

# Entwurf, Analyse und Umsetzung von Algorithmen

## Linked Lists, Binary Search Trees

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Entwurf, Analyse und Umsetzung von Algorithmen



**iems**  
intelligente eingebettete  
mikrosysteme

Sorted Sequences

Linked Lists

Binary Search Trees



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  - **next()/previous()**: returns the element with the next bigger/smaller **key**. This enables iteration over all elements



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- How could we implement this?

# Sorted Sequences

Implementation 1 (not good) - Static Array



**Static array:**

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# Sorted Sequences

## Implementation 2 (bad) - Hash Table



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Order of the elements is independent of the order of the keys

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Implementation 3 (good?) - Linked List

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- Let's have a closer look



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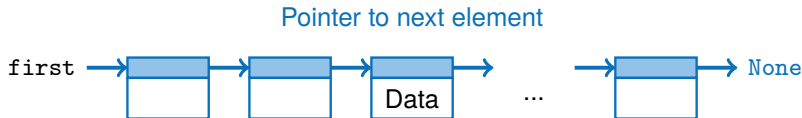


Figure: Linked list





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- Minimal extra space for storing pointer
- We do not need to copy elements on `insert` or `remove`
- The number of elements can be simply modified
- No direct access of elements  
⇒ We have to iterate over the list



### List with head / last element pointer:

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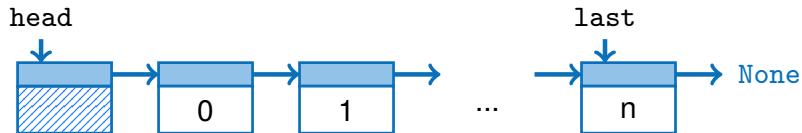


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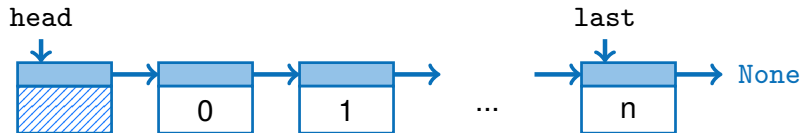


Figure: Singly linked list

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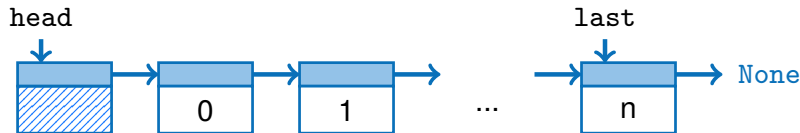


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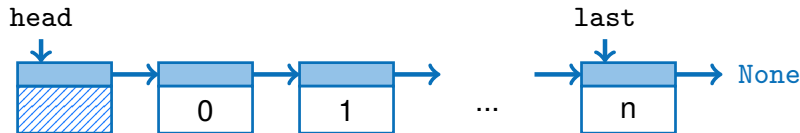


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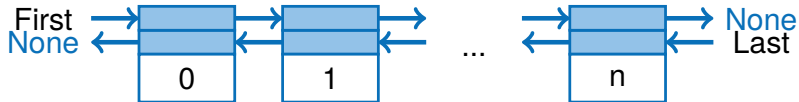


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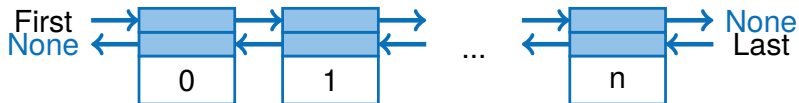


Figure: Doubly linked list

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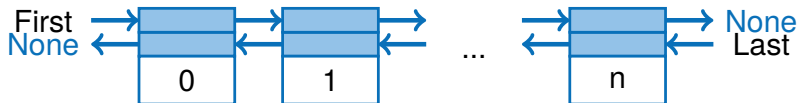


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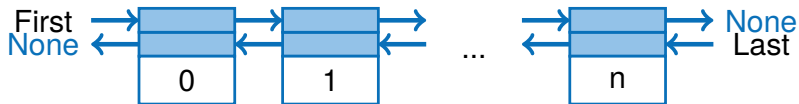


Figure: Doubly linked list

- Pointer to successor element
- Pointer to predecessor element
- Iterate forward and backward

```
class Node:
    """ Defines a node of a singly linked
        list.
    """

    def __init__(self, value, nextNode=None):
        self.value = value
        self.nextNode = nextNode
```





## Creating linked lists - Python:

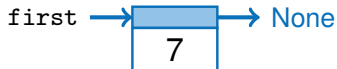
### Creating linked lists - Python:

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■ first = Node(7)
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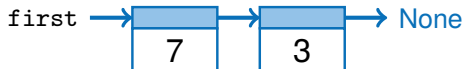


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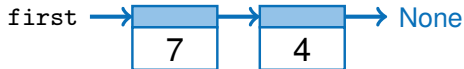
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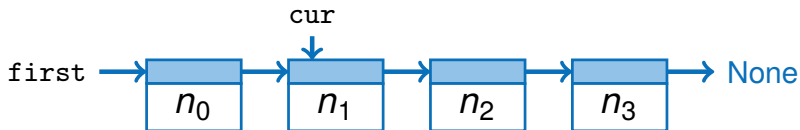
■ `first.nextNode = Node(3)`



■ `first.nextNode.value = 4`



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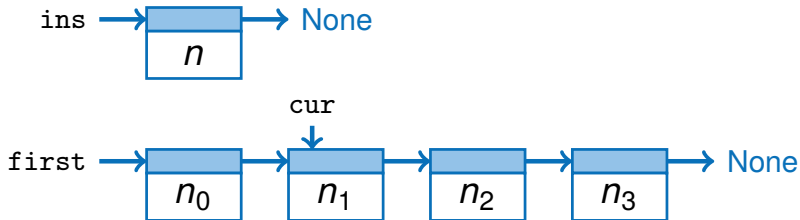


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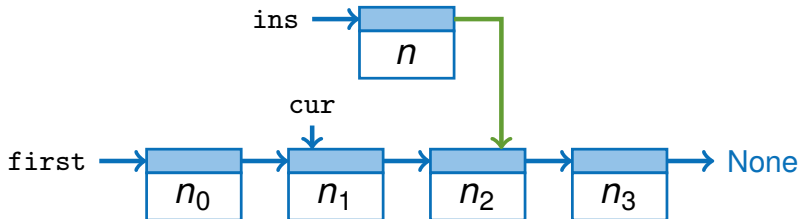


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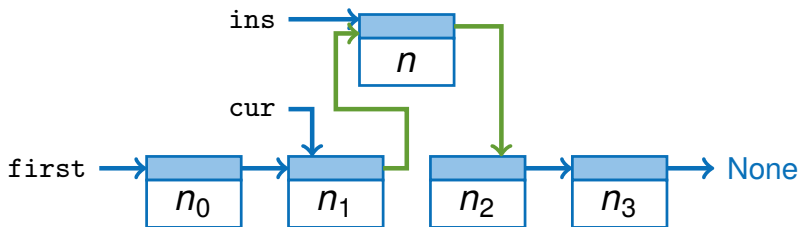


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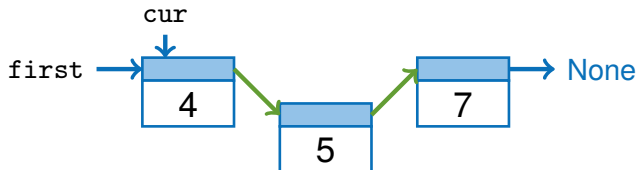


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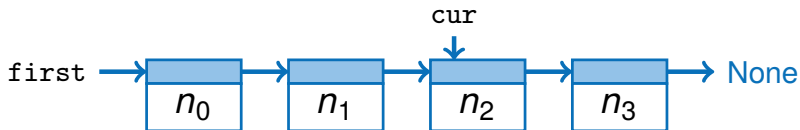


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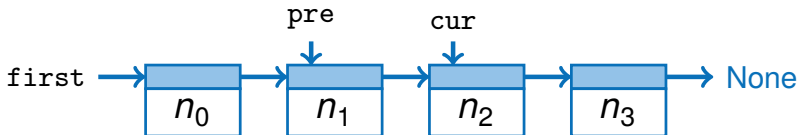
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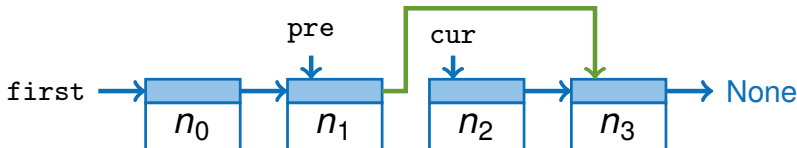


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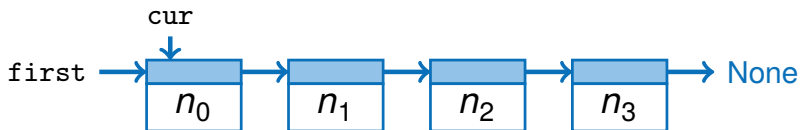
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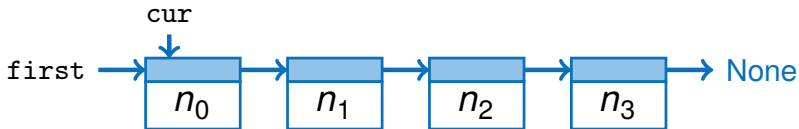


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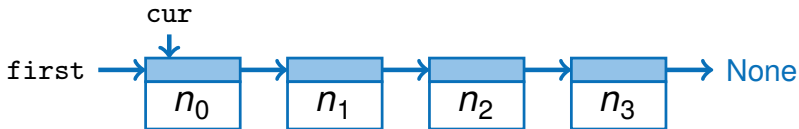
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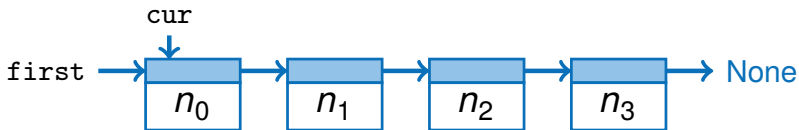
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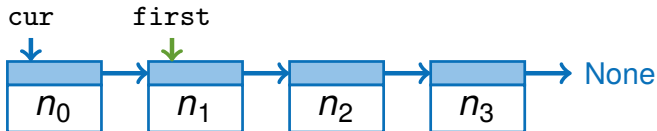


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### Removing a node `cur`: (General case)

```
if cur == first:
    first = first.nextNode
else:
    pre = first
    while pre.nextNode != cur:
        pre = pre.nextNode

    pre.nextNode = cur.nextNode
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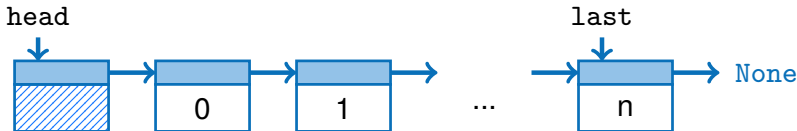


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```
class LinkedList:
    def __init__(self):
        self.itemCount = 0
        self.head = Node()
        self.last = self.head

    def size(self):
        return self.itemCount

    def isEmpty(self):
        return self.itemCount == 0
```

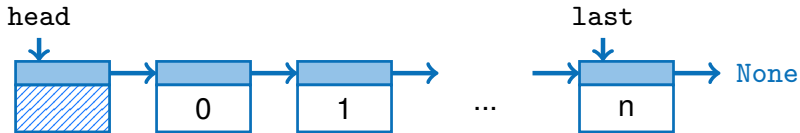


```
def append(self, value):  
    ...  
  
def insertAfter(self, cur, value):  
    ...  
  
def remove(self, cur):  
    ...  
  
def get(self, position):  
    ...  
  
def contains(self, value):  
    ...
```

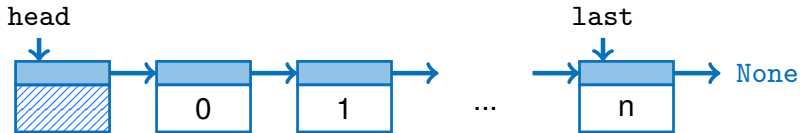


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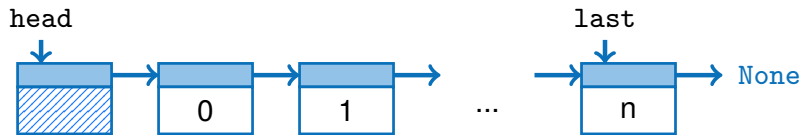


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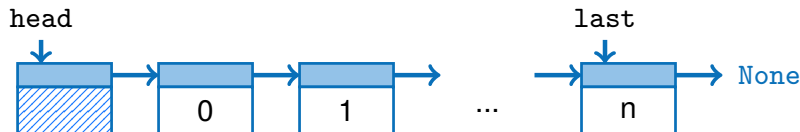
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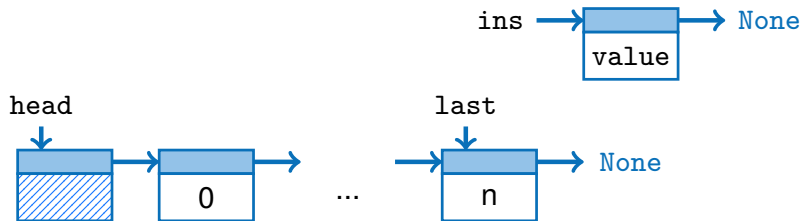


- Head points to the first node, `last` to the last node
- We can append elements to the end of the list in  $O(1)$  through the `last` node
- We have to keep the pointer to `last` updated after all operations



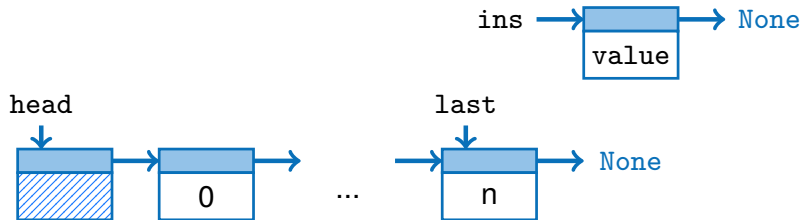
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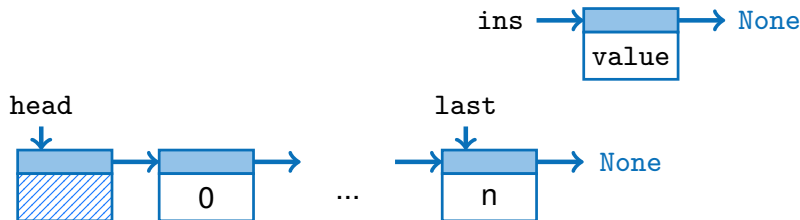


### Appending an element:



```
def append(self, value):  
    last.nextNode = Node(value)  
    last = last.NextNode  
    itemCount += 1
```

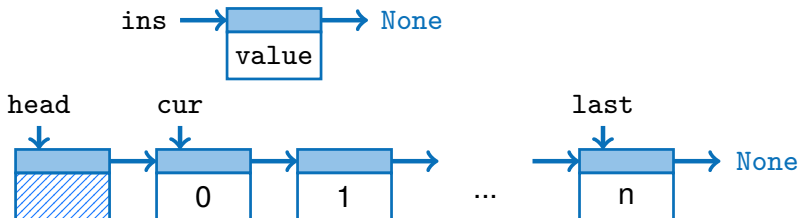
### Appending an element:



```
def append(self, value):  
    last.nextNode = Node(value)  
    last = last.NextNode  
    itemCount += 1
```

- The pointer to **last** avoids the iteration of the whole list

### Inserting after node `cur`:





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- The pointer to `head` is not modified

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```
def insertAfter(self, cur, value):  
    if cur == last:  
        # also update last node  
        append(value)  
    else:  
        # last node is not modified  
        cur.nextNode = Node(value, \  
                             cur.nextNode)  
        itemCount += 1
```

### Remove node cur:





### **Remove node** `cur`:

- Searching the predecessor in  $O(n)$

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- Searching the predecessor in  $O(n)$

```
def remove(self, cur):  
    pre = first  
    while pre.nextNode != cur:  
        pre = pre.nextNode  
  
    pre.nextNode = cur.nextNode  
    itemCount -= 1  
  
    if pre.nextNode == None:  
        last = pre
```





### **Getting a reference to node at pos:**

- Iterate the entries of the list until position in  $O(n)$

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```
def get(self, pos):  
    if pos < 0 or pos >= itemCount:  
        return None  
  
    cur = head  
    for i in range(0, pos):  
        cur = cur.nextNode  
  
    return cur
```



**Searching a value:**



### Searching a value:

- First element is head without an assigned value



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```
def contains(self, value):  
    cur = head  
  
    for i in range(0, itemCount):  
        cur = cur.nextNode  
        if cur.value == value:  
            return True  
  
    return False
```



**Runtime:**



### Runtime:

- Singly linked list:





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  - `next` in  $O(1)$



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  - `next` in  $O(1)$
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  - `insert` in  $O(1)$
  - `remove` in  $\Theta(n)$
  - `lookup` in  $\Theta(n)$
- Better with `doubly linked lists`



### **Doubly linked list:**



### **Doubly linked list:**

- Each node has a reference to its successor and its predecessor

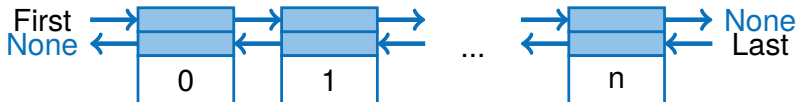


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### **Doubly linked list:**



### Doubly linked list:

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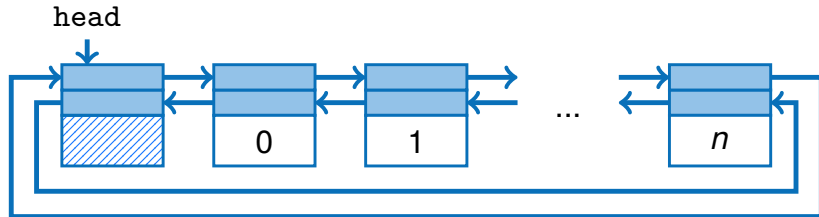


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- It is helpful to have a **head** node
- We only need **one head** node if we cyclically connect the list

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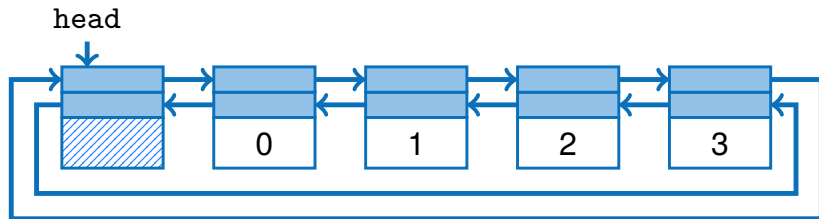
# Linked Lists

List in real program



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## Linked list in book:

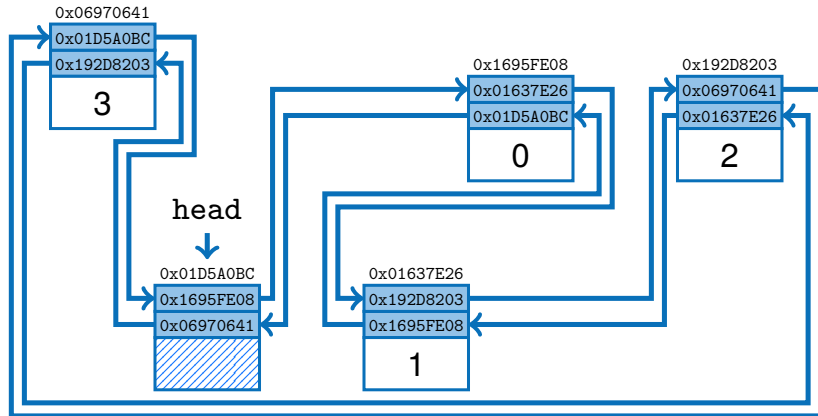


# Linked Lists

List in real program



## Linked list in memory:





Sorted Sequences

Linked Lists

Binary Search Trees



### **Runtime of a search tree:**

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The structure helps searching efficiently



**Idea:**



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- Edge direction indicates ordering

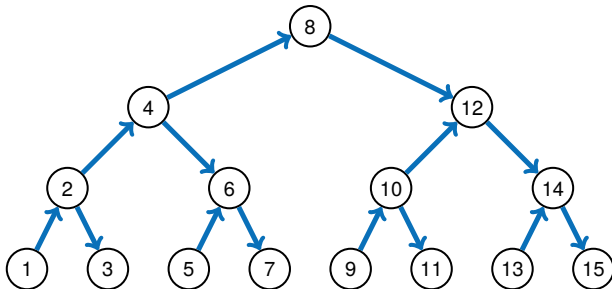


Figure: a binary search tree

# Binary Search Trees

## Introduction

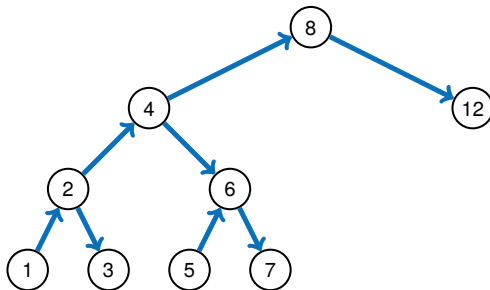


Figure: another binary search tree

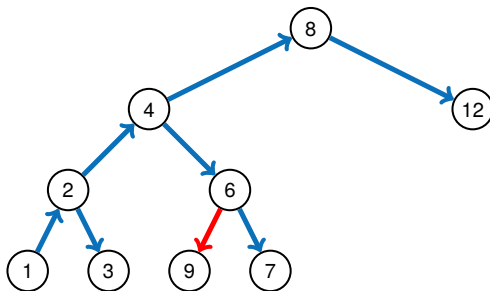


Figure: **not** a binary search tree

# Binary Search Trees

## Implementation



## Implementation:



### Implementation:

- For the heap we had all elements stored in an array
- Here we link all nodes through pointers / references, like linked lists



### Implementation:

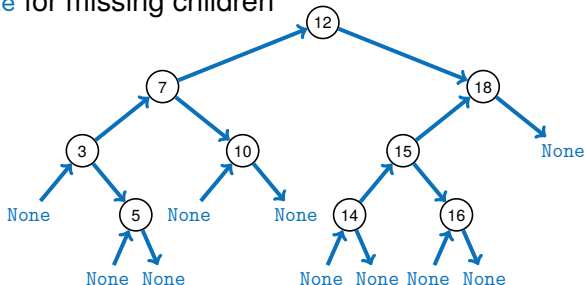
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# Binary Search Trees

## Implementation



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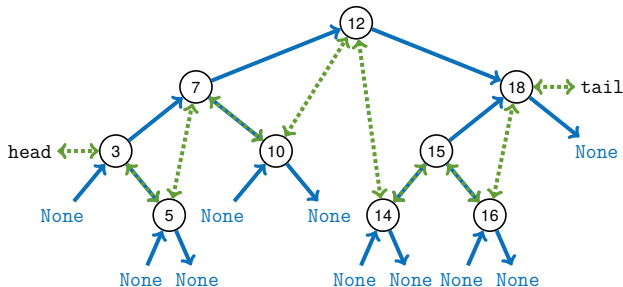


Figure: binary search tree with links



### Lookup:



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“ Search the element with the given key. If no element is found return the element with the next (bigger) key. ”

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**For each node applies the total order:**

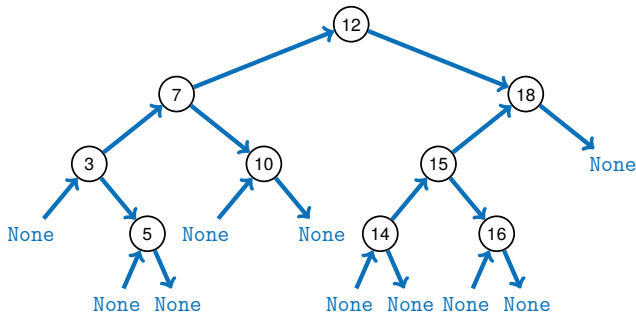


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keys of left subtree < `node.key` < keys of right subtree

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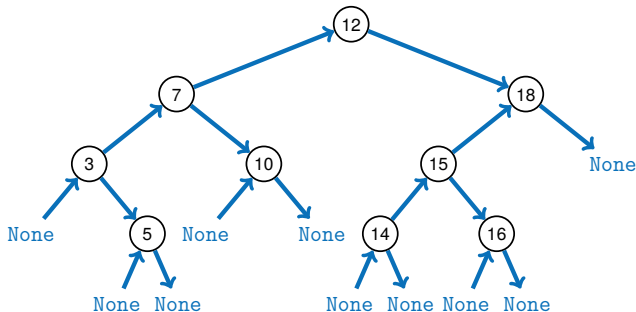
**Examples:**

Figure: binary search tree with total order “<”



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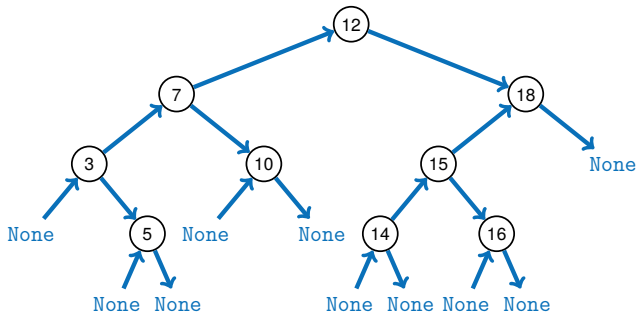
**Examples:**

lookup(14)

Figure: binary search tree with total order “<”

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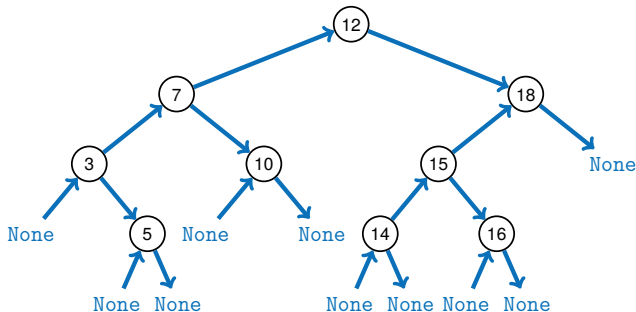
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**Examples:**

lookup(14)

lookup(6)

lookup(19)

Figure: binary search tree with total order “<”

# Binary Search Trees

## Implementation - Insert



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**Insert:**



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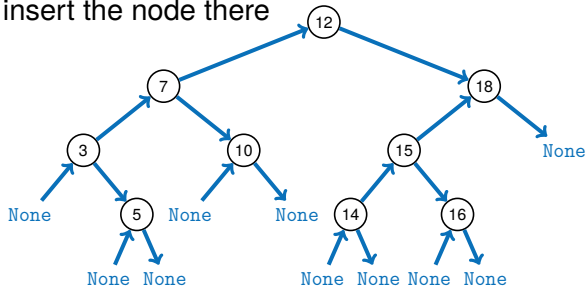


Figure: Binary search tree with total order “<”

# Binary Search Trees

## Implementation - Remove



**Remove:** case 1: the node “5” has no children



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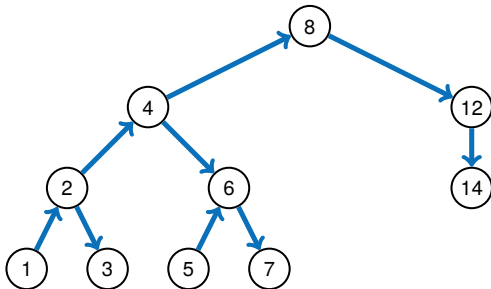


**Remove:** case 1: the node “5” has no children

- Find **parent** of node “5” (“6”)
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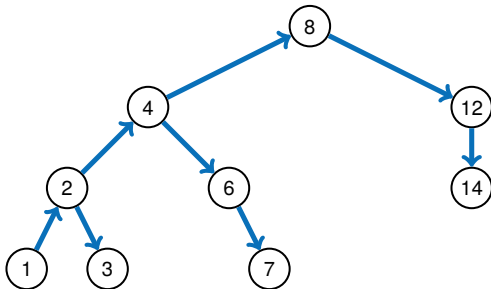
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**Figure:** Binary search tree with total order “<”

**Remove:** Case 1: The node “5” has no children

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**Figure:** binary search tree after deleting node “5”



**Remove:** Case 2: The node “12” has one child





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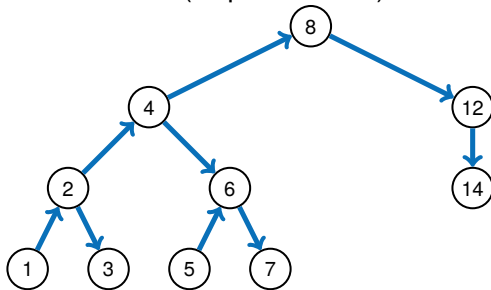
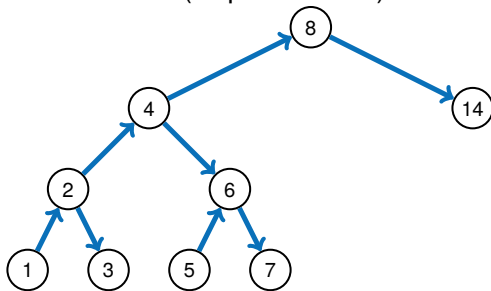


Figure: binary search tree with total order “<”

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**Remove:** Case 3: The node “4” has two children

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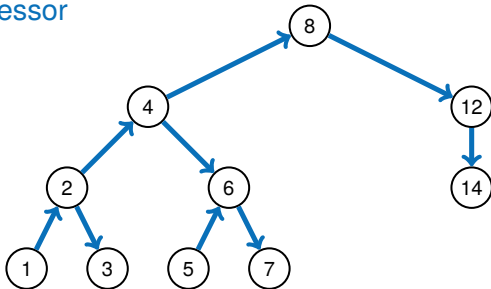
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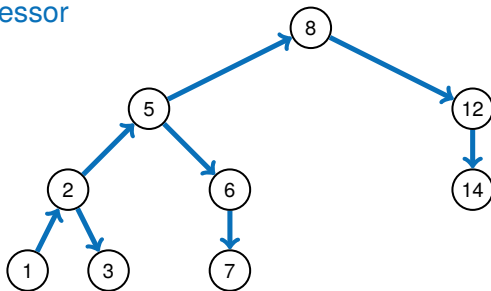
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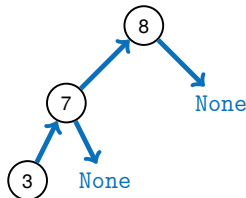


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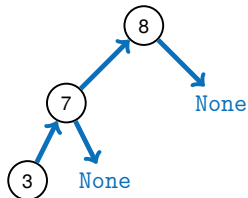
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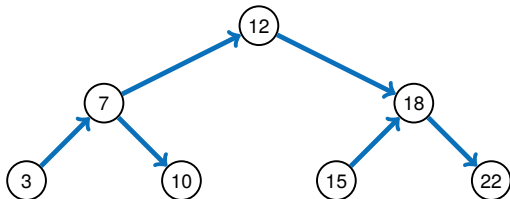
**Figure:** degenerated binary tree  $d = n$

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**Figure:** degenerated binary tree  $d = n$



**Figure:** complete binary tree  $d = \log n$

## ■ Course literature

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MIT Press, Cambridge, Mass, 2001.

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## ■ **Linked List**

[Wik] [Linked list](#)

`https://en.wikipedia.org/wiki/Linked\_list`

## ■ **Binary Search Tree**

[Wik] [Binary search tree](#)

`https://en.wikipedia.org/wiki/Binary\_search\_tree`