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GPU Accelerated Method for Constructing and Rendering Trees

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Abstract

The inclusion of trees in virtual environments when trying to represent nature is essential and the branching structure of trees is vital in representing many other types of foliage. This project covers the research of related work involved with algorithmic generation of trees and the mathematical basis for the discussed approaches. The underlying principles relating to the algorithmic production of fractal patterns helpfully coincide with the requirements for producing believable branching structures.

The project aim is to convert and extend the Lindenmayer-system based tree construction method presented by (Prusinkiewicz et al., 1996) to be used as an independent OpenGL module, the intuitive parametric L-system model was chosen because of its ability to produce diverse results with varying input. This module allows the addition of trees to a real-time environment with minimal user interaction, avoiding the difficulties and expenses of manually producing tree models.

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1. Introduction

This section contains a contextual introduction for the project and a collection of related work found during the research phase of development.

1.1. Context

Creating and rendering realistic models of trees manually requires advanced expertise with modelling software packages. This limits the ability to produce convincing 3D scenes for small developers with restricted resources.

The purpose of including trees in a natural environment is to provide realism. Trees are common natural structures present in even simple environments throughout the history of computer graphics and have seen many iterations as technology has advanced allowing for more detailed and realistic results.

The aim of this project is to provide a method for creating and rendering trees to be used in a real-time graphics application. This method should be simple to use and implement into an existing OpenGL project.

1.2. Related Work

In this subsection various related works will be discussed with respect to how they contribute to the main knowledge required for this project, ordered chronologically. These areas of main knowledge are the branching structure, branch thickness and leaf placement of the constructed trees.

Aristid Lindenmayer proposed an early theory for the development of organisms using a cell's current state and being combined with input that the cell receives from its neighbour (Lindenmayer, 1968a) (Lindenmayer, 1968b). Two new cells are produced from this development to replace the existing cell and the cycle repeats for the two new cells.

This process of generating new outputs recursively to produce larger structures became "Lindenmayer systems" or the abbreviated "L-systems" which became important tools in pattern generation for future applications. L-systems became a common approach for the generation of branching patterns in flora which is where the aim of this project is concerned.

Another early paper that is referenced by many later studies of the subject is by Honda (1971) where he presented one of the earliest algorithmic methods for creating a branching structure. This was done by starting with a parent branch which then bifurcates into two child branches and rotating them by given angles about the termination point of the parent branch, an example of which can be seen in Figure 1. By continuing this method he treated each child branch as another parent and bifurcated them to expand the branching structure which would be continued until a desired result is reached.

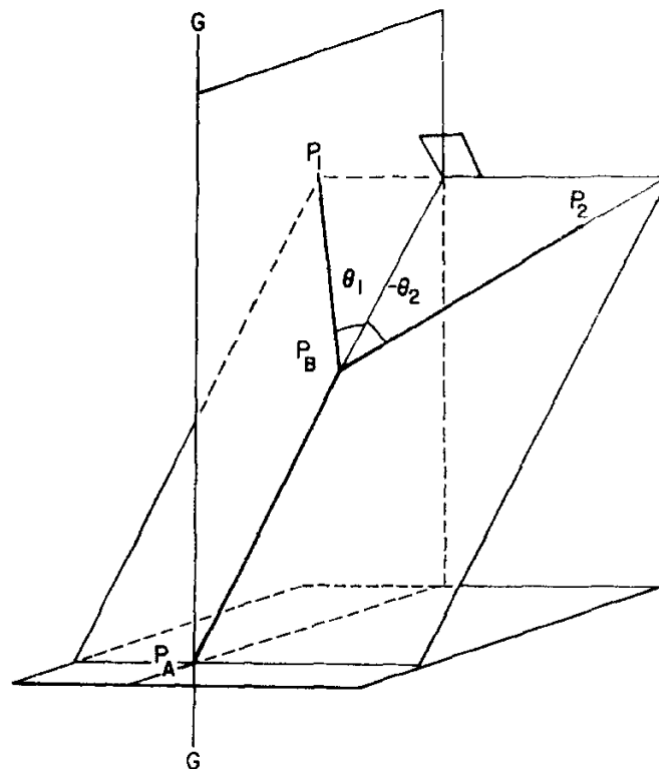


Figure 1.: Example diagram of parent branch P_AP_B bifurcating into child branches P_BP_1 with a rotation of θ_1 and P_BP_2 with a rotation of $-\theta_2$

This paper does not include any information relating to branch thickness or leaf placement as its focus was only on the branching structure. However, this paper provides a groundwork for many later studies that will be discussed in this project.

Bloomenthal (1985) presents his own tree generation process, specifically for a maple tree. He wanted to move beyond simply the branching pattern of a tree which most

efforts before had focused on (Brooks et al., 1974; Marshall et al., 1980; Kawaguchi, 1982; Aono and Kunii, 1984; Smith, 1984). For the construction of the branches and trunk he uses splines to create a tree skeleton, the use of splines rather than straight lines is chosen to produce a more natural structure. He then uses generalised cylinders with varying radii across the splines to create thickness. He also describes the use of ramiforms, shown in Figure 2, to make branch bifurcations realistically curve rather than have an acute separation.

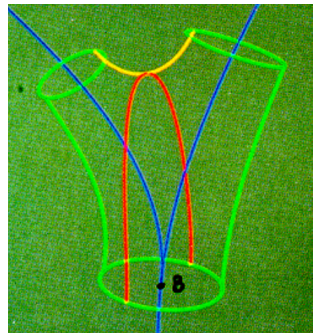


Figure 2.: A ramiform used to create a realistic bifurcation

The method he describes for leaf placement uses polygonal structures to which he applies a leaf texture, these polygons are then brought together in clusters that he calls “configurations” and for each limb that does not exceed a given diameter and that has no outgoing limbs, a leaf configuration is chosen at random, scaled randomly and placed at the limb tip. The interior lines of each polygon correspond to hinge points of the leaves that could be manipulated to show leaf distortion from wind. This method provides a more realistic result than the use of simple primitives (Kawaguchi, 1982; Lintermann and Deussen, 1999; Candussi et al., 2005).

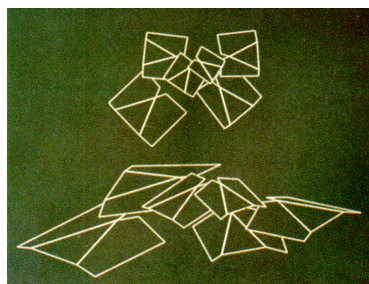


Figure 3.: A leaf “configuration”: plan view (top) and perspective view (bottom)

Prusinkiewicz et al. (1996) presents a method of tree generation using an advanced L-system, developed from the work of Lindenmayer (1968a) and applying that system to the bifurcating parent and child branch trios displayed by Honda (1971). Their chosen method for representing their models is by using turtle graphics in a 3D space and the string generated from the L-system uses symbols that correspond to controlling the turtles orientation in space (Szilard and Quinton, 1979; Prusinkiewicz, 1986).

Prusinkiewicz et al. produce a variation on a standard L-system known as a “deterministic” L-system or “D0L-system” which produces the same result every time. They demonstrate the application to turtle graphics with the well-known snowflake curve (Mandelbrot, 1982; Koch et al., 1906) shown in Figure 4.

The D0L-system uses an Axiom string and a production rule to recursively develop a longer and more complex string. Once a chosen result is reached, the string is traversed and each character or group of characters corresponds to the movement of the turtle. Fractals give a convenient illustration of the basic workings of an L-system (Prusinkiewicz, 1986; Prusinkiewicz and Lindenmayer, 2012). For example, the D0L-system below represents that of the snowflake fractal where $F(s)$ corresponds to a movement forward of distance s and $+(r)$ or $-(r)$ correspond to a rotation positively or negatively about the x axis by r degrees.

Axiom ω : $F(1) - (120)F(1) - (120)F(1)$

Production p_1 : $F(s) \rightarrow F(s/3) + (60)F(s/3) - (120)F(s/3) + (60)F(s/3)$

The axiom draws an equilateral triangle with edges of unit length. Production p_1 replaces each line segment with a polygonal shape where the line segment has been given a convex triangular appendage. The Production p_1 can be visualised as so:

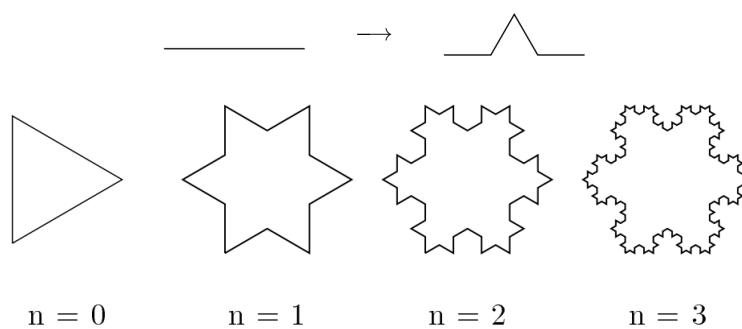


Figure 4.: The visualised production rule p_1 , axiom and first 3 recursions defined by the snowflake D0L-system from (Prusinkiewicz et al., 1996) pg.12

Prusinkiewicz et al. go on to present a complex D0L-system to be used for constructing tree structures by creating a Parametric L-system allowing for input to alter the axiom string producing different results along with using a conditional requirement to decide automatically when to stop recursing.

This L-system is defined below, in this context $A(s, w)$ refers to a line segment or “apex” of length s and width w . Production p_1 begins with the conditional statement to only continue if the length s of the current apex is greater than or equal to min which is a chosen minimum length. If the condition is met then $!(w)F(s)$ draws a line of length s and width w .

Lines a_1 and a_2 refer to the bifurcated child apices of the parent apex which are given a rotation of α_1 and α_2 radians about the x axis and a rotation of ϕ_1 and ϕ_2 radians about the y axis. The length of the child apices is calculated by multiplying the parent apex length s by the corresponding length degradation parameters r_1 and r_2 respectively. A degradation in width is calculated using q and e .

$\omega: A(100, w_0)$

$p_1: A(s, w) : s \geq min \rightarrow !(w)F(s)$

$a_1 [+ (\alpha_1) / (\phi_1) A(s * r_1, w * q^e)]$

$a_2 [+ (\alpha_2) / (\phi_2) A(s * r_2, w * (1 - q)^e)]$

This L-system is used to produce various complex tree patterns, shown in section A of the Appendix, ranging from mathematical fractal patterns to some realistic looking structures.

Prusinkiewicz et al. do not directly propose a method of leaf placement as this paper focuses on tree structure and the manipulation of L-systems, they do however provide an example where terminating apices of an L-system could be replaced with a leaf shape to give a rudimentary leaf placement approach.

Weber and Penn (1995) produced a model for creating and rendering trees that emphasises overall geometric structure of the tree rather than strictly following botanical principles which they credit similarity to the aims of Reeves and Blau (1985). They admit that their model resembles that proposed by Oppenheimer (1986) which used fractals with parameters such as “branching angle” and “helical twist” to produce trees. However, Weber et al. propose that the use of fractal theory self similarity by Oppenheimer is not necessary and restricts results to only a small number of basic trees.

Weber et al. used cylinders and cones as branch segments, each child branches base circle coinciding with the top circle of the parent branch. Transformation of the child

branches being controlled through the intentionally intuitively named parameters that can be edited by the user to produce a desired result.

Figure 5 shows some of the many parameters that can be used to affect tree generation, *0SegSplits* defines the number of splits at each branch termination, *0SplitAngle* controls the angle at each split, *0CurveRes* refers to the taper given to each branch to slowly reduce thickness of each branch, and *1Rotate* defines the y axis rotation of the branch. The number in front of each parameter refers to a branch, 0 being the root branch, the values of parent branch parameters are passed on to the child branches.

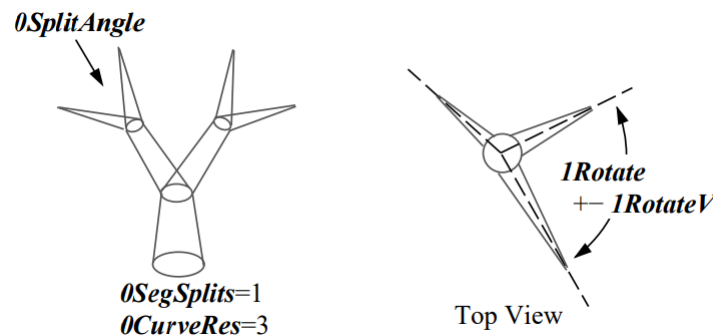


Figure 5.: Example of tree parameters

Leaf placement is handled using a “Level” system that refers to the number of recursions from the root branch. If a parameter *Leaves* is non-zero then the final level of recursion is used to place leaves on the final set of child branches, using a set of leaf specific parameters to control the rotation of each leaf. The shape of the leaves is controlled using the parameter *LeafShape* allowing for different tree species to be represented by adding different leaf shapes. Weber and Penn (1995) served as a groundwork for many future approaches and advances in tree rendering (Remolar et al., 2004; Wesslén and Seipel, 2005). Weber himself went on to produce a simulation focused method of tree generation including some extra features such as branches avoiding obstacles (Weber, 2008).

Another branch structure method, that differs uniquely from methods mentioned above, is that of space colonisation (Prusinkiewicz et al., 2007). The steps of this method can be seen in Figure 6 and works by producing a three-dimensional envelope to be used for the tree crown containing a set of “attraction points” which are used as targets for branch growth. The tree skeleton iteratively expands by producing new branches in the direction of the attraction points. Once an attraction point is reached by a branch, the

point is removed and the branch terminates. This process is continued until all attraction points are reached, when no new branches are within attraction radius of remaining points or when a user inputted number of iterations has been reached.

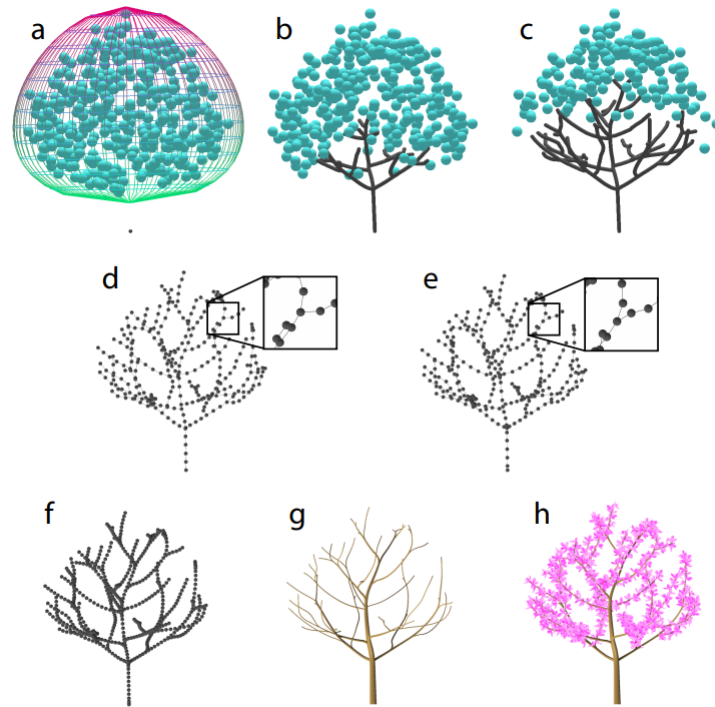


Figure 6.: Space colonisation steps

Once a tree skeleton has been finalised they determine the width of the limbs using the pipe model (Shinozaki et al., 1964) and the tree geometry is modeled using generalised cylinders (Bloomenthal, 1985).

A leaf placement method is not provided as part of this paper due to it focusing solely on branch construction. However, they do suggest that the placement density of leaves or flowers could be controlled using absolute distance from the root position to give a realistic dispersion of leaves throughout the tree crown.

2. Design and Development

After going through the relevant related work, the chosen method for constructing the trees was using an L-system. This was chosen because a complex parameterised L-

system could be designed to produce various different types of trees while using the same logic pipeline. Therefore, the module could be relatively simple and contained, and still be quite flexible with its results.

Using an L-system meant that a basic branch object was needed to construct each section of the tree. The first approach investigated was the use of quadric cylinders, that could be used to give branches thickness. Quadric cylinders could also be defined with a taper allowing for a smooth reduction in thickness towards external branches. Figure 7 shows an early test bifurcation using quadric cylinders.

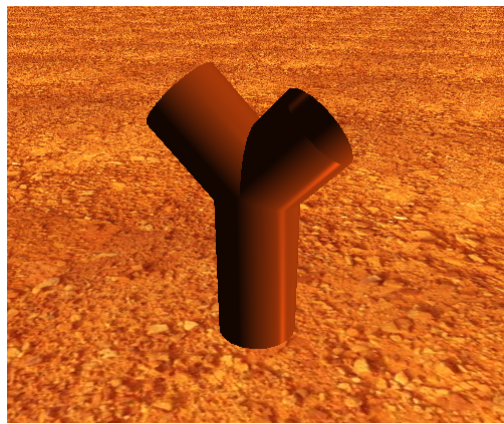


Figure 7.: 3 quadric cylinders positioned as a bifurcation

When positioned correctly, the join between overlapping cylinders was acceptably smooth producing a believable bifurcation. However, this example was made by hard-coding transformation for each quadric that was applied separately with no direct relationship between the parent and child branches. The quadric class was also closed off from being modified, meaning that the construction method would be restricted to however the quadrics can be manipulated and I would not be able to make any tweaks to how the quadrics are constructed to assist with the L-system generation. Another foreseen difficulty was the issue of texturing, which would be more complex when using quadrics. Because of this the decision was made to instead create a cylinder class specifically for this purpose, therefore allowing better control of generating the models and allowing for tweaks to be made when integrating them with the L-system.

The cylinder class was initially designed to produce a line rather than an entire cylinder so that testing could be carried out more quickly and tree skeletons would be easier to

analyse for mistakes in the L-system. Unfortunately the cylinder class did not advance further than using lines for the construction but this will be explained in the Evaluation.

These cylinder objects are constructed using vertex array objects and vertex buffer objects with the aim of being able to store the geometry data of a constructed tree as a vector of these objects and then simply iterate through and load each cylinder object. The cylinder object is constructed using a `glm::vec3` for the base and top centre point of the cylinder, and has a top and bottom radius that would be used to control tapering.

To test the use of this cylinder class and develop understanding of L-system production some basic L-systems were produced. These included a basic binary tree, and a barnsley fern L-system. The L-system for a binary tree is displayed below.

Binary Tree L-system:

$\omega: a$

$p_1: a \rightarrow b[a]a$

$p_2: b \rightarrow bb$

These production rules are applied for a set number of recursions to control the size of the resulting tree. The first 3 recursions of this system, with an axiom of a , are:

1: $b[a]a$

2: $bb[b[a]a]b[a]a$

3: $bbbb[bb[b[a]a]b[a]a]bb[b[a]a]b[a]a$

Each character refers to a certain action when constructing the tree. In this case a means draw a branch segment terminating with two small lines to represent a leaf, b means draw a branch segment, $[$ means push position and angle and rotate 45 degrees left about x and $]$ means pop position and angle and rotate 45 degrees right about x . Six recursions using this system produces the binary tree shown in Figure 8, and you can see the steps used to construct the tree using this method in section B.

A somewhat more advanced L-system, used to test whether the line segments can produce a more natural shape, is an L-system designed to create an adapted version of the Barnsley fern fractal. The production rules for this L-system are shown below.

Barnsley Fern L-system:

$\omega: a$

$p_1: a \rightarrow b + [[a] - a] - b[-ba] + a$

$p_2: b \rightarrow bb$

This L-system produces a natural looking branched fern pattern, shown in Figure 8. This proves that this method of line segments can be used for this projects aim.



Figure 8.: Binary Tree L-system result after six recursions (left) and Barnsley Fern L-system after five recursions (right)

Having confirmed the effectiveness of the cylinder class to produce lines correctly, the next step was to produce a more advanced L-system to create more details structures and make the transition to 3D.

The decision was made to adapt the parametric L-system described by Prusinkiewicz et al. (1996), discussed in the related work section, into OpenGL using the cylinder class to generate lines. This required developed understanding of L-systems and adaptation from the proposed turtle graphics approach, over to using OpenGL vertices.

This parametric L-system was described in the related work section but will be re-iterated with respect to it's adaptation in OpenGL.

$\omega: A(100, w_0)$

$p_1: A(s, w) : s \geq \min \rightarrow !(w)F(s)$

$a_1 [+ (\alpha_1) / (\phi_1) A(s * r_1, w * q^e)]$

$a_2 [+ (\alpha_2) / (\phi_2) A(s * r_2, w * (1 - q)^e)]$

The initial approach taken was to try and implement the classical L-system result of string generation and then producing results by iterating through the resulting string, such as the binary tree and barnsley fern described above. However, after an attempted

implementation of this approach, the decision was made to switch to an object oriented construction.

The L-system production rules takes an “Apex” defined as $A(s, w)$ and produces the two child apices a_1 and a_2 which are then also passed through the production rules to produce their own child apices. This proved clumsy when trying to use strings and therefore an Apex class was created to represent the relevant data of each Apex that could then be used to construct the branches.

The data defined in the Apex class includes:

- The *length* and *width* of the branch.
- The *rotateAlpha* and *rotatePhi* which refer to the rotation about the x and y axis respectively.
- Pointers to the parent Apex and child apices.
- The *localRoot* of the Apex which is a `glm::vec3` used to represent the 3D coordinates of the base of the branch.
- The integer *level* that stores the number of recursions away and Apex is from the root Apex.
- A boolean *isRoot* to check whether an Apex is the root Apex or not.
- A rotation matrix used to rotate apices using *rotateAlpha* and *rotatePhi* with respect to the cumulative rotations of the parent Apex.

These apices are used to create the line segments required to generate the tree structure. The Line segments are stored in a vector and then, when loading the scene, iterated through to render the tree.

The parameters required for this L-system are passed into a function and then used to construct the Apex object necessary for the tree. These variables are:

- *rootPos*, a `glm::vec3` representing the 3D coordinates where you want the tree to be rendered.
- *startBranchLength*, the starting length of branches.

- *minBranchLength*, the minimum branch length used to check the conditional statement in the L-system, if the current branch length is lower than the minimum then the system stops recursing.
- *startBranchWidth*, the starting branch width.
- *angleAlpha1* and *angleAlpha2* control rotations about the *x*-axis.
- *anglePhi1* and *anglePhi2* control rotations about the *y*-axis.
- *lengthDegrade1* and *lengthDegrade2* control the rate at which the length of branches degrades from parent to child.
- *count*, used to control the number of recursions directly if the minimum length approach is not suitable.

Alterations of these variables can be used to produce vastly different outcomes as shown by the results of Prusinkiewicz et al. (1996), which are included in section A.

To construct the apices vector, these variables are passed through to a recursive function that creates each Apex object, sets parent and child relationships, and handles length degradation. This function used to construct the apices vector is shown in section C. The initial implementation for constructing the apices vector used a simple loop, this made setting parent-child relationships unnecessarily obtuse however. Therefore the recursive method was developed to streamline the construction process and also improve readability of the code.

Having constructed the apices vector the last step was to iterate through each Apex and use their data to render a line. This is where most issues arose in development as multiple iterations were used for applying rotations before a working solution was found.

One main mistake in the development process was a misunderstanding of the implementation from Prusinkiewicz et al. (1996) due to their use of a proprietary coordinate system to be used with turtle graphics. Rather than *x, y, z* they used *u, h, l* shown in Figure 9 which lead to a mistake believing that phi rotations should be applied about the *z*-axis instead of the *y*-axis. Unfortunately this issue was not immediately apparent and lead to many different attempts to remedy the problem until this mistake was realised. The examples of each rotation method discussed below will assume the rotation about the *y*-axis.

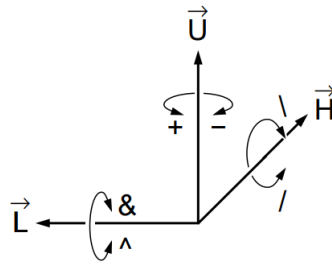


Figure 9.: Axes used to control the turtle in three dimensions

Initially, rotations were applied by calculating the rotation of each element of the coordinate,

For the x -axis:

$$x = x$$

$$y = y \cos(\text{rotationAlpha}) - z \sin(\text{rotationAlpha})$$

$$z = y \sin(\text{rotationAlpha}) + z \cos(\text{rotationAlpha})$$

For the y -axis:

$$x = x \cos(\text{rotationPhi}) + z \sin(\text{rotationPhi})$$

$$y = y$$

$$z = z \cos(\text{rotationPhi}) - x \sin(\text{rotationPhi})$$

These were then simplified to complete all rotations with just one calculation for each element rather than having to run separate calculations for *rotationAlpha* and *rotationPhi*.

$$x = \text{length} \cos(\text{rotationPhi}) \sin(\text{rotationAlpha})$$

$$y = \text{length} \sin(\text{rotationPhi}) \sin(\text{rotationAlpha})$$

$$z = \text{length} \cos(\text{rotationAlpha})$$

The final method that proved successful was the use of rotation matrices as part of the Apex objects with the `glm::rotate` function. When the parent of a child Apex is set in the apices vector construction process, the rotation matrix of the child is calculated using the rotation matrix of the parent so that the angle of the parent branch is taken into account.

The code below is a simplification of `glm::rotate` and assumes local variables of an Apex object, rotation values are converted to radians for use with `glm::rotate` and the last parameter refers to the axis of rotation shown x, y however, in code this would be a `glm::vec3` with a 1 in the parameter corresponding to the chosen axis.

Alpha rotation:

$rotationMatrix = rotate(parentRotationMatrix, rotationAlpha, x$

Phi rotation:

$rotationMatrix = rotate(rotationMatrixAlpha, rotationPhi, y$

The rotation Matrix of an Apex object can then be used to rotate a point for creating a line segment along with the other Apex attributes. The algorithm below displays how the apices vector is used to create lines ready to be rendered into a scene.

Algorithm 1. $constructLines(apices)$ **return** $lines$

Require: $apices$, vector of Apex objects

Ensure: $lines$, vector of line objects

```

1: for all  $Apex$  in  $apices$  do
2:    $top \leftarrow ApexRotationMatrix * (0, ApexLength, 0, 1)$ 
3:    $top \leftarrow (topX + ApexX, topY + ApexY, topZ + ApexZ)$ 
4:    $newLine \leftarrow generateLine(ApexLocalRoot, top)$ 
5:   Push  $newLine$  onto  $lines$ 
6:   if  $Apex$  has first child then
7:     Set  $Apex$  first child  $localRoot$  to  $top$ 
8:   end if
9:   if  $Apex$  has second child then
10:    Set  $Apex$  second child  $localRoot$  to  $top$ 
11:   end if
12: end for
13: return  $lines$ 

```

Figure 10.: Construction of line segments ready for rendering

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A. Parameterised L-system Trees

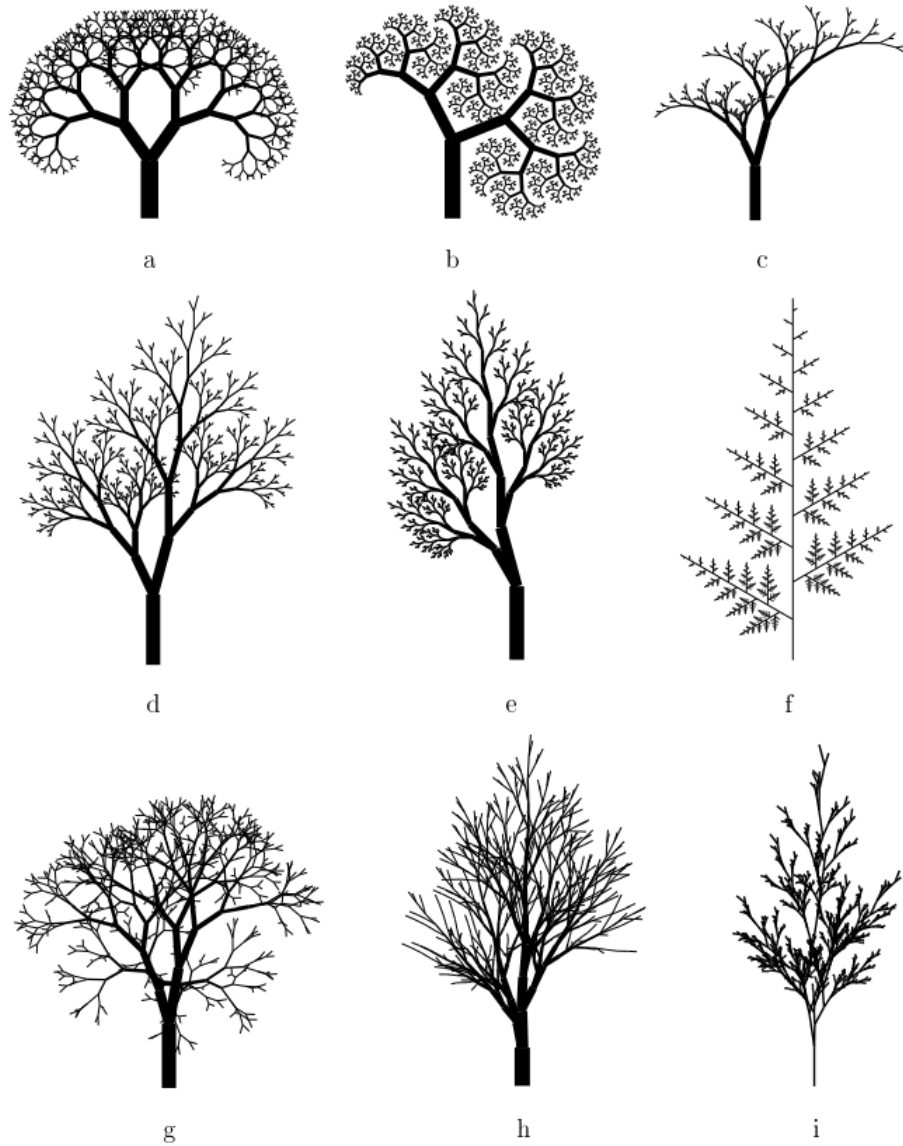


Figure 11.: Tree structures produced using the proposed L-system described by Prusinkiewicz et al. (1996)

B. Binary Tree L-system Algorithm

Algorithm 2. *generateBinaryTree(axiom, numRecursions)* **return** *branchList*

Require: *axiom*, the initial string for the L-system

Require: *numRecursions*, number of times to run production rules

Ensure: *branchList*, list of branch objects that can be rendered

```
1: systemString  $\leftarrow$  getLsystemString(axiom, numRecursions)
2: currentPosition  $\leftarrow$  bottom of tree
3: rotationX  $\leftarrow$  0
4: positionList, angleList
5: for all character in systemString do
6:   if character = a then
7:     newBranch  $\leftarrow$  short terminating branch
8:     rotate newBranch by rotationX about x-axis
9:     translate bottom of newBranch to currentPos
10:    push newBranch onto branchList
11:  else if character = b then
12:    newBranch  $\leftarrow$  long branch
13:    rotate newBranch by rotationX about x-axis
14:    translate bottom of newBranch to currentPos
15:    push newBranch onto branchList
16:    currentPos  $\leftarrow$  top of newBranch
17:  else if character = [ then
18:    push currentPos onto positionList
19:    push rotationX onto angleList
20:    rotationX  $\leftarrow$  rotationX + 45
21:  else if character = ] then
22:    pop positionList to currentPos
23:    pop angleList to rotationX
24:    rotationX  $\leftarrow$  rotationX - 45
25:  end if
26: end for
27: return branchList
```

Figure 12.: Construction method with Binary Tree L-system

C. Construct Apices Vector

Algorithm 3. $\text{constructTree}(\text{root}, \text{apices}, a1, a2, p1, p2, l1, l2, r1, r2, \text{min}, w1, w2, \text{count})$ **return** branchList

Require: root , parent Apex of this recursion

Require: apices , current vector of all generated apices

Require: Generation variables, explained in the caption below

Ensure: apices , vector of Apex objects

```

1: Create new Apex object  $\text{apex1}$  with variables  $a1, p1, l1*r1, w1$ 
2: Create new Apex object  $\text{apex2}$  with variables  $a2, p2, l2*r2, w2$ 
3: Set children of  $\text{root}$  to  $\text{apex1}$  and  $\text{apex2}$ 
4: Add  $\text{root}$  to  $\text{apices}$ 
5:  $\text{count} \leftarrow \text{count} - 1$ 
6: if  $\text{count} > 0$  then
7:   if  $l1 > \text{min}$  then
8:      $\text{apices} \leftarrow \text{constructTree}(\text{apex1}, \text{apices}, a1, a2, p1, p2, l1*r1, l1*r1, r1, r2,$ 
        $\text{min}, w1, w2, \text{count})$ 
9:   end if
10:  if  $l2 > \text{min}$  then
11:     $\text{apices} \leftarrow \text{constructTree}(\text{apex2}, \text{apices}, a1, a2, p1, p2, l2*r2, l2*r2, r1, r2,$ 
       $\text{min}, w1, w2, \text{count})$ 
12:  end if
13: end if
14: return  $\text{apices}$ 

```

Figure 13.: Construction of apices vector: $a1, a2, p1$ and $p2$ are rotations, $l1$ and $l2$ are lengths, $r1$ and $r2$ are length degrade values, $w1$ and $w2$ are widths.