

# Heap Analysis

Traverse across height of tree  $\rightarrow O(\text{height}) \Rightarrow O(\log n)$

Proving Expected height

Let  $x_k \rightarrow$  Node with the  $k^{\text{th}}$  smallest key in the heap.

S2: To prove that for any node  $x_k$ .

S3:  $E[\text{depth}(x_k)] = O(\log n)$ .

Let  $Y_{ij}$  = Indicator Random Variable defined as I.

$Y_{ij} = 0$  ; When  $x_i$  is not a proper ancestor.

$Y_{ij} = 1$  ; When  $x_i$  is a proper ancestor.

$Y_{ii} = 0$  ; Can not be a proper ancestor.

Hence depth  $\rightarrow$

$$\text{depth}(x_k) = \sum_{i=1}^n Y_{ik}$$

Expected Depth of  $x_k$  is equal to Expected number of proper ancestors of  $x_k$ .

$$E[\text{depth}(x_k)] = E\left[\sum_{i=1}^n Y_{ik}\right] = \sum_{i=1}^n E[Y_{ik}]$$

$$E[\text{depth}(x_k)] = \sum_{i=1}^n \Pr[Y_{ik}=1]$$

## Deletion in Treaps

To delete:

- : Search Node  $X$  containing  $k$  using BST algorithm.
- : If Node  $X$  is a leaf, just delete it.
- : If Not, use AVL rotations to rotate the node until it becomes leaf; then delete it.
- : If 2 child  $\rightarrow$  Rotate Child with larger Priority;  
(Using Left Rotation if Right child has higher Priority)
- : Since AVL rotations are Const-time operations, delete in treap can be performed in time  $O(H)$ ; where  $H$  is the height of treap.

## Trees Splitting:

Given a tree and a key value  $k$  not in tree, create two trees: One with keys less than  $k$ , and one with keys greater than  $k$ .

- Insert  $(k, \infty)$  in the treap.
- Since, it has higher priority; it will become Root.
- Left Subtree of Root, has lower value than  $k$ , the right Subtree of Root, has greater value than  $k$ .
- Since insert can be done in time  $O(H)$ , where  $H$  is height of treap, splitting can also be done in time  $O(H)$ .
- Trees Splitting Mechanism,

Cost of Split  $\propto$  Depth of the node.

Expected depth of node =  $O(\log n)$

Expected Cost of Split =  $O(\log n)$

For Join ( $T_1, m, T_2$ )

Follows down the right child and terminates, when right child is empty  
 $T_2$

Hence:

The work is proportional to the sum of depth of the right-most and left-most keys.

The work of JOIN = Sum of Expected depth of nodes  
 $= O(\log |T|)$

Insert, update, search



## Randomised search tree mathematical analysis.

$N$  nodes  $x_1, x_2, \dots, x_N$

keys  $\rightarrow k_1, k_2, \dots, k_N$

priorities  $\rightarrow p_1, p_2, \dots, p_N$

$x_i$  node has key  $k_i$  and priority  $p_i$

Making 2 assumptions.

- 1) All the keys  $k_1, \dots, k_N$  are equally likely to be searched for.
- 2) All the priorities  $p_1, \dots, p_N$  are randomly uniformly generated independent of each other and of the keys.

Also assuming keys are in sorted order  
 $k_i < k_{i+1}$

- 1) But the keys can be inserted in any order.
- 2) All the priorities are distinct.

## Expected node depth

Depth of node  $x_i \rightarrow d(x_i)$

This means that  $d(x_i)$  comparisons are required to find the key  $k_i$

$P_x(p_1, \dots, p_N) \rightarrow$  probability of generating  $N$  priority values

This will determine shape of the heap and also location of every key in it.

This helps to determine depth of node  $x_i$

$$E[d(x_i)] = \sum_{p_1, \dots, p_N} P_0(p_1, \dots, p_N) d(x_i) \quad \text{--- (1)}$$

let  $A_{ij}$  be the indicator function.

$A_{ij} = 1$ , if  $x_i$  is ancestor of  $x_j$

$$\therefore d(x_i) = \sum_{m=1}^N A_{mi} \quad (\text{As depth of node is equal to number of ancestors}).$$

(2)

Using (2) in (1)

$$\begin{aligned} E[d(x_i)] &= \sum_{p_1, \dots, p_N} P_0(p_1, \dots, p_N) \sum_{m=1}^N A_{mi} \\ &= \sum_{m=1}^N E[A_{mi}] \end{aligned}$$

(  $E[A_{mi}] = P_0(A_{mi} = 1)$  )  $\rightarrow$  Probability that node  $x_m$  is ancestor of  $x_i$

$\therefore$  The probability that  $x_m$  is ancestor of  $x_i$  is just the probability that the random priority generated for  $x_m$  is higher than the other  $|m-i|$  priorities generated for nodes with indexes between  $m$  and  $i$  inclusive.

But priorities are generated randomly and independently  $|m-i|+1$  nodes have equal probability of having the highest priority.

$$\therefore E[A_{mi}] = \frac{1}{|m-i|+1}$$

$$\therefore E[d(x_i)] = \sum_{m=1}^N \frac{1}{|m-i|+1}$$

$$= \sum_{m=1}^{i-1} \frac{1}{i-m+1} + \sum_{m=i+1}^N \frac{1}{m-i+1}$$

$$\left( H_n = \sum_{i=1}^n \frac{1}{i} \right)$$

$$= H_{i-1} + H_{n-i+1} - 1$$

$$= O(\ln n)$$

$$= O(\log n)$$

Average number of comparisons needed to find the key.

This will be equal to expected node depth averaged over all nodes.

$$D_{avg}(N) = \sum_{i=1}^N \frac{1}{N} (E[d(x_i)]) = \frac{1}{N} \sum_{i=1}^N \sum_{j=1}^N \frac{1}{|j-i|+1}$$

$$= \frac{2}{N} \sum_{i=1}^N \frac{N-i+1}{i} - N$$

$$= \frac{2(N+1)}{N} \sum_{i=1}^N \frac{1}{i} - 3N$$

$$= \frac{2(N+1)}{N} \sum_{i=1}^N \frac{1}{i} - 3$$