

# # Lab Assignment: Solving Uniform Random 3-SAT — Hill-Climbing, Beam Search, VND

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## ## Abstract

This report describes implementations and an experimental study of local-search algorithms applied to uniform random 3-SAT instances. We implement three metaheuristics — Hill-Climbing (HC), Beam Search (BS) with beam widths 3 and 4, and Variable-Neighborhood-Descent (VND) using three neighborhood functions — and evaluate two heuristic functions. Performance is measured by **\*\*penetrance\*\***, defined here as the proportion of instances solved within a given iteration/time budget, together with average number of flips/iterations and time to solution.

## ## Introduction

Local-search methods are common tools for large random SAT instances. This lab compares variant local-search strategies on uniform random 3-SAT ( $k=3$ ) instances across a sweep of clause counts  $m$  and variable counts  $n$ . The goal is to measure how algorithm design choices (search strategy, neighborhoods, heuristic) affect penetrance and efficiency.

## ## Problem Definition

Given multiple uniform random 3-SAT instances (fixed clause length  $k=3$ ) generated for combinations of  $n$  (variables) and  $m$  (clauses), implement algorithms to attempt to satisfy each instance and report:

- Penetrance (success rate): fraction of instances solved within a fixed budget.
- Average flips/iterations for successful runs.
- Runtime statistics (optional depending on environment).

Algorithms to implement:

- Hill-Climbing (restarts allowed)
- Beam Search with beam widths 3 and 4
- Variable-Neighborhood-Descent (VND) with three neighborhood functions

Heuristics to compare (two variants):

1. **\*\*Greedy break-count heuristic\*\*** (minimize number of currently unsatisfied clauses after a flip) — classic GSAT-like choice.
2. **\*\*Score with tabu-like tie-breaker\*\***: use break-count, but break ties by the count of occurrences of variable in unsatisfied clauses (higher occurrence favored), or use random tie-break.

We measure penetrance across several random instances per  $(m,n)$  pair and compare algorithms and heuristics.

## ## Definitions and Metrics

- **Clause-to-variable ratio ( $\alpha$ )** =  $m / n$ . Phase transition around certain  $\alpha$  values (for  $k=3$  around  $\alpha \approx 4.26$ ) often affects difficulty.
- **Penetrance** (in this report): the percentage (or fraction) of instances solved within ``max_flips`` flips or ``max_time`` seconds.
- **Average flips to success**: average number of flips among successful runs.
- **Instance budget**: number of random instances generated per  $(n,m)$  pair (e.g., 50 or 100).

## ## Neighborhood Functions for VND

VND cycles through neighborhood structures; for 3SAT we use three neighborhoods defined for a current full assignment:

1. **N1 — Single flip neighborhood**: all assignments that differ by flipping a single variable.
2. **N2 — Double flip neighborhood**: all assignments that differ by flipping two distinct variables simultaneously (useful to escape local minima of N1).
3. **N3 — Clause-guided neighborhood**: choose an unsatisfied clause at random and flip one of the three variables in it (also effectively a restricted single flip but focused on unsatisfied clauses). N3 can be implemented as a small targeted neighborhood.

The VND algorithm tries to improve current solution by exploring N1; if no improvement it moves to N2; if improvement found it restarts from N1, etc.

## ## Algorithm Descriptions (High Level)

### ### Hill Climbing (HC)

- Start with random assignment for all  $n$  variables.
- Repeat up to ``max_flips``:
- If assignment satisfies all clauses  $\rightarrow$  success.
- Evaluate candidate flips (usually single variable flips) using heuristic (e.g. break-count) and pick the best; if multiple, tie-break with heuristic 2.
- Perform flip and continue.
- Optionally allow ``restarts`` — after ``max_flips`` without success, reinitialize and try again up to ``max_restarts``.

### ### Beam Search (BS)

- Maintain a beam of  $B$  best assignments (beam width  $B = 3$  or  $4$ ).
- Initialize beam with  $B$  random assignments.

- For each iteration up to `max\_iters`:
- Expand each beam member by generating its neighbor assignments (single flip neighbors or small neighborhood set).
- Evaluate and select top B distinct assignments for the next beam using the heuristic score (lower number of unsatisfied clauses preferred).
- If any assignment satisfies all clauses → success.

### ### Variable Neighborhood Descent (VND)

- Start with random assignment.
- For neighborhood index  $i = 1..3$ :
- Apply local search restricted to  $N_i$  until no improvement is found or a small subbudget is exhausted.
- If improvement is found, go back to  $i = 1$ . Otherwise increment  $i$ .
- If global `max\_flips` reached or satisfied, stop.

### ## Pseudocode

(Pseudocode included in the document for each algorithm — see the code section for concrete Python implementations.)

### ## Python Implementation

... (Full Python code as given in the report) ...