

Stock Broker SEBI Registration No.: INZ000164132.

**MEMBER:** National Stock Exchange of India Ltd. CODE NO: 10975 & TC10975 CM No.: M51058, For F&O - Clearing Member : IIFL Securities Limited. M51058  
**MEMBER:** Bombay Stock Exchange Ltd. Clearing No. 179 Multi Commodity Exchange of India Limited. TM No. 55995 CM No. 55995, National Commodity & Derivatives Exchange of India limited. TM No. 1249 CM No. M51060

**Compliance Officer Name:** Prasad Umarale •E-mail: [complianceofficer@iifl.com](mailto:complianceofficer@iifl.com) •Tel.: 022-40071000 •Investor Grievance E-mail ID: [customergrievances@indiainfoline.com](mailto:customergrievances@indiainfoline.com)

**Regd. Office:** Sun Infotech Park, Road No. 16V, Plot No. B-23, Thane Industrial Estate, Wagle Estate, Thane - 400604.

**Corporate Office:** Office No.1, Ground Floor, Hubtown Solaris, N.S. Phadke Marg, Andheri, Vijay Nagar, Mumbai - 400 069  
 •Tel.: 022-40071000 • E-mail: [cs@iifl.com](mailto:cs@iifl.com)

**Dealing Office:** 30 1st Floors 2s Sqaure Near Bus Stand Garh Roadmeerut, State: Uttar Pradesh

Client Details		Client Address	Settlement Details	
Client Id	: FINYANT9	2105 A SECTOR 3 BALLABGARH	Contract Note No	: NM24041214880
Client Name	: FINYANTRA INVESTMENTS PRIVATE LIMITED	NEAR TAGORE SCHOOL FARIDABAD BBG FARIDABAD HARYANA INDIA 121004 HARYANA	Trade Date	: 20240412
PAN of Client	: AAFCF5412M		Settlement Date	: 15/04/2024
GSTIN No	:		Settlement No. NSE	: NM2024070
			Settlement No. BSE	: BW2425610
			Clearing Settlement No	: NM2024070
			Advance Receipt No	:
			Advance Receipt Date	:

CASH

Sir / Madam,  
 I / We have this day done by your order and on your account the following transactions :

## CONTRACT NOTE CAPITAL MARKET

Order No	Order Time	Trade No	Trade Time	Security/ Contract description	Exchange - Buy/Sell	Qty	Gross Rate/ Trade Price Per unit (₹)	Brokerage per Unit (₹)	Net Rate per Unit (₹)	Net Total (Before Levies) (₹)
1100000003466051	12:41:57	25405313	12:41:57	FACT	NSE-SELL	-100	678.00	0.033300	677.966667	67,796.67 Cr
1100000003466051	12:41:57	25405318	12:41:57	FACT	NSE-SELL	-14	678.00	0.033300	677.966667	9,491.53 Cr
1100000003466051	12:41:57	25405308	12:41:57	FACT	NSE-SELL	-5	678.15	0.033300	678.116667	3,390.58 Cr
1100000003466051	12:41:57	25405311	12:41:57	FACT	NSE-SELL	-25	678.00	0.033300	677.966667	16,949.17 Cr
1100000003466051	12:41:57	25405306	12:41:57	FACT	NSE-SELL	-16	678.20	0.033300	678.166667	10,850.67 Cr
1100000003466051	12:41:57	25405317	12:41:57	FACT	NSE-SELL	-50	678.00	0.033300	677.966667	33,898.33 Cr
1100000003466051	12:41:57	25405307	12:41:57	FACT	NSE-SELL	-3	678.20	0.033300	678.166667	2,034.50 Cr
1100000003466051	12:41:57	25405309	12:41:57	FACT	NSE-SELL	-100	678.10	0.033300	678.066667	67,806.67 Cr
1100000003466051	12:41:57	25405310	12:41:57	FACT	NSE-SELL	-2	678.10	0.033300	678.066667	1,356.13 Cr
1100000003466051	12:41:57	25405312	12:41:57	FACT	NSE-SELL	-200	678.00	0.033300	677.966667	1,35,593.33 Cr
1100000003466051	12:41:57	25405314	12:41:57	FACT	NSE-SELL	-5	678.00	0.033300	677.966667	3,389.83 Cr
1100000003466051	12:41:57	25405315	12:41:57	FACT	NSE-SELL	-30	678.00	0.033300	677.966667	20,339.00 Cr
1100000003466051	12:41:57	25405316	12:41:57	FACT	NSE-SELL	-50	678.00	0.033300	677.966667	33,898.33 Cr
				Scrip Total		-600				4,06,794.74
				STT Total						406.81
1100000004399794	09:37:54	21322882	09:37:54	DCAL	NSE-SELL	-100	238.50	0.020000	238.480000	23,848.00 Cr
1100000004399794	09:37:54	21322893	09:37:54	DCAL	NSE-SELL	-900	238.50	0.020000	238.480000	2,14,632.00 Cr
				Scrip Total		-1000				2,38,480.00

Order No	Order Time	Trade No	Trade Time	Security/ Contract description	Exchange - Buy/ Sell	Qty	Gross Rate/ Trade Price Per unit (₹)	Brokerage per Unit (₹)	Net Rate per Unit (₹)	Net Total (Before Levies) (₹)
				STT Total						238.50
1200000003824831	12:40:38	45467863	12:40:38	NFL	NSE-SELL	-100	100.80	0.010000	100.790000	10,079.00 Cr
1200000003824831	12:40:38	45467868	12:40:38	NFL	NSE-SELL	-22	100.80	0.010000	100.790000	2,217.38 Cr
1200000003824831	12:40:38	45467859	12:40:38	NFL	NSE-SELL	-100	100.80	0.010000	100.790000	10,079.00 Cr
1200000003824831	12:40:38	45467860	12:40:38	NFL	NSE-SELL	-100	100.80	0.010000	100.790000	10,079.00 Cr
1200000003824831	12:40:38	45467862	12:40:38	NFL	NSE-SELL	-50	100.80	0.010000	100.790000	5,039.50 Cr
1200000003824831	12:40:38	45467864	12:40:38	NFL	NSE-SELL	-100	100.80	0.010000	100.790000	10,079.00 Cr
1200000003824831	12:40:38	45467869	12:40:38	NFL	NSE-SELL	-51	100.80	0.010000	100.790000	5,140.29 Cr
1200000003824831	12:40:38	45467870	12:40:38	NFL	NSE-SELL	-115	100.80	0.010000	100.790000	11,590.85 Cr
1200000003824831	12:40:38	45467866	12:40:38	NFL	NSE-SELL	-120	100.80	0.010000	100.790000	12,094.80 Cr
1200000003824831	12:40:38	45467871	12:40:38	NFL	NSE-SELL	-131	100.80	0.010000	100.790000	13,203.49 Cr
1200000003824831	12:40:38	45467867	12:40:38	NFL	NSE-SELL	-88	100.80	0.010000	100.790000	8,869.52 Cr
1200000003824831	12:40:38	45467858	12:40:38	NFL	NSE-SELL	-598	100.80	0.010000	100.790000	60,272.42 Cr
1200000003824831	12:40:38	45467861	12:40:38	NFL	NSE-SELL	-400	100.80	0.010000	100.790000	40,316.00 Cr
1200000003824831	12:40:38	45467865	12:40:38	NFL	NSE-SELL	-25	100.80	0.010000	100.790000	2,519.75 Cr
				Scrip Total		-2000				2,01,580.00
				STT Total						201.60
1200000007372573	09:42:41	41536696	09:42:41	JUBLPHARMA	NSE-SELL	-2	642.00	0.040000	641.960000	1,283.92 Cr
1200000007372573	09:42:41	41536695	09:42:41	JUBLPHARMA	NSE-SELL	-50	642.00	0.040000	641.960000	32,098.00 Cr
1200000007372573	09:42:41	41536699	09:42:41	JUBLPHARMA	NSE-SELL	-267	642.00	0.040000	641.960000	1,71,403.32 Cr
1200000007372573	09:42:41	41536702	09:42:41	JUBLPHARMA	NSE-SELL	-10	642.00	0.040000	641.960000	6,419.60 Cr
1200000007372573	09:42:41	41536694	09:42:41	JUBLPHARMA	NSE-SELL	-25	642.05	0.040000	642.010000	16,050.25 Cr
1200000007372573	09:42:41	41536697	09:42:41	JUBLPHARMA	NSE-SELL	-6	642.00	0.040000	641.960000	3,851.76 Cr
1200000007372573	09:42:41	41536700	09:42:41	JUBLPHARMA	NSE-SELL	-26	642.00	0.040000	641.960000	16,690.96 Cr
1200000007372573	09:42:41	41536698	09:42:41	JUBLPHARMA	NSE-SELL	-12	642.00	0.040000	641.960000	7,703.52 Cr
1200000007372573	09:42:41	41536701	09:42:41	JUBLPHARMA	NSE-SELL	-1	642.00	0.040000	641.960000	641.96 Cr
1200000007372573	09:42:41	41536703	09:42:41	JUBLPHARMA	NSE-SELL	-101	642.00	0.040000	641.960000	64,837.96 Cr
				Scrip Total		-500				3,20,981.25
				STT Total						321.00
1300000030257909	14:14:24	67066710	14:14:24	TVSMOTOR	NSE-SELL	-75	2,051.00	0.266700	2,050.733-333	1,53,805.00 Cr
				Scrip Total		-75				1,53,805.00
				STT Total						153.83
				Total		-4175	13,21,741.00	100.00		13,21,641.00

Description	Value (₹)
Payin Payout Obligation	13,21,741.00
Taxable value of supply -(Brokerage)	100.00
Taxable value of supply -(Exchange Transaction Charges)	42.56
Taxable value of supply -(Clearing Charge)	0.00
Taxable value of supply -(SEBI Turnover Fees)	1.32
SGST* (9%)	13.07
CGST* (9%)	13.07
IGST* (18%)	0.00
Investor Protection Fund	1.32
Stamp Duty	0.00
Security Transaction Tax	1,322.00
Net Amount Receivable by Client ( Thirteen Lakhs Twenty Thousand Two Hundred and Fourty Seven Rupees and Sixty Six Paise Only )	13,20,247.66 Cr

1 Applicable only in case of cross currency contracts

2 To be converted into INR, based on RBI reference rate as on the date of transaction, in case cross currency contracts

3 To be calculated in accordance with the provisions of the applicable GST Laws issued from time to time

4 GST Compliant Tax Invoice will be provided separately in due course

\*CGST:- Central GST; SGST:- State GST; IGST:- Integrated GST; UTT:- Union Territory Tax. Details of trade-wise levies shall be provided on request. Transactions mentioned in this contract note shall be governed and subject to the Rules, Bye-laws, Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India issued from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines (including GST Laws) & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit [www.nseindia.com](http://www.nseindia.com) for NSE, [www.bseindia.com](http://www.bseindia.com) for BSE and [www.msei.in](http://www.msei.in) for MSEI.

5 The purchase of REs only gives the right to participate in the ongoing Rights Issue of the concerned company by making an application with requisite application money or renounce the REs before the issue closes. REs which are neither subscribed by making an application with requisite application money nor renounced, on or before the Issue closing date shall lapse and shall be extinguished after the Issue closing date.

**Note :-** \* Marked trades were executed due to non-compliance by the client towards margin calls made.

Please note that any person who is dealing in shares of listed Stock Exchange and/or Depositories must comply with regulation 19 and 20 of SECC Regulations, 2012 which state that only fit and proper person are eligible to deal in shares of listed stock exchange/s. The detailed regulations are given below:

### Eligibility for Acquiring or Holding Shares

1. No person shall, directly or indirectly, acquire or hold equity shares of a recognised stock exchange or recognised clearing corporation unless he is a fit and proper person.
2. Any person who, directly or indirectly, either individually or together with persons acting in concert, acquire equity shares such that his shareholding exceeds two per cent. of the paid up equity share capital of a recognised stock exchange or recognised clearing corporation shall seek approval of the Board within fifteen days of the acquisition.
3. A person eligible to acquire or hold more than five per cent. of the paid up equity share capital under sub-regulation (2) of regulation 17 and sub-regulation (2) of regulation 18 may acquire or hold more than five per cent. of the paid up equity share capital of a recognised stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.
4. Any person holding more than two per cent. of the paid up equity share capital of the recognised stock exchange or the clearing corporation on the date of commencement of these regulations, shall ensure compliance with this regulation within a period of ninety days from the date of such commencement.
5. If approval under sub-regulation (2) or (4) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.
6. Any person holding more than two per cent. of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be, that he complies with the fit and proper criteria provided in these regulations.

### Fit and Proper Criteria

1. For the purposes of these regulations, a person shall be deemed to be a fit and proper person if
  - (a) such person has a general reputation and record of fairness and integrity, including but not limited to
    - (i) financial integrity (ii) good reputation and character; and (iii) honesty
  - (b) such person has not incurred any of the following disqualifications
    - (i) the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws (ii) an order for winding up has been passed against the person (iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged (iv) an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed (v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the order has not elapsed (vi) the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force; and (vii) the person is financially not sound
2. If any question arises as to whether a person is a fit and proper person, the Board's decision on such question shall be final

## Compliance with Fit & Proper Requirement by Members in Case of Trading in Securities of Listed Depositories

### Schedule II Securities & Exchange Board of India (In Termediaries) Regulations, 2008

As specified under Regulation 6(B) of SEBI (Depositories and Participants) Regulations, 2012, Members are required to ensure that their clients who are executing transactions in the securities issued by listed depositories through them are fit & proper. Accordingly, Members shall also attach the text of the criteria for fit & proper person as specified in Schedule II of the SEBI (Intermediaries) Regulations, 2008

### Criteria for determining a 'fit and proper person'

For the purpose of determining as to whether an applicant or the intermediary is a fit and proper person the Board may take account of any consideration as it deems fit, including but not limited to the following criteria in relation to the applicant or the intermediary, the principal officer and the key management persons by whatever name called

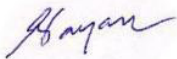
(a) integrity, reputation and character (b) absence of convictions and restraint orders (c) competence including financial solvency and network (d) absence of categorization as a wilful defaulter

**You may refer the following link for full text of Relevant SEBI Regulations and Circulars**

**Securities Contracts (Regulation)**  
(Stock Exchanges And Clearing Corporations)  
Regulations, 2012

**SEBI Circular**  
CIR/MRD/DSA/01/2016

**Yours Faithfully,**  
**IIFL Securities Limited.**



Director / Authorised Signatory  
Authorised Signatory : Mr. Narendra Jain, Mr. Nayan Panchal  
Date : 12/04/2024  
Place: Mumbai  
PAN: AAACI7397D  
GSTIN No.:09AAACI7397D1ZC

**For quick resolution of your queries**



**FAQ**

Choose topic that you  
need help with



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