# Summary of atacFormer

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### 1 Current Framework

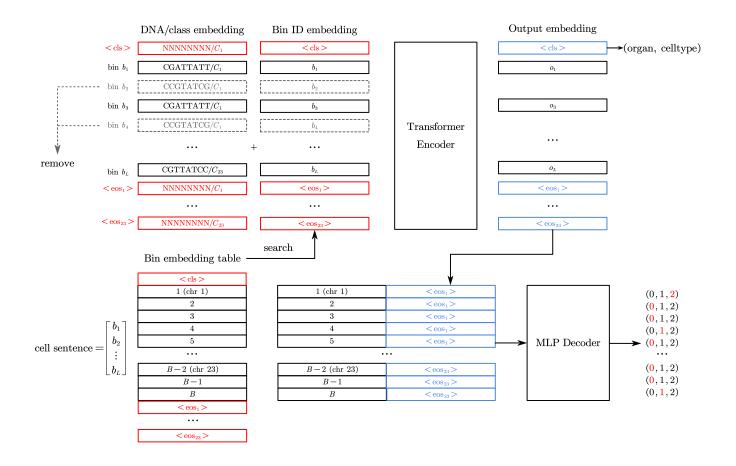


Fig. 1 | Current framework of atacFormer.

Suppose that we have B different ATAC bins in total. Each bin corresponds to a DNA sequence with length 5000, as in SnapATAC. In each cell, suppose that ATAC bins  $b_1, b_2, \dots, b_L$  are open. The cell sentence is formulated as  $(b_1, b_2, \dots, b_L)$ . As the input to the model, some open bins will be removed from the sentence (as masked tokens in BERT).

## Bin ID Embedding

Since the vocabulary size is very large, to reduce the number of parameters, we use **embedding** factorization: The embedding matrix E (of size  $V \times d$ , where V is the vocabulary size and d is the embedding dimension) is factorized into:

•  $E_1$ : A smaller embedding matrix of size  $V \times k$  (where  $k \ll d$ );

•  $E_2$ : A projection matrix of size  $k \times d$ , which maps the reduced k-dimensional embeddings to the final d-dimensional space.

In our case, we take V = B + 25, where 25 is for the embeddings of  $\langle cls \rangle$ ,  $\langle pad \rangle$  and  $\langle eos_1 \rangle$ ,  $\cdots$ ,  $\langle eos_{23} \rangle$  for 23 chromosomes. We take k = 64 and d = 512.

Note: If this does not work, we can use full size bin ID embedding (512 dimensions).

#### Transformer Encoder

12 transformer encoder layers built by Flash Attention. The maximum sequence length (of cell sentence) is fixed to  $6800 (8000 \times 0.15)$  by default.

#### Decoder and MLM Loss

The output embeddings of  $\langle eos_1 \rangle$ ,  $\cdots$ ,  $\langle eos_{23} \rangle$  (512 dimensional) are first compressed to 64 dimensional, and then concatenated with bin ID embeddings on the corresponding chromosome (e.g.  $\langle eos_1 \rangle$  is concatenated with all bins on chromosome 1) into 128-dimensional feature vectors. These feature vectors are feed into an MLP decoder to output the probability of 3 states: 0 (not in the cell sentence), 1 (in the cell sentence) and 2 (in the cell sentence but be removed).

Note: If this does not work, we can concatenate full size embedding (512 dimensions + 512 dimensions) as the input to the decoder, but it will increase the computational burden.

### DNA Embedding (Optional)

We plan to use **Nucleotide Transformer** to build DNA embedding table for all 5000-bp DNA sequence of each bin. For special tokens, the DNA embedding can be fixed to zero vector.

# Supervised Loss (Optional)

The output embedding corresponding to  $\langle cls \rangle$  is used to predict the organ and the celltype of the cell using MLP. For multi-omics data, we achieve the celltype label for scATAC-seq data by running sCimilarity for corresponding scRNA-seq data.

## 2 Future Perspective

## Decoder by Genomic Regions

The  $\langle eos \rangle$  can be designed for different genomic regions instead of chromosomes. The following are possible annotated regions for ATAC bins.

- 1. Gene-related Regions: Promoter, Exon, Intron, 5' UTR, 3' UTR, TTS.
- 2. Non-gene-related Regions: Intergenic, Non-coding RNA (ncRNA).
- 3. Regulatory Elements: Enhancer, Insulator, CTCF Binding Site.
- 4. Other Features: Repeat, Conserved.

We can use **HOMER** to annotate ATAC bins. For each class c of bins, we use one  $\langle eos_c \rangle$  to predict the open/closed state of ATAC bins in this class.

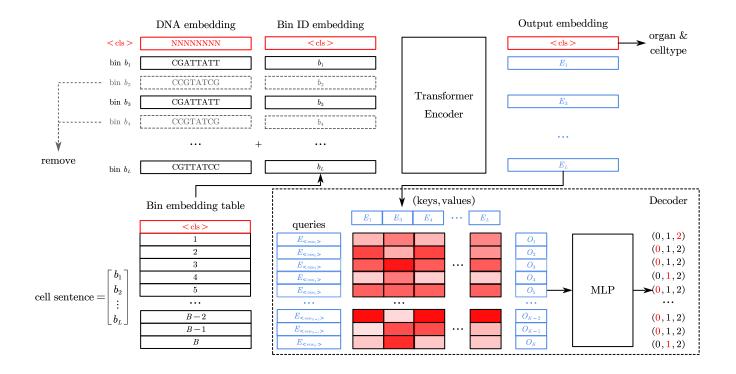


Fig. 2 | Future perspective of using decoder by cross attention.

### Decoder by Cross Attention

Use independent  $\langle eos \rangle$  embedding vectors (not in the cell sentence).

- Use a Transformer encoder to encode the cell sentence, obtaining embeddings  $E_{\text{encoder}} \in \mathbb{R}^{L \times d}$ .
- Define  $< eos_k >$  tokens corresponding to different regions. Each  $< eos_k >$  token has an embedding  $E_{< eos_k >} \in \mathbb{R}^d$ .
- For each  $< eos_k >$  token, compute cross-attention: Output<sup>k</sup> = Attention( $E_{< eos_k >}$ ,  $E_{encoder}$ ,  $E_{encoder}$ ). Each output Output<sup>k</sup> represents the interaction between the  $< eos_k >$  token and the cell sentence.
- For each  $Output^i$ , predict the added/dropped bins in the corresponding functional region:  $Prediction^i = MLP(Output^i)$

The advantages of this strategy are:

- Compared with self-attention, each  $\langle eos \rangle$  token can focus on a specific functional area and dynamically select bins related to it through cross-attention.
- Each < eos > token can interact with the encoder output independently, which can avoid < eos > tokens interacting with each other in the self-attention.

# 3 Pretraining Plan

## Pretraining Steps

- 1. Pretraining for **masked ratio=0**, across datasets from HuBMAP.
  - Sampling closed bins v.s. no sampling (EpiAgent);

- Class embedding v.s. no class embedding;
- Bin ID embedding: full size v.s. small size;
- Decoder input: full size v.s. small size;
- MLP v.s. decoder by cross attention (optional);
- $\bullet$  < eos > assigned according to chromosomes v.s. genomic regions (optional).
- 2. Pretraining for masked ratio=0.15, across datasets from HuBMAP.
  - DNA embedding v.s. no DNA embedding;
  - MLP v.s. decoder by cross attention;
  - $\bullet$  < eos > assigned according to chromosomes v.s. genomic regions.
- 3. Pretraining with supervised learning, across datasets from HuBMAP.
- 4. Pretraining across all available datasets.

#### Additional Tasks

- 1. Annotate the genomic regions for ATAC bins by **HOMER**.
- 2. Obtain DNA embeddings for the DNA sequences of ATAC bins by Nucleotide Transformer.
- 3. Obtain or align the celltype labels by **sCimilarity**.
- 4. Collect datasets from other data sources, and transform them into cell by bin format.