

Sure! Let's break down each page and topic in the lecture slides in simple terms:

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### Page 1: Course Information

- **Course Code:** CSC 2209
- **Course Title:** Operating Systems
- **Department:** Computer Science
- **Faculty:** Science and Technology
- **Lecturer, Week, and Semester:** Not specified here.  
This page just gives basic information about the course.

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### Page 2: Lecture Outline

This page lists the topics that will be covered in the lecture:

1. **Background** - Basics of how programs run in memory.
2. **Logical and Physical Address Space** - How memory addresses work.
3. **Static and Dynamic Loading** - How programs are loaded into memory.
4. **Swapping** - Moving processes in and out of memory.
5. **Fragmentation** - Wasted memory space.
6. **Paging** - A memory management technique.
7. **Virtual Memory** - Making memory appear larger than it is.

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### Page 3: Background

- **Program Execution:** A program must be loaded into memory (from disk) to run.
  - **Memory Access:** The CPU can directly access only **main memory** and **registers**.
  - **Memory Unit:** It only sees addresses and read/write requests.
  - **Registers vs. Main Memory:** Registers are super fast (1 CPU cycle), but main memory is slower (many cycles).
  - **Cache:** A small, fast memory between the CPU and main memory to speed things up.
  - **Memory Protection:** Ensures processes only access their own memory space to avoid crashes or security issues.
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#### Page 4: Protection

- **Memory Protection:** Each process has its own memory space, and the OS ensures it doesn't access others.
  - **Base and Limit Registers:** These define the start and end of a process's memory space.
    - **Base Register:** Starting address of the process.
    - **Limit Register:** Size of the process's memory.
  - **Example:** If a process tries to access memory outside its limit, the OS blocks it.
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#### Page 5: Hardware Address Protection

- **CPU Checks:** Every memory access is checked to ensure it's within the process's allowed range (base to base + limit).
  - **Illegal Access:** If the process tries to access memory outside its range, the OS throws an error.
  - **Privileged Instructions:** Only the OS can change the base and limit registers.
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#### Page 6: Address Binding

- **Address Binding:** Programs on disk need to be loaded into memory to run.
  - **Stages of Binding:**
    1. **Compile Time:** If the memory location is known, the program is compiled for that specific address.
    2. **Load Time:** If the memory location isn't known at compile time, the program is loaded into any available memory.
    3. **Execution Time:** The program can be moved around in memory while running (needs hardware support).
  - **Symbolic Addresses:** In source code, addresses are symbolic (e.g., variable names).
  - **Relocatable Addresses:** After compiling, addresses are relative to the start of the program.
  - **Absolute Addresses:** Final memory addresses where the program is loaded.
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#### Page 7: Binding of Instructions and Data to Memory

- **Three Stages of Binding:**
  1. **Compile Time:** Memory location is fixed at compile time.

2. **Load Time:** Memory location is decided when the program is loaded.
  3. **Execution Time:** Memory location can change while the program is running.
- **Hardware Support:** Execution-time binding requires hardware (like a Memory Management Unit - MMU).

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#### Page 8: Dynamic Loading

- **Dynamic Loading:** Only the parts of a program that are needed are loaded into memory.
- **Advantages:** Saves memory because unused routines aren't loaded.
- **How It Works:** Routines are kept on disk and loaded only when called.
- **No OS Support Needed:** The program itself handles dynamic loading, but the OS can provide libraries to help.

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#### Page 9: Logical vs. Physical Address Space

- **Logical Address:** Generated by the CPU (also called virtual address).
- **Physical Address:** The actual memory address seen by the memory unit.
- **Binding Schemes:**
  - **Compile/Load Time:** Logical and physical addresses are the same.
  - **Execution Time:** Logical and physical addresses differ.
- **Address Translation:** A **relocation register** maps logical addresses to physical addresses.

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#### Page 10: Swapping

- **Swapping:** Moving a process out of memory to disk (backing store) and bringing it back later.
- **Why Swap?** To free up memory for other processes.
- **Backing Store:** A fast disk that stores swapped-out processes.
- **Roll Out, Roll In:** Lower-priority processes are swapped out to make room for higher-priority ones.
- **Swap Time:** The time to move a process in/out of memory depends on its size.

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#### Page 11: Swapping (Cont.)

- **Swapping Back:** A swapped-out process doesn't need to return to the same memory location.
  - **I/O Issues:** If a process is doing I/O, swapping can cause problems (e.g., data going to the wrong place).
  - **Modern Systems:** Swapping is usually disabled unless memory is very low.
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#### Page 12: Context Switch Time including Swapping

- **Context Switch:** Switching from one process to another.
  - **Swapping Overhead:** If the next process isn't in memory, it must be swapped in, which takes time.
  - **Example:** Swapping a 100MB process with a 50MB/s disk takes 4 seconds (2 sec to swap out, 2 sec to swap in).
  - **Optimization:** Reduce swap time by only swapping the memory actually used.
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#### Page 13: Context Switch Time and Swapping (Cont.)

- **I/O Constraints:** Processes doing I/O can't be swapped out easily.
  - **Double Buffering:** A technique to handle I/O during swapping, but it adds overhead.
  - **Modern Systems:** Swapping is rare and used only when memory is extremely low.
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#### Page 14: Swapping with Paging

- **Paging:** Memory is divided into fixed-size blocks (pages).
  - **Swapping with Paging:** Only the needed pages are swapped in/out, not the entire process.
  - **Efficiency:** Reduces swap time because only part of the process is moved.
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#### Page 15: Fragmentation

- **External Fragmentation:** Free memory is scattered in small chunks, making it hard to allocate large blocks.
  - **Internal Fragmentation:** Allocated memory is slightly larger than needed, wasting space.
  - **50% Rule:** On average, half of the memory is lost to fragmentation.
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### Page 16: Fragmentation (Cont.)

- **Compaction:** Move memory around to combine free space into one large block.
  - **I/O Problem:** Compaction is hard if processes are doing I/O.
  - **Backing Store Fragmentation:** The disk also suffers from fragmentation.
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### Page 17: Paging

- **Paging:** Divides memory into fixed-size blocks (frames) and programs into pages.
  - **Advantages:** Avoids external fragmentation and simplifies memory allocation.
  - **Page Table:** Maps logical pages to physical frames.
  - **Internal Fragmentation:** Still possible if the last page isn't fully used.
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### Page 18: Address Translation Scheme

- **Address Translation:** The CPU generates a logical address, which is split into:
    - **Page Number:** Index into the page table to find the physical frame.
    - **Page Offset:** Combined with the frame address to get the physical memory location.
  - **Example:** If the page size is 4 bytes, the offset is 2 bits, and the page number is the rest.
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### Page 19: Paging Hardware

- **Paging Hardware:** Uses a **page table** to translate logical addresses to physical addresses.
  - **CPU Generates Logical Address:** The page number is looked up in the page table to find the frame number.
  - **Physical Address:** Frame number + offset gives the actual memory location.
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### Page 20: Paging Model of Logical and Physical Memory

- **Logical Memory:** Divided into pages.
  - **Physical Memory:** Divided into frames.
  - **Page Table:** Maps pages to frames.
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### Page 21: Paging Example

- **Example:** A logical address with 2 bits for the page number and 4 bits for the offset.
  - **Page Size:** 4 bytes.
  - **Physical Memory:** 32 bytes (8 frames).
  - **Translation:** The page table maps logical pages to physical frames.
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### Page 22: Virtual Memory

- **Virtual Memory:** Makes memory appear larger than it is by using disk space.
  - **Advantages:**
    - Only part of the program needs to be in memory.
    - Allows more programs to run concurrently.
    - Reduces I/O for loading/swapping processes.
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### Page 23: Virtual Memory (Cont.)

- **Virtual Address Space:** The logical view of how a process is stored in memory.
  - **Physical Memory:** Organized into page frames.
  - **MMU (Memory Management Unit):** Maps logical addresses to physical addresses.
  - **Implementation:** Virtual memory can be implemented using **demand paging** or **demand segmentation**.
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### Page 24 & 25: Books and References

- **Book:** "Operating System Concepts" by Galvin and Silberschatz (9th Edition).
- This is a recommended textbook for the course.

### ### \*\*Memory Barriers Explained in Easy Words\*\*

Memory barriers are a **hardware-level mechanism** used to control the order in which memory operations (like reading from or writing to memory) are performed and made visible to other processors or threads in a multi-processor system. They ensure that certain memory operations are completed before others, which is crucial for maintaining **correctness and consistency** in programs, especially in **multi-threaded or parallel environments**.

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### ### \*\*Why Are Memory Barriers Needed?

In modern computers, especially those with multiple processors (or cores), memory operations (like reading or writing data) may not happen in the exact order they are written in the code. This is because:

1. **Hardware Optimizations:** Processors and compilers often reorder memory operations to improve performance. For example, a processor might delay a write operation to memory if it thinks it can do something else faster first.
2. **Caching:** Each processor has its own cache (a small, fast memory), and changes made by one processor may not immediately be visible to others.

This reordering and caching can lead to **inconsistencies** in shared data, especially when multiple threads or processes are accessing the same memory. Memory barriers help prevent these issues by enforcing a specific order of memory operations.

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### ### \*\*How Do Memory Barriers Work?

A memory barrier is a special instruction that tells the processor:

- **"Finish all memory operations before this point before proceeding to the next ones."**
- **"Make sure all changes to memory are visible to other processors."**

In simpler terms, it acts like a **"stop sign"** for memory operations. When a memory barrier is encountered:

1. The processor ensures that all memory operations **before the barrier** are completed.
2. It also ensures that these changes are **propagated (made visible)** to other processors or threads.

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### **### Example of Memory Barrier Usage**

Imagine two threads (Thread 1 and Thread 2) sharing a variable `x`` and a flag:

- **Thread 1** waits for the flag to be set and then prints the value of `x``.
- **Thread 2** sets `x`` to 100 and then sets the flag to `true``.

Without a memory barrier, Thread 1 might see the flag set to `true`` **before** it sees the updated value of `x`` (which could still be 0 or some old value). This happens because the processor or compiler might reorder the operations in Thread 2.

To fix this, we add memory barriers:

```
```c
```

```
// Thread 1
```

```
while (flag)
```

```
    memory_barrier(); // Ensure changes from Thread 2 are visible
```

```
    print(x);
```

```
// Thread 2
```

```
x = 100;
```

```
memory_barrier(); // Ensure x is updated before setting the flag
```

```
flag = true;
```

```
```
```



Here, the memory barriers ensure:

1. Thread 2 updates `x` and makes it visible to Thread 1 **before** setting the flag.
2. Thread 1 sees the updated value of `x` **after** it sees the flag set to `true`.

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### ### **Types of Memory Models**

Memory barriers are closely related to the **memory model** of a system, which defines how memory operations are ordered and made visible:

1. **Strongly Ordered Memory:** Changes made by one processor are immediately visible to all others. Memory barriers are rarely needed here.
2. **Weakly Ordered Memory:** Changes made by one processor may not be immediately visible to others. Memory barriers are essential here to enforce order and visibility.

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### ### **Why Are Memory Barriers Important?**

Memory barriers are crucial for:

1. **Synchronization:** Ensuring that threads or processes see the correct and consistent state of shared data.
2. **Correctness:** Preventing bugs caused by reordered or invisible memory operations.
3. **Performance:** Allowing hardware optimizations while still maintaining correctness.

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### ### **Key Points to Write in Your Answer Script**

- Memory barriers are hardware instructions that enforce the order and visibility of memory operations.
- They prevent reordering of memory operations by the processor or compiler.
- They ensure that changes made by one processor are visible to others.

- Memory barriers are essential in multi-threaded or parallel systems to maintain data consistency.
- They act like a "stop sign" for memory operations, ensuring all previous operations are completed and visible before proceeding.
- Memory barriers are particularly important in weakly ordered memory models, where changes may not be immediately visible to all processors.

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By using memory barriers, programmers can write correct and efficient multi-threaded programs, even in complex systems with multiple processors and caches.

### ### \*\*Hardware Instructions Explained in Easy Words\*\*

Hardware instructions are special low-level operations provided by the CPU to help with **synchronization** in multi-threaded or multi-processor systems. They allow us to perform certain operations **atomically** (i.e., without interruption), which is essential for solving problems like the **critical section problem**. Two common hardware instructions are **Test-and-Set** and **Compare-and-Swap**.

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### ### \*\*Why Are Hardware Instructions Needed?

In multi-threaded programs, multiple threads may try to access and modify shared data at the same time. If not handled properly, this can lead to **race conditions**, where the final result depends on the order of execution, causing incorrect or unpredictable behavior. Hardware instructions like Test-and-Set and Compare-and-Swap help ensure that only one thread can access the shared data at a time, preventing race conditions.

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### ### \*\*1. Test-and-Set Instruction

#### #### \*\*What is Test-and-Set?\*\*

Test-and-Set is a hardware instruction that **tests the value of a variable and sets it to a new value in one atomic operation**. It is commonly used to implement **locks** for synchronization.

#### #### \*\*How Does It Work?\*\*

- The instruction takes a **boolean variable** (usually called a "lock") as input.
- It **returns the original value** of the variable.
- It **sets the variable to `true`** (or 1) as part of the same operation.

#### #### \*\*Definition:\*\*

```
```c
boolean test_and_set(boolean *target) {
    boolean original_value = *target; // Read the original value
    *target = true;                  // Set the target to true
    return original_value;           // Return the original value
}
```
```

#### #### \*\*How It Solves the Critical Section Problem:\*\*

- A shared boolean variable `lock` is used, initialized to `false`.
- Before entering the critical section, a thread calls `test_and_set(&lock)`.
- If `lock` was `false`, the thread enters the critical section.
- If `lock` was `true`, the thread waits (busy waits) until the lock becomes `false`.
- After exiting the critical section, the thread sets `lock` back to `false`.

#### #### \*\*Example Code:\*\*

```
```c
while (true) {
```

```

while (test_and_set(&lock))
    ; // Busy wait (do nothing)

// Critical Section

lock = false; // Release the lock

// Remainder Section
}
...

```

#### #### \*\*Key Points:\*\*

- Test-and-Set is **atomic**, meaning it cannot be interrupted.
- It is simple but can lead to **busy waiting**, which wastes CPU cycles.

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### ### \*\*2. Compare-and-Swap Instruction\*\*

#### #### \*\*What is Compare-and-Swap?\*\*

Compare-and-Swap (CAS) is another hardware instruction that **compares the value of a variable to an expected value and, if they match, updates the variable to a new value**. It is also used for synchronization and is more flexible than Test-and-Set.

#### #### \*\*How Does It Work?\*\*

- The instruction takes three inputs:
  1. A **pointer to a variable** (e.g., `int *value``).
  2. An **expected value** (e.g., `expected``).
  3. A **new value** (e.g., `new_value``).
- It **returns the original value** of the variable.
- It **updates the variable to the new value** only if the current value matches the expected value.

#### \*\*Definition:\*\*

```
```c
```

```
int compare_and_swap(int *value, int expected, int new_value) {  
    int original_value = *value;    // Read the original value  
    if (*value == expected)        // Check if value matches expected  
        *value = new_value;        // Update to new value  
    return original_value;          // Return the original value  
}
```

```
```
```

#### \*\*How It Solves the Critical Section Problem:\*\*

- A shared integer variable `lock` is used, initialized to `0`.
- Before entering the critical section, a thread calls `compare\_and\_swap(&lock, 0, 1)`.
- If `lock` was `0`, the thread enters the critical section.
- If `lock` was `1`, the thread waits (busy waits) until the lock becomes `0`.
- After exiting the critical section, the thread sets `lock` back to `0`.

#### \*\*Example Code:\*\*

```
```c
```

```
while (true) {  
    while (compare_and_swap(&lock, 0, 1) != 0)  
        ; // Busy wait (do nothing)  
  
    // Critical Section  
    lock = 0; // Release the lock  
  
    // Remainder Section  
}
```

```
```
```

#### #### **\*\*Key Points:\*\***

- Compare-and-Swap is **\*\*atomic\*\*** and more flexible than Test-and-Set.
- It can be used to implement more complex synchronization mechanisms, like **\*\*bounded-waiting mutual exclusion\*\***.

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#### ### **\*\*Bounded-Waiting Mutual Exclusion with Compare-and-Swap\*\***

Compare-and-Swap can also be used to implement **\*\*bounded-waiting mutual exclusion\*\***, where each thread gets a fair chance to enter the critical section without waiting indefinitely. This is done by maintaining a **\*\*waiting queue\*\*** and ensuring that no thread is starved.

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#### ### **\*\*Key Points to Write in Your Answer Script\*\***

- **\*\*Test-and-Set\*\*** and **\*\*Compare-and-Swap\*\*** are hardware instructions used for synchronization.
- They are **\*\*atomic\*\***, meaning they cannot be interrupted.
- **\*\*Test-and-Set:\*\***
  - Tests the value of a boolean variable and sets it to `true` in one operation.
  - Used to implement simple locks but can lead to busy waiting.
- **\*\*Compare-and-Swap:\*\***
  - Compares the value of a variable to an expected value and updates it if they match.
  - More flexible and can be used for complex synchronization mechanisms.
- Both instructions help solve the **\*\*critical section problem\*\*** by ensuring only one thread can access shared data at a time.
- They are essential for preventing **\*\*race conditions\*\*** in multi-threaded programs.

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By using these hardware instructions, programmers can implement efficient and correct synchronization mechanisms, ensuring that shared data is accessed safely in multi-threaded environments.