

"CONTINUOUS CONTROL"

by

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ABSTRACT

Navigation Agent

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A report about the project how to use reinforcement learning to train an agent to control a double jointed arm to follow a moving target. A multi agent training approach was used to increase training speed. The continuous action-space was solved using a Deep Deterministic Policy Gradient (DDPG) implementation.

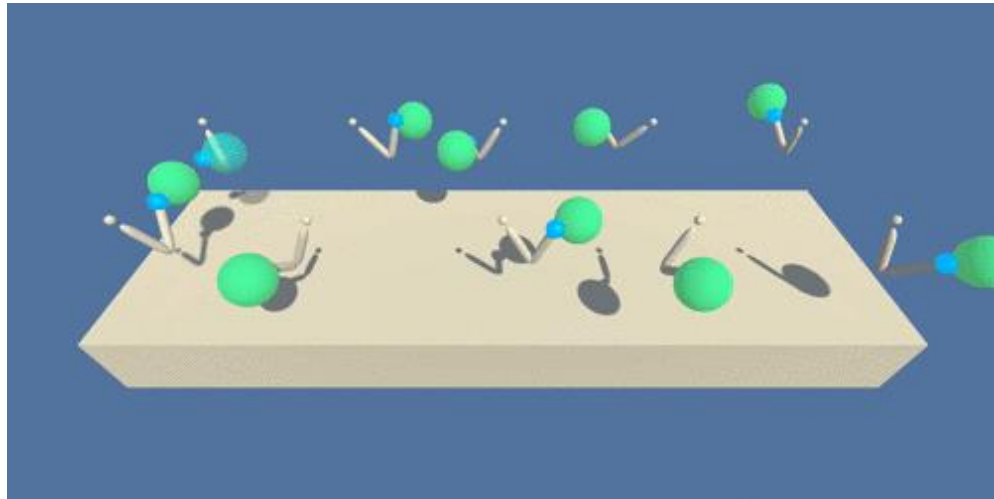


Figure 1. Set of double-jointed arms which can move to target locations (green ball)

## TABLE OF CONTENTS

### Content

Table of Contents.....	i
List of figures .....	ii
Acknowledgments.....	iii
Report.....	1
Continuous control problem and challenges.....	1
Methods .....	2
Results .....	4
Improvements .....	5
Sources & Literature .....	6

LIST OF FIGURES

<i>Number</i>	<i>Page</i>
Figure 1. Set of double-jointed arms which can move to target locations (green ball) .....	i
Figure 2. Mean and average score over episodes .....	4

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## CONTINUOUS CONTROL PROBLEM AND CHALLENGES

### **Continuous Control Problem:**

As in contrast to discrete action spaces like where you can have a limited variation and possibilities of possible actions to select on, the continuous control problem cannot be limited in this regard. Thus, the possible action space is infinite or at least, if you try to discretize, would lead to an action space too large to be handled with a Q-table based solution approach. As *Timothy P. Lillicrap et al 2019* pointed out in their paper about continuous control “... *while DQN solves problems with high-dimensional observation spaces, it can only handle discrete and low-dimensional action spaces*”. Hence a DQN algorithm is not suitable for this problem. The solution they presented is an “*model-free, off-policy actor-critic algorithm using deep function approximators that can learn policies in high-dimensional, continuous action spaces*”. This solution approach was used within this project to solve the continuous control environment.

### **Goal**

The task for a robotic arm to reach a target is a real-world problem. In this environment, an agent will be trained to control a double-jointed arm to follow a moving target location. A reward of +0.1 is provided for each step that the agent's hand is in the goal location. Thus, the goal of the agent is to maintain its position at the target location for as many time steps as possible.

The observation space consists of 33 variables corresponding to position, rotation, velocity, and angular velocities of the arm. Each action is a vector with four

numbers, corresponding to torque applicable to two joints. Every entry in the action vector should be a number between -1 and 1.

The task is episodic, and in order to solve the environment, the agent must get an mean score of +30 over 100 consecutive episodes.

## METHODS

### DDPG - Deep Deterministic Policy Gradient Agent

In DDPG, two deep neural networks are used, one is called the actor and the other the critic. The actor is used to approximate the optimal policy deterministically. That means the actors goal is to always output the best believed action for any given state which leads to a deterministic policy. The actor is basically learning the **argmax**  $Q(\text{State}, \text{action})$  function, hence which is the **best** action. The critic learns to evaluate the **optimal action value function** by using the actors best believed action. The actor, which is an approximate maximizer, is therefore used to calculate a new target value for training the action value function, hence the critic.

DDPG uses a replay buffer and a soft update strategy to the target networks. In DDPG, there are is a copy for each trained network. Therefore, a regular for the actor and the critic, and a target for the actor the critic. The target networks are now updated using a soft update strategy. This means to slowly blending the regular network weights into the target network weights. Therefore, every time step the target network will only update a 0.01 percent of the regular network weights. So the regular network weights will slowly merged into the target network weights.

### DDPG architecture

The actor and critic structures are listed below:

- state\_size = 33
- fc1\_units = 128
- fc2\_units = 128
- action\_size = 4

```

actor (
    self.fc1 = nn.Linear(state_size, fc1_units)
    self.fc2 = nn.Linear(fc1_units, fc2_units)
    self.fc3 = nn.Linear(fc2_units, action_size)
)

critic(
    self.fcs1 = nn.Linear(state_size, fcs1_units)
    self.fc2 = nn.Linear(fcs1_units+action_size, fc2_units)
    self.fc3 = nn.Linear(fc2_units, 1)

```

As activation function a LeakyReLU was used.

### Hyperparameters

Replay buffer size:

- BUFFER\_SIZE = int(1e6)

Minibatch size:

- BATCH\_SIZE = 128

Discount factor for update rule:

- GAMMA = 0.99

For soft update of target parameters:

- TAU = 1e-3

Noise Parameter long-running mean (Ornstein Uhlenbeck):

- MU = 0.0

Noise Parameter the speed of mean reversion (Ornstein Uhlenbeck):

- SIGMA = 0.2

Noise Parameter the volatility parameter (Ornstein Uhlenbeck):

- THETA = 0.15

Noise added to act step:

- NOISE\_FACTOR = 1.0

Decay rate for noise process:

- NOISE\_DECAY = 1e-6

As optimization algorithm we use the pytorch implementation of the adam optimizer [ADAM].

L2 weight decay:



- WEIGHT\_DECAY = 0
- Learning timestep interval:
- LEARN\_EVERY = 20
- How often to execute the learn-function each EARN\_EVERY steps:
- LEARN\_NB = 10
- Learning rate for ADAM optimizer:
- LR\_ACTOR = 1e-3
  - LR\_CRITIC = 1e-3
- How often to update the main-network:
- UPDATE\_EVERY = 4
- How often to update the target-network:
- UPDATE\_TARGET\_EVERY = 110

## RESULTS

The goal was to reach an mean score over 30 over the last 100 episodes. Using the given model and hyperparameter the mean average over all agents reached the target score after 117 training episodes (see Figure 2. Mean and average score over

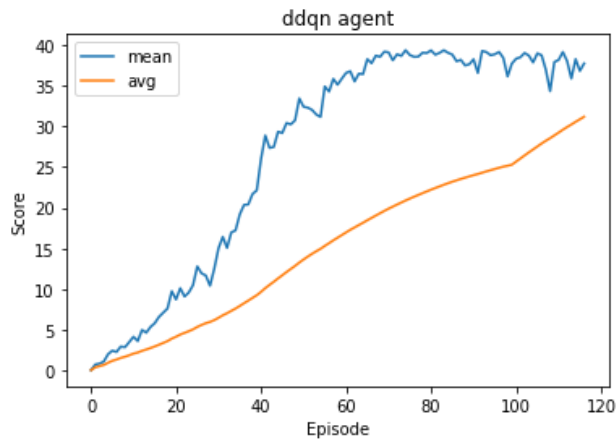


Figure 2. Mean and average score over episodes

episodes).

## IMPROVEMENTS

### IMPROVEMENT 1

For possible improvement a cross-normalization could be used. Cross-normalization introduced by *Aditya Bhatt et. Al. 2019*, stabilizes the training and improves the results in terms of the reward achieved. Moreover, it increased stability sufficiently to enable training without the need for target networks.

Using normal Gaussian Noise to test if *Ornstein Uhlenbeck* noise has a positive influence or not. As *Scott Fujimoto. 2018* pointed out “*Afterwards, we use an off-policy exploration strategy, adding Gaussian noise  $N(0, 0.1)$  to each action. Unlike the original implementation of DDPG, we used uncorrelated noise for exploration as we found noise drawn from the Ornstein-Uhlenbeck process offered no performance benefits.*”

## SOURCES & LITERATURE

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