

Glucose-Lowering Medications and Risk of Chronic Obstructive Pulmonary Disease Exacerbations in Patients With Type 2 Diabetes

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IMPORTANCE Recent studies have suggested that sodium-glucose cotransporter-2 inhibitors (SGLT-2is), glucagon-like peptide-1 receptor agonists (GLP-1RAs), and dipeptidyl peptidase 4 inhibitors (DPP-4is) may benefit patients with chronic obstructive pulmonary disease (COPD). However, clinical evidence is lacking on their comparative association with COPD exacerbations in US patients with type 2 diabetes (T2D).

OBJECTIVE To compare the risk of moderate or severe COPD exacerbations among SGLT-2is, GLP-1RAs, and DPP-4is.

DESIGN, SETTING, AND PARTICIPANTS This comparative effectiveness research study used data from three 1:1 propensity score-matched cohort studies that emulated 3 target trials comparing patients 40 years or older with T2D and active COPD who initiated treatment with SGLT-2is vs DPP-4is, GLP-1RAs vs DPP-4is, and SGLT-2is vs GLP-1RAs. Data were from 3 US insurance claims databases: the Optum deidentified Clinformatics Data Mart Database (2013-2023), IBM Health MarketScan (2013-2021), and Medicare fee for service (2013-2020). The data analysis was conducted from January to June 2024.

EXPOSURES Initiation of SGLT-2i or DPP-4i, GLP-1RA or DPP-4i, and SGLT-2i or GLP-1RA for the 3 target trials, respectively.

MAIN OUTCOMES AND MEASURES First occurrence of a moderate or severe COPD exacerbation, defined as a filled prescription for oral glucocorticoids in association with an outpatient COPD visit or hospitalization for COPD. Incidence rates, incidence rate differences (IRDs), and hazard ratios (HRs) with 95% CIs were calculated.

RESULTS There were 27 991, 32 107, and 36 218 pairs in the SGLT-2i vs DPP-4i, GLP-1RA vs DPP-4i, and SGLT-2i vs GLP-1RA propensity score-matched cohorts, respectively (mean [SD] age, 70.8 [8.6] and 70.7 [8.8], 70.4 [8.5] and 70.4 [8.2], and 69.8 [8.7] years, respectively; 13 767 [49.2%] and 13 847 [49.5%], 17 622 [54.9%] and 17 620 [54.9%], and 18 807 [51.9%] and 18 854 [52.1%] female individuals, respectively). During a median follow-up of 145 (IQR, 61-355) days of treatment, the risk of moderate or severe COPD exacerbation was lower among those treated with SGLT-2is vs DPP-4is (9.26 vs 11.4 per 100 person-years [PYs]; HR, 0.81; 95% CI, 0.76-0.86; IRD/100 PYs, -2.20; 95% CI, -2.83 to -1.58) and among those treated with GLP-1RAs vs DPP-4is (9.89 vs 11.49 per 100 PYs; HR, 0.86; 95% CI, 0.81-0.91; IRD/100 PYs, -1.60; 95% CI, -2.18 to -1.02), with minimal differences among those treated with SGLT-2is vs GLP-1RAs (9.47 vs 10.00 per 100 PYs; HR, 0.94; 95% CI, 0.89-1.00; IRD/100 PYs, -0.55; 95% CI, -1.09 to -0.01). Results were consistent across sensitivity and subgroup analyses.

CONCLUSIONS AND RELEVANCE The results of this comparative effectiveness research study suggest that SGLT-2is and GLP-1RAs were associated with a reduced risk of moderate or severe COPD exacerbations compared with DPP-4i in adults with T2D and active COPD. This may inform prescribing of glucose-lowering medications among patients with T2D and active COPD.

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Individuals with type 2 diabetes (T2D) and chronic obstructive pulmonary disease (COPD) face a heightened risk of adverse COPD-related outcomes, including longer hospital stays, severe complications (such as respiratory failure and sepsis), and increased medical expenses.¹ Sodium-glucose cotransporter-2 inhibitors (SGLT-2is), glucagon-like peptide 1 receptor agonists (GLP-1RAs), and dipeptidyl peptidase-4 inhibitors (DPP-4is) are commonly prescribed glucose-lowering medications for managing T2D. These medications have shown promise for potentially improving lung function, particularly for patients with COPD.² Recent meta-analyses and studies have shown an association of SGLT-2is with reduced COPD exacerbations,^{3,4} GLP-1RAs with improved forced vital capacity,^{5,6} and DPP-4is with reduced bronchial hyperresponsiveness by inhibiting the upregulated DPP-4 enzyme during COPD exacerbations.⁷

Previous observational studies on these medications and COPD exacerbations offered valuable insights but were limited by small populations, exclusion of moderate exacerbations, and unadjusted confounders, like body mass index (BMI; calculated as weight in kilograms divided by height in meters squared) and lung function.⁸⁻¹⁰ A UK Clinical Practice Research Datalink study compared SGLT-2is, GLP-1RAs, and DPP-4is with sulfonylureas (SUs), but not with each other, which is more relevant given the declining use of SUs due to higher severe hypoglycemia risk¹¹ and lack of cardiovascular and kidney benefits compared with SGLT-2is and GLP-1RAs.¹²

Considering the elevated risk of COPD-related morbidity and mortality in individuals with T2D,^{13,14} and the knowledge gap on the comparative association of glucose-lowering medications with COPD exacerbations for patients with T2D in the US, we conducted a comprehensive population-based cohort study using the target trial emulation framework¹⁵ to assess the association of SGLT-2is, GLP-1RAs, and DPP-4is with COPD exacerbation risks.

Methods

Data Source

We used the Optum deidentified Clinformatics Data Mart database (April 2013 to November 2023), IBM Health MarketScan Research Database (April 2013 to December 2021), and Medicare fee-for-service claims data (April 2013 to December 2020), which contain longitudinal claims information on medical diagnoses, procedures, hospitalizations, physician visits, and pharmacy dispensing for commercially and federally insured adults across the US. The study protocol was approved by the institutional review board of Mass General Brigham, and data use agreements were in place. This study followed the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) reporting guideline.¹⁶

Target Trial Specification and Emulation of Treatment Strategies

Specifications of the 3 target trials and their emulations are provided in eTables 1 to 3 in [Supplement 1](#). We designed a target trial for each of the following pairwise comparisons: (1)

Key Points

Question Is there a difference in chronic obstructive pulmonary disease (COPD) exacerbation risk among patients with type 2 diabetes (T2D) and active COPD who initiate treatment with sodium-glucose cotransporter-2 inhibitors (SGLT-2is), glucagon-like peptide-1 receptor agonists (GLP-1RAs), or dipeptidyl peptidase-4 inhibitors (DPP-4is)?

Findings In this comparative effectiveness research study of 393 847 patients with T2D and active COPD, in three 1:1 propensity score-matched studies using US insurance claims databases, the risk of moderate or severe COPD exacerbation was lower among those treated with SGLT-2is and GLP-1RAs vs DPP-4is (2.2 and 1.6 fewer events per 100 person-years, respectively), with no large differences between SGLT-2is and GLP-1RAs.

Meaning The study results suggest that SGLT-2is and GLP-1RAs may be preferable to DPP-4is for patients with T2D and active COPD; however, given the observational nature of the study, residual or unmeasured confounding cannot be ruled out.

SGLT-2is vs DPP-4is, (2) GLP-1RAs vs DPP-4is, and (3) SGLT-2is vs GLP-1RAs (see eTable 4 in [Supplement 1](#) for the list of the individual medications included in each class). Cohort entry began on April 1, 2013, which corresponded with the approval of the first SGLT-2i (canagliflozin) by the US Food and Drug Administration,¹⁷ extending to the latest available data for each data source. Patients were required to have at least 365 days of continuous enrollment in their health care plans before entry, with a permitted 30-day gap. The cohort entry date was a new prescription for a SGLT-2i, GLP-1RA, or DPP-4i without prior use of that medication class or the comparison class, depending on the specific target trial emulation, during the 365 days before cohort entry. All patients were required to have 1 or more diagnoses of T2D (defined by *International Classification of Diseases, Ninth Revision, Clinical Modification [ICD-9-CM]* codes 250.xx and *International Statistical Classification of Diseases, Tenth Revision, Clinical Modification [ICD-10-CM]* codes E11.xx)¹⁸ along with an active COPD diagnosis, which was defined as 2 or more outpatient or 1 or more inpatient COPD diagnoses in any position. COPD was identified by *ICD-9-CM* codes 491.xx, 492.xx, or 496 and *ICD-10-CM* codes J41.x, J42, J43.x, J44.x during the 365 days before cohort entry.¹⁹

We excluded individuals who were younger than 40 years (to enhance the specificity of the COPD diagnosis) and those whose age or biological sex information were missing on the cohort entry date, along with pregnant individuals, patients with end-stage kidney disease or AIDS, or those who had undergone a bariatric surgery during the 365 days before cohort entry (eFigures 1-3 in [Supplement 1](#)).

Outcomes and Follow-Up

The primary study outcome was a severe or moderate COPD exacerbation. We defined severe exacerbation as a hospitalization for COPD, identified using the previously mentioned *ICD* codes in the primary discharge position (positive predictive value, 0.86).²⁰ A moderate COPD exacerbation was defined as an oral glucocorticoid (methylprednisolone, pred-

nisolone, or prednisone) prescription fill with a 5- to 14-day supply, accompanied by an outpatient visit within 3 days before or 7 days after the fill and COPD diagnosis in the primary position, and no hospitalization for COPD during the 14 days before or 14 days after the fill (positive predictive value, 0.73).²¹ These definitions have been extensively used in treatment guidelines,²² clinical trials,²³⁻²⁵ and previous observational studies.²⁶⁻³² The secondary study outcome was severe COPD exacerbation only. We followed up patients from the day after cohort entry until the earliest day of discontinuation of the index treatment (with a grace period of 60 days between fills and an exposure risk extension period of 30 days after the end of the last fill's days supply), a switch to or addition of the comparator class, death, end of data, end of study period, or end of insurance coverage (eFigures 1-3 in [Supplement 1](#)).

Covariates

We measured potential confounders and their proxies during the 365-day baseline period before cohort entry (eFigures 1-3 in [Supplement 1](#)). We predefined covariates based on clinical expertise and prior evidence, including demographic characteristics (eg, age and sex), comorbidity burden (measured by a claims-based combined comorbidity score),³³ frailty (measured by a claims-based frailty score),³⁴ baseline COPD severity (eg, Global Initiative for Obstructive Lung Disease group E, defined as ≥ 1 severe exacerbations or ≥ 2 moderate exacerbations),²² inhaler therapy use, active asthma diagnosis (defined as ≥ 2 outpatient or ≥ 1 inpatient asthma diagnosis at any position),³⁵ other comorbidities (eg, obesity, chronic kidney disease), diabetes medication use (eg, metformin and insulin), other medication classes (eg, diuretics and statins), and health care utilization (eg, hospitalizations and physician visits) (see eMethods in [Supplement 1](#) for the complete list of covariates). Data on laboratory parameters, such as hemoglobin A_{1c} (HbA_{1c}) levels (approximately 50%, 90% and 100% missing data in Clinformatics Data Mart, MarketScan, and Medicare, respectively; to convert to the total proportion of hemoglobin, multiply by 0.01) were not available for all participants in any of the databases, and information on race and ethnicity was not available in MarketScan.

Statistical Analysis

We used 1:1 propensity score (PS) matching using logistic regression on 94 baseline covariates to balance characteristics across treatment arms within each database and achieve marginal exchangeability,³⁶ using a nearest neighbor approach with a 0.01 caliper on the PS scale.³⁷ Covariate balance was assessed using absolute standardized differences (ASDs), with values less than 0.10 indicating adequate balance.³⁸ Because information on race and ethnicity (in MarketScan) and HbA_{1c} levels were not available for all patients, these were not included in the PS model.

For each target trial emulation, we calculated the number of events, incidence rates, and incidence rate differences (IRDs) per 100 person-years [PYs], estimating hazard ratios (HRs) with 95% CIs using Cox proportional hazards models.³⁹ HRs and IRDs were pooled from each database via a random-effects meta-analysis (DerSimonian-Laird).⁴⁰ Finally, we pro-

duced cumulative incidence function plots with estimates calculated using the Kaplan-Meier method.

For the primary analysis, we assessed the on-treatment association (ie, the association of continued use of SGLT-2is, GLP-1RAs, or DPP-4is with the risk of COPD exacerbations). We performed several prespecified sensitivity and subgroup analyses that are described in the eMethods in [Supplement 1](#). We redefined COPD exacerbations with greater specificity by including coprescriptions of respiratory antibiotics, oral glucocorticoids, and outpatient visits without recent COPD hospitalizations. We conducted intention-to-treat analyses to address potential informative censoring and shortened the exposure assessment window to 30 days to reduce potential exposure misclassification. We stratified analyses by baseline HbA_{1c} levels ($< 8.0\%$ vs $\geq 8.0\%$) among the subset of patients with baseline HbA_{1c} values; and used PS fine stratification to include a larger study population for analysis.⁴¹ All statistical analyses were conducted using the Aetion Evidence Platform, a software for clinical data analysis, version 4.73,⁴² and RStudio, version 4.2 (Posit PBC). Statistical significance was set at .05.

Results

Study Population and Patient Characteristics

The overall study population included 143 696 patients, 146 795 patients, and 103 356 patients for the SGLT-2i vs DPP-4i, GLP-1RA vs DPP-4i, and SGLT-2i vs GLP-1RA target trial emulations, respectively. After 1:1 PS matching, 27 991 pairs for SGLT-2i vs DPP-4i, 32 107 pairs for GLP-1RA vs DPP-4i, and 36 218 pairs for SGLT-2i vs GLP-1RA were included in the analysis (eFigure 4 in [Supplement 1](#)).

Compared with SGLT-2i vs DPP-4i, the GLP-1RA vs DPP-4i cohort included more female individuals, individuals with active asthma, patients with frailty as measured by the frailty score, chronic kidney disease stage 3 to 4, and insulin and loop diuretic users. The SGLT-2i vs GLP-1RA cohort had more patients with sleep apnea or receiving continuous positive airway pressure/bilevel positive airway pressure and those with oxygen equipment use. The GLP-1RA vs DPP-4i and SGLT-2i vs GLP-1RA cohorts had higher proportion of patients with obesity compared with SGLT-2i vs DPP-4i ([Table 1](#); eTables 5-7 in [Supplement 1](#)). The mean age was approximately 70 years, and approximately 11% were in Global Initiative for Obstructive Lung Disease group E for all cohorts. Covariates were well balanced postmatching.

Target Trial Emulation: SGLT-2i vs DPP-4i

The incidence rate of the primary COPD severe or moderate exacerbation outcome was lower for those treated with SGLT-2is (9.26/100 PYs) compared with those treated with DPP-4is (11.44/100 PYs) (HR, 0.81; 95% CI, 0.76-0.86; IRD/100 PYs, -2.20; 95% CI, -2.83 to -1.58) over a median follow-up time on treatment of 145 (IQR, 61-335) vs 147 (IQR, 62-336) days ([Table 2](#)). Discontinuation of the index treatment was the most common censoring reason (54.2% and 52.9%, respectively) for both arms (eTable 8 in [Supplement 1](#)). There were 6395 (11.4%)

Table 1. Selected Baseline Characteristics of Patients in the 1:1 PS-Matched Cohorts

Characteristic	1:1 PS-matched cohorts, No. (%)								
	SGLT-2i vs DPP-4i			GLP-1RA vs DPP-4i			SGLT-2i vs GLP-1RA		
	SGLT-2i (n = 27 991)	DPP-4i (n = 27 991)	Mean ASD	GLP-1RA (n = 32 107)	DPP-4i (n = 32 107)	Mean ASD	SGLT-2i (n = 36 218)	GLP-1RA (n = 36 218)	Mean ASD
Age, mean (SD), y	70.75 (8.62)	70.69 (8.79)	0.007	70.40 (8.49)	70.40 (8.18)	0.001	69.75 (8.72)	69.73 (8.68)	0.003
Sex									
Female	13 767 (49.2)	13 847 (49.5)	0.006	17 622 (54.9)	17 620 (54.9)	0.001	18 807 (51.9)	18 854 (52.1)	0.003
Race and ethnicity ^a									
Asian	280 (1.0)	300 (1.1)	0.061	225 (0.7)	321 (1.0)	0.089	398 (1.1)	326 (0.9)	0.045
Black	2687 (9.6)	3107 (11.1)		3082 (9.6)	3789 (11.8)		3875 (10.7)	3694 (10.2)	
Hispanic	868 (3.1)	1026 (3.7)		899 (2.8)	1060 (3.3)		1304 (3.6)	1195 (3.3)	
White	18 418 (65.8)	18 138 (64.8)		22 090 (68.8)	21 062 (65.6)		23 397 (64.6)	24 121 (66.6)	
Other/unknown/missing ^b	5738 (20.5)	5420 (19.4)		5811 (18.1)	5875 (18.3)		7244 (20.0)	6882 (19.1)	
Baseline HbA _{1c} level, mean (SD), % ^{a,c}	7.87 (1.78)	7.97 (1.76)	0.020	8.1 (1.8)	8.1 (1.8)	0.002	8.0 (1.8)	8.0 (1.8)	0.009
Baseline lung parameters									
GOLD group E ^d	3104 (11.1)	3098 (11.1)	0.001	3675 (11.4)	3660 (11.4)	0.001	3954 (10.9)	3906 (10.8)	0.004
Glucocorticoids (oral)	12 933 (46.2)	12 830 (45.8)	0.007	14 709 (45.8)	14 682 (45.7)	0.002	16 753 (46.3)	16 767 (46.3)	0.001
Pneumonia	7034 (25.1)	7015 (25.1)	0.002	8142 (25.4)	8141 (25.4)	0.001	8580 (23.7)	8633 (23.8)	0.003
Asthma (active) ^e	3547 (12.7)	3594 (12.8)	0.005	4534 (14.1)	4476 (13.9)	0.005	4725 (13.0)	4762 (13.1)	0.003
Pulmonary hypertension	2763 (9.9)	2821 (10.1)	0.007	2965 (9.2)	3025 (9.4)	0.006	3438 (9.5)	3547 (9.8)	0.010
Pulmonary embolism	1472 (5.3)	1437 (5.1)	0.006	1796 (5.6)	1830 (5.7)	0.005	1990 (5.5)	1989 (5.5)	0.001
Obstructive sleep apnea or CPAP/BiPAP	8103 (28.9)	8141 (29.1)	0.002	9835 (30.6)	9836 (30.6)	0.001	12537 (34.6)	12530 (34.6)	0.001
Dual therapy ^f	12700 (45.4)	12754 (45.6)	0.002	14702 (45.8)	14817 (46.1)	0.007	16767 (46.3)	16723 (46.2)	0.002
Triple therapy ^g	1607 (5.7)	1632 (5.8)	0.001	1715 (5.3)	1766 (5.5)	0.007	2704 (7.5)	2728 (7.5)	0.003
Respiratory events during the 60 d before cohort entry									
Moderate or severe COPD exacerbation	1223 (4.4)	1233 (4.4)	0.002	1330 (4.1)	1320 (4.1)	0.002	1445 (4.0)	1460 (4.0)	0.002
Respiratory antibiotics	8442 (30.2)	8460 (30.2)	0.001	10 035 (31.3)	10 078 (31.4)	0.003	10 816 (29.9)	10 878 (30.0)	0.004
COPD hospitalization	697 (2.5)	705 (2.5)	0.002	784 (2.4)	753 (2.3)	0.006	725 (2.0)	748 (2.1)	0.004
Oxygen equipment use	3473 (12.4)	3528 (12.6)	0.006	3603 (11.2)	3663 (11.4)	0.006	5473 (15.1)	5477 (15.1)	0.001
Comorbidities									
Obesity (BMI ≥30)	11 113 (39.7)	11 100 (39.7)	0.001	14 518 (45.2)	14 489 (45.1)	0.002	16 696 (46.1)	16 698 (46.1)	0.001
Combined comorbidity score, mean (SD)	5.31 (3.27)	5.27 (3.25)	0.012	5.46 (3.20)	5.46 (3.22)	0.002	5.19 (3.14)	5.21 (3.13)	0.005
Frailty score									
<0.15	1211 (4.3)	1218 (4.4)	0.005	1074 (3.3)	1072 (3.3)	0.003	1481 (4.1)	1489 (4.1)	0.002
0.15-0.25	12 826 (45.8)	12 885 (46.0)		13 245 (41.3)	13 285 (41.4)		16 953 (46.8)	16 967 (46.8)	
≥0.25	13 954 (49.9)	13 888 (49.6)		17 788 (55.4)	17 750 (55.3)		17 784 (49.1)	17 762 (49.0)	
Diabetic retinopathy	10 626 (38.0)	10 524 (37.6)	0.008	12 714 (39.6)	12 743 (39.7)	0.002	14 766 (40.8)	14 820 (40.9)	0.003
Diabetic neuropathy	9360 (33.4)	9301 (33.2)	0.004	11 541 (35.9)	11 547 (36.0)	0.001	13 218 (36.5)	13 181 (36.4)	0.002
Peripheral vascular disease/surgery	7352 (26.3)	7224 (25.8)	0.010	8729 (27.2)	8724 (27.2)	0.001	9146 (25.3)	9141 (25.2)	0.001
Congestive heart failure	12 352 (44.1)	12 237 (43.7)	0.008	14 239 (44.3)	14 127 (44.0)	0.007	15 671 (43.3)	15 725 (43.4)	0.003
CKD stage 1-2	1549 (5.5)	1532 (5.5)	0.003	1757 (5.5)	1701 (5.3)	0.008	2052 (5.7)	2038 (5.6)	0.002
CKD stage 3-4	6356 (22.7)	6194 (22.1)	0.014	8688 (27.1)	8705 (27.1)	0.001	8498 (23.5)	8539 (23.6)	0.003
MASH/MASLD	2029 (7.2)	2066 (7.4)	0.005	2347 (7.3)	2316 (7.2)	0.004	2962 (8.2)	2996 (8.3)	0.003

(continued)

Table 1. Selected Baseline Characteristics of Patients in the 1:1 PS-Matched Cohorts (continued)

Characteristic	1:1 PS-matched cohorts, No. (%)								
	SGLT-2i vs DPP-4i			GLP-1RA vs DPP-4i			SGLT-2i vs GLP-1RA		
	SGLT-2i (n = 27 991)	DPP-4i (n = 27 991)	Mean ASD	GLP-1RA (n = 32 107)	DPP-4i (n = 32 107)	Mean ASD	SGLT-2i (n = 36 218)	GLP-1RA (n = 36 218)	Mean ASD
Other medications									
Insulins (concurrent use) ^b	10 741 (38.4)	10 595 (37.9)	0.011	15 672 (48.8)	15 632 (48.7)	0.002	15 637 (43.2)	15 677 (43.3)	0.002
Sulfonylureas (second generation)	10 083 (36.0)	10 003 (35.7)	0.006	11 266 (35.1)	11 307 (35.2)	0.003	13 163 (36.3)	13 003 (35.9)	0.009
Metformin (concurrent use) ^b	13 860 (49.5)	13 873 (49.6)	0.001	14 321 (44.6)	14 357 (44.7)	0.002	16 545 (45.7)	16 593 (45.8)	0.003
GLP-1RA	3095 (11.1)	2894 (10.3)	0.023	NA	NA	NA	NA	NA	NA
SGLT-2i	NA	NA	NA	3052 (9.5)	3131 (9.8)	0.008	NA	NA	NA
DPP-4i	NA	NA	NA	NA	NA	NA	9107 (25.1)	9058 (25.0)	0.003
Thiazolidinediones	1853 (6.6)	1916 (6.8)	0.009	1957 (6.1)	1994 (6.2)	0.005	2464 (6.8)	2462 (6.8)	0.001
Health care utilization, mean (SD)									
No. of hospitalizations	1.21 (1.58)	1.20 (1.52)	0.009	1.24 (1.55)	1.24 (1.56)	0.001	1.09 (1.46)	1.09 (1.53)	0.001
No. of pulmonologist visits	1.93 (4.46)	1.94 (3.95)	0.001	1.96 (4.06)	1.93 (4.38)	0.006	1.83 (4.05)	1.83 (3.97)	0.001
Influenza vaccination	14 229 (50.8)	14 142 (50.5)	0.006	16 786 (52.3)	16 781 (52.3)	0.001	18 117 (50.0)	18 124 (50.0)	0.001
Socioeconomic status parameters, mean (SD)									
Ratio of unique brand to generic medicines	1.03 (0.04)	1.03 (0.04)	0.002	1.03 (0.04)	1.03 (0.04)	0.003	1.03 (0.04)	1.03 (0.04)	0.001

Abbreviations: ASD, absolute standardized difference; BiPAP, bilevel positive airway pressure; BMI, body mass index (calculated as weight in kilograms divided by height in meters squared); CKD, chronic kidney disease; COPD, chronic obstructive pulmonary disease; CPAP, continuous positive airway pressure; DPP-4i, dipeptidyl peptidase-4 inhibitors; GLP-1RA, glucagon-like peptide 1 receptor agonists; GOLD, Global Initiative for Chronic Obstructive Lung Disease; HbA_{1c}, hemoglobin A_{1c}; ICS, inhaled corticosteroid; LABA, long-acting β -agonist; LAMA, long-acting muscarinic antagonist; MASH, nonalcoholic steatohepatitis; MASLD, metabolic dysfunction-associated steatotic liver disease; NA, not applicable; PS, propensity score; SABA, short-acting β -agonist; SAMA, short-acting muscarinic antagonist; SGLT-2i, sodium-glucose cotransporter-2 inhibitors.

SI conversion factor: to convert HbA_{1c} to the proportion of total hemoglobin, multiply by 0.01.

^a Not used in the PS model.

^b Missing: 100% in MarketScan; others/unknown/missing: approximately 5% in the Optum deidentified Clinformatics Data Mart Database and approximately

3% in Medicare.

^c Available for approximately 50% in the Optum deidentified Clinformatics Data Mart Database, approximately 10% in MarketScan, and 0% in Medicare.

^d GOLD group E refers to 2 or more moderate and/or 1 or more severe COPD exacerbations.

^e Active asthma disease was defined as at least 2 outpatient or at least 1 inpatient asthma diagnoses at any position; asthma was identified by *International Classification of Diseases, Ninth Revision* codes 493.xx and *International Statistical Classification of Diseases, Tenth Revision, Clinical Modification* codes J45.xx.

^f Use of SABA-SAMA, LABA-LAMA, or LABA-ICS in the 365 days prior to cohort entry.

^g Use of LABA-LAMA-ICS during the 365 days before cohort entry.

^h Measured during the 90 days before cohort entry up to the cohort entry date to indicate concurrent use.

and 6388 (11.4%) patients still undergoing follow-up at 1 year in the SGLT-2i and DPP-4i groups, respectively.

For the secondary outcome of severe COPD exacerbation, the initiation of treatment with SGLT-2is was also associated with a lower risk compared with DPP-4is (HR, 0.71; 95% CI, 0.65-0.78; IRD/100 PYs, -1.42; 95% CI, -1.81 to -1.03). The results showed consistency across subgroup analyses on relative and absolute scales, with indications of greater absolute benefit of SGLT-2is in patients with active asthma, heart failure, or a higher BMI (Figure 1).

Target Trial Emulation: GLP-1RA vs DPP-4i

The incidence rate of the primary COPD severe or moderate exacerbation outcome was lower for those treated with GLP-1RA (9.89/100 PYs) compared with those treated with

DPP-4is (11.49/100 PYs) (HR, 0.86; 95% CI, 0.81-0.91; IRD/100 PYs, -1.60; 95% CI, -2.18 to -1.02) during a median follow-up time receiving treatment of 142 (IQR, 63-339) vs 156 (IQR, 66-355) days (Table 2). Discontinuation of index treatment was the most common censoring reason (57.5% and 56.1%) for both arms (eTable 8 in Supplement 1). There were 7432 (11.6%) and 7783 (12.1%) patients still undergoing follow-up at 1 year in the GLP-1RA and DPP-4i arms, respectively.

For the secondary outcome of severe COPD exacerbation, results remained consistent, with a lower risk among those treated with GLP-1RAs compared with DPP-4is (HR, 0.82; 95% CI, 0.76-0.89; IRD/100 PYs, -1.06; 95% CI, -2.18 to -1.02). Subgroup analyses showed largely consistent results overall on relative and absolute scales (Figure 2).

Table 2. First Chronic Obstructive Pulmonary Disease Exacerbation in the 1:1 Propensity Score–Matched Cohorts

	SGLT-2i vs DPP-4i		GLP-1RA vs DPP-4i		SGLT-2i vs GLP-1RA	
Parameters	SGLT-2i (n = 27 991)	DPP-4i (n = 27 991)	GLP-1RA (n = 32 107)	DPP-4i (n = 32 107)	SGLT-2i (n = 36 218)	GLP-1RA (n = 36 218)
Moderate or severe exacerbation						
No. of events	1886	2334	2365	2829	2363	2525
Follow-up time, median (IQR), d	145 (61 to 335)	147 (62 to 336)	142 (63 to 339)	156 (66 to 355)	141 (61 to 316)	139 (65 to 314)
IR/100 PYs	9.26	11.44	9.89	11.49	9.47	10.00
IRD/100 PYs (95% CI)	−2.20 (−2.83 to −1.58)		−1.60 (−2.18 to −1.02)		−0.55 (−1.09 to −0.01)	
HR (95% CI)	0.81 (0.76 to 0.86)		0.86 (0.81 to 0.91)		0.94 (0.89 to 1.00)	
Severe exacerbation						
No. of events	842	1201	1195	1510	1025	1121
Follow-up time, median (IQR), d	150 (67 to 354)	155 (69 to 356)	147 (69 to 356)	164 (73 to 377)	147 (67 to 329)	144 (70 to 331)
IR/100 PYs	3.95	5.61	4.79	5.84	3.94	4.24
IRD/100 PYs (95% CI)	−1.42 (−1.81 to −1.03)		−1.06 (−1.46 to −0.65)		−0.35 (−0.68 to −0.02)	
HR (95% CI)	0.71 (0.65 to 0.78)		0.82 (0.76 to 0.89)		0.93 (0.85 to 1.01)	

Abbreviations: DPP-4i, dipeptidyl peptidase-4 inhibitors; GLP-1RA, glucagon-like peptide 1 receptor agonists; HR, hazard ratio; IR, incidence rate;

IRD, incidence rate difference; PY, person-year; SGLT-2i, sodium-glucose cotransporter-2 inhibitors.

Target Trial Emulation: SGLT-2i vs GLP-1RA

The incidence rate of the primary COPD severe or moderate exacerbation outcome was slightly lower for those treated with SGLT-2is (9.47/100 PY) compared with GLP-1RAs (10.00/100 PY) (HR, 0.94; 95% CI, 0.89–1.00; IRD/100 PYs, −0.55; 95% CI, −1.09 to −0.01) during a median follow-up time on treatment of 141 (IQR, 61–316) vs 139 (IQR, 65–314) days (Table 2). Discontinuation of the index treatment was the most common censoring reason (51.2% and 55.2%) for both arms (eTable 8 in Supplement 1). There were 7688 (10.6%) and 7637 (10.5%) patients still undergoing follow-up at 1 year in the SGLT-2i and GLP-1RA arms, respectively.

For the secondary outcome of severe COPD exacerbation, results were also consistent, with a slightly lower risk associated with the initiation of SGLT-2is compared with GLP-1RA (HR, 0.93; 95% CI, 0.85–1.01; IRD/100 PYs, −0.35; 95% CI, −0.68 to −0.02). Results were largely consistent on relative and absolute scales across all subgroup analyses (Figure 3). Cumulative incidence plots of the primary outcome for all the emulated trials were consistent with these findings (eFigures 5–7 in Supplement 1).

Sensitivity and Subgroup Analyses

For SGLT-2i vs DPP-4i and GLP-1RA vs DPP-4i, results for primary and secondary outcomes remained largely consistent across sensitivity and subgroup analyses. Intention-to-treat analyses showed attenuated results, which was expected due to the underlying exposure misclassification (eFigure 8 in Supplement 1),⁴³ while smaller subgroups (eg, HbA_{1c} categories) yielded imprecise estimates with wide confidence intervals.

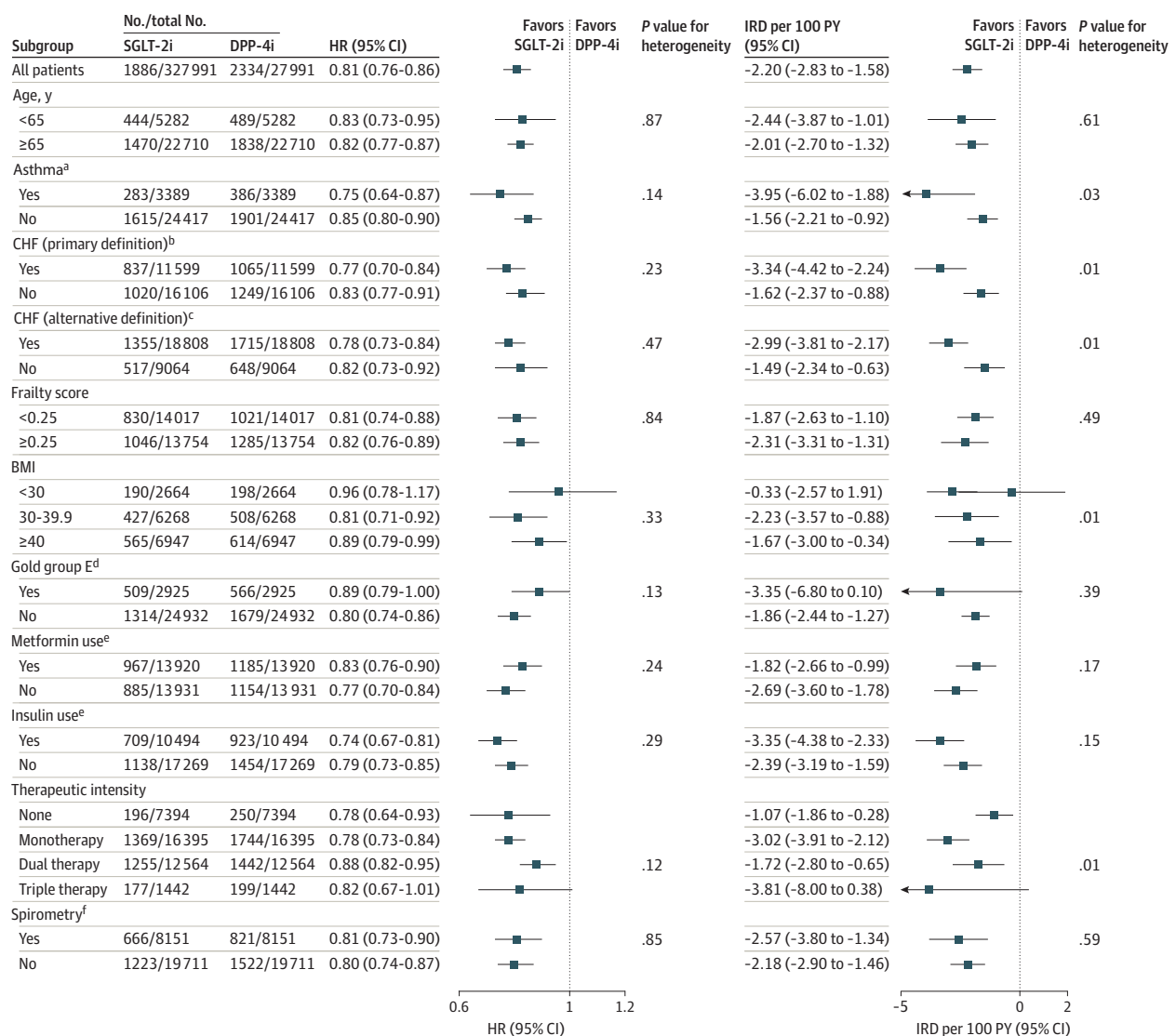
Discussion

In these 3 large target trial emulations that included US commercially and federally insured adults with T2D and active

COPD, we found that treatment initiations of SGLT-2is and GLP-1RAs were associated with a decreased likelihood of severe or moderate COPD exacerbations compared with DPP-4is. Additionally, a head-to-head comparison of SGLT-2is and GLP-1RAs did not show large differences between the 2 classes. These findings remained largely consistent across various sensitivity and subgroup analyses.

Our results indicate a 19% lower risk of moderate or severe COPD exacerbations (2.2 fewer events per 100 PYs) and a 29% reduction in severe exacerbations (1.4 fewer events per 100 PYs) with SGLT-2is compared with DPP-4is, which was consistent with UK primary care data showing a reduced risk of severe COPD exacerbations for patients with T2D and COPD who were using SGLT-2is (HR, 0.62; 95% CI, 0.48–0.81).⁴⁴ However, our study population was older and had more advanced diabetes and cardiovascular disease and lower prevalence of asthma. GLP-1RAs showed a 14% lower risk of moderate or severe exacerbations (1.6 fewer events per 100 PYs) and an 18% reduction in severe exacerbations (1.1 fewer events per 100 PYs) compared with DPP-4is, aligning with a study conducted using the US IBM MarketScan commercial claims database (HR, 0.52; 95% CI, 0.32–0.85), although it did not differentiate COPD from asthma, complicating clinical interpretation.⁹

SGLT-2is showed a 6% lower risk of moderate or severe COPD exacerbations (0.6 fewer events per 100 PYs) and a 7% reduction in severe exacerbations (0.4 fewer events per 100 PYs) compared with GLP-1RAs, consistent with a prior US electronic health record data-based study for combined severe or moderate exacerbations (HR, 0.94; 95% CI, 0.69–1.27), although that study had a smaller sample size (approximately 340 individuals per group), limited confounder adjustment, and a shorter follow-up (6 months).¹⁰ Our study adjusted for more confounders. In addition, considering the potential confounding of the COPD exacerbation outcomes by underlying congestive heart failure, we used a primary (less sensitive) and an alternative (more sensitive) definition

Figure 1. Subgroup Analysis on the Incidence of First Moderate or Severe Chronic Obstructive Pulmonary Disease Exacerbation Among Patients Who Initiated Treatment With a Sodium-Glucose Cotransporter-2 Inhibitor (SGLT-2i) vs a Dipeptidyl Peptidase-4 Inhibitor (DPP-4i)

Monotherapy was defined as the use of short-acting β -agonists (SABA), short-acting muscarinic antagonists (SAMA), long-acting β -agonists (LABA), long-acting muscarinic antagonists (LAMA), or inhaled corticosteroids (ICS) during the 365 days before cohort entry; dual therapy as the use of SABA-SAMA, LABA-LAMA, or LABA-ICS during the 365 days before cohort entry; and triple therapy as the use of LABA-LAMA-ICS during the 365 days before cohort entry. BMI indicates body mass index (calculated as weight in kilograms divided by height in meters squared); CHF, congestive heart failure; GOLD, Global Initiative for Chronic Obstructive Lung Disease; HR, hazard ratio; ICD, *International Classification of Diseases*; IRD, incidence risk difference; PY, person-years.

^aActive asthma defined as 2 or more outpatient or 1 or more inpatient asthma diagnoses at any position as identified by ICD-9/10-CM codes.

^bDefined as 1 or more outpatient or inpatient CHF diagnoses during the 365 days before cohort entry at any position using ICD-9/10-CM codes.

^cDefined as 1 or more outpatient or inpatient CHF diagnoses at any position using ICD-9/10-CM codes, 1 or more prescription claims of loop diuretics, or 1 or more prescription claims of sacubitril/valsartan at any time before the cohort entry up to the cohort entry date.

^dGOLD group E refers to 2 or more moderate and/or 1 or more severe chronic obstructive pulmonary disease exacerbations.

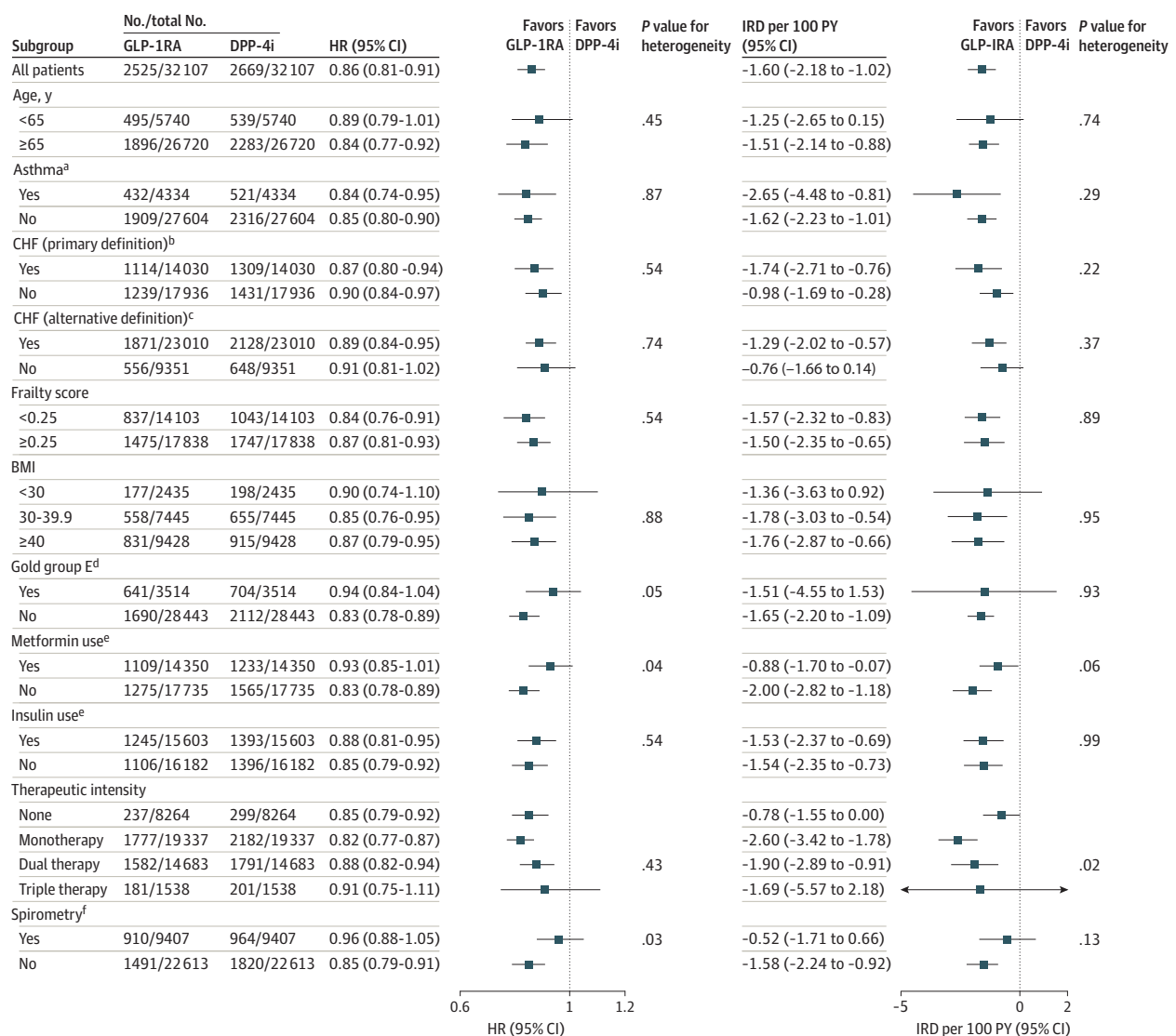
^eMeasured during the 90 days before cohort entry up to the cohort entry date to indicate concurrent use.

^fMeasured during the 365 days before cohort entry up to the cohort entry date.

of congestive heart failure (eMethods in Supplement 1) for the subgroup analysis that showed similar findings, thus alleviating chances of bias. Further, poor glycemic control has been shown to be associated with increased COPD exacerbation risk.⁴⁵ Our stratified analysis by baseline HbA_{1c} levels (<or ≥8.0%) did not reveal significant effect heteroge-

neity, although the small sample size led to imprecise estimates (eTable 9 in Supplement 1).

The biological mechanisms by which SGLT-2is and GLP-1RAs are associated with reduced COPD exacerbation risk for patients with T2D and COPD are unclear. Preclinical studies suggest that GLP-1RAs may be associated with

Figure 2. Subgroup Analysis on the Incidence of First Moderate or Severe Chronic Obstructive Pulmonary Disease Exacerbation Among Patients Who Initiated Treatment With a Glucagon-Like Peptide 1 Receptor Agonist (GLP-1RA) vs a Dipeptidyl Peptidase-4 Inhibitor (DPP-4i)

Monotherapy was defined as the use of short-acting β -agonists (SABA), short-acting muscarinic antagonists (SAMA), long-acting β -agonists (LABA), long-acting muscarinic antagonists (LAMA), or inhaled corticosteroids (ICS) during the 365 days before cohort entry; dual therapy as the use of SABA-SAMA, LABA-LAMA, or LABA-ICS during the 365 days before cohort entry; and triple therapy as the use of LABA-LAMA-ICS during the 365 days before cohort entry. BMI indicates body mass index (calculated as weight in kilograms divided by height in meters squared); CHF, congestive heart failure; GOLD, Global Initiative for Chronic Obstructive Lung Disease; HR, hazard ratio; ICD, *International Classification of Diseases*; IRD, incidence risk difference; PY, person-years.

^aActive asthma defined as 2 or more outpatient or 1 or more inpatient asthma diagnoses at any position as identified by ICD-9/10-CM codes.

^bDefined as 1 or more outpatient or inpatient CHF diagnoses during the 365 days before cohort entry at any position using ICD-9/10-CM codes.

^cDefined as 1 or more outpatient or inpatient CHF diagnoses at any position using ICD-9/10-CM codes, 1 or more prescription claims of loop diuretics, or 1 or more prescription claims of sacubitril/valsartan at any time before the cohort entry up to the cohort entry date.

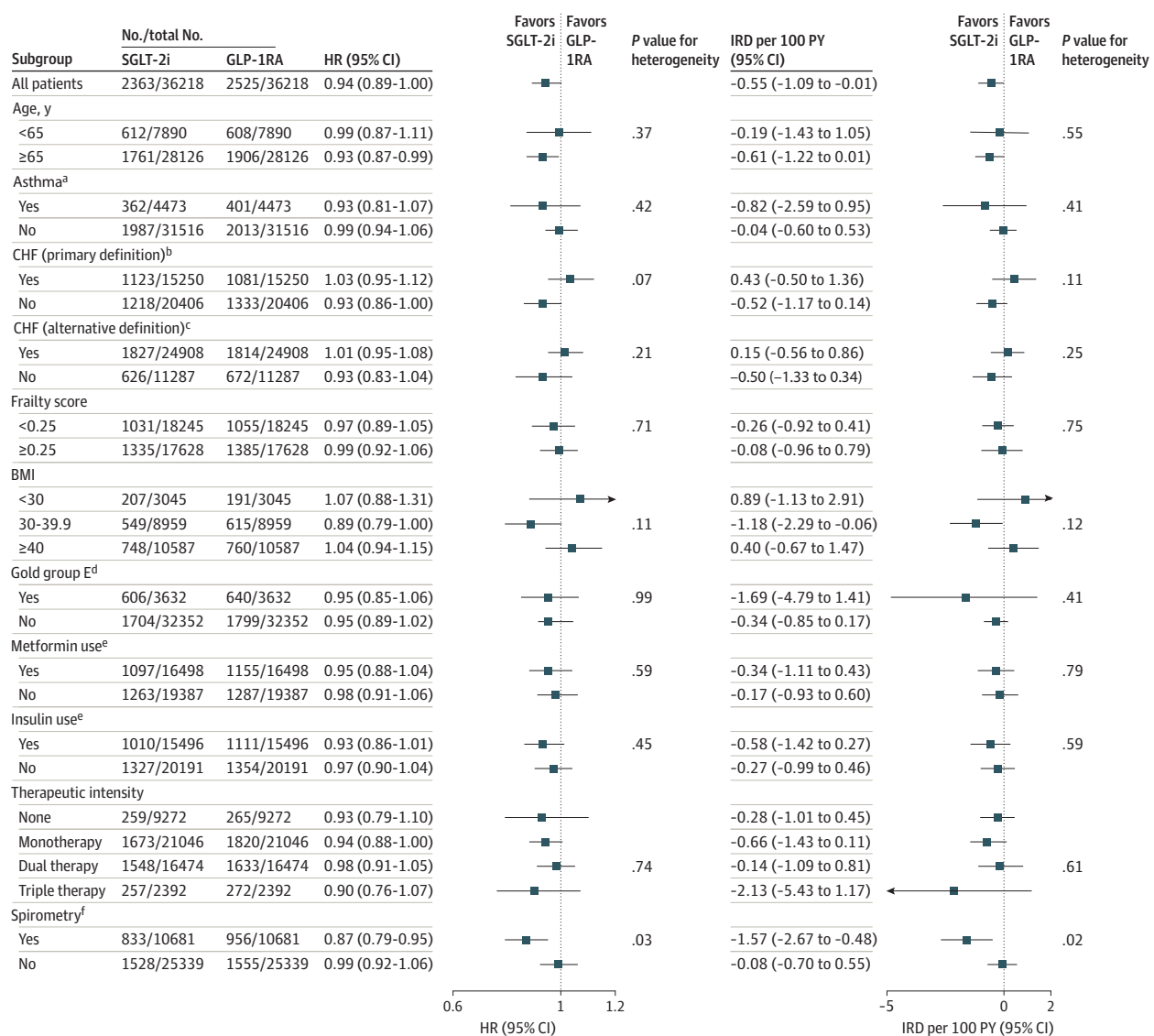
^dGOLD group E refers to 2 or more moderate and/or 1 or more severe chronic obstructive pulmonary disease exacerbations.

^eMeasured during the 90 days before cohort entry up to the cohort entry date to indicate concurrent use.

^fMeasured during the 365 days before cohort entry up to the cohort entry date.

reduced bronchial hyperresponsiveness via downregulation of interleukin 13⁴⁶ and interleukin 33.⁴⁷⁻⁵¹ To our knowledge, limited research exists on how SGLT-2is are associated with reduced COPD exacerbations. Their glucosuria effect may aid carbon dioxide expulsion, benefiting patients with COPD.⁵² Additionally, their sustained associa-

tion with fluid reduction⁵³ may help patients with COPD with coexisting congestive heart failure by alleviating fluid overload.⁵⁴ Finally, GLP-IRAs and SGLT-2is promote weight loss,⁵⁵ which might also be associated with reduced COPD exacerbation risk, although evidence on this association remains conflicting.^{56,57}

Figure 3. Subgroup Analysis on the Incidence of First Moderate or Severe Chronic Obstructive Pulmonary Disease Exacerbation Among Patients Who Initiated Treatment With a Sodium-Glucose Cotransporter-2 Inhibitor (SGLT-2i) vs a Glucagon-Like Peptide 1 Receptor Agonist (GLP-1RA)

Monotherapy was defined as the use of short-acting β -agonists (SABA), short-acting muscarinic antagonists (SAMA), long-acting β -agonists (LABA), long-acting muscarinic antagonists (LAMA), or inhaled corticosteroids (ICS) during the 365 days before cohort entry; dual therapy as the use of SABA-SAMA, LABA-LAMA, or LABA-ICS during the 365 days before cohort entry; and triple therapy as the use of LABA-LAMA-ICS during the 365 days before cohort entry. BMI indicates body mass index (calculated as weight in kilograms divided by height in meters squared); CHF, congestive heart failure; GOLD, Global Initiative for Chronic Obstructive Lung Disease; HR, hazard ratio; ICD, *International Classification of Diseases*; IRD, incidence risk difference; PY, person-years.

^aActive asthma defined as 2 or more outpatient or 1 or more inpatient asthma diagnoses at any position as identified by ICD-9/10-CM codes.

^bDefined as 1 or more outpatient or inpatient CHF diagnoses during the 365 days before cohort entry at any position using ICD-9/10-CM codes.

^cDefined as 1 or more outpatient or inpatient CHF diagnoses at any position using ICD-9/10-CM codes, 1 or more prescription claims of loop diuretics, or 1 or more prescription claims of sacubitril/valsartan at any time before the cohort entry up to the cohort entry date.

^dGOLD group E refers to 2 or more moderate and/or 1 or more severe chronic obstructive pulmonary disease exacerbations.

^eMeasured during the 90 days before cohort entry up to the cohort entry date to indicate concurrent use.

^fMeasured during the 365 days before cohort entry up to the cohort entry date.

Strengths and Limitations

Our study's strengths included a large US adult population (approximately 35 000 per group) from 3 claims databases, enabling precise comparisons of glucose-lowering medications and their association with COPD exacerbation risk.

This also allowed evaluation of potential treatment effect heterogeneity across multiple clinically relevant subgroups. Second, by emulating target trials with new users, we minimized biases from prevalent use and disease stage.⁵⁸ Third, validated COPD exacerbation definitions that

excluded asthma codes reduced potential outcome misclassification. Lastly, the diverse study population enhanced generalizability and external validity compared with previous research.⁴⁴

Our study also had limitations. First, residual confounding cannot be ruled out in this observational study, particularly as pre PS matching showed that those who were treated with DPP-4is were older and more often male and had higher rates of severe COPD exacerbations or pneumonia than those treated with SGLT-2is and GLP-1RAs. However, emulating head-to-head randomized clinical trials of newer medications typically prescribed at a similar disease stage and clinical indications and with PS models addressing numerous confounders likely minimized this. Robust findings across sensitivity and subgroup analyses supported this, although smaller subgroup analyses, such as by HbA_{1c} levels, produced imprecise estimates with wide confidence intervals. Second, using claims data may have caused outcome misclassification,⁵⁹ which we mitigated by applying specific, validated definitions for COPD exacerbations. Third, while claims data lack information on actual medication adherence, our on-treatment primary analysis based on continuous use potentially helped to reduce exposure misclassification. Fourth, the absence of complete information on clinically relevant covariates, such as smoking status, forced expiratory volume, BMI, laboratory parameters (including HbA_{1c} levels and blood eosinophil cell counts), and demographic variables like ethnicity, prevented their inclusion in the PS models. However, we used proxy variables, like insulin use for HbA_{1c}, to account for disease severity and analyzed a subset of patients with baseline HbA_{1c} values that showed consistent findings (eTable 9 in Supplement 1). Further, prior studies have shown that balancing measured covariates in claims while using an active-comparator de-

sign leads to balance in clinical variables that are not available in claims, including laboratory measures.⁶⁰ Fifth, we lacked follow-up data on HbA_{1c} levels and BMI, preventing us from assessing how much the reduced COPD exacerbation risk with SGLT-2is and GLP-1RAs vs DPP-4is was mediated by changes in these measures. Ad hoc studies with fit-for-purpose data may clarify this. Lastly, the short study follow-up time (median of approximately 145 days), which was primarily driven by high medication discontinuation rates (approximately 55%), limited a longer-term assessment of the outcomes. Yet, the observed follow-up duration reflected clinical adherence patterns, making our findings clinically relevant. Although our study databases lacked reasons for medication discontinuation, factors like high costs, adverse reactions, and patient or physician preferences likely contribute to low persistence in practice.⁶¹

Conclusions

In this large, population-based, comparative effectiveness research study, the initiation of SGLT-2is and GLP-1RAs was associated with a reduced risk of severe or moderate COPD exacerbations for individuals with T2D compared with DPP-4is. Conversely, any risk reduction associated with the use of SGLT-2is vs GLP-1RAs, if present, was marginal. These findings suggest that SGLT-2is and GLP-1RAs may be preferable to DPP-4is when deciding among glucose-lowering medications for patients with T2D and active COPD. However, given the observational nature of the study, there is potential for residual or unmeasured confounding, and findings from similar clinical studies and clinical trials will help corroborate these results.

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Author Contributions: Drs Ray and Patorno had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

Concept and design: Ray, Paik, Bykov, Patorno.
Acquisition, analysis, or interpretation of data: All authors.

Drafting of the manuscript: Ray.

Critical review of the manuscript for important intellectual content: Paik, Wexler, Kattinakere Sreedhara, Bykov, Feldman, Patorno.

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Supervision: Wexler, Kattinakere Sreedhara, Feldman, Patorno.

Other - Editorial/conceptual: Wexler.

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