

# Can mindfulness alter pain sensitivity?

2. Semester master project - Spring 2018 Group 18gr8405



Title:

Can mindfulness alter pain sensitivity?

Theme:

Biomedical Signals and Information

Project period:

Spring  $2018 \ 02/02/2018 - 30/05/2018$ 

Project group:

18gr8405

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Pages: Appendix: Handed in: -

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Synopsis

# Preface

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# 1 Introduction

Probably everybody experienced pain once, for instance due to a cut, a burn or a fall. The pain occurring right after an injury is called acute pain and disappears near-term. [Briggs2010, Mello2016]

Approximately 1.5 billion people [Zeidan2016], which equals 20% of the population suffer from permanent pain, so-called chronic pain [Macfarlanea2016]. The characteristic of chronic pain is a duration more than three months [Mello2016]. Due to the persistence of pain the patients get restricted physically as well as psychically. The patients' ability to participate in diverse activities decreases. Those activities are not only exercising, walking or lifting, but also social activities. Also maintaining an independent lifestyle and relationships to friends as well as to family, sexuality and sleeping are affected. Besides the impacts on life, pain has impact on the work life. 25% of the patients indicated in a survey that the persistence of pain had a lasting effect on their employment status. These patients changed their job, the job responsibilities or lost the job. As a result from this impacts 21% of the chronic pain patients are diagnosed with depression. [Breivik2006]

25 % of the chronic pain patients suffer from neck pain [Macfarlanea2016]. Those patients are restricted by negatively affected fatigue and concentration [vanRanderaat2016]. Furthermore, they suffer like the majority of chronic pain patients from anxiety and depressed mood, cognitive distress and the resulting physical limitations. [gross2013]

At the moment there is no cure for chronic pain patients. The current treatment methods only provide possibilities to relieve the pain. [marcus2009, pope2017] Nevertheless, the majority of the patients feels pain daily and this pain is increasing throughout the day due to the daily activities. [Breivik2006] Chronic pain is mainly treated by medication. However, those medicaments have side effects like abuse or organ damage. To avoid those risks, alternative methods are used. One of those methods is mindfulness meditation. Whereby meditation is used as mental training to achieve diminished judgment of emotions, cognitive control and existential insight. [Zeidan2012]

Previous studies show that mindfulness meditation provides the ability to enhance a broad spectrum of cognitive health outcomes. Furthermore, stress, depression and anxiety can be relieved. This improvements are due to the mental training achieved by mindfulness meditation. Especially because of emotion regulation, cognitive control, acceptance and positive mood. [Zeidan2012, Zeidan2016] ...

The present study addressed the question if short-term mindfulness meditation can relieve neck pain by measuring pressure pain threshold and pressure pain tolerance. Therefore

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<sup>&</sup>lt;sup>1</sup>FiXme Note: small sentence to bond with next passage

the hypothesis "Short-term mindfulness meditation increases the pressure pain threshold and the pressure pain tolerance" was tested.

# 2 | Background

\*\* INTRODUCTION TO BACKGROUND \*\*\*

#### 2.1 Pain

Pain is defined, by the International Association for the Study of Pain, as an unpleasant sensory and emotional experience associated with actual or potential tissue damage [Kerstman2013]. Pain is a sudden or slow onset of any intensity from mild to severe pain [Mello2016] and can be categorized based on the pain experience as acute, chronic and intermittent pain [Goldberg2011]. Acute pain is anticipated or predictable, while chronic pain is not anticipated or predictable. Chronic pain has a duration greater than three months with a constant or recurring of pain. Contrary to chronic pain, intermittent pain is not constant but has interruptions in between [Mello2016].

Pain is a worldwide problem and affects all populations regardless of gender<sup>1</sup>, age, income, ethnicity or geography, but the distribution across the globe differs. The prevalence and incidence is high despite the complexity of quantifying pain. It is estimated that 20% of the world's populations adults suffer from pain and each year 10 % is diagnosed with chronic pain [Goldberg2011].

The frequently causes of pain are trauma, surgery, cancer, osteoarthritis and rheumatoid arthritis, injuries and spinal cord problems. Furthermore, pain can lead to different conditions, such as depression, inability to work, limited social relationships and suicidal thoughts. [Goldberg2011, Breivik2006]

People with chronic pain often complain of cognitive problems which interfere with their daily functions. Additionally, it is indicated that among people with chronic pain there is a consistent evidence for disturbances in attentional capacity, processing speed, and psychomotor speed. However, the relationship between pain and cognitive problems is unknown. [Geisser2018]

# 2.1.1 Types of pain

Pain can be divided into nociceptor pain and neuropathic pain [Steeds2013]. Nociceptor pain can be classified attending to the location of pain as somatic pain or visceral pain. Somatic pain occurs when nociceptors in skin, muscles, skeleton, joints or connective tissues are activated. Visceral pain is defined as pain that results from the activation of nociceptors in the thoracic, pelvic or abdominal viscera. Unlike somatic pain, visceral pain is harder to localize within the body. Another type of pain is neuropathic pain,

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<sup>&</sup>lt;sup>1</sup>FiXme Note: It's more likely to get chronic pain if one is female. See Macfalane

which is caused by a primary lesion or dysfunction of the peripheral nervous system or central nervous system. The main difference from nociceptor pain is that neuropathic pain has an absence of continuous nociceptive inputs. [Kerstman2013]

#### Nociceptor pain

Nociceptors are free nerve endings and have a high threshold for mechanical, chemical or thermal stimulation. There are two types of nociceptors,  $\alpha\delta$  and C fibers.  $A\delta$  fibers are myelinated nerve cells with a diameter between 2 and  $5\mu$ m, which produce fast well localized sharp pain. Those fibers are mostly distributed in the body surface, muscles and joints. C fibers are unmyelinated nerve cells with a diameter below  $2\mu$ m, which produce slow and poorly localized burning and throbbing pain. The C fibers are distributed in most tissues. [Steeds2013]

When a noxious stimulation occurs, the nociceptors will be activated and propagate the pain information to the spinal cord via dorsal horn, which is illustrated as the red arrow on figure 2.1 [Martini2012]. The second order neuron is activated by the release of neurotransmitters from the nociceptor. The second order neuron receive these information and cross over to the opposite side of the spinal cord and brings the information towards the brain via the lateral spinothalamic tract, which is indicated by the white arrow on figure 2.1. This information will be transmitted by releasing neurotransmitters to the third order neuron in the thalamus. The third order neuron localizes and discriminates the pain in the brain, illustrated as a black arrow on figure 2.1, but in the opposite side from where the pain actually occurred. Perception of pain on the right side of the body is processed on the left side of the brain and vice versa [Martini2012].

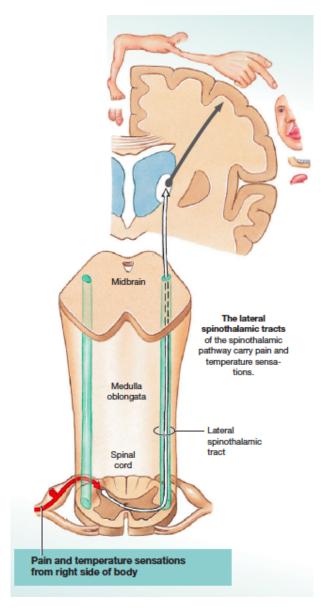


Figure 2.1: Spinothalamic pathway. Modified [Martini2012]

#### Neuropathic pain

Neuropathic pain is caused by a disorder in the somatosensory system and is often a chronic condition related to injuries or diseases [Mindruta2013]. The disease occurs at different levels in the nervous system and affects the signaling of pain. It is difficult to localize the distribution of neuropathic pain compared with nociception pain, because the distribution is no longer respect<sup>2</sup> by nerves, roots, segments, proximal or distal territories. However, neuropathic pain can be described based on a mechanism<sup>3</sup> and be divided into peripheral, central or mixed syndromes correspond to the anatomy and the underlying

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<sup>&</sup>lt;sup>2</sup>FiXme Note: What does it mean? Should it be "not longer respected"?

<sup>&</sup>lt;sup>3</sup>FiXme Note: What mechanism?

disease. This mechanism can, however, produce painful symptoms in the same disease, but it would take different aspects. The sensation can be described as a sudden pain which is burning, tingling, shooting stabbing or numb and can be intermittent or continuous. <sup>4</sup>

# 2.2 Prevalence of chronic pain

<sup>5</sup> A survey by [**Breivik2006**] done in 2006 assessing chronic pain in 15 European countries and Israel found the most common types of chronic pain within 4839 participants suffering from chronic pain. The study found 50 % of the participants to suffer from back pain, 40 % suffering from joing pain, especially knee pain and about 20 % suffering from head pain, neck pain, hand or leg pain. Participants aged between 41-60 suffered mostly from chronic pain. The causes of the pain were mostly due to arthritis, osteoarthritis, traumatic injury and herniated or deteriorating discs within the vertebrae. [**Breivik2006**]

# 2.3 Assessment of Pain

Pain is described as a complex and subjective experience that poses a number of measurement challenges due to its subjective nature. Nevertheless, pain measurements are necessary for pain studies as well as the evaluation of methods to control pain. [Jensen2001] There is no valid and reliable method of objectively quantifying pain at the moment. Despite the challenges that pain measurement present, several tools and approaches can be employed in order to collect useful pain estimates. [Younger2010] The aim of pain assessment is to diagnose the cause, understand the impact, identify appropriate pain relief strategies and evaluate their effectiveness [Briggs2010].

There are different dimensions of pain experience that can be assessed: pain intensity, pain affect, pain quality and pain location. Pain intensity defines how much the pain hurts. Pain affect refers the degree of emotional arousal or changes in action due to the sensory experience of pain. The quality of pain is about the physiological sensations<sup>6</sup> associated with pain.

The intensity of pain can be assessed using unidimensional scales, which explore only one dimension of pain [Jensen2001]. Chronic pain is too complex to assess with only unidimensional scales, as the pain affect the patients' functions, quality of life, emotional state, vocational status, social life and well-being, why multidimensional scales are necessary [Ebert2010].

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<sup>&</sup>lt;sup>4</sup>FiXme Note: citation

<sup>&</sup>lt;sup>5</sup>FiXme Note: Is this section really necessary?

<sup>&</sup>lt;sup>6</sup>FiXme Note: What are the physiological sensations? Or is it psychological sensations? And if yes, what are those?

#### 2.3.1 Unidimensional scales

One used unidimensional tool is the Verbal Rating Scale (VRS) which consists of a list of adjectives describing different levels of pain intensity, as illustrated on figure 2.2. This type of scales are easy to administer, score and apprehend. However, it has several statistical disadvantages and criticism raised due to the fact that assumes equal intervals between the adjectives. [Jensen2001] For this particular reasons along with others it is used when the patient's conditions require it [Jensen1986].

```
[] No pain [] Mild pain [] Moderate pain [] Servere pain
```

Figure 2.2: Verbal Rating Scale (VRS). Modified [Jensen2001]

Another possibility of unidimensional scales is a Visual Analogue Scale (VAS). VAS consists of a 10 cm line, as shown in figure 2.3, the ends of this line are labeled as the extremes of pain. The scale is scored by measuring the distance from 'no pain' end to the patient's mark. This fact makes the VAS more sensitive to changes in pain intensity. However, one of the drawbacks is that scoring time is higher than for other methods. [Jensen2001]



Figure 2.3: Visual analogue scale (VAS). Modified [Jensen2001]

Another method is the Numerical Rating Scale (NRS), which is illustrated on figure 2.4 and is the most used by clinicians, due to the usefulness of administration and scoring [Fillingim2016]. NRS consits of an numerical scale from 0 to 10, being described 0 as 'no pain' and 10 equal to 'higest level of pain'. The advantage of NRS is that it not requires patients mobility because the response is given verbally. NRS is a valid method and demonstrates positive and significant correlations with other measures of pain intensity [Jensen2001].



Figure 2.4: Numerical Rating Scale (NRS). Modified [Jensen2001]

Pictures or face scales can be used to illustrate facial expressions of different intensities of pain. The primary purpose of these scales was to offer individuals, which have problems with written language or cognitive difficulties, an option to express pain intensity. There is evidence that the pictures or face scales are a valid method [Jensen2001].

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#### 2.3.2 Multidimensional scales

Multidimensional scales are convenient in relentless pain conditions. Multidimensional scales measure several dimensions of pain with different combinations of these dimensions. These scales offer a more detailed reflection of the patient's pain experience [Briggs2010]. There are a lot of multidimensional scales, but there are six common used in clinical trials for assessing pain quality. Three of the methods are editions of McGill Pain Questionnaire (MPQ).

The most common used is MPQ, which consists of 78 words and describe the pain in sensory, affective and evaluative terms. These terms are arranged in groups according to the quality of pain and intensity of this pain. A 6-point VRS is used to determine the intensity of the pain. The MPQ is proved as a valid method support by several studies. One disadvantage of the MPQ is the length and complexity, why a brief form of this questionnaire has been introduced, the short-form McGill Pain Questionnaire (SF-MPQ) [Katz2001]. <sup>7</sup>

Furthermore, consists two of the six commend in the field of neuropathic pain and the last one is the Pain Quality Assessment Scale(PQAS).<sup>8</sup> Another scale, Brief Pain Inventory (BPI), was developed to assess cancer pain and has been proven as a useful instrument to asses different kinds of pain in several clinical settings. The BPI measures pain severity, pain quality and the disturbance in the patients' daily life. Two subscales score pain intensity and pain interference [Katz2001].

Pain drawing is often used for estimating the location of pain and involves a front and back drawing of the human body. A second common used method is the checklist, which is a simple list of possible sites of pain. [Jensen2001]

#### Quantitative sensory testing

The Qualitive Sensory Testing (QST) is a method to assess the patients' response to quantifiable sensory stimuli in order to characterize if the pain is somatosensory function or dysfunction<sup>9</sup>. QST is used for assessing neropathic pain and includes different modulations of stimulation, such as thermal (heat, cold), mechanical (tactile, pressure, vibration), electrical, ischemic and chemical. These stimuli and parameters can be selected in order to systematically evaluate the somatosensory transmission and pain processing by engaging different nerve fibers, endings and pathways of the central nervous system. [Fillingim2016]

Approaches for QST, which are used in clinical practice, are the Frey monofilmaments

<sup>&</sup>lt;sup>7</sup>FiXme Note: 15 different descriptors in sensory and affected terms. Each descriptor is rated on a 4-point VRS scale.

<sup>&</sup>lt;sup>8</sup>FiXme Note: WE ALL DON'T GET THIS SENTENCE. FOR US, IT DOESN'T MAKE SENSE AT ALL.

<sup>&</sup>lt;sup>9</sup>FiXme Note: What does it mean: if the pain is somatosensory function or dysfunction.

and tuning forks which are used to measure mechanical sensation. Heated or cooled metal rods can be used to assess thermal sensitivity. The sensitivity of pressure pain can be assessed by a pressure algometer. [Fillingim2016]

### 2.4 Assessment of Pain Thresholds

<sup>10</sup> As a result to a set of experimental noxious stimuli, it is possible to obtain different parameters such as pain thresholds, tolerance or suprathreshold pain intensities. Threshold is defined as the stimulus that produces an arbitrary but defined level of performance. There is a distinction between receptor or absolute threshold and psychophysical or sensory threshold. Absolute threshold is the energy required to elicit response in the primary afferent while the psychophysical or sensory threshold, is the minimal energy necessary to reach perception. Due to the fact that receptor threshold is lower than sensory threshold, the sensory threshold is a convenient parameter which offers the transition point between non-painful and painful stimulus. [Yarnitsky2006]

### 2.4.1 Types of psychophysical methods

Psychophysical research has been mostly concentrated on thresholds measurement owing to, the desire to isolate low-level sensory mechanisms using operationally defined tasks that are intended to minimize the roles of perception and cognition [Pelli2010]<sup>11</sup>. The three most common methods used for testing the perception in stimulus detection are the method of adjustment, method of limits and method of constant stimuli.

- Method of adjustment: The magnitude of a stimulus dimension is adjusted until a prespecified criterion is reached. This method is useful for obtaining a rough threshold estimate to guide the choice of stimulus magnitudes for a forced-choice procedure, when there are different conditions to be measured. [Kingdom2016]
- Method of limits: The magnitude of the stimulus is presented either in ascending or descending order. The subject indicates whether or not the stimulus differs from the baseline. Accordingly, the threshold in each case is the stimulus magnitude at which the response switches from non perception to perception and/or vice versa. [Kingdom2016]<sup>12</sup>
- Method of constant stimuli: The magnitude of the stimuli is randomly selected from a predefined set. This range is selected to straddle the threshold value. If the data generated by this method fits with the appropriate psychometric function, it provides the most accurate estimates of the threshold. The choice of this

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<sup>&</sup>lt;sup>10</sup>FiXme Note: Is this a subsection of "Assessment of Pain"?

<sup>&</sup>lt;sup>11</sup>FiXme Note: check ref. write it more logical

<sup>&</sup>lt;sup>12</sup>FiXme Note: Look at the uncomment part. I am not sure if it relevant. I think it nice to know but needed

stimulus set sometimes demand pilot work to obtain an estimate of the threshold. [Kingdom 2016]

# 2.5 Treatment of chronic pain

<sup>13</sup> There are several treatments for chronic pain patients, depending on the modalities and intensity of the pain. Besides conservative methods, alternative methods are applied to reduce chronic pain. [marcus2009, pope2017]

None of the different treatment methods is enough or sufficient when applied alone. But an individual combination considering the needs of each patient alleviates the suffering of the chronic pain. At the moment it is not possible to cure chronic pain, but to relieve the suffering. [marcus2009, pope2017] A lot of different methods for relieving pain exist, some of these will be described in the following subsections.

#### 2.5.1 Medication

Medication is a common way to treat severe chronic pain patients. Those medicaments can be divided in three groups, the coanalgesic medicaments, the non-opioid and the opioid analgesics. [marcus2009]

- Coanalgesics are normally used to treat other diseases, for example depressions, but still provide analgesic qualities. They are often used to treat fibromyalgia, chronic headache and neuropathic pain. Often coanalgesics are combined with analgesicts to extended pain-relief. [marcus2009]
- Non-opioid analgesics are used to reduce intermittent mild to moderate pain. To this category belong nonsteroidal anti-inflammatory drugs, which decrease inflammation and provide analgesic properties. Non-opioid analgesics are especially used in short-term-therapy. Non-opioid analgesics inhibit the prostaglandin synthesis. Prostaglandin has a protective effect<sup>14</sup>. A permanent use of non-opioid analgesics encourages prostaglandin effects, which conduct in severe organ toxicity. Known side effects are for example gatrointestinal toxicity, pephrotoxicity and a increased risk of cardiovascular diseases. [marcus2009, stein2007]
- Opioid analgesics provide stronger analgesic qualities than non-opioid analgesics and show no prostaglandin effect. These analgesics work by bending in the central nervous system to the opioid or NMDA receptors. Because of this better long-term tolerability, opioid analgesics are used in patients which suffer from chronic non-malignant pain. But the use of opioid analgesics accompanies with the risk of abuse

<sup>13</sup>FiXme Note: reorganize order of subsections

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<sup>&</sup>lt;sup>14</sup>FiXme Note: What kind of protective effect

and misuse. Studies have shown that the median time until abusive behavior is 24 months. Treatment targets and specific requirements are set to minimize this risk. [marcus2009, stein2007] The decision, if non-opioid or opioid analgesics are used, is based on weighing safety, tolerability and effectiveness. The superior effectiveness and the lower organ toxicity of opioid analgesic outweigh the risk of abuse or misuse. [marcus2009]

### 2.5.2 Surgery

Surgery is a less frequent treatment technique. Commonly it is used to relieve patients from pain due to anatomic abnormalities. [marcus2009, pope2017] It is always necessary to weigh risk and benefits of surgery. Where appropriate it should be harked back to other and less invasive treatment options. [pope2017]

### 2.5.3 Physical therapy

Physical therapy is applied with the aim to enhance the patients' flexibility, general fitness and musculature. This is achieved by motion exercises and passive joint mobilization to enhance the muscle function and the joint stability and mobility. A special program is adapted to the patients' needs. Components of this program might be moist heat, cryo therapy, ultrasound and transcutaneous electrical stimulation. Furthermore, assistance can be provided by manual therapy or exercise, which is included to improve the physical fitness, achieve weight loss and decrease the risk of chronic diseases encouraged by inactivity. [marcus2009, pope2017]

# 2.5.4 Psychological therapy

Psychological therapy helps patients to reduce depressions or anxiety and enhance a positive attitude. Also it assists patients to identify necessary lifestyle changes and implement them. [marcus2009, pope2017] <sup>15</sup>

# 2.5.5 Lifestyle changes

<sup>16</sup> Habits or life circumstances can intensify chronic pain. Changes of the lifestyle may help to decrease chronic pain. It is known that the pain sensitivity is negatively enhanced by nicotine. Therefore quit smoking can be a step towards relieving chronic pain. Furthermore, chronic pain patients often suffer from insomnia. Sleep hygiene should be applied to reduce the occurrences as well as the severity of the sleep disturbances. If insomnia is due to medication, it should be revised, if it is possible to change the medication. Obesity is a risk factor in the likelihood to develop chronic pain, besides, it encourages other

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<sup>&</sup>lt;sup>15</sup>FiXme Note: Add some stuff

<sup>&</sup>lt;sup>16</sup>FiXme Note: search for an other place

health problems for example cardiovascular disease or diabetes. It is known that chronic pain occurs more often in people which are overweighted. This is encouraged by the side effects of obesity like psychological disability or musculoskeletal pain. To improve this condition, weight loss should be achieved by the combination of diet and exercises. This will influence the recovery abilities from pain positively. [marcus2009, pope2017]

### 2.5.6 Chiropractor

Chiropractic treatment is adjustment and manipulation of the spine in the patient to alignment the vertebrae of the spine to reduce pressure on the nerves running down the spine [Gerald2013]. This therapy will, just after a few treatments, increase flexibility of the spine of the patient and relieve the pain in some cases. [Peterson2012]

### 2.5.7 Acupuncture

Acupuncture is a treatment method where small sterile needles are inserted into the skin of the patient. The needles are inserted at specific acupuncture points related to the type of pain that the patient is experiencing. [Dhanani2011]. Acupuncture has shown promising results in reducing pain in patients with soft tissue around the shoulder joint, headaches, neck and shoulder pain, arthritis/osteoarthritis and low back pain. The effect of acupuncture can last for more than 3 months in 80 % of the patients [Junnilla1983].

# 2.5.8 Hypnosis

Hypnosis is a process where one comes into the state of trance and feels deep relaxation and is open to conversation verbally. Hypnosis is a guided process and can be carried out alone or by others. [Gerald2013] Factors as anxiety, depression and other states of mood and in general the social life of the patient has been shown to play a role in chronic pain. These mechanisms might be altered by hypnosis. In the literature hypnosis has shown positive results in pain relief, but only on a short term basis. [Dhanani2011]

## 2.5.9 Yoga

Yoga is a form of mind to body practice discipline, or tradition originating from India. In the practice of yoga different physical postures, breathing techniques and more are the routine. Yoga is both, a form of personal evolution, but most popular because of the exercise which benefits the health. A review by Whitehead et al. [Whitehead2017] found that yoga could improve the functionality of the back and a slight effect of treating pain compared to non-yoga participants.

#### 2.5.10 Mindfulness meditation

Mindfulness meditation is practicing of being aware in the present moment, a form of mental training. Mindfulness can be practiced through meditation, which is one of the common ways of practicing mindfulness. Mindfulness meditation practice is said to have several health benefits like increase in cognitive function, decrease stress, depression and anxiety. Through some of these mechanisms pain can be altered, eventually leading in pain relief. [Zeidan2016]

# **2.5.11** Summary

<sup>17</sup> Summarized, all of the methods are used in relieving pain and more exists. No one of the treatment is a cure of pain and they are often interwoven to work together for the best effect for the patient. Most of the methods described require an external person to apply the therapy and medication has side effects. Mindfulness meditation is an easy technique to implement in the patients daily life and is a technique worth to investigate further in depth to see if this kind of treatment can relief the pain for the patient.

### 2.6 Mindfulness Meditation

Mindfulness is usually defined as being in the mental state of non-elaborative and non-judgmental awareness [Zeidan2012, Zeidan2016, Tang2017]. Mindfulness is viewed upon as a lifestyle, which can be practiced through mindfulness meditation. Practicing mindfulness meditation includes control over sensory, emotional and cognitive happenings. Hereby, the ability to control these sensations without being distracted by them as well as the ability to abstract from past and future representations of memory. Thus can be said that mindfulness meditation is training of the mind. [Tang2017] Thoughts and emotions are involved in the perception of pain. Mindfulness meditation will not make the pain go away, but the patient will be able to deal with it easier and reduce the fear associated with pain. Thereby the subjects engage more in in their treatment instead of relying and focusing on the effects of medication. [Jacob2016]

#### 2.6.1 Meditation classification

The most well practiced types of meditation are focused attention (FA) and open monitoring (OM).[Zeidan2016]

FA is the training of concentration. The subjects keep their focus at an object or specific thing. Hereby the flow of breath is often used. If any disturbance comes by, like a thought, sound or other environmental distractions, which will often lead to a drift in attention, the person should always bring the attention back to the focus. [Zeidan2016]

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<sup>&</sup>lt;sup>17</sup>FiXme Note: Do we really need this subsection? If yes, write something about the costs.

OM is the cultivation of open presence. The mind is open to anything, not focusing on any specific thing, just being in the present. If any thought or disturbance comes by, the thought or sensation should be noticed briefly, but then left without thinking more over it. It is believed that this form of meditation is easier to learn when the person masters the meditation of FA. [Zeidan2016]

#### 2.6.2 Mechanisms of mindfulness meditation

Previous research indicates that mindfulness meditation is promising for relieving pain, even though the research is limited, and the mechanisms behind mindfulness meditation are not fully understood yet [Perlman2012]. Studies show that enhanced emotion regulation, cognitive control, acceptance and positive mood have been linked with health benefits as well as pain modulation. These mechanisms are modulated during mindfulness meditation practice. A study by Perlman et al. [Perlman2010] shows that practicing meditation could not lower the intensity of pain, but instead lower pain unpleasantness in the participants. [Zeidan2012, Perlman2010]

The typical response, when using a placebo analgesia is increased activation of the dorselateral prefrontal cortex during pain anticipation. This effect predicts reductions in pain perception and activity of pain related brain regions. Mindfulness meditation does not involve dorselateral prefrontal cortex activation. [Zeidan2012]

The findings on mindfulness meditation and pain modulation are split, but experiments in controlled settings are still needed to confirm, if the effect of mindfulness meditation works on pain modulation. [Zeidan2012, Perlman2010]

Different brain regions are involved in the practice of mindfulness meditation.

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#### Chapter 2. Background

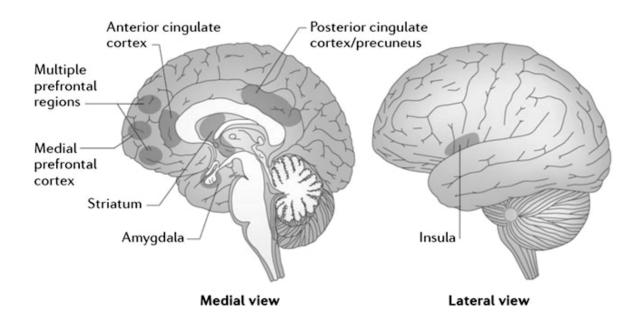


Figure 2.5: Image of the brain highlighting specific regions relevant when practicing meditation <sup>18</sup>

[Tang2017]

The most important is the PreFrontal Cortex (PFC), involving the Anterior Cingulate Cortex (ACC) and the medial PFC as illustrated in figure 2.5. Furthermore, striatum, insula and Default Mode Network (DMN), which includes the medial PFC and the Posterior Cingulate Cortex (PCC) are shown in figure 2.5. These regions play a big role in the effect of mindfulness meditation and are highly regulating the mechanisms of meditation which can generally be catergorized into three catagories: attention control, emotion regulation and self-awareness.

- Attention control is the ability to maintain focus, for instance on the breath during FA meditation. This mechanism includes mainly ACC, PFC and the striatum. Increased activity in the dorsal lateral PFC is required to hold an increased attention, as well as deactivation of the areas of the brain that makes the mind drift, which include the medial PFC. [Tang2017].
- Emotion regulation includes the emotions that arise, when they occur and how they are experienced and expressed. This mechanism involves multiple prefrontal regions, limbic regions and striatum, which are regions primary regulating the emotional thoughts through the limbic system also responsible for goal setting. This need for regulating the emotional control is important because the participant needs to be able to handle boredom or negative mood during the meditation. Stronger subgenual and adjacent ventral ACC activity is present with meditation. This brain area is involved with emotion regulation and attention control. The dorsal lateral PFC and amygdala plays some role in regulation of emotion.

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• Self-awareness includes the awareness of one self, the awareness of being conscious as well as meta-awareness, which is the awareness of the internal bodily state. Regions of the brain involves midline cortical structure DMN, ACC, the insula, medial PFC and PCC. Reduced activity in midline cortical structure including the DMN, more reduction in the posterior part PCC, than the anterior part medial PFC, but increased in perigenual ACC activity.

### 2.6.3 Stages of meditation

Different expertises of meditation appear to modulate the dynamic balance between anterior and posterior midline networks involved in different aspects of self, cognitive self, bodily self and phenomenal experiential self. This reflects self plasticity following meditation. The effort to get into the meditative state varies according to your experience level with meditation. Often this experience level can be divided into three stages, early, middle and advanced practice of meditation. These stages, illustrated in figure 2.6, determine the amount of effort to get into the meditative state [Tang2017].

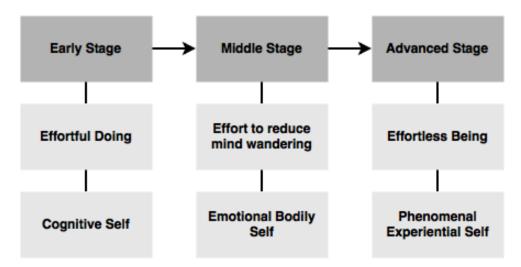


Figure 2.6: The three stages of meditation practice describe the effort, which is necessary, to get into the meditative state. [Tang2017]

In the early stage more mental effort is required. Here the dorsal lateral PFC and partial cortex are often involved and activated more. A stronger deactivation in the DMN occurs when more effort is used. With less effort, the ACC and striatum will participate more. [Tang2017]

The neural mechanisms behind mindfulness meditation in reliving pain has been researched. Experiments with stimulation of nociceptive pain have shown an increase in active areas of the PFC while meditating. Participants express that they feel the pain but are able to deal with it better during meditation focusing on the breath. The mechanisms

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working in analgesia are not the same as the mechanisms during meditation, why the two methods do not interfere with each other. [Jacob2016]

The different areas of the brain show either a reduction or increase in activity when performing meditation. Through meditation the person trains the mind, and specific regions will grow. [Zeidan2012]

### 2.7 State of the Art

Chronic pain has been investigated for years in order to understand the mechanisms behind and the topic is still relevant to explore as many people suffer from chronic pain. Furthermore, it is an issue that pain is difficult to treat due to the individually experience of pain and the subjective assessment of pain. [Briggs2010, Norton1999]

Currently there is no cure for chronic pain, only relief treatments. The primary treatment is pharmaceutical, which has possible side effects, such as abuse or toxicity. Alternative treatments like physical therapy, chiropractic and acupuncture have shown an impact in relieving pain. But these treatments will most likely be used in combination with pharmaceutical treatments. Many alternative treatments have disadvantages such as high costs, why the decision for these treatments should be considered well, to ensure that it suits the patients' needs and to maximise the effect for the cost. [marcus2009, pope2017]

Mindfulness meditation has proved to relieve conditions such as stress, depression and anxiety through the ability to enhance emotion regulation, cognitive control, acceptance and positive mood [Zeidan2012, Zeidan2016]. Studies have investigated the usefulness of mindfulness meditation for people with chronic pain showing promising results in pain relief. [Kabat1982, Rosenzweig2010]

Mindfulness meditation was conceived in the late 1970s and spotted for patients suffering from chronic pain<sup>19</sup> [Chiesa2010]. There is ambiguity within the literature due to the different meditative practices under the term 'mindfulness'. Different studies divide this technique in two styles FA and OM [Lutz2008, Zeidan2012]. Some surveys presented that particularly OM practice is associated with pain reduction [Grant2009, Perlman2010]. The most commonly used mindfulness-based intervention is Mindfulness Based Stress Reduction (MBSR) [Cramer2012]. MBSR consist of 8 or 10 weeks of mindfulness meditation where the patient have to attend once a week a course for 2 hours and 45 minutes session at home 6 days per week [Kabat1982, Chiesa2010]. An extent of MBSR is Cognitive Behavioral Therapy (CBT)<sup>20</sup>, which incorporates elements of cognitive therapy facilitating a detached view of one's thoughts and is designed to prevent depressive relapse [Chiesa2010]. Patients suffering from chronic pain improved pain symptoms as well as life quality after the finalization of MBSR. [Zeidan2012]

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<sup>&</sup>lt;sup>19</sup>FiXme Note: year?

<sup>&</sup>lt;sup>20</sup>FiXme Note: Check CBT!

However, studies showed no difference using MBSR or CBT to relieve chronic pain, as both illustrated positive effect compared with usual care [Jacob2016, Cherkin2016]. MBSR provides significant improvement for patients suffering from neck pain [Rosenzweig2010].

Even though long-term mindfulness meditation is the most investigated, short-term mindfulness training has also shown relief of pain. The studies have investigated different duration of meditation practice and time period within short-term mindfulness meditation. Consequently the boundaries of short-term mindfulness meditation are not well defined. Usshers study [Ussher2012] showed in a clinical setting that 10 minutes mindfulness-based body scan reduces distress and the perception of pains' impact on daily living. However, the study found no effect outside the clinical environment. [Ussher2012]. Another study by [Zeidan2012] proved that only three days of mindfulness meditation with a 20 minutes session each day have an effect on relieving chronic pain.

Some studies have studied the effect of mindfulness meditation for musculoskeletal chronic pain unifying lower and upper back pain, shoulder and cervical pain [Chiesa2010]. Nevertheless, there is not much literature available focusing on chronic neck pain, which about 25 % of the patients suffer from [Macfarlanea2016]. The most investigated method is MBSR, mostly over a time period of two months or more. A shorter time period of mindfulness meditation has not been investigated focusing on neck pain.

# 3 | Methods

# 3.1 Purpose

Approximately 375 million people suffer from chronic neck pain. The primary treatment for those patients is medication. But medication has side effects, as described in section 2.5 on site 10. Besides medication, alternative treatment methods are used, often in combination with medication. For example physical therapy, chiropractor or psychological therapy showed a positive influence on pain relief. Most of the alternative treatment methods are related with high costs, because they require a specialist for the application. Whereas mindfulness meditation can be practiced alone. Hence a lot of studies focused on the ability of mindfulness meditation to relieve pain. As mentioned in section 2.7 on site 17, there are not many studies which show the effect of mindfulness meditation on chronic neck pain. Since a lot of people suffer from chronic neck pain this study investigates the influence of mindfulness meditation on neck pain. Pain levels of chronic pain patients are not very easy to access and to quantify, therefore pressure pain was applied with an algometer on healthy subjects, to test the following hypothesis: Short-term mindfulness meditation practice increases the pressure pain threshold and the pressure pain tolerance.

# 3.2 Subjects

40 healthy subjects were recruited for the experiment, 20 males (M) and 20 females (F) with a mean age of XX±XX years. To get a homogeneous group of participants, specific inclusion and exclusion criteria were formed for this experiment.

#### Inclusion criteria:

- Healthy
- Age between 20 and 30 years
- Normal BMI (F: 19-24 M: 20-25)
- Must have time to meditate for 5 days, 30 minutes per day.

#### Exclusion criteria:

- Ongoing meditation practice
- Acute or chronic pain
- Pregnancy
- Neurological, musculoskeletal or mental illness
- Signs or symptoms of any serious systemic diseases
- Psychiatric, analgesic or other medications that might influence their response to pain

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- Abusive drug or alcohol use<sup>1</sup>
- Lack of ability to cooperate

# 3.3 Study design

For this particular experiment a parallel study was conducted. The subjects, recruited for the experiment, were randomly assigned in two different groups. Whereby an equal amount of females and males were assigned in the control group and the treatment group. The treatment group was measured before and after the intervention, which was the practice of mindfulness meditation. To ensure that a measured effect was not due to habituation to the measurement, a control group was measured with the same time difference. The structure of the study design is illustrated on figure 3.1.

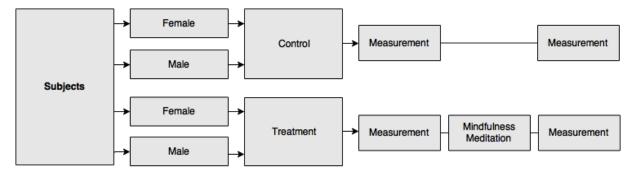


Figure 3.1: Parallel study design.

## 3.4 Procedure

First of all necessary information about the subjects' were collected and information about the experiment was given. Testing points were marked at the upper trapezius on both right and left side while the subjects lay prone to ensure reliable and rapid location during the experimental procedure.

First was the Pressure Pain Threshold measured with an algometer (Wagner Force Ten <sup>TM</sup> Digital force Gage). The algometer was applied until the subject feels it unpleasant and the pain threshold was notated. At the same point was the Pressure Pain Tolerance measured with the same algometer and was applied until the subject used the safeword, stop.

The same measurement routine was conducted four times, two times on the left upper trapezius and two times on the right upper trapezius. Each measurement was notated and an average of those four measurements was used as for the pain threshold and pain tolerance respectively. To avoid oversensation, the two sides were measured alternately with

<sup>&</sup>lt;sup>1</sup>FiXme Note: Think about this 'abusive'

#### Chapter 3. Methods

1 minute pause in between, so the subjects had a resting time between the measurements on the same side.

To test the effect of mindfulness meditation on the pressure pain threshold and the pressure pain tolerance, the treatment group practiced 20 minutes mindfulness meditation for 5 consecutive days. To ensure same meditation conditions for all of the subjects, a guided meditation in form of an audio file was used. Furthermore, subjects were told to have the most comfortable position during the meditation. Additionally a short introduction to mindfulness meditation was provided on the first day.

At the same time, the subjects of the control group continued their normal routine. After the last meditation session of the treatment group the second measurement was conducted. The same time interval between the measurements was used for the subjects of the control group. The second measurement session was conducted likewise the first measurements.

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# 4 | Data analysis

# 5 | Results

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# 6 | Discussion

# 7 | Conclusion

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# 8 | Appendices