further in space requiring more and more sensitive instruments. Increasing the telescope diameter is one way to reach higher angular resolution but in the same time make it more sensitive to atmospheric turbulence. Therefore even with the recent progress in adaptative optics, today's largest telescopes can only resolve few of the brightest and nearest stars.

Using individual telescopes to form an interferometer, the resolution is determined by the distance between the telescopes. Until recently the instruments combining the light from individual telescopes were based on costly and cumbersome bulk optics. The recent advances in manufacturing integrated-optics and especially in laser processing have resulted in new instruments that are operational on sky and delivering higher quality results.

The purpose of this work is to optimise and characterise the performance of Integrated optic (IO) beam combiners and especially one promising type of component called discrete beam combiner (DBC). Allowing to retrieve the astronomical parameters without scanning fringes these components could allow to observe fast varying objects. Moreover their structure without bending should provide high throughput thus higher quality measurements.

This report is organised in three parts. In the first part will be presented the motivation and the basis of astronomical interferometry. In the second part simulation results of the DBC as well as its optimisation will be discussed. In the last part the experimental characterisation of the DBC and its accuracy in retrieving the astronomical parameters will be demonstrated.

1 Fundamentals of astro-interferometry

All the development of astro-interferometry is essentially based on one fundamental theorem : the Van Cittert-Zernike theorem. This theorem links the spatial coherence of a far source to its angular brightness distribution. In this section will be explained the limitation of one individual telescope in term of resolution and then introduce the advantages of interferometry. This section is based on [Gli11] and lectures given by J.P. Berger at Grenoble-INP Phelma. In order to fully understand the concepts lets first introduce the Mutual coherence function.

1.0.1 Mutual coherence function

Lets consider the light field by its optical disturbance function $u(\vec{r}, t)$ at position \vec{r} and time t . The optical disturbance is proportional to the electrical and magnetic field of the light. Lets suppose a far, coherent source illuminating two thin holes situated at position \vec{r}_1 and \vec{r}_2 (like the young experiment) and forming fringe pattern on a screen. At a point P ant time t of the screen the intensity of the light can be expressed by : $I(P) = \langle u(P, t)u^*(P, t) \rangle$ which can be rewritten if we consider the holes thin enough that the field is constant on their respective surface :

$$I(P) = \langle |u(\vec{r}_1, t - \tau_1)|^2 \rangle + \langle |u(\vec{r}_2, t - \tau_2)|^2 \rangle + 2\mathcal{R}(\langle |u(\vec{r}_1, t - \tau_1)u^*(\vec{r}_2, t - \tau_2)| \rangle)$$

where τ_1 and τ_2 refers to the travel time of the wave from the pin hole to the point P. The last term of this equation is a term of coherence representing the spatial and spectra properties of the source. From this equation we can generalise the measurement of the coherence of a source

by introducing the mutual coherence function (MCF) :

$$\Gamma(\vec{r}_1, \vec{r}_2, \tau) = \langle u(\vec{r}_1, t + \tau) u^*(\vec{r}_2, t) \rangle = \lim_{T \rightarrow +\infty} \int_{-T}^T u(\vec{r}_1, t + \tau) u^*(\vec{r}_2, t) dt \quad (1)$$

In the case of astrophysics the two pinholes refers to the telescopes and the source to the observed object. The distance between both telescopes is called the baseline vector $\vec{B} = \vec{r}_1 - \vec{r}_2$.

1.0.2 Van Cittert-Zernike theorem

Now that the MCF has been defined the most fundamental theorem of modern optic can be introduced. We will consider the Van Cittert-Zernike theorem in an adapted to astronomy form. We will consider a surface emitting light. Under the following assumption :

- The source is incoherent ($\Gamma(P_1, P_2, \tau) = 0$ $P_1 \neq P_2$ for all τ)
- The source is small compared to the distance of observation (Fresnel approximation)
- The source spectral bandwidth is much smaller than its average frequency (quasi-monochromatic approximation).

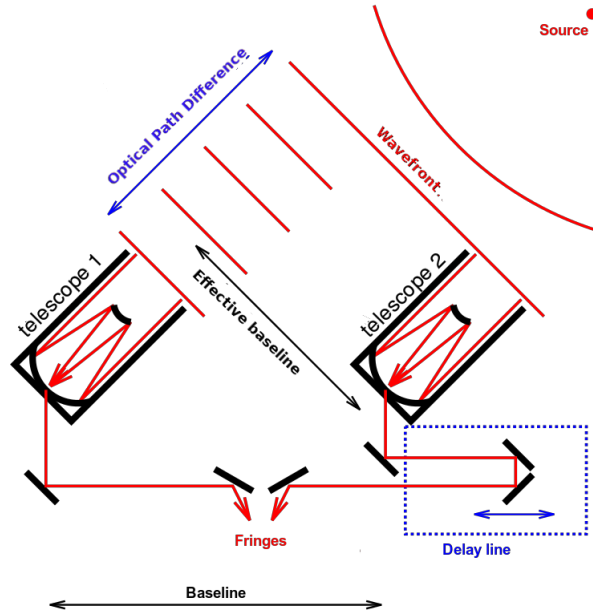


Figure 1: Scheme of the principle of stellar interferometry. (adaptated from https://fr.wikipedia.org/wiki/Interféromètre_optique_à_longue_base)

Under those assumptions the Van Cittert-Zernike theorem states that :

$$\mu(\vec{B}) = \frac{\Gamma(\vec{B}, 0)}{\sqrt{\Gamma(\vec{r}_1, \vec{r}_1, 0)\Gamma(\vec{r}_2, \vec{r}_2, 0)}} = \frac{\int I_b(\vec{\alpha}) e^{-ik\vec{B} \cdot \vec{\alpha}} d\vec{\alpha}}{d\vec{\alpha}}$$

where α is the angle between the line of sight and a point on the observed source and μ the normalised MCF at $\tau = 0$, called the visibility function. $I_b(\vec{\alpha})$ is the angular brightness distribution of the source. This is the force of this theorem, linking the angular brightness distribution of an object to the shape of its interferometric signal. The source can be seen as an infinity of points each interfering at the telescope recombination point. But due to their different position their interferogram are shifted relatively to each other reducing the global visibility. This is this effect that the Van Cittert-Zernike theorem means. Thus the amplitude of visibility $|\mu|$ lay between 0 and 1.

This theorem explain the usability of interferometry to astronomical purpose but it doesn't explain it's main advantage : an increased angular resolution.

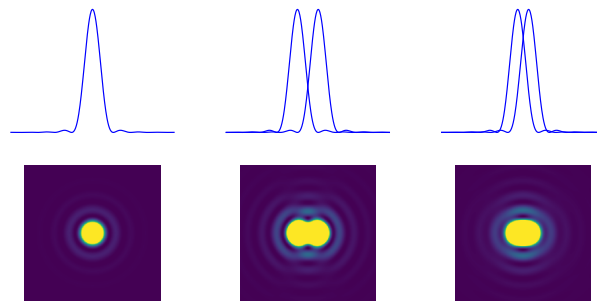


Figure 2: Left : Airy disk / PSF of a circular aperture. Center : Case of the Rayleigh criterion (resolved source). Right : case of an unresolved source

1.0.3 Spatial resolution

Lets now take a simplified example of an individual telescope whose pupil is a disk of diameter D . Fourier's optics tells us that the point spread function (PSF) of such an aperture is a function called Airy's function (see Fig2).

In the case of 2 source observed, one can define the resolution of the telescope as its capacity to separate two punctual sources. This criterion is called the Rayleigh criterion and is defined as the angular separation such as the first "0" of the image of the first source is at the position than the maximum of the image of the second source. This correspond to an angular resolution of $\Delta\alpha = \frac{1.22\lambda}{D}$.

One can also define the telescope by its optical transfer function. Doing so it is easy to show that the circular aperture act as a low pass filter (spatial frequency) with a cut off frequency of $f_c = \frac{D}{\lambda}$

When it comes to interferometry with two telescopes separated by a distance \vec{B} (speaking of the effective baseline) observing two stars of same flux separated by a distance $\vec{\rho}$, the visibility function is $\mu(\vec{f}) = \cos(\vec{f}\vec{\rho})$ where \vec{f} if the spatial frequency vector $\vec{f} = \frac{\vec{B}}{\lambda}$ (\vec{B} and $\vec{\rho}$ are vectors

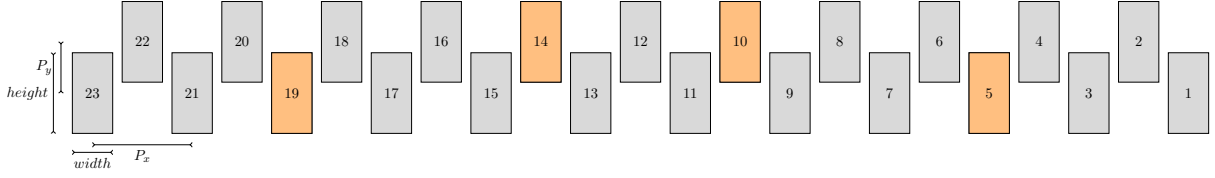


Figure 3: The Zig-Zag array's cross section with the numbering convention. The four input waveguides are displayed in orange.

defined in the observation plan). In the particular case where $\vec{\rho} = \frac{\lambda}{2|\vec{B}|} \frac{\vec{B}}{|\vec{B}|}$ the visibility is equal to zero. Therefore we can deduce that the interferometer formed by two telescopes separated by a distance B is equivalent to an individual telescope of diameter B.

An interferometer formed by two telescopes separated by a distance B has a resolution of $\frac{\lambda}{2B}$ and give access to spatial frequency per $\frac{B}{\lambda}$ per radians of the source. To be able to fit models to measured visibility and to reconstruct an image of the observed object one must do measurements for as many baselines as possible (and also as as many wavelength as possible). The purpose of the work presented in this report is to develop a component able to combine at least 4 telescopes (6 different baselines) at the same time making it able to observe fast varying object.

2 Simulation of the DBC

The discrete beam combiner is a component made of multiple straight single-mode waveguides close to each other. It has been demonstrated that in the case of a N telescope DBC, an array of more than N^2 waveguides is needed for efficient operation of the DBC [Min12]. But at this point it hasn't been studied the impact of other geometrical parameters such as the spacing between each waveguides.

The component studied is formed of 23 outputs and four inputs to combine the light from four individual telescopes. A cross-section of it is shown on Fig. 3. Both the input configuration and the "Zig-Zag" shape have already been optimised. After a brief explanation of the theory behind the DBC we will focus on optimising it regarding P_x , P_y , $width$, $height$ and the length of the DBC part (the notations refers to Fig. 3) in the case of monochromatic light. In a second part the performances of the optimised component regarding the bandwidth of the input light are simulated. All simulation are performed using the commercial software Beamprop in scalar mode (a full-vectorial mode would have been more accurate but didn't show much different results for both TE and TM polarisation regarding the condition number of the Visibility to Pixel Matrix (V2PM) -see next section-), correlation mode and transparent boundary condition. The grid size was chosen as a balance between computation time and accuracy. The waveguides are simulated by a step-index core.

2.1 Monochromatic light

In this section is shown the impact on the performances of the DBC regarding its geometry. Two main parameters are studied, the condition number of the V2PM and the throughput as it hadn't been done before.

2.1.1 Mathematical formalism

As stated before the "Zig-Zag" DBC is composed of 23 outputs and can combine the light from 4 individual telescopes. This component has the particularity that all the information about the coherence function of the studied object is included in the way the 23 outputs are related to each other [TMC⁺07]. In the case of monochromatic light the theory is exact. We will do a brief overview of the theoretical background in this part. For further reading the reader can refer to [TMC⁺07, SMD⁺13, DTL⁺17].

In this part we will consider the light combined from the input A and B at the n^{th} output. In that case the intensity I_n at the output can be expressed as :

$$I_n = \kappa_A I_A + \kappa_B I_B + 2\sqrt{\kappa_A I_A \kappa_B I_B} V_{AB}^{inst} V_{AB}^{obj} \cos(\phi_{AB}^{inst} + \phi_{AB}^{obj}) \quad (2)$$

In this equation κ_i is the transmission coefficient from the input i to the considered output. *inst* and *obj* relates the visibility/phases of the instrument and of the observed object. Equation 2 can easily be reduced to Eq.3 in which the produce of the instrumental and object visibility are reduced in V_{AB} .

$$I_n = \kappa_A I_A + \kappa_B I_B + 2\sqrt{\kappa_A I_A \kappa_B I_B} V_{AB} \left(\cos(\phi_{AB}^{inst}) \cos(\phi_{AB}^{obj}) - \sin(\phi_{AB}^{inst}) \sin(\phi_{AB}^{obj}) \right) \quad (3)$$

From Eq.3 the problem of getting the object mutual coherence function can be reduced to the produce of a matrix and a vector. Thus the characteristics of the input fields can be linked to the output intensities by the relation :

$$\vec{I} = V2PM \times \vec{V} \quad (4)$$

In which $\vec{I} = (I_1, \dots, I_M)^T$ represent the intensities at the M outputs,

$\vec{V} = (I_1, \dots, I_M, V_{12}^{obj} \sqrt{I_1 I_2} \cos(\Phi_{21}^{obj}), V_{12}^{obj} \sqrt{I_1 I_2} \sin(\Phi_{21}^{obj}), \dots, V_{N-1, N}^{obj} \sqrt{I_{N-1} I_N} \cos(\Phi_{N, (N-1)}^{obj}), V_{N-1, N}^{obj} \sqrt{I_{N-1} I_N} \sin(\Phi_{N, (N-1)}^{obj}))$ and the visibility to pixel matrix V2PM represent the beam combiner's properties. An example of a V2PM for an hypothetical beam combiner with 3 inputs and 2 outputs is displayed in Figure 4

$$\begin{pmatrix} \kappa_{11} & \kappa_{21} & \kappa_{31} & 2V_{12}^1 \sqrt{\kappa_{11}\kappa_{21}} \cos(\Phi_{12}^{inst}) & -2V_{12}^1 \sqrt{\kappa_{11}\kappa_{21}} \sin(\Phi_{12}^{inst}) & 2V_{13}^1 \sqrt{\kappa_{11}\kappa_{31}} \cos(\Phi_{13}^{inst}) & -2V_{13}^1 \sqrt{\kappa_{11}\kappa_{31}} \sin(\Phi_{13}^{inst}) & 2V_{23}^1 \sqrt{\kappa_{21}\kappa_{31}} \cos(\Phi_{23}^{inst}) & -2V_{23}^1 \sqrt{\kappa_{21}\kappa_{31}} \sin(\Phi_{23}^{inst}) \\ \kappa_{12} & \kappa_{22} & \kappa_{32} & 2V_{12}^2 \sqrt{\kappa_{12}\kappa_{22}} \cos(\Phi_{12}^{inst}) & -2V_{12}^2 \sqrt{\kappa_{12}\kappa_{22}} \sin(\Phi_{12}^{inst}) & 2V_{13}^2 \sqrt{\kappa_{12}\kappa_{32}} \cos(\Phi_{13}^{inst}) & -2V_{13}^2 \sqrt{\kappa_{12}\kappa_{32}} \sin(\Phi_{13}^{inst}) & 2V_{23}^2 \sqrt{\kappa_{22}\kappa_{32}} \cos(\Phi_{23}^{inst}) & -2V_{23}^2 \sqrt{\kappa_{22}\kappa_{32}} \sin(\Phi_{23}^{inst}) \end{pmatrix}$$

Figure 4: An hypothetical V2PM matrix for a 3 to 2 beam combiner. All visibility and phases in the matrix are the instrumental ones.

One can find the Pixel to Visibility Matrix (P2VM) by inverting the V2PM matrix with the relation 6 and then the astronomical information from \vec{V} . To be consistent with the notation introduced in [SMD⁺13], $\vec{V} = (\Gamma_{11}, \dots, \Gamma_{MM}, \mathcal{R}\Gamma_{12}, \mathcal{I}\Gamma_{12}, \dots, \mathcal{R}\Gamma_{N(N-1)}, \mathcal{I}\Gamma_{N(N-1)})$ the object phase and visibility can be extracted by :

$$V_{ij}^{obj} = \sqrt{\frac{(\mathcal{R}\Gamma_{ij})^2 + (\mathcal{I}\Gamma_{ij})^2}{\Gamma_{ii}\Gamma_{jj}}} \quad \Phi_{ij}^{obj} = \arctan\left(\frac{\mathcal{I}\Gamma_{ij}}{\mathcal{R}\Gamma_{ij}}\right) \quad i \neq j \quad (5)$$

$$P2VM = (V2PM^T \times V2PM)^{-1} \times V2PM^T \quad (6)$$

In the light of this formalism the retrieved coherence function from the P2VM can be inaccurate and one has to minimize the condition number of the matrix in order to minimize the possibility of a strong amplification of measure inaccuracy. For further explanation on this subject refers to Annexe A.1.

To characterise the instrumental phase and visibility at an output a coherent source is used (thus the object's visibility is 1 and the phase visibility is 0). A cosine is fitted to the simulated curve of the intensity at an output. Then photocorrection⁷ is then applied using the intensity simulated with only one input beam used. This process is repeated for all 6 baselines to build all the V2PM.

$$V_n(x) = \frac{I_n(x) - \kappa_A I_A - \kappa_B I_B}{2\sqrt{\kappa_A I_A \kappa_B I_B}} = V_{AB}^{inst} V_{AB}^{obj} \cos(\phi_{AB}^{inst} + \phi_{AB}^{obj}) \quad (7)$$

2.1.2 Impact of evanescent coupling on the output power

The main principle behind the the DBC being the coupling of electromagnetic fields, it is important to understand how much the area chosen to calculate the power at an output could affect the phases relations and visibility. This part focuses on this problem.

Considering only 3 distinct wave-guides of the DBC Zig-Zag component. The cross section of each WG is a rectangle *width* \times *height*. In such a dielectric wave-guide, there is no analytical solution to the scalar wave equation but according to [Lab08] a good approximation of the transverse field profile is close to a gaussian :

$$\Psi(x, y) \approx \Psi_0 \exp\left(\frac{-x^2}{\omega_x^2} + \frac{-y^2}{\omega_y^2}\right)$$

Using this equation and retrieving ω_x and ω_y from BeamProp simulation by the width of the gaussian at $1/e$ of its maximal amplitude, we can «calculate» the transverse field profile in the wave-guide.

We simulate this behaviour for the following parameters (in μm) :

- Px = 24
- Py = 10.8

- width = 9.5
- height = 17
- $n_{clad} = 2.31$
- $\delta n = 0.005$

In this case we have $\omega_x \approx 7.798$ and $\omega_y \approx 10.114$. The resulting field for 3 outputs in phase and guiding the same power is shown in Fig. 5.

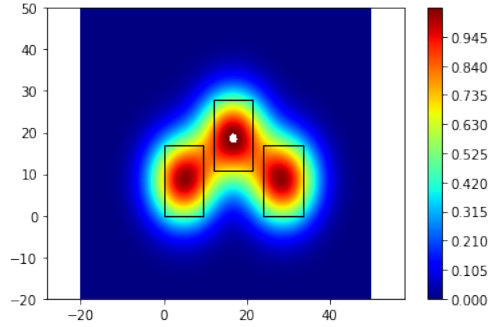


Figure 5: Example of 3 outputs in phase guiding the same power. The modes are Gaussian perfectly centred on the wave-guide

One can see that in this case, where the 3 outputs are in phase, the determination of the power in the middle WG will be badly estimated by a simple power integral as :

$$P \propto \iint_{\mathbb{R}^2} \Psi^*(x, y) \Psi_{sim}(x, y) dx dy \quad (8)$$

where Ψ_{sim} is the simulated output fields as shown on the upper figure. Actually the exact knowledge of the power guided through one individual output is not needed. But if the outputs are not in phase, estimating the "power" by the previous integral would lead to harmonic in the signal vs the optical path difference (OPD). Therefore the integration should be limited to a small area around the WG. In the next paragraph we verify the impact of this choice on the phases relations, instrumental visibility thus the V2PM.

Power of a Gaussian field : For an isolated gaussian field the power P is given by

$$\frac{P}{\Psi_0^2} \propto \iint_{\mathbb{R}^2} \exp\left(\frac{-2x^2}{\omega_x^2} + \frac{-2y^2}{\omega_y^2}\right) dx dy = \frac{\pi}{2} \omega_x \omega_y$$

In the case of our parameters the right hand is equal to $123.88 \mu\text{m}^2$ (a numerical integration using the composite trapezoidal rule lead to 123.76). By integrating the whole field as represented in Fig. 5 over the cross-section we obtain $113.70 \mu\text{m}^2$ but the truly guided power in the central wave-guide calculated over the cross-section should only be $87.39 \mu\text{m}^2$ thus an error of 30 %.

In the opposite case where there is no power in the central wave-guide, and a maximal power in the two surrounding wave-guides we find a guided power in the central wave-guide over the

cross-section of $3.10 \mu\text{m}^2$. It can easily be understood that the simulated (ergo the experimental) interferograms will depend of the considered area. The larger this area, the greater the impact of the surrounding wave-guides on the interferogram. In an other hand the smaller this area, the smaller the signal to noise ratio.

Influence on the simulated phases relations : We have seen that the integrating area used to estimate the guided power should have a great impact on the result, we now try to see its impact on the simulated phase relations. To do this the 3 gaussian are multiplied by a cosine to simulate a phase dependency. The left and right outputs are set with phases $\pi/3$ and $2\pi/3$ respectively and the middle one with phase 0. The power is then integrated on different area centred on the waveguide (WG) cross-section.

One can see that in this case, the phase of the output signal is mostly unchanged by the integrating area, but this is only the case for area slightly larger to the WG's cross section. Therefore it might be expected that the phase relation between outputs with comparable power magnitude will stay the same for low variation of the integrating area. The only changed parameter might be the Visibility but one can not conclude as the mean value, ergo the photometries changes too.

To this point we have only seen the impact of the integrating area when all outputs are guiding the same maximal power. It is now studied the impact on a low guided power in the middle WG comparatively to the left and right ones. Same phases are introduced. The power in the left and right WG are the same and 4 times the power in the middle WG. The results to those simulations are shown in Fig. 6

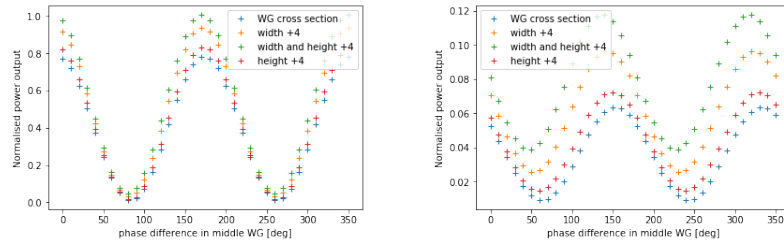
As can be seen, the more the power difference between the middle WG and the sides WG and the larger the integrating area, the more impacted the retrieved phase differences. Therefore it seems that the V2PM matrix of the component should be calculated not only for the isolated component but also with the imaging system. One way to get rid of these dependency would be to have a greater spacing between the WG at the output so that the evanescent coupling is as low as possible (as shown in Fig. 6c). Thus the use of a «fan out» could be an option to calculate the power over a larger area thus have a greater integrated power (ergo a smaller signal to noise ratio (SNR)).

2.1.3 Influence of geometrical parameters

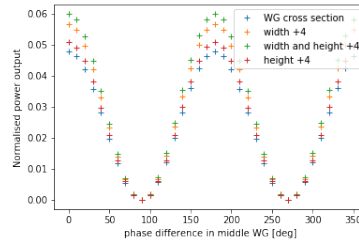
Knowing the problem exposed in the previous section, the "ideal" surface over which the power is calculated has to be estimated. Then the influence of the geometrical parameters on the performances (estimated by the condition number of the V2PM) can be studied.

In all the following, the amplitude of visibility (or visibility) refers to V_{ij} (sometimes to the all function $V_{ij}\cos(\phi_{ij})$ where ϕ_{ij} is a function of the OPD. A good approximation of ϕ_{ij} is $\frac{2\pi x}{\lambda}$ where x is the OPD. V and ϕ are both obtained by fitting the simulated curve with a cosine.

To estimate the critical surface, the power at an output versus the OPD is simulated using the software *BeamPropTM*. It has been found that the presence of harmonics in the signal



(a) Simulation with the same power in the middle and the surroundings WG. (b) Simulation with low power in the middle WG and high in the surroundings.



(c) Simulation with low power in the middle WG and high in the surroundings for a higher x spacing between the WG.

Figure 6: Influence of different parameters on the phases and amplitudes of the interferogram in the middle wave-guide. The phases relations between the outputs are highly influenced by the evanescent coupling

should not be higher than 2% of the main signal (the cosine). A surface corresponding to the waveguide's cross-section appeared to solve this problem for a wavelength of $3.8\mu\text{m}$ as shown on Fig.7b.

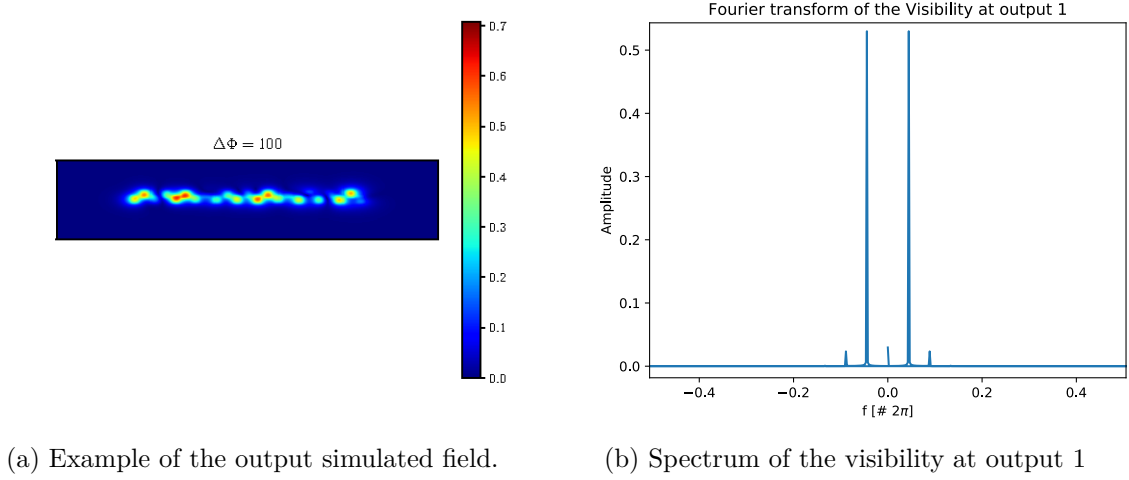


Figure 7: Origin and presence of harmonics in the output calculated power

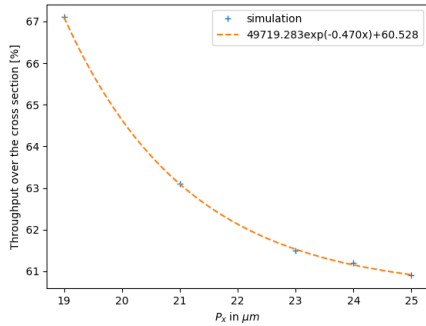
All simulation unless explicitly written are performed using the previously determined integrating area. Moreover the base parameters : $P_x = 24\mu\text{m}$, $P_y = 10.8\mu\text{m}$, $width = 9.5\mu\text{m}$, $height = 17\mu\text{m}$, $\delta n = 0.005$, $\lambda = 3.4\mu\text{m}$, length of the DBC's part = 25mm , length of the input = 25mm , together with transparent boundary condition. The grid dimensions and z step are chosen as a balance between computation time and desired accuracy. For general results one can choose a «coarse» grid and then narrow it for more accurate results. Results presented are for scalar solution of the wave equation. Therefore they do not incorporate impacts of polarization effects. Moreover the material are supposedly perfects in the simulation (i.e. isotropic material, no absorption, no scattering...).

To ensure a good accuracy on the retrieved astronomical parameters, the V2PM's condition number must be as close to 1 as possible (see A.1). This part will be focused on lowering the V2PM's condition number by using different geometrical parameters. The launch fields are Gaussian of same power and of $1/e$ diameters the width and height of the wave-guide. Thus a coupling loss of approximately 10% occurs. Fresnel losses are not included.

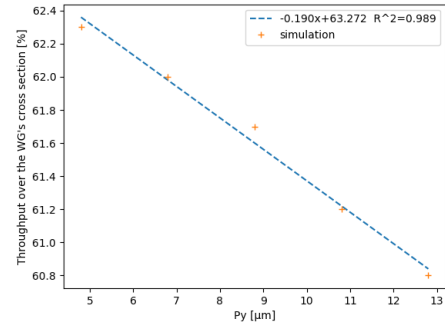
X and Y spacing: Simulations were performed for different values of P_x and P_y to find which parameters minimizes the condition number. The results are shown in table 1. With the few tested parameters one can only conclude that the V2PM condition number seems to be minimum for $P_x \approx 24\mu\text{m}$ and $P_y \approx 10.8\mu\text{m}$. Concerning the throughput, it seems to have a quite linear dependency with P_y and an exponential decay with P_x within the tested range (and only within the tested range as the throughput should stay between 0% and 100%). Fitted results are displayed in figure 8. Theses results are obtained for one set of parameters and should be valid only within the tested range.

$P_x[\mu m]$ \ $P_y[\mu m]$	4.8	6.8	8.8	10.8	12.8
19				10.68 (67.1%)	
21				10.69 (63.1%)	
23				24.77 (61.5%)	
24	24.05 (62.3%)	32.6 (62.0%)	18.77 (61.7%)	7.16 (61.2%)	16.6 (60.8%)
25				11.7 (60.9%)	

Table 1: Condition number and throughput (in parenthesis) for several x and y spacing. The throughput is calculated by the sum of the power at each outputs normalized by the total input power. The power is calculated by a power integral of the simulated field over the wave-guide's cross-section



(a)



(b)

Figure 8: Evolution of the throughput over the cross section with P_x and P_y at fixed cross-section and simulation parameters (see text for details). (a) at fixed $P_y = 10.8\mu m$, (b) at fixed $P_x = 24\mu m$

The cross section: Now that a minimum of the condition number regarding x and y spacing has been found, the wave-guide's dimensions has to be optimized too. In the tested range all dimensions ensure that the wave-guide is mono-mode to have all «ray» traveling at the same speed in z direction (in the fundamental mode, most of the energy is traveling close to the core of the WG). This is to ensure a low modal dispersion and low coupling losses of the input field. These simulations are performed for $P_x = 24\mu\text{m}$ and $P_y = 10.8\mu\text{m}$.

height width	15	16	17	18
7.5			5.07 (45.9%)	
8.5			8.00 (54.1%)	
9.5	7.76 (57.8%)	8.29 (59.5%)	7.16 (61.2%)	10.12 (62.4%)
10.5			14.6 (67.5%)	

Table 2: Condition number and throughput (in parenthesis) over the WG's cross-section for several width and height. The throughput is calculated by the sum of the power at each outputs normalized by the total input power. The power is calculated by a power integral of the simulated field over the wave-guide's cross-section

One more time a minimum of the condition number is found for $width = 7.5\mu\text{m}$ and $height = 17\mu\text{m}$, but as such a width would lead to too much more refinance (which our simulation doesn't take into account) a width of $9.5\mu\text{m}$ will be used instead. The throughput seems to be linear for dimensions of the wave-guides slightly different. But only for dimensions closes to the simulated ones.

Optical index difference: The wave-guides used are rectangular dielectric wave-guides with step index. As a higher optical index difference lead to a stronger mode confinement, a higher throughput over the cross section is to be expected with a higher δn . This paragraph focuses on finding the best δn to have an higher throughput together with a low condition number of the V2PM matrix. In this simulation the previously «optimised» geometrical parameters are used (except for the width of the WGs which is $width = 9.5\mu\text{m}$) and the throughput is still calculated over the wave-guide cross-section. The results are shown in table 3.

δn	condition number	throughput
0.002	61.3	23%
0.003	13.5	40%
0.004	6.1	52%
0.005	7.16	61%
0.006	15.0	68%
0.007	14.6	73%

Table 3: Condition number and throughput over the WG's cross-section for several values of δn . The throughput is calculated by the sum of the power at each outputs normalized by the total input power. The power is calculated by a power integral of the simulated field over the wave-guide's cross-section

It seems that the throughput evolves as the logarithm of δn within the tested range (and only within the tested range)(see fig.9).

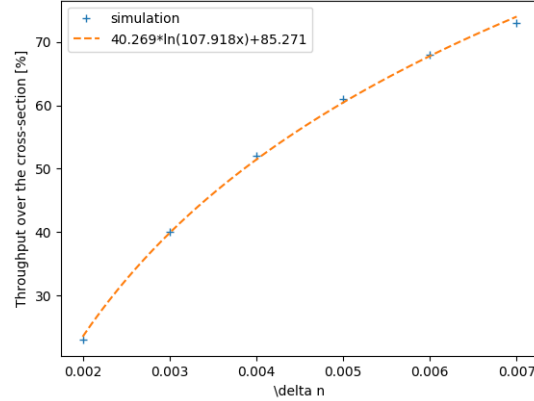


Figure 9: Throughput over the cross section for different optical index differences

The condition number seems to be minimum for $\delta n = 0.004$ but as it is only of 1 lower than for $\delta n = 0.005$ and the throughput over the wave-guide's cross-section is 10% greater in this case, $\delta n = 0.005$ would be used as «optimised» optical index difference.

Lengths: An other parameter that has to be optimized is the length of the DBC's part. To this point all simulations were performed for a length of 25mm. An other length that could be optimized is the length of the inputs which were also to this point of 25mm, but as the x and y spacing of the inputs ensures that the fields aren't coupled, this shouldn't impact the V2PM condition number. This was verified in the simulation for length greater to 1cm. The results are shown in figure 10. The «1/e» area refers to a rectangle of width and height the 1/e width and height of the fundamental mode of the wave-guide (for $\delta n = 0.005$ which should contains 91% of the mode power)

The simulations were performed for a length greater than 15mm to be sure that each inputs field propagates through the 23 outputs as can be seen in the figure 11a.

One can notice that the condition number tend to be higher for a short length of the DBC's part, and have a minimum of 6.15 for a DBC part of 22mm long. Moreover with a higher power integrating area, the condition number seems to behave the same for a large or a small integrating area so that a minimum of the condition number found with an integrating area should remain a minimum for another (if the area isn't too large and doesn't overlap surrounding fields).

Concerning the throughput, it seems to be constant within the tested length (see Fig.11b). Of course our simulated WG doesn't include scattering, surfaces defaults etc. The only kinds of losses that occurs in this simulation are bending losses and radiation in the cladding (absorption is negligible). The reader may have noticed a 10% higher throughput than in the previous sections. This is because the input field in this simulation is a Gaussian of «1/e» width and

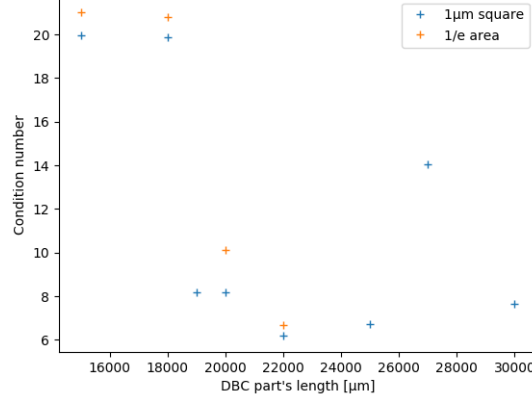


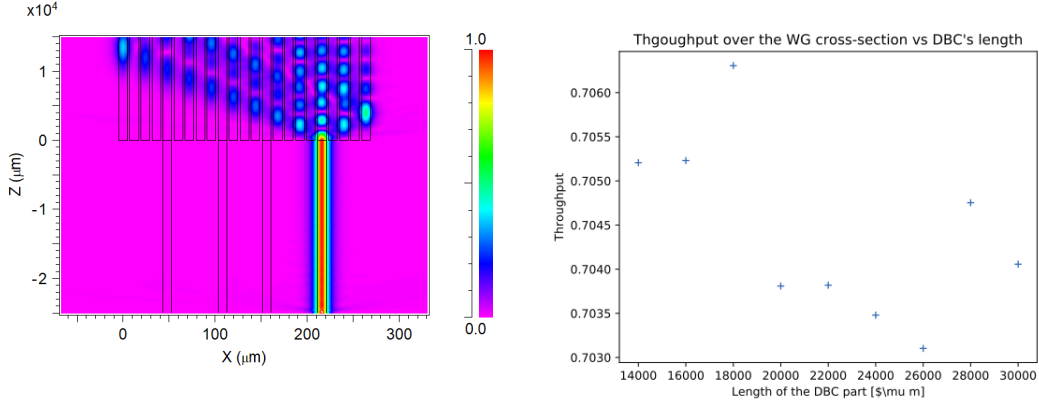
Figure 10: Condition number of the V2PM matrix for different lengths of the DBC part. The power is calculated either over a 1 by 1 micrometer area or the «1/e» area centered on the cross-section. (see text for details)

height the «1/e» width and height of the fundamental mode of the WG. Thus the 10% coupling losses experienced in the previous do not take place in this simulation.

It has been seen that the V2PM matrix is quite dependent of the area over which the power is calculated at the output. One possible way to minimise this dependency would be to design a «fan-out». By increasing the spacing of the outputs, the field should be more centered and no overlapping would occur at the output. The next section will focus on this component.

2.1.4 Adding a «fan out»

We have seen that both the visibility and phases relations are dependent of the way the power is calculated. This is caused by the fields overlapping at the outputs. One way to get rid of this effect could be to add a «fan out» at the end of the DBC part and then be able to really take into account of all the flux when calculating the power. Simulations with this have been performed with a spacing of the outputs of 2 times the « $1/e^3$ » width of the fundamental mode to be certain that no more overlapping will occur (see Fig. 12). The length of the fan-out is chosen in order to limit bending losses and 1 cm appeared to be enough. A short straight section of 2 mm is added in order that all the flux have enough length to be well centered in the WG. By doing this one can estimate the power in a wave-guide over a finite area and knowing which percentage of the power of a Gaussian field is contained in the same area, retrieve the total guided power (assuming that the fundamental mode is a Gaussian). But the point is that with such a device, the way of calculating the power at the output should no more impact neither the visibility nor phases relations, ergo the V2PM condition number (as it is the case with the previous component for large integrating area). Therefore in these simulation the power is calculated by the power integral of the simulated field over the WG's cross-section. Results show that most of the visibility in that case are between 0.98 and 1.00 (without any polarization effects they should be all of 1) where they could be below 0.3 without the fan out. Moreover



(a) Propagation of the scalar field from the 4th input. A length of 12mm seems to be a minimum to have the field reaching every single output.

(b) Throughput over the cross-section for different lengths of the DBC part. Two area are considered to estimate the power at the outputs (see text for details).

Figure 11

with the simulated design the fan-out lead to less than 3% losses (bending losses and radiation in the cladding) as the throughput over the WG's cross-section is up to 68%. A simulation of the throughput where the power at the end of each output is calculated over a large enough area to consider that all the power is being accounted we obtain 96.7% of throughput. This results could seems high but it is to be known that the simulations doesn't take into account scattering, material absorption (which might be very low for the considered material (GLS) at this wavelength) etc... Losses are only radiations in the cladding, bending losses and coupling losses with the input field (which we managed to get below 1% in this simulation). Moreover one can see that 68 is almost 71% of 96.7 which is the percentage of the true centered Gaussian field's power calculated over the cross-section of the WG. This shows that at the outputs the fields should be mostly Gaussian centered fields thus the «fan out» might be well designed.



(a) Output of the ZigZag DBC without fan-out. Fields are highly overlapping and not centred in the WG.

(b) Output of the ZigZag DBC with a «flat» fan-out. Fields are centered in the WG and not overlapping.

Figure 12: Effect of adding a «flat» fan-out at the output. The color-scale is the same (0 in blue to 0.6 in red) and the scalar field is displayed.

With this geometry of the «fan out», the condition number of the V2PM matrix seems to behave the same with the length of the DBC's part. The simulation leads to a condition number of 6.89 for a length of 22mm (11.61 for a length of 20mm and 7.87 for a length of 19mm). Table

4 show for 3 different length of the DBC part and for three area considered to calculate the power, how much the condition number is now «independent» of the considered area. It also

	Length [mm]		
Area	18	20	22
$1/e^3$	7.77	11.73	6.89
Cross	7.87	11.61	6.90
$3\mu m$	7.92	11.64	6.89

Table 4: Condition number of the V2PM matrix for 3 different area used to calculate the power at the outputs. The « $1/e^3$ » correspond to an area of $27 \times 35\mu m$ (and contain more than 99% of the fundamental's power), the «Cross» is the wave-guide's cross section and the 3pixels to an area of $3 \times 3\mu m$

seems that the component with the «fan out» behave the same than the component without it except that the way the power is calculated at the output doesn't impact much more the V2PM matrix, visibility and phases relations as can be seen on figure 13. Of course the visibilities are still impacted but they still are greater than 95% for the majority (and greater than 0.75). In the case without the fan-out the visibilities were down to 0.4 or less.

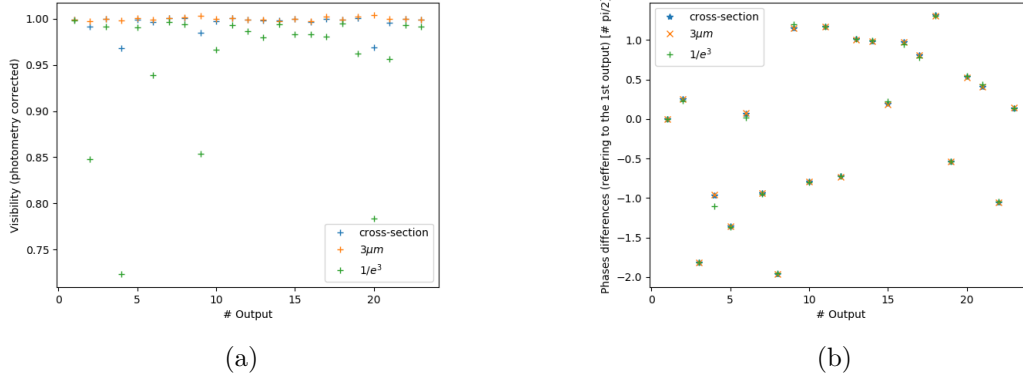


Figure 13: Baseline 1-2 Visibilities (photometry corrected) and phases relations (referring to the first output) for 3 different area used to calculate the power at the outputs. The « $1/e^3$ » correspond to an area of $27 \times 35\mu m$, the « $1/e$ » to an area of $15.596 \times 20.227\mu m$ and the 3pixels to an area of $3 \times 3\mu m$

Effect of polarization on the retrieved parameters To this point no polarization effects has been simulated. In this part the V2PM matrix is calculated using monochromatic TE and TM polarized light. It is then studied the impact of using a TM polarization calibrated V2PM on the TE polarization data to retrieve the astronomical parameters (and vice et versa).

First of all the V2PM calibrated matrix using TE polarized light show a condition number of 6.203 and it is 6.124 for the TM polarized light. In the case of the scalar field (no polarization effect) the condition number was 6.161 which is almost the mean of TE and TM ones. Those

data \ V2PM	TE		TM	
	ϵ_ϕ [rad]	ϵ_V [%]	ϵ_ϕ [rad]	ϵ_V [%]
TE	6.95×10^{-4}	7.14×10^{-4}	2.17×10^{-2}	2.51×10^{-2}
TM	2.08×10^{-2}	2.47×10^{-2}	6.36×10^{-4}	6.77×10^{-4}

Table 5: Error on the retrieved parameters from the V2PM calibrated using TE/TM polarized light. The TE/TM data refers as the simulated input fields.

two V2PM matrices are used to retrieve the astronomical parameters from the simulated output fields. The results are shown in Tab.5 in which ϵ_i is calculated by $\epsilon_i = \sqrt{\sum \frac{1}{N} (a - \tilde{a})^2}$ where a is the data, \tilde{a} the expected parameter (phase or visibility) and N the number of simulated phase and visibility. One can see that the error on the retrieved parameters are between 30 and 40 times higher when using the V2PM calibrated using polarized light on 90° shifted polarized light. The impact is still very low and justify not taking into account the polarization for the previous simulation.

adaptability to other wavelength Before simulating the DBC using poly-chromatic light the dependence of the design regarding the wavelength is tested. Of course to have a component that behave the same at an other wavelength one can simply multiply its dimensions by the ratio of the wavelength. But as a component will have to be used using poly-chromatic light it is interesting to see how the condition number is influenced for a given design by the wavelength. The design previously "optimized" is used for this simulation. The results of this simulation are shown in Fig.14. As can be seen both of the curves are very similar in first sight. The component without fan-out show a flat curve for lambda ranging from 3.35 to 3.43 μm where for the component with fan-out this "flat zone" almost doesn't exist. This suggest that the component without fan-out could be more stable than the component with. This can be explained by the fact that the geometry of the fan-out act like if the coupling length were longer. This apparent length is strongly dependent of the wavelength because the coupling of the fields between two nearby waveguides are strongly dependents of the wavelength. The zone where the WGs separates will couple a larger wavelength for a longer length because of the larger overlap integral between the two individual WG's modes for higher wavelength. For further explanation on the coupled mode theory the reader can refers to [BEAS07, DM91].

The evolution of the V2PM's condition number regarding the geometrical parameters and the wavelength has been studied and an optimal configuration has been found. This configuration ensures a condition number of approximately 6 which means that an error on the measured output wouldn't be magnified more than 6 times (in the worst case) by the retrieving algorithm. The evolution of the "usable" throughput through a defined surface has also been studied and result of more than 96% in the case of the component with fan-out and 70% without. This throughput doesn't include the losses from coupling nor Fresnel which should be predominant for this component. The optimized parameters are given in Tab.6. All the previous work has been done using only monochromatic light. But the light from a star is obviously not monochromatic, an it's not wanted to use too narrow-band filters in order to keep high signal to noise ratio (SNR). This is the purpose of the next section.

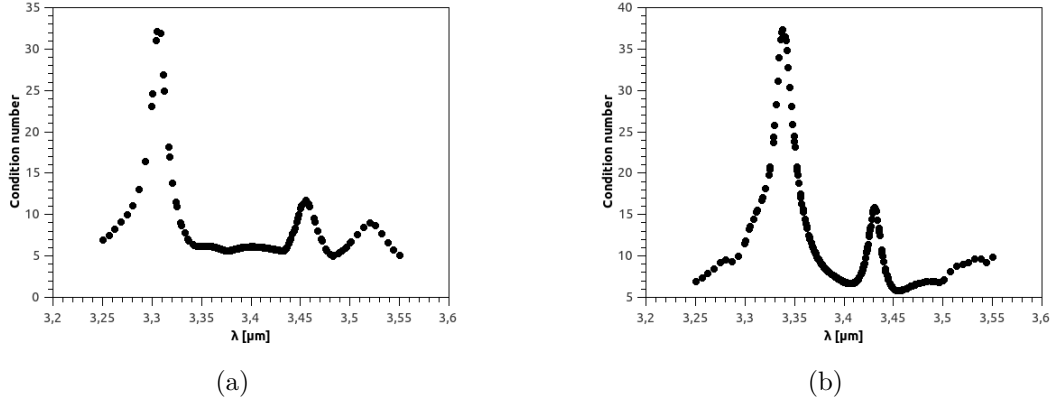


Figure 14: Condition number of monochromatic V2PM matrices at different wavelength. (a) for the component without fan-out, (b) for the component with fan-out. The geometry of the component is the optimized one (see text for details)

P_x	2.4×10^1
P_y	1.08×10^1
$width$	9.5
$height$	1.7×10^1
δ	5×10^{-3}
λ	3.4
L_c	2.2000×10^4
L_i	1.0000×10^4
background index	2.31

Table 6: Optimised set of parameters (distance unit in μm)

2.2 Polychromatic light

In order to keep a high enough SNR and also for different needing, the component will be used under poly-chromatic light. In the case of poly-chromatic light, the previous mathematical formalism doesn't hold anymore. In this section will be shown the limitation of the previous formalism, studied the impact of the bandwidth both on the V2MP's condition number and the retrieved mutual coherence function. Experimental results will then be compared to the simulated ones.

2.2.1 Mathematical formalism

Using polychromatic light, the interferogram at the n^{th} output can be expressed as a function of the optical path difference, x , as follow :

$$In(x) = \int_{-\infty}^{+\infty} I_A(\sigma)\kappa_A(\sigma) + I_B(\sigma)\kappa_B(\sigma) + 2\sqrt{I_A(\sigma)\kappa_A(\sigma)I_B(\sigma)\kappa_B(\sigma)} |\mu_{AB}(\sigma)| \cos(\phi_{AB}(\sigma) - 2\pi\sigma x) d\sigma \quad (9)$$

where κ_i relates the transmission from the i^{th} input, I_i the normalized intensity at the i^{th} input, $|\mu_{AB}(\sigma)| = |\mu_{AB}(\sigma)^{inst}| |\mu_{AB}(\sigma)^{obj}|$ the visibility of the interferogram and $\phi_{AB}(\sigma) = \phi_{AB}^{inst}(\sigma) + \phi_{AB}^{obj}(\sigma)$ the phase of the interferogram. In order to build a V2PM matrix which is independent of the spectrum of the source, it is needed to assume that the spectrum is "flat" within the considered bandwidth. Thus the V2PM matrix will be valid only for quasi-monochromatic light (i.e. a small bandwidth). Doing that the terms I_i are no more wavelength dependant. Eq. 9 becomes :

$$In(x) = t_A \int_{\sigma} I_A d\sigma + t_B \int_{\sigma} I_B d\sigma + 2\sqrt{I_A I_B} \int_{\sigma} \sqrt{\kappa_A(\sigma)\kappa_B(\sigma)} |\mu_{AB}(\sigma)| \cos(\phi_{AB}(\sigma) - 2\pi\sigma x) d\sigma \quad (10)$$

In which $t_i = \frac{\int_{\sigma} I_i(\sigma)\kappa_i(\sigma)}{\int_{\sigma} I_i(\sigma)}$. As our assumption lead us to be limited to quasi-monochromatic light, the visibility should also be relatively independent of the wavelength, as well as the phase if the dispersion of the instrument is negligible. Thus Eq.10 becomes :

$$In(x) = t_A \int_{\sigma} I_A d\sigma + t_B \int_{\sigma} I_B d\sigma + 2\sqrt{I_A I_B} |\mu_{AB}| \int_{\sigma} \sqrt{\kappa_A(\sigma)\kappa_B(\sigma)} \cos(\phi_{AB} - 2\pi\sigma x) d\sigma \quad (11)$$

In order to use the same formalism as in the monochromatic case, we use the so called photocorrection :

$$\begin{aligned} \tilde{I}_n &= I_n - t_A \int_{\sigma} I_A d\sigma - t_B \int_{\sigma} I_B d\sigma \\ V_{AB} &= \frac{2\sqrt{I_A I_B} |\mu_{AB}| \int_{\sigma} \sqrt{\kappa_A(\sigma)\kappa_B(\sigma)} \cos(\phi_{AB} - 2\pi\sigma x) d\sigma}{2\sqrt{t_A \int_{\sigma} I_A d\sigma t_B \int_{\sigma} I_B d\sigma}} \end{aligned} \quad (12)$$

In that case the visibility function is no longer a cosine, but it can be seen as the Fourier transform of the spectral response of the component (in the case of the a flat spectrum signal

used at the input). Thus the visibility function is now something like a cardinal sine, and the narrower the bandwidth, the closest to a cardinal sine it gets.

To use the same V2PM format, the amplitude of visibility is taken at the maximum of this interferogram, where $V_{AB} \approx |\mu_{AB}|$. The phase is also taken at the position x_0 of this maximum and reduced using the formula $\Phi_{AB} \approx 2\pi\sigma_0 x_0$ where σ_0 is the middle range frequency of the input signal. Taking the nearest point to the "0" OPD is important as will be further explained in the next section.

2.2.2 Influence of the bandwidth on the V2PM

As stated earlier, in order to have a better SNR one has to integrate more flux. Thus the use of a broader spectrum should improve the performances of the DBC. But as explained in the last section the mathematical formalism doesn't hold in the case of polychromatic light. One has to make the assumption of the input signal being "flat-spectrum" as well as considering the spectral response of the component wavelength independent in order to get it work. The aim of this section is to present the results of a study of the performances of the DBC in the case of polychromatic light.

Using the Beam propagation method to simulate the component behavior, our simulated polychromatic light is composed of the sum of 50 Diracs of same weight in Fourier's space. This should be a good approximation for short bandwidth, less for larger but as those simulations are very time consuming, the number of diracs wasnt increased to keep the same "density of diracs". Nevertheless no sensible differences were seen in the case of more Dirac.

The first studied thing is the impact of the V2PM condition number regarding the bandwidth of the input signal. The simulations are performed on the previously optimized component for a "flat spectrum" centered on 3.4 μm . Same parameters of convergence, boundary condition and Padé order are used than in the First case. The result of these simulation are shown in Fig. 15

The integrating area to estimate the phases and visibilities at each outputs is a 1 by 1 micrometer square in the case of the component without fan-out for reasons explained in previous sections. In the case of the component with a fan-out, the area used is the wave-guide's cross section, but in this case the V2PM matrix is quite independent of this area (as seen in the previous section).

It appears that for the component without the fan-out, the condition number oscillate between 5.5 and 7.5 for bandwidth narrower than 40 nm. It then slowly rises for bandwidth below 150 nm and issues a sharp increase for higher bandwidth (see Fig. 15). A similar dependency is found for the component with a fan-out except that the condition number issues a drop around 200 nm bandwidth. Moreover the conditions number from both component are similar at lower bandwidth but are almost 2 times lower at higher bandwidth for the component with a fan-out. This suggest that the component with a fan-out could be more stable at larger bandwidth. Similar dependency has been reported by Saviauk et al. [SMD⁺13] for experimental data on a square array DBC.

The dependence of the V2PM condition number regarding the bandwidth of the input signal can be interpreted as the degree of validity of the assumption we made in the mathematical formalism. One can notice that for bandwidth lower than approximately 50 to 70 nm the

condition number of the V2PM is stable within $\pm 5\%$ in the case of the component without fan-out. This bandwidth is up to 100 nm in the case of the component with fan-out.

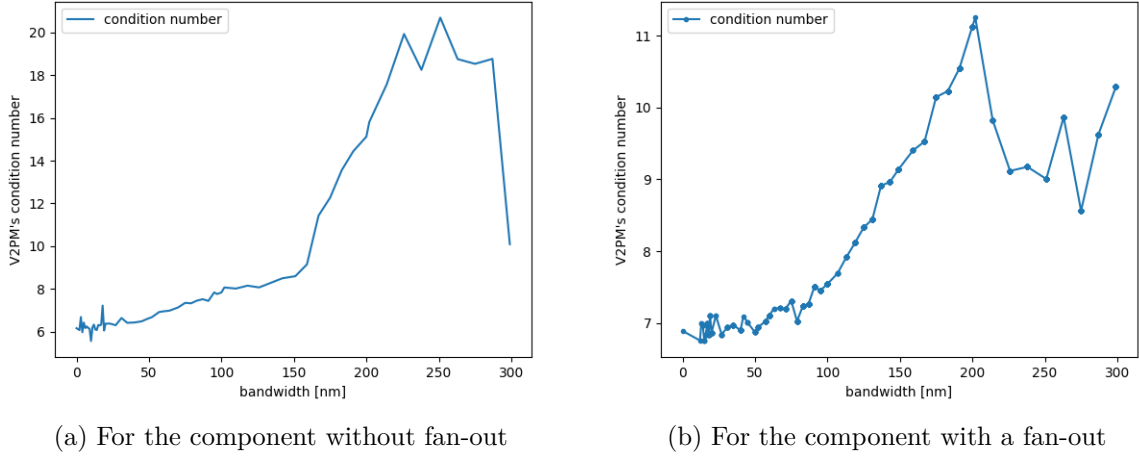


Figure 15: Condition number of the V2PM for different bandwidth using the component with and without fan-out. Both DBC part have the same dimensions.

However the condition number of the V2PM doesn't give a conclusive statement of the performances of the DBC. Therefore a simulation of the retrieved phase and visibility using the P2VM on simulated data has been performed.

2.2.3 Retrieving the visibility function

In order to have a better idea of the performances of the DBC regarding the bandwidth of the signal, simulation of the retrieved visibilities and phases have been ran. To do that the simulated polychromatic light is injected into 2 of the 4 inputs. At one of the input a phase is added just the same as for constructing the V2PM. At each output an interferogram is reconstructed showing the visibility function versus the OPD. These interferogram (in the shape of a matrix where each column is one simulated phase of the baseline (the distance between two individual telescopes) (BL)) are multiplied by the P2VM to obtain the \vec{V} vector from which the object visibility are calculated as explained in sections 2.1.1 and 2.2.1. The results are presented in Fig.16 and Fig.17

In our simulation no polarization's effects are taken into account so that the visibility of the input source should be 1 at the 0 OPD independently of the phase difference. All simulations were performed with one data point each 12 degrees of phase difference between the inputs from 0 to 360 degrees, for all 50 wavelength evenly spaced in a fixed bandwidth around 3.4 μm and for all 6 baselines.

To estimate the accuracy of the retrieved phases and visibilities, the expected ones are subtracted

from the retrieved ones and the deviation ϵ is estimated by the formula :

$$\epsilon = \sqrt{\frac{1}{N} \sum_1^N (a - \tilde{a})^2} \quad (13)$$

where a is the retrieved parameters, \tilde{a} the expected one and N the number of tested retrieved parameters (31 in our case). The result is shown in Fig 16. What can be seen is that the retrieved visibilities are close to the expected ones (at $\pm 5\%$ for bandwidth below 60 nm bandwidth), same as the retrieved phases (at $\pm 0.05\text{rad}$ below 60 nm bandwidth). Meanwhile the standard deviation of both the retrieved phases and visibilities are found to have a similar dependency to the bandwidth. This means that the larger the bandwidth, the more dispersed the retrieved parameters around their mean value. The results for the component with a "fan-out" is very similar as can be seen in Fig. 17 despite a lower condition number of the V2PM. This clearly shows that the condition number only states the maximum magnification of an error. It also shows that despite the apparent correlation between the retrieved visibilities/phases and the condition number of the V2PM, the impact of this condition number is not the main limiting factor but the approximations of "flat spectral response" is. The broader the bandwidth the more the spatial frequency of the interferogram (i.e the fringe spacing) can get different from $3.4 \mu\text{m}$ leading to errors on both the retrieved phase and visibility.

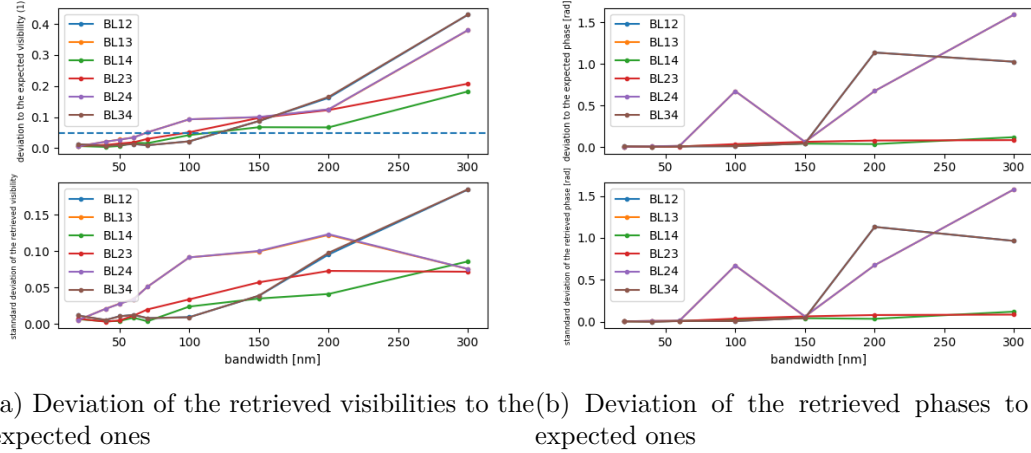
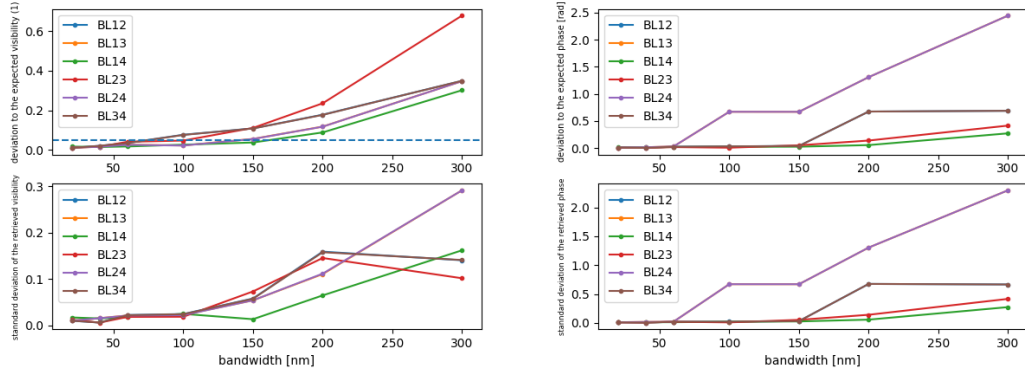


Figure 16: Deviation of the retrieved phases and visibilities to the expected ones for the component without fan-out. The deviation is calculated by Eq. 13

. In order to compare with the experimental results presented in the following part, the plots of the retrieved visibility and phases with 70nm bandwidth are presented in Appendix A.2. The retrieving algorithm show good accuracy for a bandwidth below 70nm and for each baselines individually. But the component is designed to be used with the 4 input beams at the same time. The next part will present the results of simulations using the 4 beams at the same time.



(a) Standard deviation of the retrieved visibilities to the expected ones (b) Standard deviation of the retrieved phases to the expected ones

Figure 17: Standard deviation of the retrieved phases and visibilities to the expected ones for the component with fan-out. The deviation is calculated by Eq. 13. The blue dashed line is the limit of 5% error. Inputs 1,2,3,4 refers respectively to WG 19,14,10,5 in Fig.3 as seen from the inputs.

2.2.4 Retrieved visibility and phase using the 4 input beams

To this point only retrieved visibility and phases using 2 beams at a time have been presented. The component being designed to combine 4 telescopes at the same time, simulation doing that have been done. to this purpose the previously calibrated P2VM are used and applied to the results of a simulation using the 4 input. The phase of three beams have been fixed and the phase of the last beam has been scanned to simulate the behaviour of the component. Only one test have been done using at $\lambda_0 = 3.4\mu m$ and 20nm bandwidth.

First the component *without fanout* has been simulated. The results are shown in Fig.18 and Fig.19. The retrieved visibility are far less accurate when using 4 beams at the same time than when using only 2 beams at the same time (error up to 25%). The reason to that is the combination of the previously exposed "apparent wavelength" of the interferograms and the fact that in those simulations the "0 OPD" (i.e the maximum of each interferogram) appears for all baselines at approximately the same OPD. Therefore each baselines are less distinguishable from each-other, a phase shift between each of the 6 baselines would probably lead to better results, this would be a thing to try in order to confirm or invalidate this interpretation. Concerning the retrieved phase, the accuracy is relatively good (lower than 0.12 rad of error). Still the error is two times the ones obtained when using two beams at a time. The explanation of this is the same than for the visibility.

Secondly the component *with fanout* has been simulated. The results are shown in Fig.20 and Fig.21. In that both the errors on the retrieved phase and visibility are good and comparable to the ones obtained using two beams at a time (visibility at $\pm 5\%$ and phase at $\pm 0.08 rad$). The explanation to this could be that the "fan-out" lead to "0 OPD" of each output being further to each other and for each baselines too. More simulations should be done with this component in

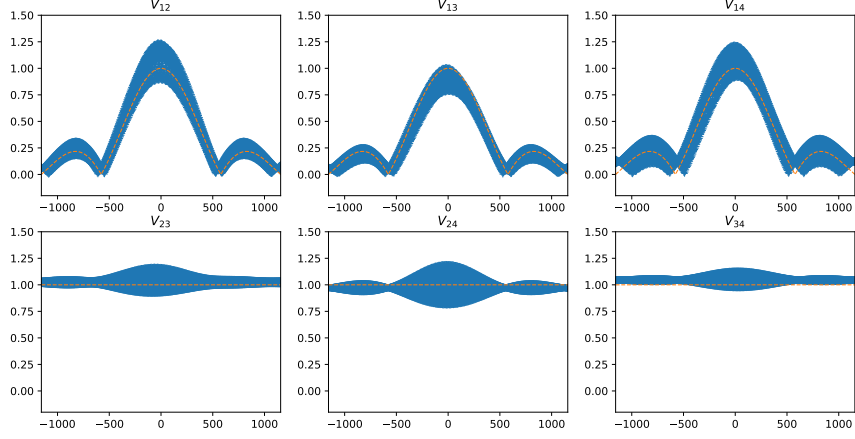


Figure 18: Simulated retrieved visibility using the 4 beams on the component without fanout. The signal bandwidth used is 20nm centred at $\lambda_0 = 3.4\mu m$. The blue line is the retrieved data. The orange dotted line is the expected one. The x-axis is the OPD in μm and the y-axis the visibility.

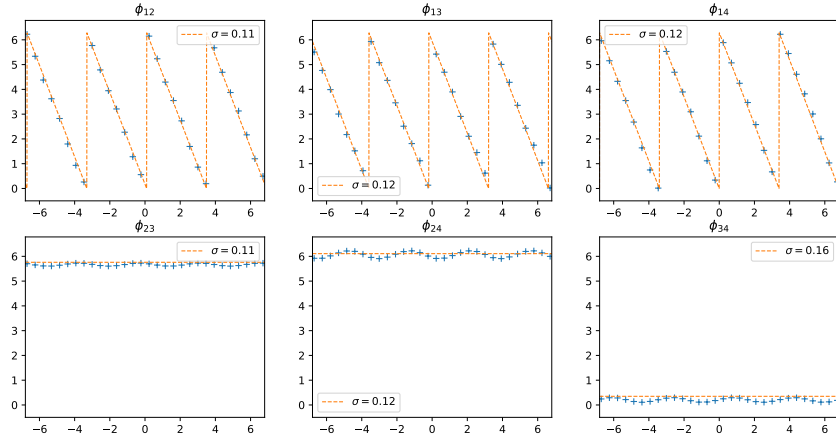


Figure 19: Simulated retrieved phase using the 4 beams on the component without fanout. The signal bandwidth used is 20nm centered at $\lambda_0 = 3.4\mu m$. The blue line is the retrieved data. The orange dotted line is the expected one. The x-axis is the OPD in μm and the y-axis the phase in rad. Sigma is the standard deviation to the expected phase in rad.

order to confirm or invalidate that interpretation.

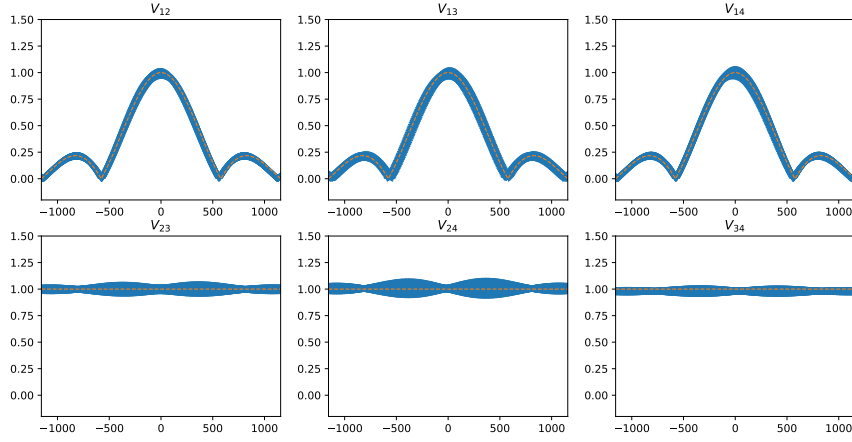


Figure 20: Simulated retrieved visibility using the 4 beams on the component with fanout. The signal bandwidth used is 20nm centred at $\lambda_0 = 3.4\mu m$. The blue line is the retrieved data. The orange dotted line is the expected one. The x-axis is the OPD in μm and the y-axis the visibility.

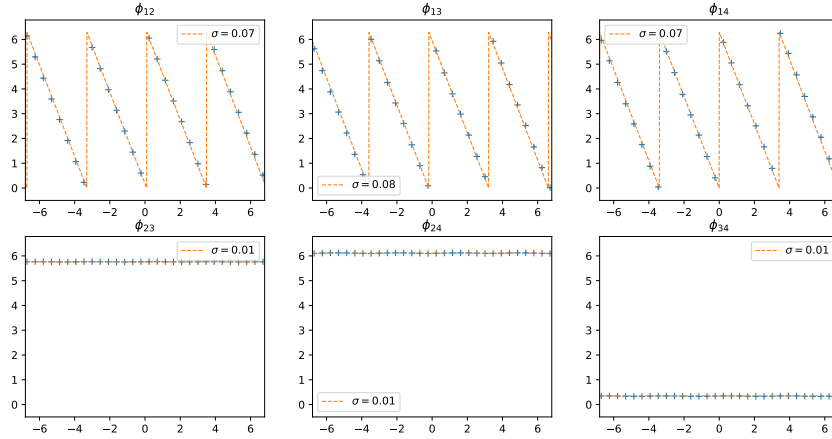


Figure 21: Simulated retrieved phase using the 4 beams on the component with fanout. The signal bandwidth used is 20nm centered at $\lambda_0 = 3.4\mu m$. The blue line is the retrieved data. The orange dotted line is the expected one. The x-axis is the OPD in μm and the y-axis the phase in rad. Sigma is the standard deviation to the expected phase in rad.

The results of these simulations enlighten that the component with fanout could have better performances when used with 4 beams under the exact same conditions than the component without fanout. This difference is expected to be even greater experimentally due to the previously exposed problem of the integrating area. In simulation accuracy of 5% on the retrieved visibility and 0.08 rad on the retrieved phases have been obtained using the 4 inputs at the same

time with the component with "fanout" and a signal's spectral bandwidth of 20nm. When using 2 beams at the same time, a spectral bandwidth of 70nm led to an acceptable simulated accuracy on the retrieved parameters. An experimental demonstration of the usability of the DBC with a signal of 70nm bandwidth (limit of acceptable accuracy using two beams at a time) is still to be done. This is the purpose of the next chapter.

3 Laboratory characterization of the DBC

3.1 characterization setup and method

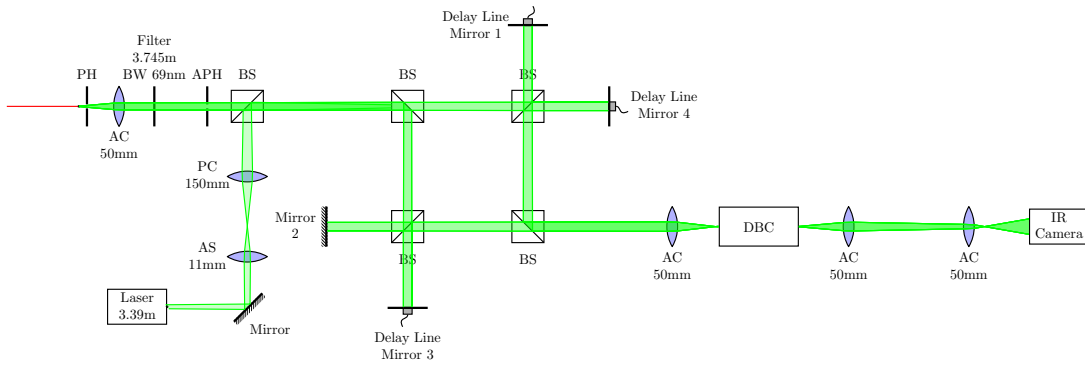


Figure 22: Experimental setup for characterization of the ZigZag DBC integrated optics chip. The last two lens are used to magnify approximately 8 times. AC = Achromatic, AS = Asphere, PH = PinHole, APH = Adjustable PinHole, BS = Beam-Splitter, PC = Plano-Convex.

It has been demonstrated in the previous section that the ZigZag DBC can give accurate results with a bandwidth up to 70nm. The purpose of this part is to verify that experimentally. For that purpose the experimental setup represented in Fig.22 was used. It is a Michelson interferometer with 4 beams. The beams from Mirror 1, 2, 3 and 4 are coupled in the input waveguides 5, 14, 10 and 19 respectively (see Fig 3 as seen from the input side).

The DBC used for the characterization is not the one designed in the previous chapter, but is designed to work at $3.4\mu\text{m}$ too. As it appears that at $3.4\mu\text{m}$ all outputs were not illuminated, the source signal was chosen to be a "flat" spectrum signal of 69nm bandwidth centered at $3.745\mu\text{m}$. If the component was well inscribed in the glass all photometric signal should be symmetrical (i.e the ones from inputs 2,3 and 4,1 should look alike). As can be seen in Fig.23 this is not the case especially for inputs 4, 1. The reason of this is to be found in the writing technique. As the laser inscription technique is not the purpose of this report we will simply explain how it induces birefringence. To inscribe the waveguides the laser writes multiple lines that overlap each other. This overlapping of lines makes the result dependant on the order of inscription of the waveguides which causes the birefringence effect responsible for the non-symmetrical pattern. This has to be verified using a polariser.

A second point to explain is the presence of the laser. As the Delay-lines were not very accurate in

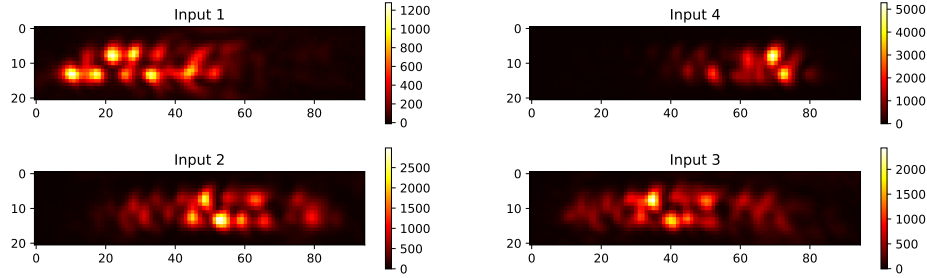


Figure 23: The photometric signal of the DBC. As can be seen the signal from input 4 and 1 are not symmetrical certainly due to birefringence.

their movement, the laser was here to calibrate the OPD. Using the fringe spacing of the laser's interferogram, one can reconstruct the real OPD introduced by the delay-line. In order to do so in a first experiment the laser was coupled into the DBC together with the supercontinuum source (at $3.8 \mu\text{m}$). As it appeared that the laser signal was not enough distinguishable from the source signal this technique was not used to obtain the results presented in the following paragraph. Rather than doing that, as the "apparent wavelength" of the high-frequency component of the interferogram has been demonstrated to be quite the same for all output at 70nm bandwidth, one interferogram was used to calibrate the OPD stating that the fringe spacing was $3.745 \mu\text{m}$.

This method is valid in the limit of "apparent frequency" not too different from one output to the other. In fact this was verified as for BL-1/2 the apparent wavelength was $3.73 \pm 0.05 \mu\text{m}$, $3.73 \pm 0.04 \mu\text{m}$ for BL-1/3, $3.70 \pm 0.08 \mu\text{m}$ for BL-1/4, $3.78 \pm 0.04 \mu\text{m}$ for BL-2/3, $3.75 \pm 0.05 \mu\text{m}$ for BL-2/4 and $3.69 \pm 0.07 \mu\text{m}$ for BL-3/4. This is of the same order of magnitude than what was seen in simulation.

In order to characterize the V2PM, the following measurements are performed for each of the 6 baselines (recording frequency = 100Hz, delay line velocity = 0.08 mm/s):

1. Record the signal from the moving delay-line alone I_{DL} with the delay-line moving (5000 frames).
2. Record the photometry from the non-moving input alone I_{Fix} .
3. Record the interferogram (with the 2 beams) I

The obtained result is in the form of a cube of frames. In these frames 1 pixel is chosen to be approximately centered on each output in order to be influenced as little as possible by the surroundings waveguides (as explained in the first chapter. This pixel with the magnification system of 8 should be an area of less than $4 \times 4 \mu\text{m}$ which was too large in simulation but the best doable at with the setup. - In the case of the component with fanout, one would have to integral all the flux by taking an area around the output in order to have high SNR - . Then the data is processed to build the V2PM as follows :

1. The noise is filtered in Fourier's space.

2. The OPD is calculated using a chosen interferogram stating that the fringe spacing which should be $3.745\mu\text{m}$.
3. I_{DL} and I_{Fix} are subtracted from the interferogram.
4. The protocorrection is applied (Eq 12)
5. The envelop of the signal is fitted and the instrumental visibility deduced by it's amplitude
6. A cosine is fitted to 3 fringes of the interferogram centered at the position of it's maximum to deduce the phase (being $2\pi x/\lambda_0$, $\lambda_0 = 3.745\mu\text{m}$ in our case)
7. the transmission coefficients of the input (κ_{io} for input i to output o) are calculated from the photometric data (averaged along the number of frames) by $\kappa_{io} = \frac{I_D(o)}{\sum_{all_pixels} I_D(pixel)}$
8. The whole V2PM is calculated as in the case of monochromatic light

The condition number of the V2PM resulting with the tested component (numbered 39.7) is 26. The instrumental visibilities for the majority range between 0.6 and 1 which is comparable to the results obtained in simulation. An histogram of the visibilities is shown in Fig.24.

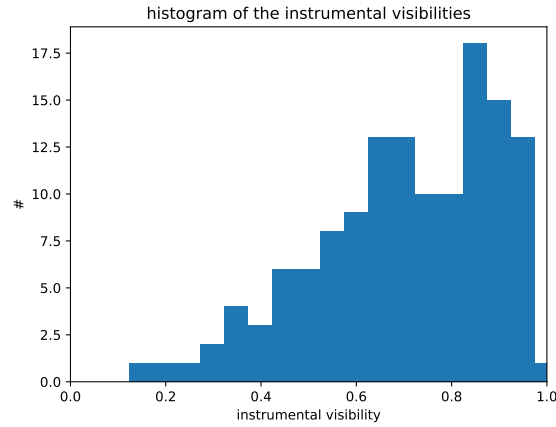


Figure 24: Histogram of the instrumental visibilities of the ZigZag DBC number 39.7

From that V2PM the visibilities and phases of each baselines are retrieved first using directly the data used to calibrate the V2PM, then using data recorded after with 2 or 4 beams in input. The results are presented in the next section.

3.2 Retrieving the visibility function

3.2.1 Using two beams at a time

Having experimentally determined the V2PM and inverted it to obtain the P2VM, the object visibility and phase are retrieved from the experimental data. First using the same set of data used to calibrate the V2PM and then using new ones to test the reproducibility of the method.

The results of the retrieved phases from the data used to calibrate the V2PM matrix are shown on Fig.25. These results aren't biased by the calibration of the V2PM because the V2PM only take into account of the visibility and phase of the output interferogram at the position of their maximum. What is flagrant from these results and the simulated ones on a similar component (see A.2) is that experimentally the retrieved visibility tend to oscillate a lot more around the theoretical one (expecially for baseline 14. These oscillations are mostly due to the highly overlapping output signal (as explained in the first chapter) and the delay-line being not verry accurate thus changing the coupling a little from one measurement to the other (this will be verified further). Contributions of the noise are also not negligible. With all of these imperfections the retrieve visibility are around the zone of interest (around the maximum) accurate at 10% for the best baselines to 20% for the worst. The visibilities retrieved on the second dataset recorded right after the calibration of the V2PM are presented on figure 26 and are far from as good as the first ones. This is mostly because of the delay-line altering the coupling in their movement and is especially visible on the retrieved visibility for baseline 1-2, 1-3 and 1-4 which were recorded in the same order moving the delay-line of input 1.

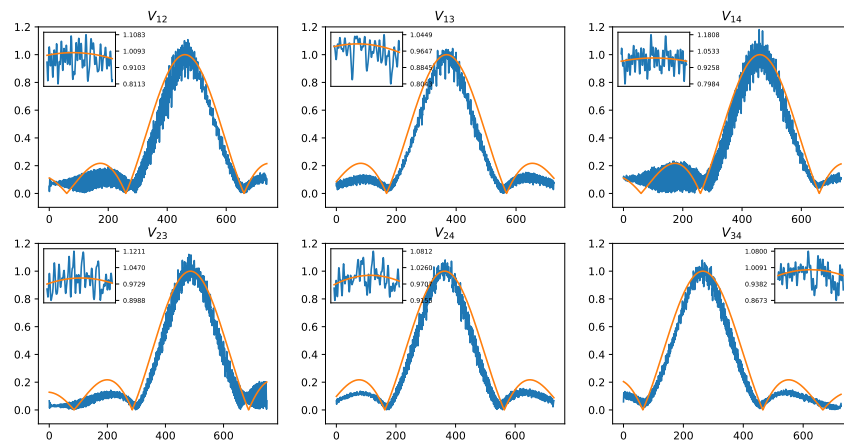


Figure 25: Experimentally retrieved visibility from the dataset used to calibrate the V2PM. Baselines numbering 1, 2, 3, 4 refers to the input waveguides (respectively 9, 14, 10 and 19). The x-axis is the OPD in μm and the y-axis the visibility. The blue line is the actual retrieved data and the orange line the theoretical result. The inset is a zoom of the 50 μm opd around the maximum.

Concerning the retrieved phase, the results are presented in Fig27 for the dataset used to calibrate the V2PM and Fig.?? for the second dataset zoomed around the maximum of the interferogram (the "0" OPD). Comparison with the simulated one on the optimised component at 3.4 μm and bandwidth 70nm can be done using Appendix A.2. It appear that the results are better on the second set of data recorded than on the one used to calibrate the V2PM. This suggest that the phase retrieval is less sensitive to the imperfections of the delay-line and that the uncertainties on it's retrieval are more intrinsic to the noise and the "apparent wavelength" explained before. In all case the residues show a standard deviation to 0 ranging from 0.08 to 0.4 rad. This is equivalent to a sensitivity from $\frac{\lambda_0}{9}$ to $\frac{\lambda_0}{46}$ depending on the baseline and the quality of the

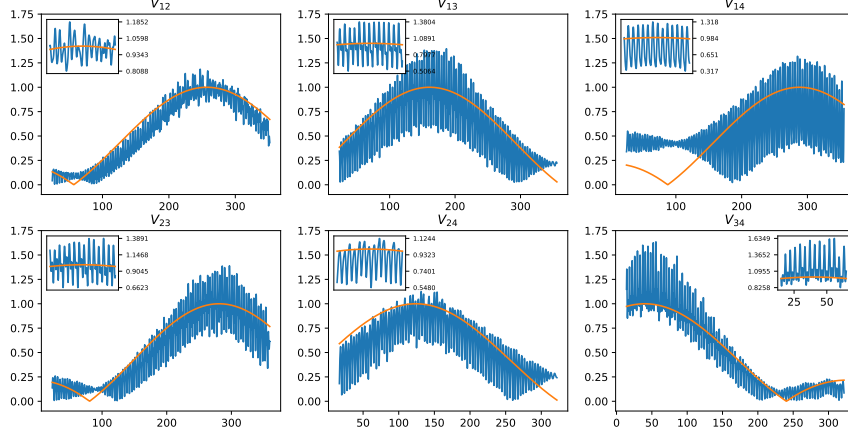


Figure 26: Experimentally retrieved visibility from the data recorded after the V2PM calibration. Baselines numbering 1, 2, 3, 4 refers to the input waveguides (respectively 9, 14, 10 and 19). The x-axis is the OPD in μm and the y-axis the visibility. The blue line is the actual retrieved data and the orange line the theoretical result. The inset is a zoom of the 50 μm opd around the maximum.

calibration (λ_{0} being the mid-range wavelength thus 3.745 μm). In the simulated case with the optimised component (70nm bandwidth centered on 3.4 μm wavelength) the residue was about 0.086 rad for all baselines suggesting a possible accuracy of $\frac{\lambda_0}{40}$ for all baselines. To compare Diener et al. presented retrieved visibility ranging from 0.96 ± 0.04 to 1.04 ± 0.06 and phases residue ranging from 0.13 to 0.18 rad in [DTL⁺17] using a similar component with monochromatic light at 3.39 μm .

For now the experimental demonstration of the feasibility and usability of the Zig-Zag DBC has been done using it to combine only 2 beams at a time. The final purpose of this component being to combine 4 telescopes one experimental measurement has been done using the 4 beams.

3.2.2 Combining the 4 beams

In order demonstrate the usability of the Zig-Zag DBC to combine 4 telescopes at a time the 4 beams were coupled into the component and the P2VM applied to the measured data to retrieve the phases and visibilities of the source. Because of the Delay-line being not very reproducible in its movement only the one that moved the less were used for this measurement (corresponding to input 3, WG 10). The results are shown on Fig.29 and Fig.30. Only the delay-line of input 3 is scanned thus the visibility and phase of BL 1-2, 1-4 and 2-4 are expected to be constant. The visibility and phase of BL 1-3, 2-3 and 3-4 are supposed to look like the ones of Fig.25 and Fig.28 respectively. Once again the retrieving algorithm seems to be more accurate on retrieving the phases than the visibilities. This can be explained by the fact that to retrieve the visibilities, 4 different component of the vector \vec{V} are used opposed to 2 to retrieve the phase (see Eq.5) making the phase less sensitive to errors.

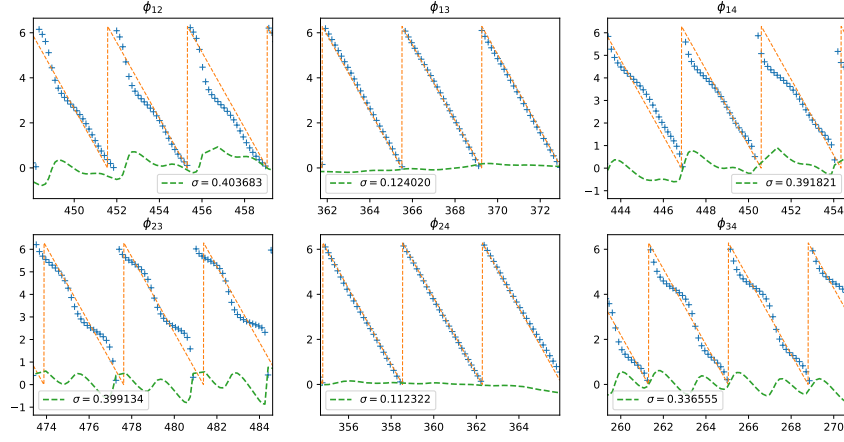


Figure 27: Experimentally retrieved phase from the dataset used to calibrate the V2PM. Baselines numbering 1, 2, 3, 4 refers to the input waveguides (respectively 9, 14, 10 and 19). The blue line is the actual retrieved data, the orange line the theoretical result and the green line the residues (difference between the blue and orange one). σ is the standard deviation of the residues in rad. The x-axis is the OPD in μm and the y-axis the phase in rad.

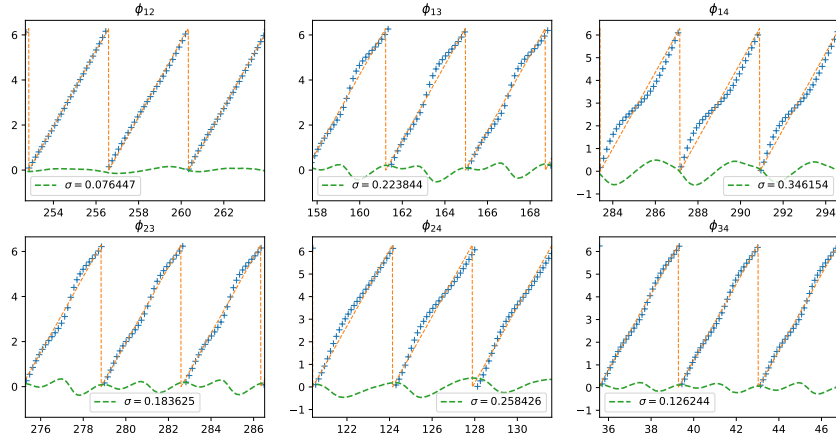


Figure 28: Experimentally retrieved phase from the data recorded after the V2PM calibration. Baselines numbering 1, 2, 3, 4 refers to the input waveguides (respectively 9, 14, 10 and 19). The blue line is the actual retrieved data, the orange line the theoretical result and the green line the residues (difference between the blue and orange one). σ is the standard deviation of the residues in rad. The x-axis is the OPD in μm and the y-axis the phase in rad.

Concerning the phase of the 3 scanned baselines, the standard deviation of the residue is less than 0.16 rad which is better than in some case using only 2 beams and the same order of magnitude than reported in [DTL⁺17]. This can be explain by more amplitude in the interferograms resulting to higher SNR. The phase of the non scanned baselines shows more volatility (for BLs 1-2 and 1-4 especially).

Concerning the visibilities of the 3 scanned baselines, the shapped of the cardinal sine is visible and the maximum around 1 but the

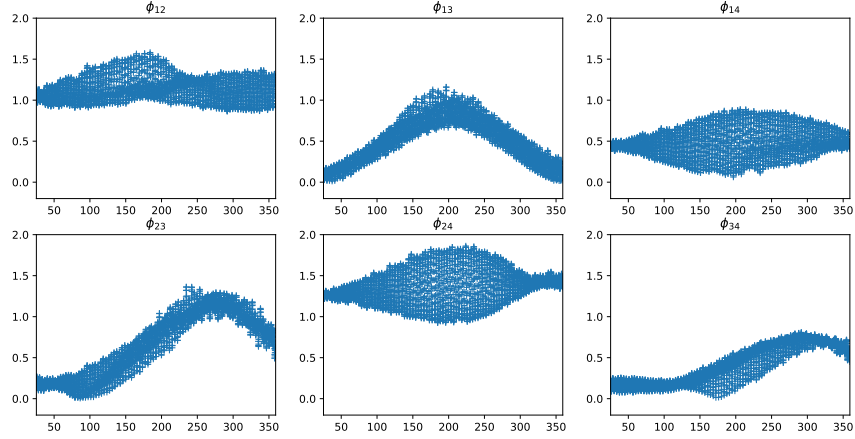


Figure 29: Experimentally retrieved visibility from the data recorded after the V2PM calibration. Baselines numbering 1, 2, 3, 4 refers to the input waveguides (respectively 9, 14, 10 and 19). The x-axis is the OPD in μm and the y-axis the phase in rad.

The retrieval of the phase and visibility a source using the ZigZag-DBC have been demonstrated to be as accurate using a broad-band source of 70nm with similar degree of accuracy than using monochromatic light as reported in [DTL⁺17] using 2 beams at a time. In the case of using 4 beams the method used showed lower accuracy on retrieving the visibilities. We are convinced that the variation of the coupling due to the delay-lines being not very accurate contributed a lot to the errors and we are convinced that better results could be obtained with better delay-lines (as the simulations showed far better accuracy).

Conclusion and Further-work

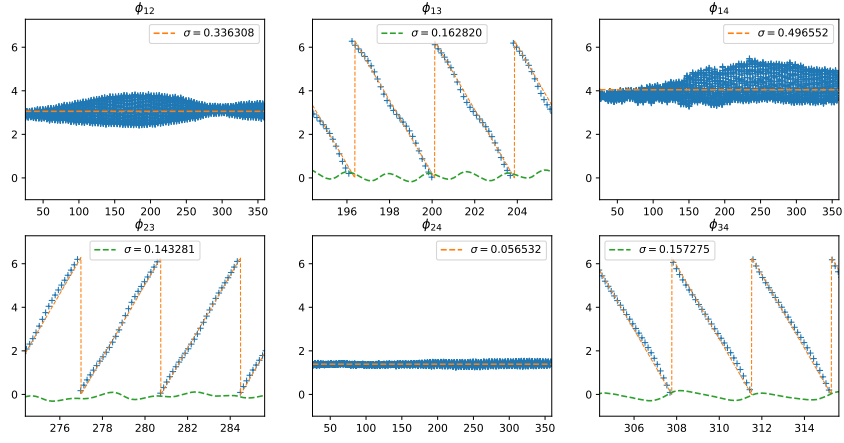


Figure 30: Experimentally retrieved phase from the data recorded after the V2PM calibration. Baselines numbering 1, 2, 3, 4 refers to the input waveguides (respectively 9, 14, 10 and 19). The blue line is the actual retrieved data, the orange line the theoretical result and the green line the residues (difference between the blue and orange one). σ is the standard deviation of the residues in rad. The x-axis is the OPD in μm and the y-axis the phase in rad.

A Appendix

A.1 The condition number

Considering the following system $A\vec{x} = \vec{b}$ where A is the matrix describing our system (A is a matrix with real coefficients). An error $\delta\vec{x}$ on \vec{x} will lead to an error $\delta\vec{b}$ on \vec{b} . The aim is to know how much bigger or smaller is $\frac{\|\delta\vec{x}\|}{\|\vec{x}\|}$ compared to $\frac{\|\delta\vec{b}\|}{\|\vec{b}\|}$ (i.e how much an error is magnified by the A matrix).

In the case where A is neither symmetric nor square. Then the matrix $A^T A$ is a square symmetric matrix and Then can be diagonalized. Lets call λ_i and \vec{u}_i its eigenvalues and eigenvectors. We can write :

$$A^T A \vec{u}_i = \lambda_i \vec{u}_i$$

Moreover

$$\|A\vec{x}\|^2 = \vec{x}^T A^T A \vec{x} = \|\vec{b}\|^2$$

So $\|\vec{b}\|^2 \leq \max(|\lambda_i|) \|\vec{x}\|^2$ and $\|\delta\vec{b}\|^2 \geq \min(|\lambda_i|) \|\delta\vec{x}\|^2$ and then :

$$\boxed{\frac{\|\delta\vec{x}\|}{\|\vec{x}\|} \leq \frac{\sqrt{\max(|\lambda_i|)}}{\sqrt{\min(|\lambda_i|)}} \frac{\|\delta\vec{b}\|}{\|\vec{b}\|}}$$

The number $\frac{\sqrt{\max(|\lambda_i|)}}{\sqrt{\min(|\lambda_i|)}}$ where $\min(|\lambda_i|)$ is the minimal non zero eigenvalue of $A^T A$, is called the condition number of the A matrix. It means how much an error on the right part of the system can be magnified by the A matrix.

A.2 Simulated retrieved Phase and visibility

In order to compare the results of Fig.25 in a more readable way than with Fig.17 the reader can see the results on the following plot.

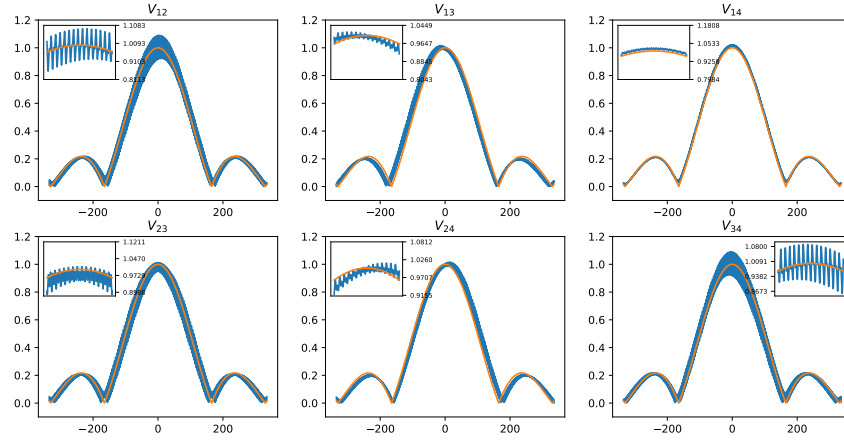


Figure 31: The simulated retrieved visibilities of the optimised component at $\lambda = 3.4\mu\text{m}$ and bandwidth=70nm. The x-axis is the OPD in μm and the y-axis the visibility. Baseline numbering follows the ones from Fig.25. The blue line is the actual retrieved data and the orange line the theoretical result.

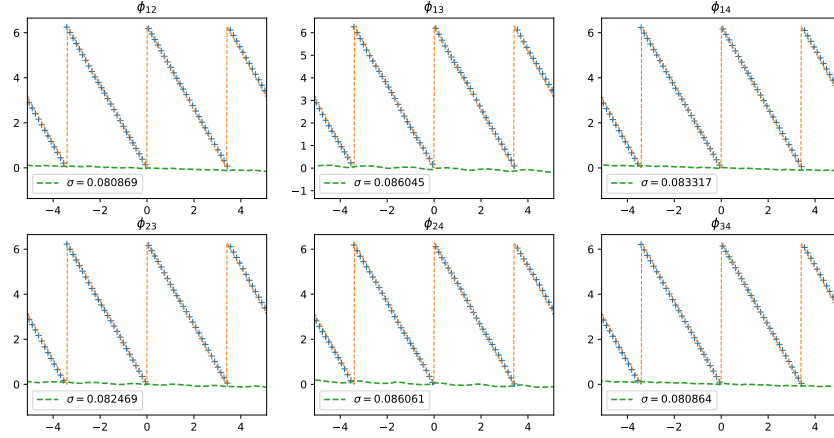


Figure 32: The simulated retrieved phases of the optimised component at $\lambda = 3.4\mu\text{m}$ and bandwidth=70nm. The x-axis is the OPD in μm and the y-axis the phase in rad. Baseline numbering follows the ones from Fig.27. The blue line is the actual retrieved data the orange line the theoretical result and the green line the residues (difference between the blue and orange one). σ is the standard deviation to 0 of the residues in rad.

Glossary

DBC discrete beam combiner. 1–3

IO Integrated optic. 1

MMI Multi Mode Interferometer. 1

OPD optical path difference. 5, 7

P2VM Pixel to Visibility Matrix. 3

V2PM Visibility to Pixel Matrix. 2, 5, 7

WG waveguide. 5

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