# **Assignment 2: Word Prediction**

Deadline: Sunday, April 18th, by 9pm.

**Submission**: Submit a PDF export of the completed notebook as well as the ipynb file.

In this assignment, we will make a neural network that can predict the next word in a sentence given the previous three.

In doing this prediction task, our neural networks will learn about *words* and about how to represent words. We'll explore the *vector representations* of words that our model produces, and analyze these representations.

You may modify the starter code as you see fit, including changing the signatures of functions and adding/removing helper functions. However, please make sure that you properly explain what you are doing and why.

```
import pandas as pd
import numpy as np
import matplotlib.pyplot as plt
import collections

import torch
import torch.nn as nn
import torch.nn.functional as F
import torch.optim as optim
```

## Question 1. Data (18%)

With any machine learning problem, the first thing that we would want to do is to get an intuitive understanding of what our data looks like. Download the file raw\_sentences.txt from the course page on Moodle and upload it to Google Drive. Then, mount Google Drive from your Google Colab notebook:

```
from google.colab import drive
drive.mount('/content/gdrive')

Mounted at /content/gdrive

Find the path to raw_sentences.txt:
file_path = '/content/gdrive/My Drive/Colab
Notebooks/raw_sentences.txt' # TODO - UPDATE ME!
```

The following code reads the sentences in our file, split each sentence into its individual words, and stores the sentences (list of words) in the variable sentences.

```
sentences = []
for line in open(file_path):
    words = line.split()
    sentence = [word.lower() for word in words]
    sentences.append(sentence)
```

There are 97,162 sentences in total, and these sentences are composed of 250 distinct words.

```
vocab = set([w for s in sentences for w in s])
print(len(sentences)) # 97162
print(len(vocab)) # 250

97162
250
```

We'll separate our data into training, validation, and test. We'll use `10,000 sentences for test, 10,000 for validation, and the rest for training.

```
test, valid, train = sentences[:10000], sentences[10000:20000], sentences[20000:]

Part (a) -- 3%
```

**Display** 10 sentences in the training set. **Explain** how punctuations are treated in our word representation, and how words with apostrophes are represented.

```
for i in range(10):
    for word in train[i]:
        print(word, end=" ")
    print("")

last night , he said , did it for me .
on what can i do ?
now where does it go ?
what did the court do ?
but at the same time , we have a long way to go .
that was the only way .
this team will be back .
so that is what i do .
we have a right to know .
now they are three .
```

### Write your answers here:

The punctuations in our word representation are treated as a different words, i.e. each punctuation comes after space and has (except apostrophe) space afterwards. words that include apostrophes are seperated with space before the apostrophe.

#### Part (b) -- 4%

**Print** the 10 most common words in the vocabulary and how often does each of these words appear in the training sentences. Express the second quantity as a percentage (i.e. number of occurences of the word / total number of words in the training set).

These are useful quantities to compute, because one of the first things a machine learning model will learn is to predict the **most common** class. Getting a sense of the distribution of our data will help you understand our model's behaviour.

You can use Python's collections. Counter class if you would like to.

```
all_words = [word for s in train for word in s]
ten_common = collections.Counter(all_words).most_common(10)
for word,num in ten_common:
    print("the word '"+word+"' is
appearing" ,round(100*num/len(all_words),2),"% of the times.")

the word '.' is appearing 10.7 % of the times.
the word 'it' is appearing 3.85 % of the times.
the word ',' is appearing 3.25 % of the times.
the word 'i' is appearing 2.94 % of the times.
the word 'do' is appearing 2.69 % of the times.
the word 'to' is appearing 2.58 % of the times.
the word 'nt' is appearing 2.16 % of the times.
the word '?' is appearing 2.14 % of the times.
the word 'the' is appearing 2.09 % of the times.
the word 'the' is appearing 2.09 % of the times.

Part (c) -- 11%
```

Our neural network will take as input three words and predict the next one. Therefore, we need our data set to be comprised of seuqnces of four consecutive words in a sentence, referred to as *4grams*.

**Complete** the helper functions convert\_words\_to\_indices and generate\_4grams, so that the function process\_data will take a list of sentences (i.e. list of list of words), and generate an  $N \times 4$  numpy matrix containing indices of 4 words that appear next to each other, where N is the number of 4grams (sequences of 4 words appearing one after the other) that can be found in the complete list of sentences. Examples of how these functions should operate are detailed in the code below.

You can use the defined vocab, vocab\_itos, and vocab\_stoi in your code.

```
# A list of all the words in the data set. We will assign a unique
# identifier for each of these words.
vocab = sorted(list(set([w for s in train for w in s])))
# A mapping of index => word (string)
vocab_itos = dict(enumerate(vocab))
# A mapping of word => its index
vocab_stoi = {word:index for index, word in vocab_itos.items()}
```

```
def convert_words_to_indices(sents):
    This function takes a list of sentences (list of list of words)
    and returns a new list with the same structure, but where each
word
    is replaced by its index in `vocab stoi`.
    Example:
    >>> convert_words_to_indices([['one', 'in', 'five', 'are', 'over',
'here'], ['other', 'one', 'since', 'yesterday'], ['you']])
    [[148, 98, 70, 23, 154, 89], [151, 148, 181, 246], [248]]
    return [[vocab stoi[word] for word in s] for s in sents]
def generate 4grams(seqs):
    This function takes a list of sentences (list of lists) and
    a new list containing the 4-grams (four consequentively occuring
words)
    that appear in the sentences. Note that a unique 4-gram can appear
multiple
    times, one per each time that the 4-gram appears in the data
parameter `seqs`.
    Example:
    >>> generate 4grams([[148, 98, 70, 23, 154, 89], [151, 148, 181,
2461, [24811)
   [[148, 98, 70, 23], [98, 70, 23, 154], [70, 23, 154, 89], [151,
148, 181, 246]]
    >>> generate 4grams([[1, 1, 1, 1, 1]])
    [[1, 1, 1, 1], [1, 1, 1, 1]]
    ret=[]
    for s in [seq for seq in seqs if len(seq)>=4]:
        for i in range(len(s)-3):
          ret.append(s[i:i+4])
    return ret
def process data(sents):
    This function takes a list of sentences (list of lists), and
generates an
    numpy matrix with shape [N, 4] containing indices of words in 4-
grams.
    0.00
```

```
indices = convert_words_to_indices(sents)
fourgrams = generate_4grams(indices)
return np.array(fourgrams)

# We can now generate our data which will be used to train and test
the network
train4grams = process_data(train)
valid4grams = process_data(valid)
test4grams = process_data(test)
Question 2. A Multi-Layer Perceptron (44%)
```

In this section, we will build a two-layer multi-layer perceptron. Our model will look like this:

Since the sentences in the data are comprised of 250 distinct words, our task boils down to claissfication where the label space S is of cardinality |S|=250 while our input, which is comprised of a combination of three words, is treated as a vector of size  $750 \times 1$  (i.e., the concatanation of three one-hot  $250 \times 1$  vectors).

The following function get\_batch will take as input the whole dataset and output a single batch for the training. The output size of the batch is explained below.

**Implement** yourself a function make\_onehot which takes the data in index notation and output it in a onehot notation.

Start by reviewing the helper function, which is given to you:

```
def make onehot(data):
    Convert one batch of data in the index notation into its
corresponding onehot
    notation. Remember, the function should work for both xt and st.
    input - vector with shape D (1D or 2D)
    output - vector with shape (D,250)
    ret = np.zeros((*np.shape(data),250))
    for i in range(len(data)):
      for j in range(len(data[i])):
          ret[i][j][data[i][j]]=1
    return ret
def get_batch(data, range_min, range_max, onehot=True):
    Convert one batch of data in the form of 4-grams into input and
output
    data and return the training data (xt, st) where:
     - `xt` is an numpy array of one-hot vectors of shape [batch size,
```

```
3, 250]
- `st` is either
            - a numpy array of shape [batch size, 250] if onehot is
True,
            - a numpy array of shape [batch size] containing indicies
otherwise
    Preconditions:
     - `data` is a numpy array of shape [N, 4] produced by a call
        to `process data`
     - range_max > range min
    xt = data[range min:range max, :3]
    xt = make_onehot(xt)
    st = data[range min:range max, 3]
    if onehot:
        st = make onehot(st).reshape(-1, 250)
    return xt, st
Part (a) -- 8%
```

We build the model in PyTorch. Since PyTorch uses automatic differentiation, we only need to write the *forward pass* of our model.

**Complete** the forward function below:

```
class PyTorchMLP(nn.Module):
    def __init__(self, num_hidden=400):
        super(PyTorchMLP, self).__init__()
        self.layer1 = nn.Linear(750, num_hidden)
        self.layer2 = nn.Linear(num_hidden, 250)
        self.num_hidden = num_hidden
    def forward(self, inp):
        inp = inp.reshape([-1, 750])
        inp = F.relu(self.layer1(inp))
        inp = F.relu(self.layer2(inp))
        return inp

# Note that we will be using the nn.CrossEntropyLoss(), which
computes the softmax operation internally, as loss criterion
Part (b) -- 10%
```

We next train the PyTorch model using the Adam optimizer and the cross entropy loss.

**Complete** the function run\_pytorch\_gradient\_descent, and use it to train your PyTorch MLP model.

**Obtain** a training accuracy of at least 35% while changing only the hyperparameters of the train function.

Plot the learning curve using the plot\_learning\_curve function provided to you, and include your plot in your PDF submission.

```
def estimate accuracy torch(model, data, batch size=5000,
\max_{N=100000}:
    Estimate the accuracy of the model on the data. To reduce
    computation time, use at most `max N` elements of `data` to
    produce the estimate.
    correct = 0
    N = 0
    for i in range(0, data.shape[0], batch_size):
        # get a batch of data
        xt, st = get batch(data, i, i + batch size, onehot=False)
        # forward pass prediction
        y = model(torch.Tensor(xt))
        y = y.detach().numpy() # convert the PyTorch tensor => numpy
arrav
        pred = np.argmax(y, axis=1)
        correct += np.sum(pred == st)
        N += st.shape[0]
        if N > max N:
            break
    return correct / N
def run pytorch gradient descent(model,
                                 train data=train4grams,
                                 validation data=valid4grams,
                                 batch size=100,
                                 learning rate=0.001,
                                 weight decay=0,
                                 \max iters=1000,
                                 checkpoint path=None):
    Train the PyTorch model on the dataset `train data`, reporting
    the validation accuracy on `validation data`, for `max iters`
    iteration.
    If you want to **checkpoint** your model weights (i.e. save the
    model weights to Google Drive), then the parameter
    `checkpoint path` should be a string path with `{}` to be replaced
    by the iteration count:
    For example, calling
    >>> run_pytorch_gradient_descent(model, ...,
            checkpoint path = '/content/gdrive/My
```

```
Drive/Intro to Deep Learning/mlp/ckpt-{}.pk')
    will save the model parameters in Google Drive every 500
iterations.
    You will have to make sure that the path exists (i.e. you'll need
to create
    the folder Intro to Deep Learning, mlp, etc...). Your Google Drive
will be populated with files:
    - /content/gdrive/My Drive/Intro_to_Deep_Learning/mlp/ckpt-500.pk
    - /content/gdrive/My Drive/Intro to Deep Learning/mlp/ckpt-1000.pk
    To load the weights at a later time, you can run:
    >>> model.load state dict(torch.load('/content/gdrive/My
Drive/Intro to Deep Learning/mlp/ckpt-500.pk'))
    This function returns the training loss, and the
training/validation accuracy,
    which we can use to plot the learning curve.
    criterion = nn.CrossEntropyLoss()
    optimizer = optim.Adam(model.parameters(),
                           lr=learning rate,
                           weight decay=weight decay)
    iters, losses = [], []
    iters_sub, train_accs, val_accs = [], [] ,[]
    n = 0 # the number of iterations
    while True:
        for i in range(0, train data.shape[0], batch size):
            if (i + batch size) > train data.shape[0]:
                break
            # get the input and targets of a minibatch
            xt, st = get batch(train data, i, i + batch size,
onehot=False)
            # convert from numpy arrays to PyTorch tensors
            xt = torch.Tensor(xt)
            st = torch.Tensor(st).long()
            zs = model(xt)
                                                    # compute
prediction logit
            loss = criterion(zs,st)
                                                    # compute the
total loss
            optimizer.zero grad()
                                                    # a clean up step
```

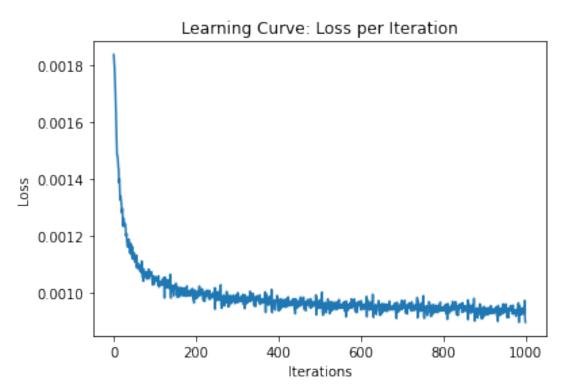
```
for PyTorch
            loss.backward()
                                                    # compute updates
for each parameter
                                                    # make the updates
            optimizer.step()
for each parameter
            # save the current training information
            iters.append(n)
            losses.append(float(loss)/batch size) # compute *average*
loss
            if n % 500 == 0:
                iters sub.append(n)
                train cost = float(loss.detach().numpy())
                train acc = estimate accuracy torch(model, train data)
                train accs.append(train acc)
                val acc = estimate accuracy torch(model,
validation data)
                val accs.append(val acc)
                print("Iter %d. [Val Acc %.0f%%] [Train Acc %.0f%%,
Loss %f]" % (
                      n, val_acc * 100, train_acc * 100, train_cost))
                if (checkpoint path is not None) and n > 0:
                    torch.save(model.state dict(),
checkpoint path.format(n))
            # increment the iteration number
            n += 1
            if n > max iters:
                return iters, losses, iters sub, train accs, val accs
def plot learning curve(iters, losses, iters sub, train accs,
val_accs):
    Plot the learning curve.
    plt.title("Learning Curve: Loss per Iteration")
    plt.plot(iters, losses, label="Train")
    plt.xlabel("Iterations")
    plt.ylabel("Loss")
    plt.show()
    plt.title("Learning Curve: Accuracy per Iteration")
    plt.plot(iters sub, train accs, label="Train")
    plt.plot(iters sub, val accs, label="Validation")
```

```
plt.xlabel("Iterations")
  plt.ylabel("Accuracy")
  plt.legend(loc='best')
  plt.show()

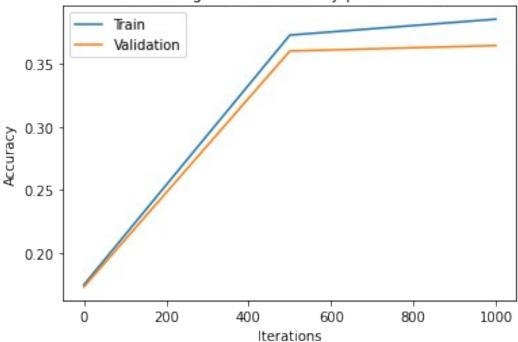
pytorch_mlp = PyTorchMLP()
learning_curve_info =
  run_pytorch_gradient_descent(pytorch_mlp,learning_rate =
  0.008,batch_size=3000,max_iters=1000, weight_decay=0.0001)

plot_learning_curve(*learning_curve_info)

Iter 0. [Val Acc 17%] [Train Acc 17%, Loss 5.511380]
  Iter 500. [Val Acc 36%] [Train Acc 37%, Loss 2.857734]
  Iter 1000. [Val Acc 36%] [Train Acc 39%, Loss 2.691474]
```







Part (c) -- 10%

**Write** a function make\_prediction that takes as parameters a PyTorchMLP model and sentence (a list of words), and produces a prediction for the next word in the sentence.

```
def make_prediction_torch(model, sentence):
    Use the model to make a prediction for the next word in the
    sentence using the last 3 words (sentence[:-3]). You may assume
    that len(sentence) >= 3 and that `model` is an instance of
    PYTorchMLP.

This function should return the next word, represented as a
string.
```

```
Example call:
    >>> make_prediction_torch(pytorch_mlp, ['you', 'are', 'a'])

global vocab_stoi, vocab_itos
    zt =
model(torch.Tensor(make_onehot(convert_words_to_indices([sentence[-3:]]))))
    return vocab_itos[int(torch.argmax(zt))]

Part (d) -- 10%
```

Use your code to predict what the next word should be in each of the following sentences:

- "You are a"
- "few companies show"
- "There are no"
- "yesterday i was"
- · "the game had"
- "yesterday the federal"

Do your predictions make sense?

In many cases where you overfit the model can either output the same results for all inputs or just memorize the dataset.

**Print** the output for all of these sentences and **Write** below if you encounter these effects or something else which indicates overfitting, if you do train again with better hyperparameters.

```
sents = ["You are a","few companies show","There are no"
,"yesterday i was", "the game had", "yesterday the federal"]
sents_divided = []
for line in sents:
    words = line.split()
    sents_divided.append([word.lower() for word in words])

for s in sents_divided:
    print(make_prediction_torch(pytorch_mlp, s))

good
up
more
there
to
.
```

At first, we encounterd a problem that the model predicted that the next word is '.' even if it is not make sense. we think that problen occurred due to overfit, the model just predicts the most common word ('.') instead the most logical one.

```
Part (e) -- 6%
```

Report the test accuracy of your model

```
print("The test accuracy is %.0f%%."%(
100*estimate_accuracy_torch(pytorch_mlp, test4grams)))
The test accuracy is 36%.
```

## **Question 3. Learning Word Embeddings (24 %)**

In this section, we will build a slightly different model with a different architecture. In particular, we will first compute a lower-dimensional *representation* of the three words, before using a multi-layer perceptron.

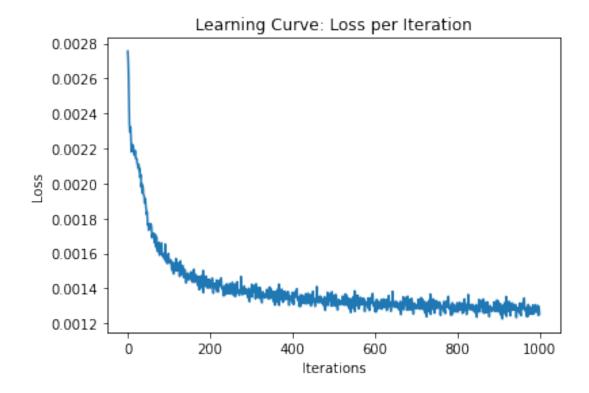
Our model will look like this:

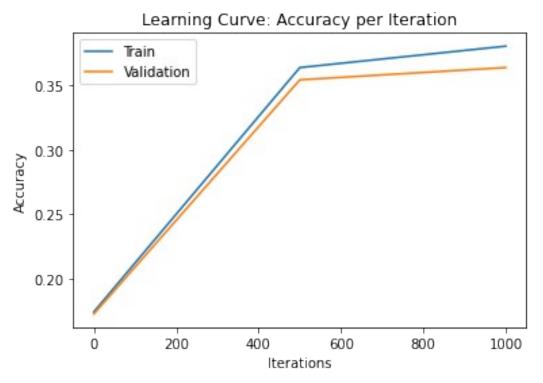
This model has 3 layers instead of 2, but the first layer of the network is **not** fully-connected. Instead, we compute the representations of each of the three words **separately**. In addition, the first layer of the network will not use any biases. The reason for this will be clear in question 4.

```
Part (a) -- 10%
```

The PyTorch model is implemented for you. Use run\_pytorch\_gradient\_descent to train your PyTorch MLP model to obtain a training accuracy of at least 38%. Plot the learning curve using the plot\_learning\_curve function provided to you, and include your plot in your PDF submission.

```
class PyTorchWordEmb(nn.Module):
    def init (self, emb size=100, num hidden=300, vocab size=250):
        super(PyTorchWordEmb, self). init ()
        self.word emb layer = nn.Linear(vocab size, emb size,
bias=False)
        self.fc layer1 = nn.Linear(emb size * 3, num hidden)
        self.fc_layer2 = nn.Linear(num hidden, 250)
        self.num hidden = num hidden
        self.emb size = emb size
    def forward(self, inp):
        embeddings = torch.relu(self.word emb layer(inp))
        embeddings = embeddings.reshape([-1, self.emb size * 3])
        hidden = torch.relu(self.fc_layer1(embeddings))
        return self.fc layer2(hidden)
pytorch wordemb= PyTorchWordEmb()
result = run pytorch gradient descent(pytorch wordemb, learning rate =
0.008, batch size=2000, max iters=1000, weight decay=0.0001)
plot learning curve(*result)
Iter 0. [Val Acc 17%] [Train Acc 17%, Loss 5.511305]
Iter 500. [Val Acc 35%] [Train Acc 36%, Loss 2.665046]
Iter 1000. [Val Acc 36%] [Train Acc 38%, Loss 2.506076]
```





Part (b) -- 10%

Use the function make\_prediction that you wrote earlier to predict what the next word should be in each of the following sentences:

- "You are a"
- "few companies show"
- "There are no"
- "yesterday i was"
- "the game had"
- "yesterday the federal"

How do these predictions compared to the previous model?

**Print** the output for all of these sentences using the new network and **Write** below how the new results compare to the previous ones.

Just like before, if you encounter overfitting, train your model for more iterations, or change the hyperparameters in your model. You may need to do this even if your training accuracy is >=38%.

```
sents = ["You are a","few companies show","There are no"
,"yesterday i was", "the game had", "yesterday the federal"]
sents_divided = []
for line in sents:
    words = line.split()
    sents_divided.append([word.lower() for word in words])

for s in sents_divided:
    print(make_prediction_torch(pytorch_wordemb, s))

good
.
other
nt
to
time
```

At first, we encounterd a problem that the model predicted that the next word is '.' even if it is not make sense. we think that problen occurred due to overfit, the model just predicts the most common word ('.') instead the most logical one.

```
Part (c) -- 4%
```

Report the test accuracy of your model

```
print("The test accuracy is %.0f%%."%(
100*estimate_accuracy_torch(pytorch_wordemb, test4grams)))
The test accuracy is 37%.
```

## **Question 4. Visualizing Word Embeddings (14%)**

While training the PyTorchMLP, we trained the word\_emb\_layer, which takes a one-hot representation of a word in our vocabulary, and returns a low-dimensional vector

representation of that word. In this question, we will explore these word embeddings, which are a key concept in natural language processing.

```
Part (a) -- 4%
```

The code below extracts the **weights** of the word embedding layer, and converts the PyTorch tensor into an numpy array. Explain why each *row* of word\_emb contains the vector representing of a word. For example word\_emb[vocab\_stoi["any"],:] contains the vector representation of the word "any".

```
word_emb_weights = list(pytorch_wordemb.word_emb_layer.parameters())
[0]
word_emb = word_emb_weights.detach().numpy().T
```

## Write your explanation here:

Each row of word\_emb is result of inner product between the weights and the i input. the i input layer is one-hot representation, so we get that each row is the vector representation of the word itself.

```
Part (b) -- 5%
```

One interesting thing about these word embeddings is that distances in these vector representations of words make some sense! To show this, we have provided code below that computes the *cosine similarity* of every pair of words in our vocabulary. This measure of similarity between vector  $\{ b v \}$  and  $\| v \|$  is defined as

```
f(x) = \frac{h^2(\|bf v\|^T(\|bf w\|))}{\|hf v\|^T(\|bf v\|)}
```

We also pre-scale the vectors to have a unit norm, using Numpy's norm method.

```
norms = np.linalg.norm(word_emb, axis=1)
word_emb_norm = (word_emb.T / norms).T
similarities = np.matmul(word_emb_norm, word_emb_norm.T)
# Some example distances. The first one should be larger than the
second
print(similarities[vocab_stoi['any'], vocab_stoi['many']])
print(similarities[vocab_stoi['any'], vocab_stoi['government']])
0.31635243
0.17528827
/usr/local/lib/python3.7/dist-packages/ipykernel_launcher.py:2:
RuntimeWarning: divide by zero encountered in true_divide
/usr/local/lib/python3.7/dist-packages/ipykernel_launcher.py:3:
RuntimeWarning: invalid value encountered in matmul
  This is separate from the ipykernel package so we can avoid doing imports until
```

Compute the 5 closest words to the following words:

```
"four"
      "go"
      "what"
      "should"
      "school"
      "your"
      "yesterday"
      "not"
words =
["four", "go", "what", "should", "school", "your", "yesterday", "not"]
for word in words:
  print('The 5 closest words to "'+word+'" are:', end=" ")
  print([vocab itos[x] for x in
np.argpartition(similarities[vocab_stoi[word],:], -5)[-5:]][::-1])
The 5 closest words to "four" are: ['.', 'four', 'five', 'two',
'three'l
The 5 closest words to "go" are: ['back', 'go', '.', 'come', 'going'] The 5 closest words to "what" are: ['.', 'how', 'what', 'where',
'who'l
The 5 closest words to "should" are: ['could', 'should', '.', 'can',
'would'l
The 5 closest words to "school" are: ['school', 'music', '.', 'house',
'home'l
The 5 closest words to "your" are: ['.', 'your', 'our', 'my', 'their']
The 5 closest words to "yesterday" are: ['today', 'season', '.',
'yesterday', 'department']
The 5 closest words to "not" are: ['nt', 'not', '.', 'also', 'so']
Part (c) -- 5%
```

We can visualize the word embeddings by reducing the dimensionality of the word vectors to 2D. There are many dimensionality reduction techniques that we could use, and we will use an algorithm called t-SNE. (You don't need to know what this is for the assignment; we will cover it later in the course.) Nearby points in this 2-D space are meant to correspond to nearby points in the original, high-dimensional space.

The following code runs the t-SNE algorithm and plots the result.

Look at the plot and find at least two clusters of related words.

**Write** below for each cluster what is the commonality (if there is any) and if they make sense.

Note that there is randomness in the initialization of the t-SNE algorithm. If you re-run this code, you may get a different image. Please make sure to submit your image in the PDF file.

```
import sklearn.manifold
tsne = sklearn.manifold.TSNE()
Y = tsne.fit_transform(word_emb)

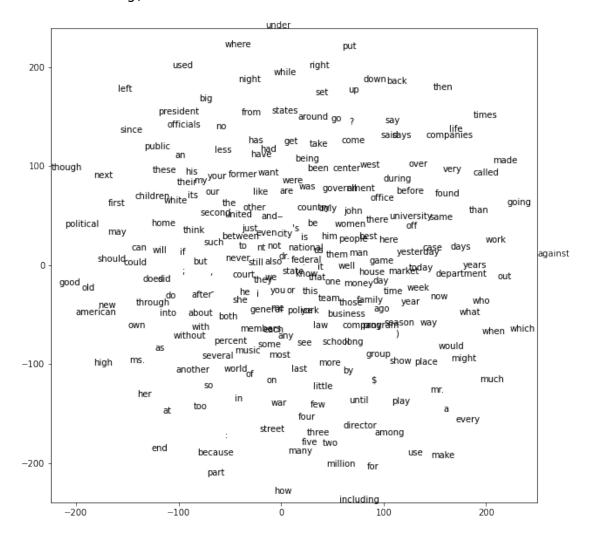
plt.figure(figsize=(10, 10))
plt.xlim(Y[:,0].min(), Y[:, 0].max())
plt.ylim(Y[:,1].min(), Y[:, 1].max())
for i, w in enumerate(vocab):
    plt.text(Y[i, 0], Y[i, 1], w)
plt.show()
```

/usr/local/lib/python3.7/dist-packages/sklearn/manifold/\_t\_sne.py:783: FutureWarning: The default initialization in TSNE will change from 'random' to 'pca' in 1.2.

FutureWarning,

/usr/local/lib/python3.7/dist-packages/sklearn/manifold/\_t\_sne.py:793: FutureWarning: The default learning rate in TSNE will change from 200.0 to 'auto' in 1.2.

FutureWarning,



There are no seprated clusters but we can see that close words in the multi dimensional representation are also close in the 2D representation. for example, the word four is also close in the 2D to the words:'three', 'few', 'five', like it was in the multi D representaion.